## **Monadic Effects**

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# Monad madness



2010-08 Yet Another Monad Tutorial An ongoing sequence of extremely detailed tutorials deriving monads from first principles.

# **Programming Language Semantics**

- Operational
  - $\langle C,S \rangle \Downarrow S' \text{ or } \langle C,S \rangle \mapsto \langle C',S' \rangle$
  - ( $\lambda x.M$ ) V  $\mapsto$  M[V/x]
- Denotational
  - Compositional interpretation of syntactic phrases as more abstract mathematical objects
  - What sort of objects affected by
    - syntactic category, or type, of the phrase
    - the language as a whole
    - which aspects of the behaviour of programs we decide to observe
  - Compositionality
    - Denotation has to encode all possible observations arising from placing that phrase in a larger context
    - But want to abstract away from non-observable behaviours; ideally having equal denotations for observationally equivalent things
    - Finding collections of values that have enough information content and structure to interpret phrases, yet do not make too many spurious distinctions, can be hard
    - A good choice embodies a great deal of metatheory about the language before we even consider particular programs

# While programs

 $c ::= \operatorname{skip} | x := e | c; c | \operatorname{ifnz} e \operatorname{then} c \operatorname{else} c | \operatorname{while} e \operatorname{do} c$ 

Semantics using (partial) functions

Operational and Denotational:

$$\langle c, \, s \rangle \mapsto^* \langle \mathsf{skip}, \, s' \rangle \iff \langle c, \, s \rangle \Downarrow s' \iff \llbracket c \rrbracket(s) = s'$$

Contextual Equivalence:

$$c \simeq_{ctx} c' \iff \forall C[\cdot] s s', \langle C[c], s \rangle \Downarrow s' \iff \langle C[c'], s \rangle \Downarrow s'$$

Justifies equations:

$$\begin{aligned} &(x := 3; y := 5) \simeq_{ctx} (y := 5; x := 3) \\ &(\text{ifnz } 0 \text{ then } c_0 \text{ else } c_1) \simeq_{ctx} c_1 \\ &((\text{ifnz } e \text{ then } c_0 \text{ else } c_1); c) \simeq_{ctx} (\text{ifnz } e \text{ then } (c_0; c) \text{ else } (c_1; c)) \end{aligned}$$

# Variations

Using  $\omega$ -cpos instead of sets and partial functions

- Either  $\llbracket c \rrbracket$  a *strict* (continuous) map  $Store_{\perp} \to Store_{\perp}$
- Or  $\llbracket c \rrbracket$ : Store  $\rightarrow$  Store  $_{\perp}$

In latter case, note  $\llbracket c_0; c_1 \rrbracket = (\llbracket c_1 \rrbracket)^* \circ \llbracket c_0 \rrbracket$ , where if  $f: X \to Y_{\perp}$ ,

$$f^*: X_{\perp} \to Y_{\perp}$$
$$f^* a = \begin{cases} f x & \text{if } a = [x] \\ \bot & \text{if } a = \bot \end{cases}$$

Adding non-determinism,  $\langle c_0 \sqcap c_1, s \rangle \mapsto \langle c_0, s \rangle$  and  $\langle c_0 \sqcap c_1, s \rangle \mapsto \langle c_1, s \rangle$ . Take  $\llbracket c \rrbracket \in Rel(Store, Store)$ , i.e.  $\llbracket c \rrbracket \subseteq (Store \times Store)$ , with sequential composition interpreted by relational composition

- There's a choice here:  $\llbracket c \rrbracket = \llbracket c \sqcap (\text{while } 1 \text{ do skip}) \rrbracket$
- Equivalently,  $\llbracket c \rrbracket : Store \to \mathbb{P}(Store)$ , then  $\llbracket c_0; c_1 \rrbracket = (\llbracket c_1 \rrbracket)^* \circ \llbracket c_0 \rrbracket$  where if  $f: X \to \mathbb{P}(Y), f^*: \mathbb{P}(X) \to \mathbb{P}(Y)$  given by  $f^*(xs) = \bigcup_{x \in xs} f(x)$



# Simple Types

 $A,B:=\mathsf{int}\mid\mathsf{unit}\mid A\times B\mid A\to B\mid A+B$ 

 $\Gamma, x : A \vdash x : A \qquad \Gamma \vdash \underline{n} : \mathsf{int} \qquad \Gamma \vdash () : \mathsf{unit} \qquad \frac{\Gamma \vdash M : A \qquad \Gamma \vdash N : B}{\Gamma \vdash (M, N) : A \times B}$ 

 $\frac{\Gamma \vdash M : A \times B}{\Gamma \vdash \mathsf{fst} M : A} \qquad \frac{\Gamma \vdash M : A \times B}{\Gamma \vdash \mathsf{snd} M : B} \qquad \frac{\Gamma \vdash M : A \to B}{\Gamma \vdash MN : B}$ 

 $\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash (\lambda x : A.M) : A \to B} \qquad \begin{array}{c} \Gamma \vdash M : A \\ \hline \Gamma \vdash \inf M : A + B \end{array} \qquad \begin{array}{c} \Gamma \vdash N : B \\ \hline \Gamma \vdash \inf N : A + B \end{array}$ 

 $\frac{\Gamma \vdash M : A + B \qquad \Gamma, x : A \vdash N : C \qquad \Gamma, y : B \vdash P : C}{\Gamma \vdash \mathsf{case} \ M \ \mathsf{of} \ \mathsf{inl} \ x \Rightarrow N \mid \mathsf{inr} \ y \Rightarrow P : C}$ 

