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Database Processing CIS 451/551  
Dec 4, 2006  
Assignment 6

1. DTD and XML Schema for the same Bibliography model.

DTD

```
<!DOCTYPE bib [  
<!ELEMENT book (title, author+, publisher, keyword+)>  
<!ELEMENT publisher (pub-name, pub-branch) >  
<!ELEMENT title ( #PCDATA )>  
<!ELEMENT author ( #PCDATA )>  
<!ELEMENT keyword ( #PCDATA )>  
<!ELEMENT pub-name( #PCDATA )>  
<!ELEMENT pub-branch( #PCDATA )>  
>
```

XML Schema

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema">  
<xs:element name="book">  
  <xs:complexType name="BookType">  
    <xs:sequence>  
      <xs:element name="title" type="xs:string" />  
      <xs:element name="author" minOccurs="1" maxOccurs="unbounded" type="xs:string" />  
      <xs:element ref="publisher" />  
      <xs:element name="keyword" minOccurs="1" maxOccurs="unbounded" type="xs:string" />  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>  
  
<xs:element name="publisher">  
  <xs:complexType name="PubType">  
    <xs:sequence>  
      <xs:element name="pub-name" type="xs:string" />  
      <xs:element name="pub-branch" type="xs:string" />  
    </xs:sequence>  
  </xs:complexType>  
</xs:element>  
</xs:schema>
```

2.

r:  $t=40,000$ ;  $b=800$ ;  $t/b=50$

s:  $t=30,000$ ;  $b=2,000$ ;  $t/b=15$

r is the outer relation and s is the inner relation

nested loop join:

worst case:  $40,000 \times 2,000 + 800 = \mathbf{80,000,800}$  block transfers

$40,000 + 800 = \mathbf{40,800}$  seeks

best case:  $2,000 + 800 = \mathbf{2,800}$  block transfers and 2 seeks

block-nested loop join: (assuming memory size  $M=3$ )

worst case:  $2,000 \times 800 + 800 = \mathbf{1,600,800}$  block transfers

$2 \times 800 = \mathbf{1,600}$  seeks

best case:  $2,000 + 800 = \mathbf{2,800}$  block transfers and 2 seeks

index-nested loop join:

$30,000 \times (4+1) + 800 = \mathbf{150,800}$  block transfers

$30,000 \times (4+1) + 800 = \mathbf{150,800}$  seeks

merge-join: (assuming memory size  $M=3$ , buffer block size of 1)

block transfer: (sorting phase)  $800(2 \times \lg[800/3] + 1) + 2000(2 \times \lg[2000/3] + 1) + 800 + 2,000 =$

$60,000 +$  (merging phase)  $800 + 2,000 = 2,800$

**TOTAL: 62,800 block transfers**

Seeks: (sorting phase)  $2 \times \lceil \lg[800/3] \rceil + 800(2 \times \lceil \lg[800/3] \rceil - 1) + 2000(2 \times \lceil \lg[2000/3] \rceil - 1) + 800 + 2,000 =$

$56,268 +$  (merging phase)  $800 + 2,000 = 2,800$

**TOTAL: 59,068 seeks**

hash join: (assuming buffer block size of 1)

$3(2,000 + 800) = \mathbf{8,400}$  block transfers

$2(2,000 + 800) = \mathbf{5,600}$  seeks

3. Use the index on (branch-name, branch-city) first to retrieve each tuple for “downtown” and “< Brooklyn”, then for each tuple check the assets individually.

4. The schedule is conflict serializable because there are no cycles in the precedence graph. Any of many additional edges that creates a cycle (such as  $\langle T5, T1 \rangle$ ) will make it non-conflict serializable.

5. Yes, this schedule satisfies the timestamp ordering protocol because all the corresponding write operations are ordered according to the transaction timestamps. If, for example, the  $write(B)$  lines were swapped, then there would be a problem.