 $\frac{E \vdash M: \mathsf{int} \qquad E \vdash M': \mathsf{int}}{E \vdash M + M': \mathsf{int}}$ 

# **Operational semantics**

Call by value:

$$\begin{split} V &:= x \mid \lambda x : A.M \mid (V,V) \mid \underline{n} \mid \mathsf{inl} V \mid \mathsf{inr} V \\ & \underline{M \Downarrow \lambda x : A.M' \quad N \Downarrow V \quad M'[V/x] \Downarrow V'}_{M N \Downarrow V'} \qquad \qquad \frac{M \Downarrow V \quad N \Downarrow V'}{(M,N) \Downarrow (V,V')} \\ & \underline{M \Downarrow (V_1,V_2)}_{\mathsf{fst} \ M \Downarrow V_1} \qquad \frac{M \Downarrow V}{\mathsf{inl} \ M \Downarrow \mathsf{inl} \ V} \qquad \qquad \frac{M \Downarrow \mathsf{inl} \ V \quad N[V/x] \Downarrow V'}{\mathsf{case} \ M \mathsf{of} \mathsf{inl} \ x \Rightarrow N \mid \mathsf{inr} \ y \Rightarrow N' \Downarrow V'} \\ & V \Downarrow V \qquad \qquad \frac{M \Downarrow \underline{m} \quad N \Downarrow \underline{n}}{M + N \Downarrow m + n} \end{split}$$

Call by name:

$$\begin{split} W &:= \lambda x : A.M \mid (M, M) \mid \underline{n} \mid \text{inl } M \mid \text{inr } M \\ \\ \frac{M \Downarrow \lambda x : A.M' \quad M'[N/x] \Downarrow W}{M N \Downarrow W} & \frac{M \Downarrow (N_1, N_2) \quad N_1 \Downarrow W}{\text{fst } M \Downarrow W} \\ \\ \frac{M \Downarrow \text{inl } M' \quad N[M'/x] \Downarrow W}{\text{case } M \text{ of inl } x \Rightarrow N \mid \text{inr } y \Rightarrow N' \Downarrow W} & \frac{M \Downarrow \underline{m} \quad N \Downarrow \underline{n}}{M + N \Downarrow \underline{m + n}} \end{split}$$

## Semantics in Set

 $\llbracket \mathsf{int} \rrbracket = \mathbb{Z}$  $\llbracket unit \rrbracket = 1$  $\llbracket A \times B \rrbracket = \llbracket A \rrbracket \times \llbracket B \rrbracket$  $\llbracket A \to B \rrbracket = \llbracket A \rrbracket \to \llbracket B \rrbracket \ (= \llbracket B \rrbracket^{\lfloor A \rfloor})$ [A + B] = [A] + [B] $||x_1: A_1, \dots, x_n: A_n|| = ||A_1|| \times \dots ||A_n||$  $[\![\vec{x_i}:\vec{A_i}\vdash x_i:A_i]\!]\rho = \pi_i(\rho) \qquad [\![\Gamma\vdash n:\mathsf{int}]\!]\rho = n \qquad [\![\Gamma\vdash ():\mathsf{unit}]\!]\rho = *$  $\llbracket \Gamma \vdash (M, N) : A \times B \rrbracket \rho = (\llbracket \Gamma \vdash M : A \rrbracket \rho, \ \llbracket \Gamma \vdash N : B \rrbracket \rho)$  $\llbracket \Gamma \vdash \mathsf{fst} M : A \rrbracket \rho = \pi_1(\llbracket \Gamma \vdash M : A \times B \rrbracket \rho)$  $\llbracket \Gamma \vdash M \, N : B \rrbracket \rho = (\llbracket \Gamma \vdash M : A \to B \rrbracket \rho) \, (\llbracket \Gamma \vdash N : A \rrbracket \rho)$  $\llbracket \Gamma \vdash \lambda x : A.M : A \to B \rrbracket \rho = \lambda a \in \llbracket A \rrbracket.(\llbracket \Gamma, x : A \vdash M : B \rrbracket(\rho, a))$ 

## Equations

 $\Gamma \vdash M \simeq_{ctx} N : A \iff \forall C[\cdot] : (\Gamma \vdash A) \triangleright \mathsf{int}, C[M] \Downarrow \underline{n} \iff C[N] \Downarrow \underline{n}$ 

beta:

 $(\lambda x : A.M) N = M[N/x] \qquad \quad \mathsf{fst}\,(M,N) = M \qquad \quad \mathsf{snd}\,(M,N) = N$ 

case inl M of inl  $x \Rightarrow N \mid inr y \Rightarrow N' = N[M/x]$ 

case inr M of inl  $x \Rightarrow N \mid inr y \Rightarrow N' = N'[M/y]$  M + N = N + M

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$$\underline{n} + \underline{m} = \underline{n + m}$$

eta:

 $M = () \qquad M = \lambda x : A \cdot M x \ (a \notin fv(M)) \qquad M = (\mathsf{fst} M, \mathsf{snd} M)$ case M of  $\mathsf{inl} x \Rightarrow \mathsf{inl} x \mid \mathsf{inr} y \Rightarrow \mathsf{inr} y = M$ (better: case M of  $\mathsf{inl} x \Rightarrow N[\mathsf{inl} x/z] \mid \mathsf{inr} y \Rightarrow N[\mathsf{inr} y/z] = N[M/z])$ 

#### Recursion (hence divergence) in CBV

$$\frac{\Gamma, x : A, f : A \to B \vdash M : B}{\Gamma \vdash (\operatorname{rec} f : A \to B \, x = M) : A \to B}$$

 $\frac{M \Downarrow (\operatorname{rec} f x = M') \qquad N \Downarrow V' \qquad M'[V'/x, \, (\operatorname{rec} f x = M')/f] \Downarrow V}{M N \Downarrow V}$ 

$$- = (\operatorname{rec} f x = f x)()$$

 $\begin{aligned} (\lambda x.M) N \neq_v M[N/x] & \text{consider } (\lambda x.()) - & (\lambda xM) V =_v M[V/x] \\ & \text{fst} (M_1, M_2) \neq_v M_1 & \text{fst} (V_1, V_2) =_v V_1 \\ & M \neq_v \lambda x.M x & V =_v \lambda x.V x \end{aligned}$ 

#### Recursion in CBN $\Gamma, x : A \vdash M : A$ $M[(\operatorname{rec} x.M)/x] \Downarrow W$ $(\operatorname{rec} x.M) \Downarrow W$ $\Gamma \vdash (\mathsf{rec} x : A.M) : A$ $- = (\operatorname{rec} x.x)$ PCF - observation at ground type( $\lambda x.M$ ) N = M[N/x]fst $(M_1, M_2) = M_1$ $(\lambda x.M x) = M$ in particular, $\lambda x.- = -$ (fst M, snd M) = MHaskell - observation at all types $(\lambda x.M x) \neq M$ $(fst M, snd M) \neq M$

## **Denotational Semantics CBV**

Use pointed  $\omega$ -cpos and strict maps

$$\llbracket \mathbf{n} \mathbf{n} \rrbracket = \mathbb{Z}_{\perp} \qquad \llbracket A \to B \rrbracket = \llbracket A \rrbracket \multimap \llbracket B \rrbracket \qquad \llbracket A \times B \rrbracket = \llbracket A \rrbracket \qquad \llbracket B \rrbracket$$
$$\llbracket A + B \rrbracket = \llbracket A \rrbracket \oplus \llbracket B \rrbracket \qquad \llbracket \vec{x_i} : \vec{A_i} \rrbracket = \bigotimes_i \llbracket A_i \rrbracket \qquad \llbracket \Gamma \vdash M : A \rrbracket : \llbracket \Gamma \rrbracket \to \llbracket A \rrbracket$$

Use  $\omega$ -cpos and explicit lifting

$$\begin{split} \llbracket \operatorname{int} \rrbracket &= \mathbb{Z} \qquad \llbracket A \to B \rrbracket = \llbracket A \rrbracket \to (\llbracket B \rrbracket)_{\perp} \qquad \llbracket A \times B \rrbracket = \llbracket A \rrbracket \times \llbracket B \rrbracket \\ \llbracket A + B \rrbracket &= \llbracket A \rrbracket + \llbracket B \rrbracket \qquad \llbracket \vec{x_i} : \vec{A_i} \rrbracket = \prod_i \llbracket A_i \rrbracket \qquad \llbracket \Gamma \vdash M : A \rrbracket : \llbracket \Gamma \rrbracket \to (\llbracket A \rrbracket)_{\perp} \\ \llbracket \Gamma \vdash \lambda x . M : A \to B \rrbracket = \Gamma \xrightarrow{cur \llbracket M \rrbracket} (A \to B_{\perp}) \xrightarrow{[\cdot]} (A \to B_{\perp})_{\perp} \end{split}$$

 $\llbracket \Gamma \vdash M \, N : B \rrbracket = \Gamma \xrightarrow{\langle \llbracket M \rrbracket, \llbracket N \rrbracket \rangle} (A \to B_{\perp})_{\perp} \times A_{\perp} \longrightarrow ((A \to B_{\perp}) \times A)_{\perp} \xrightarrow{ev^{*}} B_{\perp}$ 

## **Denotational Semantics: CBN**

For PCF: Pointed cpos and continuous maps

$$\llbracket \mathsf{int} \rrbracket = \mathbb{Z}_{\perp} \qquad \llbracket A \to B \rrbracket = \llbracket A \rrbracket \to \llbracket B \rrbracket \qquad \llbracket A \times B \rrbracket = \llbracket A \rrbracket \times \llbracket B \rrbracket$$
$$\llbracket A + B \rrbracket = (\llbracket A \rrbracket + \llbracket B \rrbracket)_{\perp}$$

For Haskell: Pointed cpos and continuous maps, more lifting

$$\llbracket \text{int} \rrbracket = \mathbb{Z}_{\perp} \qquad \llbracket A \to B \rrbracket = (\llbracket A \rrbracket \to \llbracket B \rrbracket)_{\perp} \qquad \llbracket A \times B \rrbracket = (\llbracket A \rrbracket \times \llbracket B \rrbracket)_{\perp}$$
$$\llbracket A + B \rrbracket = (\llbracket A \rrbracket + \llbracket B \rrbracket)_{\perp}$$

#### CBV with global store $\Gamma \vdash M$ : int $\Gamma \vdash X: int$ $\Gamma \vdash (X := M)$ : unit $\langle s, M \rangle \Downarrow \langle s', \underline{n} \rangle$ $\langle s, \, !X \rangle \Downarrow \langle s, \, s(X) \rangle$ $\overline{\langle s, X := M \rangle \Downarrow \langle s'[X \mapsto n], () \rangle}$ $\langle s, M \rangle \Downarrow \langle s', \lambda x.M' \rangle \qquad \langle s', N \rangle \Downarrow \langle s'', V \rangle \qquad \langle s'', M'[V/x] \rangle \Downarrow \langle s''', V' \rangle$ $\langle s, MN \rangle \Downarrow \langle s''', V' \rangle$

Further inequations

$$(\lambda x.\lambda y.(x,y)) M N \neq (\lambda y.\lambda x.(x,y)) N M$$
  
 $(\lambda x.(x,x)) M \neq (\lambda x.\lambda y.(x,y)) M M$ 

plas various equations involving the new operations.

## Denotational

 $\llbracket \operatorname{int} \rrbracket = \mathbb{Z} \qquad \llbracket \operatorname{unit} \rrbracket = 1 \qquad \llbracket A \times B \rrbracket = \llbracket A \rrbracket \times \llbracket B \rrbracket$  $\llbracket A \to B \rrbracket = \llbracket A \rrbracket \times Store \to \llbracket B \rrbracket \times Store \qquad \llbracket \vec{x_i} : \vec{A_i} \rrbracket = \prod_i \llbracket A_i \rrbracket$  $\llbracket \Gamma \vdash M : A \rrbracket : \llbracket \Gamma \rrbracket \times Store \to \llbracket A \rrbracket \times Store$  $\llbracket \Gamma \vdash (M, N) : A \times B \rrbracket (\rho, s) =$ 

 $[[x + (M, N) : M \times D]](\rho, s) = (x, y) \text{ where } [[M]](\rho, s) = (x, s')$ 

 $\Gamma \times S \xrightarrow{\Delta \times 1} \Gamma \times \Gamma \times S \xrightarrow{1 \times \llbracket M \rrbracket} \Gamma \times A \times S \xrightarrow{\sigma \times 1} A \times \Gamma \times S \xrightarrow{1 \times \llbracket N \rrbracket} A \times B \times S$ 

# Moggi's brilliant idea

- The extra structure we add to models of the pure language to deal with these, and many other, notions of side effect always has the same "shape"
- And there are common patterns for just how we use that structure to modify the interpretations of types
- And corresponding patterns apply to the interpretation of terms
- We can capture this commonality by *factoring* our semantics via a new, generic, *computational metalanguage*
- Doing things this way saves repeated work, modularizes, explains, cleans up reasoning by moving side-conditions into the type system, sets us up for further generalizations



# The structure

- Separate values A from computations TA, which may have observable behaviour other than producing a value of type A
- T is *functor* T:C→ C, so can lift f:A→ B to Tf:TA→TB, and this preserves identity and composition
- There's a natural transformation with components  $\eta_A$ :A $\rightarrow$ TA which expresses how values may be (uniformly) viewed as trivial computations
- There's a natural transformation  $\mu_A$ : TTA $\rightarrow$ TA that lets us (uniformly) combine effectful behaviours, so we can see a computation of a computation as a computation
- Satisfying some conditions

## Monad conditions



#### Strength

 $\tau_{A,B}: A \otimes TB \to T(A \otimes B)$ 



#### Examples

- Lifting over  $\omega$ -cpo.  $TX = X_{\perp}, \ \eta(x) = [x], \ \mu([x]) = x, \ \mu(\perp) = \perp$
- Nondeterminism.  $TX = \mathbb{P}(X), \ \eta(x) = \{x\}, \ \mu(H) = \bigcup_{S \in H} S$
- Exceptions. TX = X + E,  $\eta(x) = inl(x)$ ,  $\mu(w) = case w \text{ of } inl w' \Rightarrow w' \mid inr e \Rightarrow inr e$
- State.  $TX = S \to X \times S, \ \eta(x) = \lambda s.(x,s), \ \mu(M) = \lambda s. f s'$  where Ms = (f,s')
- Read-only state.  $TX = S \rightarrow X, \ \eta(x) = \lambda s.x, \ \mu(M) = \lambda s.M \, s \, s$
- Output.  $TX = X \times M$  for M a monoid.  $\eta(x) = (x, \epsilon), \ \mu((x, m), m') = (x, m \cdot m')$
- Resumptions. TX = X + TX,  $\eta(x) = \operatorname{inl} x$ ,  $\mu(M) = \operatorname{case} M$  of  $\operatorname{inl} c \Rightarrow c \mid \operatorname{inr} M' \Rightarrow \operatorname{inr} \mu(M')$
- Continuations.  $TX = (X \to R) \to R, \eta(x) = \lambda k.x x, \mu(M) = \lambda k. M (\lambda c.c k)$

## **CBV** interpretations

 $\llbracket int \rrbracket = \mathbb{Z} \qquad \llbracket A \to B \rrbracket = \llbracket A \rrbracket \to T(\llbracket B \rrbracket) \qquad \llbracket A \times B \rrbracket = \llbracket A \rrbracket \times \llbracket B \rrbracket$  $\llbracket A + B \rrbracket = \llbracket A \rrbracket + \llbracket B \rrbracket \qquad \llbracket \vec{x_i} : \vec{A_i} \rrbracket = \prod_i \llbracket A_i \rrbracket \qquad \llbracket \Gamma \vdash M : A \rrbracket : \llbracket \Gamma \rrbracket \to T(\llbracket A \rrbracket)$ 

$$\llbracket \Gamma \vdash \lambda x.M: A \to B \rrbracket = \Gamma \xrightarrow{cur\llbracket M \rrbracket} (A \to TB) \xrightarrow{\eta} T(A \to TB)$$
$$\llbracket \Gamma \vdash MN: B \rrbracket =$$

 $\Gamma \xrightarrow{\Delta} \Gamma \times \Gamma \xrightarrow{1 \times \llbracket M \rrbracket} \Gamma \times T(A \to TB) \xrightarrow{\tau} T(\Gamma \times (A \to TB))$ 

 $\xrightarrow{T\sigma} T((A \to TB) \times \Gamma) \xrightarrow{T(1 \times \llbracket N \rrbracket)} T((A \to TB) \times TA)$ 

 $. \xrightarrow{T\tau} T^2((A \to TB) \times A) \xrightarrow{T^2 ev} T^3B \xrightarrow{T\mu} T^2B \xrightarrow{\mu} TB$ 

## Kleisli presentation of monads

 $T: C \to C$   $\eta_A: A \to TA$   $f^*: TA \to TB$  for each  $f: A \to TB$ 

such that  $\eta_A^* = 1_{TA}$  and



The formulations are equivalent:

$$(f:A \to TB)^* = TA \xrightarrow{Tf} T^2B \xrightarrow{\mu_B} TB$$
$$T(f:A \to B) = (A \xrightarrow{f} B \xrightarrow{\eta_B} TB)^*$$
$$\mu_A = (TA \xrightarrow{1_{TA}} TA)^*$$

Parameterized  $f: \Gamma \times A \to TB, f^*: \Gamma \times TA \to TB$ . Precompose with  $\tau$ .

## The computational metalanguage

Extend simple types

$$A : := \dots \mid TA$$

$\Gamma \vdash M \mathop{:} A$	$\Gamma \vdash M : TA$	$\Gamma, x : A \vdash N : TB$
$\overline{\Gamma \vdash valM{:}TA}$	$\Gamma \vdash let x$ <	$\Leftarrow M \text{ in } N : TB$

Interpret in CCC with strong monad/parameterized Kleisli triple

$$\llbracket \Gamma \vdash \mathsf{val} \ M : TA \rrbracket = \Gamma \xrightarrow{\llbracket M \rrbracket} \llbracket A \rrbracket \xrightarrow{\eta} TA$$
$$\llbracket \Gamma \vdash \mathsf{let} \ x \Leftarrow M \ \mathsf{in} \ N : TB \rrbracket = \Gamma \xrightarrow{\Delta} \Gamma \times \Gamma \xrightarrow{1 \times \llbracket M \rrbracket} \Gamma \times TA \xrightarrow{\llbracket N \rrbracket^*} TB$$

# Equations

Full  $\beta$  and  $\eta$  for simple type constructors, plus

 $\det x \Leftarrow \operatorname{val} M \operatorname{in} N = N[M/x] \qquad \qquad \det x \Leftarrow M \operatorname{in} \operatorname{val} x = M$ 

 $\operatorname{let} x \Leftarrow (\operatorname{let} y \Leftarrow M \operatorname{in} N) \operatorname{in} P = \operatorname{let} y \Leftarrow M \operatorname{in} \operatorname{let} x \Leftarrow N \operatorname{in} P$ 



## CBV translation into $\lambda$ ML<sub>T</sub>

$$\begin{array}{rclrcl} 1^{\star} &=& 1\\ (X \times Y)^{\star} &=& X^{\star} \times Y^{\star}\\ (X \to Y)^{\star} &=& X^{\star} \to TY^{\star}\\ (\Theta \vdash t:X)^{\star} &=& \Theta^{\star} \vdash t^{\star}:TX^{\star}\\ (\Theta \vdash t:X)^{\star} &=& \Theta^{\star}, x:X^{\star} \vdash [x]:TX^{\star}\\ (\Theta \vdash ():1)^{\star} &=& \Theta^{\star} \vdash [()]:T1\\ (\Theta \vdash (s,t):X \times Y)^{\star} &=& \Theta^{\star} \vdash \operatorname{let} x \leftarrow s^{\star} \operatorname{in} \operatorname{let} y \leftarrow t^{\star} \operatorname{in} [(x,y)]:T(X^{\star} \times Y^{\star})\\ (\Theta \vdash \operatorname{fst} s:X)^{\star} &=& \Theta^{\star} \vdash \operatorname{let} z \leftarrow s^{\star} \operatorname{in} [\operatorname{fst} z]:TX^{\star}\\ (\Theta \vdash \operatorname{snd} s:Y)^{\star} &=& \Theta^{\star} \vdash \operatorname{let} z \leftarrow s^{\star} \operatorname{in} [\operatorname{snd} z]:TY^{\star}\\ (\Theta \vdash \lambda x:X.s:X \to Y)^{\star} &=& \Theta^{\star} \vdash \operatorname{let} z \leftarrow s^{\star} \operatorname{in} [\operatorname{snd} z]:TY^{\star}\\ (\Theta \vdash st:Y)^{\star} &=& \Theta^{\star} \vdash \operatorname{let} z \leftarrow s^{\star} \operatorname{in} \operatorname{let} x \leftarrow t^{\star} \operatorname{in} z x:TY^{\star} \end{array}$$

## Lifted CBN translation

$$\begin{aligned} \mathbf{1}^{\dagger} &= \mathbf{1} \\ (X \times Y)^{\dagger} &= (TX^{\dagger} \times TY^{\dagger}) \\ (X \to Y)^{\dagger} &= TX^{\dagger} \to TY^{\dagger} \\ (\Theta \vdash t : X)^{\dagger} &= T\Theta^{\dagger} \vdash t^{\dagger} : TX^{\dagger} \\ (\Theta \vdash (x; X) \vdash x; X)^{\dagger} &= T\Theta^{\dagger} , x : TX^{\dagger} \vdash x : TX^{\dagger} \\ (\Theta \vdash (); 1)^{\dagger} &= T\Theta^{\dagger} \vdash [()] : T\mathbf{1} \\ (\Theta \vdash (s, t) : X \times Y)^{\dagger} &= T\Theta^{\dagger} \vdash [(s^{\dagger}, t^{\dagger})] : T(TX^{\dagger} \times TY^{\dagger}) \\ (\Theta \vdash \text{fst } s; X)^{\dagger} &= T\Theta^{\dagger} \vdash \text{let } z \leftarrow s^{\dagger} \text{ in fst } z : TX^{\dagger} \\ (\Theta \vdash \text{snd } s; Y)^{\dagger} &= T\Theta^{\dagger} \vdash \text{let } z \leftarrow s^{\dagger} \text{ in snd } z : TY^{\dagger} \\ (\Theta \vdash s t : X)^{\dagger} &= T\Theta^{\dagger} \vdash \text{let } z \leftarrow s^{\dagger} \text{ in } z t^{\dagger} : TY^{\dagger} \end{aligned}$$

## **CPS translations**

Treating CBN and CBV via different translations into common language, rather than via different evaluation orders, already familiar. E.g. for CBV

$$(M N)^* = \lambda k.M^* \left(\lambda f.N^* \left(\lambda x.f x k\right)\right)$$

With types

$$(A \to B)^* = A^* \to ((B^* \to R) \to R) \quad \cong (B^* \to R) \to (A^* \to R)$$

Operational behaviour of transformed terms matches source, independent of evaluation strategy of target. Full  $\beta\eta$  on target proves source equations missed by  $\lambda_v$ .

If we take  $TX = (X \to R) \to R$  then monadic translations are just the familiar CPS transformations. Plus get a nicer account of 'administrative' reductions.

# Kleisli category

Given Kleisli triple  $(T, \eta, \cdot^*)$  over C, Kleisli category  $C_T$  has

- Objects: same as C
- Morphisms:  $C_T(A, B) = C(A, TB)$
- Identities: Identity on A in  $C_T$  is  $\eta_A : A \to TA$
- Composition: Given  $f \in C_T(A, B), g \in C_T(B, C), f; g \in C_T(A, C)$  is  $f; g^* : A \to TC$

The conditions on Kleisli triples are just what we need to make this a category. So the CBV interpretation of effectful programs lives in the Kleisli category.

## **Eilenberg-Moore category**

 $TA \longrightarrow A$ 

Morphism  $(\alpha: TA \to A)$  to  $(\beta: TB \to B)$  in  $C^T$  is  $f: A \to B$  in C st



# Algebras

Given single-sorted signature  $\Sigma$ , monad  $T_{\Sigma}$  on set given by  $T_{\Sigma}(X)$  = the set of  $\Sigma$  terms with variables in X. Then

- $\eta: X \to TX$  includes variables as terms
- A function  $f: X \to TY$  is a substitution, assigning a Y-term to each X-variable. The Kleisli lifting  $f^*: TX \to TY$  applies the substitution. Can see this as building a term with variables in TY and then flattening.

 $C^T$  is just  $\Sigma\text{-algebras}$  and homomorphisms. This extends to single-sorted theories

## Resolutions



$$F; \Phi \dashv U \quad ext{and} \quad F \dashv \Phi; U$$

Both adjunctions induce the original monad T

# Relationship with linear logic

- LNL model is symmetric monoidal adjunction between CCC C and SMCC L with F:C→L left adjoint to G:L→C
- Comonad ! on L gives model of linear logic, monad on C model of λML\_T with commutative monad
- In such a situation the three translations into the metalanguage correspond exactly to three translations into linear logic

# **Computational Trinitarianism**

- Proofs of Propositions (Logic)
- Programs (Terms) of Types (Language)
- Mappings between Structures (Categories)
- So what's the logical reading of the metalanguage?
  - Take the typing rules and throw away the terms
  - Leaving natural deduction formulation of an intuitionistic modal logic

### Natural deduction



# Normalization

Proof theory of logic forces the equations



## Sequent calculus

$$\frac{\overline{\Gamma, A \vdash A}}{\Gamma, A \vdash A} Identity \qquad \qquad \frac{\Gamma \vdash B \qquad B, \Gamma \vdash C}{\Gamma \vdash C} Cut \\ \frac{\overline{\Gamma, A \vdash A}}{\Gamma \vdash A} (\bot c) \qquad \qquad \overline{\Gamma \vdash C} (T_{\mathcal{R}}) \\ \frac{\overline{\Gamma, A \vdash C}}{\Gamma, A \land B \vdash C} (\land c) \qquad \frac{\Gamma, B \vdash C}{\Gamma, A \land B \vdash C} (\land c) \qquad \qquad \frac{\Gamma \vdash A \qquad \Gamma \vdash B}{\Gamma \vdash A \land B} (\land R) \\ \frac{\overline{\Gamma, A \vdash C} \qquad \Gamma, B \vdash C}{\Gamma, A \lor B \vdash C} (\lor c) \qquad \qquad \frac{\Gamma \vdash A}{\Gamma \vdash A \lor B} (\lor R) \qquad \qquad \frac{\Gamma \vdash B}{\Gamma \vdash A \lor B} (\lor R) \\ \frac{\overline{\Gamma \vdash A} \qquad \Gamma, B \vdash C}{\Gamma, A \supset B \vdash C} (\supset c) \qquad \qquad \frac{\overline{\Gamma, A \vdash B}}{\Gamma \vdash A \supset B} (\supset R) \\ \frac{\overline{\Gamma, A \vdash \Diamond B}}{\Gamma, \Diamond A \vdash \Diamond B} \diamond c \qquad \qquad \frac{\Gamma \vdash A}{\Gamma \vdash \diamond A} (\diamond R)$$

# Hilbert System

- Usual stuff plus
  - $A \supset \diamond A$
  - $-\diamond A \supset ((A \supset \diamond B) \supset \diamond B)$
- Alternatively
  - $A \supset \diamond A$
  - $A \diamond \subset A \diamond \diamond -$
  - $(\mathsf{A} \supset \mathsf{B}) \supset (\diamond \mathsf{A} \supset \diamond \mathsf{B})$
- Independently discovered by Fairtlough & Mendler (95), who called this Lax Logic
  - Originally motivated by a range of "true up to constraints" notions in hardware verification

# Curry 1952

"The referee has pointed out that for certain kinds of modality it [intro for ◇] is not acceptable ... because it allows the proof of



 $\diamond A, \diamond B \vdash \diamond (A \land B).$ 

He has proposed a theory of possibility more strictly dual to that of necessity. Although this theory looks promising it will not be developed here."

# Models

- CCC plus strong monad, obviously
- But if only interested in proveability, this degenerates to Heyting algebra with a closure operator (inflationary and idempotent)
- Also sound and complete for Kripke models with two relations

 $w \models \diamondsuit A \text{ iff } \forall v \ge w. \exists u. vRu \text{ and } u \models A.$ 

## Monad morphisms

Monad morphism  $\sigma: (T, \eta, -^*) \to (T', \eta', -^{*'})$  is family  $\sigma_A: TA \to T'A$  st



(In bijection with carrier preserving functors  $V: C^{T'} \to C^T$ .)

# Monad transformers

- Function F mapping monads to monads
- With a monad morphism  $in_T:T \rightarrow FT$  for each monad T
- Think of F as adding a new effect to yield T'
- New monad will come with its own operations
- Old operations, general form  $- \text{ op: } \forall X.A \rightarrow (B \rightarrow TX) \rightarrow TX$
- must be lifted to the new monad  $- \text{ op'}: \forall X. A \rightarrow (B \rightarrow T'X) \rightarrow T'X$

# Structure on the Kleisli category

- Has coproducts if C does (F left adjoint)
- Premonoidal structure functorial in each arg
- Monoidal iff monad is commutative
- Morphisms F(f) commute with anything, they're central
- Premon cat has distinguish SM centre M and id on objects J into premon K, pres prod strcuture
- When M cartesian call it Frey cat

# Wadler's brilliant idea

- Functional programmers had been writing messy programs for a decade or so, doing explicitly what imperative programmers did implicitly
  - Passing around name supplies
  - Passing around states
  - Propagating errors
- Had already come up with list comprehensions along the lines of set comprehensions
- Then saw Moggi's work and realized that there was a new abstraction that could be used to refactor all these kinds of programs
- And we could pretty much express it in the languages we already had
- Comprehending Monads LFP'90
- The Essence of Functional Programming POPL'92



## Monads in Haskell

In Kleisli triple style, take T :  $\ast$  ->  $\ast$  to be a Haskell type constructor

```
return :: a -> T a
(>>=) :: T a -> (a -> T b) -> T b
So let x \leftarrow e_1 in e_2 becomes
e1 >>= \x -> e2
For example
data Maybe a = Just a | Nothing
return a = Just a
m >>= f = case m of
                      Just a \rightarrow f a
                      Nothing -> Nothing
```

failure = Nothing

# Failure *is* an option – using the Maybe monad

#### State

Three possibilities

```
type State s a = s -> (s,a) -- type synonym
newtype State s a = State (s -> (s,a)) -- nominal, unlifted
data State s a = State (s -> (s,a)) -- lazy constructor, lifted
return a = State (\s -> (s,a))
```

```
readState :: State s s
readState = State (\s -> (s,s))
```

```
writeState :: s -> State s ()
writeState s = State (\_ -> (s,()))
```

```
increment :: State Int ()
increment = readState >>= \s ->
    writeState (s+1)
```

```
Type classes
class Monad m where
   return :: a -> m a
   (>>=) :: m a -> (a -> m b) -> m b
instance Monad Maybe where
  return a = Just a
  m >>= f = case m of
              Just a \rightarrow f a
              Nothing -> Nothing
instance Monad (State s) where
  return a = State (\s \rightarrow (s,a))
  State m >>= f = State (\s -> let (s',a) = m s
                                     State m' = f a
                                in m's')
```

addM a b = a >>= \m -> b >>= \n -> return (m+n) addM :: (Monad m) => m Int -> m Int -> m Int

## Working with monads

```
liftM :: Monad m => (a \rightarrow b) \rightarrow m a \rightarrow m b
liftM2 :: Monad m => (a \rightarrow b \rightarrow c) \rightarrow m a \rightarrow m b \rightarrow m c
sequence :: Monad m \Rightarrow [m a] \rightarrow m [a]
addM = liftM2 (+)
addM a b = do m <- a
                  n <- h
                  return (m+n)
do e = e
do x <- e = e >>= (x -> do c)
    С
          = e >>= (\_ -> do c)
do e
    С
```

```
data Tree a = Leaf a | Bin (Tree a) (Tree a) deriving Show
```

```
unique :: Tree a -> Tree (a,Int)
```

```
unique' :: Tree a -> State Int (Tree (a, Int))
```

```
tick :: State Int Int
tick = do n <- readState
writeState (n+1)
return n</pre>
```

```
unique' (Leaf a) = do n <- tick
            return (Leaf (a,n))
unique' (Bin t1 t2) = liftM2 Bin (unique' t1) (unique' t2)
unique t = runState 1 (unique' t)
runState s (State f) = snd (f s)
test3 = unique (Bin (Bin (Leaf 'a') (Leaf 'b')) (Leaf 'c'))
```

```
>Bin (Bin (Leaf ('a',1)) (Leaf ('b',2))) (Leaf ('c',3))
```

# Peyton Jones and Wadler's brilliant idea



- Lazy functional programmers had been struggling for ages with I/O
- Fundamentally impure depends on and modifies the state of the world so breaks all your lovely reasoning principles
- Can't just stick it in and hope for the best like the CBV guys did evaluation order seriously unpredictable
  - Call by need predicated on the assumption that multiple evaluations always return the same result
- Stream IO, Continuation-based IO, linear types
- Imperative functional programming POPL'93
- We know how to model I/O within the language basically its State Universe
- But within the language we could duplicate, roll back, discard the universe
- BUT if we make the monad abstract and only provide primitives that treat the universe linearly
  - It looks like a functional program to the programmer
  - But can mutate the universe "in place" under the hood
- The IO monad



data IORef	а	An abstract type
newIORef	::	a -> IO (IORef a)
readIORef	::	IORef a -> IO a
writeIORef	::	IORef a -> a -> IO ()

openFile	::	String	->	IOMode	->	IO	Handle
hPutStr	::	Handle	->	[Char]	->	IO	()
hGetLine	::	Handle	->	IO [Ch	ar]		
hClose	::	Handle	->	IO ()			

# ST monad

- Purely functional code can be asymptotically less efficient than "equivalent" imperative code
- Can use IORefs, but then no way out
- Sometimes want to encapsulate imperative computation within a term that will behave purely functionally
- ST a is like State -> (State, a) except
  - State can hold dynamically allocated typed references
  - It's abstract and can be implemented destructively
  - Its uses can be encapsulated

# runST

newSTRef :: a -> ST s (STRef s a)
readSTRef :: STRef s a -> ST s a
writeSTRef :: STRef s a -> a -> ST s ()

s is a dummy type variable, or *region*, that can be used to tag references and effects living in different States

This *rank-2* polymorphic type is the thing that lets us get *out* of the monad. We can only apply it to computations that are parametric in their region, so they cannot import references from the outside or leak them through their result value

## Examples

```
This is OK

impure = do x <- newSTRef 0

y <- readSTRef x

writeSTRef x (y+1)

z <- readSTRef x

return z
```

```
test4 = runST impure
```

But these are not

runST (newSTRef 0)

# Monad transformers

- Often want to combine monads, which we do by layering them on top of each other
- Instead of individual monads, work with monad transformers that extend an existing monad with a new effect
- Will be of kind (\*->\*) -> (\*->\*)
- Use type class trickery to try to infer as much as possible

# MaybeT

```
newtype MaybeT m a = MaybeT (m (Maybe a))
```

```
instance Monad m => Monad (MaybeT m) where
return x = MaybeT (return (Just x))
MaybeT mm >>= f =
MaybeT (do x <- mm -- desugars into m's >>=
case x of
Case x of
Nothing -> return Nothing
Just a -> let MaybeT m' = f a in m')
```

## A class for monad transformers

class (Monad m, Monad (t m)) => MonadTransformer t m where lift :: m a -> t m a

instance Monad m => MonadTransformer MaybeT m where lift m = MaybeT (do x <- m return (Just x))

Now need to add operations. The following isn't good enough:

failure :: MaybeT m a handle :: MaybeT m a -> MaybeT m a -> MaybeT m a

# Maybe-like monads

```
class Monad m => MaybeMonad m where
failure :: m a
handle :: m a -> m a -> m a
```

Now anything we get by applying the MaybeT transformer is a MaybeMonad, but later there'll be others too

# Recipe

- We define a type to represent the transformer, say TransT, with two parameters, the first of which should be a monad.
- We declare TransT m to be a Monad, under the assumption that m already is.
- We declare TransT to be an instance of class MonadTransformer, thus defining how computations are lifted from m to TransT m.
- We define a class TransMonad of 'Trans-like monads', containing the operations that TransT provides.
- We declare TransT m to be an instance of TransMonad, thus implementing these operations..

#### Examples

newtype StateT s m a = StateT (s -> m (s, a))

class Monad m => StateMonad s m | m -> s where readState :: m s writeState :: s -> m ()

newtype ContT ans m a = ContT ((a -> m ans) -> m ans)

class Monad m => ContMonad m where callcc :: ((a -> m b) -> m a) -> m a

# Building it up

```
newtype Id a = Id a
```

```
instance MaybeMonad m => MaybeMonad (StateT s m) where
failure = lift failure
StateT m 'handle' StateT m' = StateT (\s -> m s 'handle' m' s)
```

type Parser a = StateT String (MaybeT Id) a