## Data structures lab - week 6

## Welcome back!

## Wake-up quiz - LLRB versus RB

- What did our last week results about leftleaning red-black trees show us?
a) They have less code
b) They are easier to understand
c) They are a bit slower than textbook RB
d) All of the above


## Wake-up quiz - LLRB versus RB

- What did our last week results about leftleaning red-black trees show us?
a) They have less code
b) They are easier to understand
c) They are a bit slower than textbook RB
d) All of the above
- $d$ is the correct answer
- Recursion is often shorter, more clear and a bit slower.


## Week 5 recap

- Balanced trees
- Left-leaning red-black trees
- Background for red-black trees
- 2-3-4 trees
- Assignment 3, part 1


## Week 5 class evaluation

- Midterm survey
- Done in class, only 23 were there!
- Again, probably midterm madness
- But still disappointing
- Overall "ok" in speed and difficulty
- Content is interesting - good!


## Week 5 class evaluation

- Comments (slightly edited):
- "It's early"
- Can't do anything about that, unfortunately
- Drink coffee
- "Motivate the material"
- "Double check content for accuracy"
- "Wake-up quizzes are good"
- "Good class", "good stuff", "good work"
- Thanks


## Outline

- Assignment 2 gotchas.
- Balanced trees
- Revisited
- Assignment 3, part 2


## Hints for success

- Hint number 1: Read the assignment
- Hint number 2: Look at your code
- Hint number 3: Comply with standards
- Hint number 4: Use large test cases
- Hint number 5: Use the terminal
- Hint number 6: Use IX and g++
- Hint number 7: Fear the NULL
- Hint number 8: Use a debugger
- Hint number 9: Start earlier


## Hints for success

- Hint number 10
- Still to be determined
- Don't send any more suggestions
- I will make a poll today
- Go and vote!
- You do not need to register for this one
- They are all good suggestions!
- Got the participation on the blog going
- (even if I had to reward you for it :-)


## Hint number 10

- Just a few of them:
- Read the textbook
- Organize your code
- Use Google
- Go to office hours
- Comment well
- Write object-oriented in C++
- Hang out in Deschutes 100
- See them all on the blog!


## Assignment 2 gotchas

- Being too fancy is not always good if you cannot finish on time
- Start with the basics
- e.g. remove was not required for A2.
- So don't spend time implementing it unless you have the time.
- Remember the hints
- e.g. "look at your code"
- Comment out code used for timing.


## Assignment 2 gotchas

- Be aware that I do check for plagiarism
- I use a special tool to check your submissions.
- There is a borderline case for this assignment.
- Do not copy from each other!
- Study groups are fine
- Discuss a solution outline, not the solution itself.


## Assignment 2 gotchas

- "What to turn in":

1. Linked List implementation
2. BST implementation
3. Small discussion

- This was apparently ambiguous
- I'm sorry for that? Not really.
- If in doubt, ask!


## Red-black trees - again

- Properties:
- Every node is either red or black
- The root is black
- Every leaf is black
- In Cormen, every leaf is a special NIL node.
- If a node is red, both children are black
- All simple paths from a node to descendant leaves contain the same number of black nodes.


## Red-black trees - again

- Balancing happens at insertion
- And deletion
- All other operations are the same as for BST
- Red-black trees guarantee:
- O(lg n) insertion
- O(lg n) deletion
- O(lg n) search


## Left-leaning red-black trees

- Something new and exciting (2007)
- Same performance as red-black trees
- Requires all red nodes to be "left-leaning"
- Simpler to implement
- Especially because of recursion
- Remember the first wake-up quiz?


## Wake-up quiz - LLRB trees

- Is this a left-leaning red-black tree?



## Wake-up quiz - LLRB trees

- Is this a left-leaning red-black tree?

- No... why?


## RB versus LLRB

- Insert operation:
- ~60 versus ~20 lines of code
- Rotations:
- ~15 versus ~10 lines of code
- That's why you are implementing a LLRB
- Also because it is new and exciting


## RB versus LLRB

- Last week:

Find only


- LLRB a bit slower than RB


## RB versus LLRB

- Me to Robert Sedgewick (edited):
- "My initial findings are that the LLRB trees actually are slower than "normal" RB trees"
- Response (edited):
- "If you're finding a significant difference in tree height, l'd be very surprised."
- "For most applications the cost of insert() is insignificant compared to search()"


## RB versus LLRB

- Find operation is

$$
\mathrm{T}=\mathrm{O}(\mathrm{~h})
$$

- We hope find (if we believe RS)

$$
h_{B}=h_{U B}=c^{*} \lg (n)
$$

- Or at least just an insignificant difference between them.


## RB versus LLRB

- New results are in!
- 405 testcases
- Why not just 400 ?
- Well, should have been 500
- But I got tired of waiting for the generator
- Increases of 10,000 (i.e. max 4,050,000)
- 1,000,000 find operations for each case.
- Only measure the find operations.
- Any difference between RB and LLRB?


## RB versus LLRB

- A reminder:
- Both trees use the SAME find function.
- Therefore, the results actually show the difference in average tree height!
- We cannot use the recursive excuse for bad LLRB performance anymore
- A disclaimer:
- I use my computer for other things than running tests
- This might explain fluctuations.


## RB versus LLRB - results



## $R B$ versus LLRB - results



## RB versus LLRB - conclusion

- Tree height is slightly larger for LLRB
- Not significant though
- Is outweighed by easier implementation
- Tree height seems to be logarithmically growing

$$
\mathrm{h}=\mathrm{c}^{*} \lg (\mathrm{n})
$$

- Alright now, I think we're convinced.
- Let's move on.


## Assignment 3 - part 1

- Implement a left-leaning red-black tree.
- Support insert
- Do not bother about deletion
- Support find
- You should already have this from A2.
- Use any language you like
- Except Java!
- Testcase generator from A2 works fine for testing


## Assignment 3 - HELP

- Did anyone implement anything yet?
- Once again, I advertise for HELP
- This Monday, 5 pm, Deschutes 100
- Also, office hours.
- By the way, did anyone notice anything special about the HELP acronym yet?


## Assignment 3 - HELP

- Did anyone implement anything yet?
- Once again, I advertise for HELP
- This Monday, 5 pm, Deschutes 100
- Also, office hours.
- By the way, did anyone notice anything special about the HELP acronym yet?
- It is recursive!
- HELP Enhances the Learning Process


## Assignment 3 - part 2

- A little bit on the board...
- ... and then over to the website


## Assignment 3 - part 2

- So we have to deal with order statistics
- CLRS:
"the $i$ th order statistic of a set of $n$ elements
... is simply the element in the set with the ith smallest key.
- $S=\{5,3,6,8,2\}$
- What is the $4^{\text {th }}$ order statistic (OS) in $S$ ?


## Order statistics

- So we have to deal with order statistics
- CLRS:
"the $i$ th order statistic of a set of $n$ elements
... is simply the element in the set with the ith smallest key.
- $S=\{5,3,6,8,2\}$
- What is the $4^{\text {th }}$ order statistic (OS) in $S$ ?
-6 ... because it is the $4^{\text {th }}$ smallest number
- How did you do this?


## Order statistics - method

- $S=\{5,3,6,8,2\}$
- We want to find $4^{\text {th }}$ OS.
- Sort?
- Count?
- Use magic powers?
- $S^{\prime}=\{2,3,5,6,8\}$
- This is easier, right?
- We just count to the $4^{\text {th }}$ number.


## Wakeup quiz - Order statistics

- The outline we have just sketched for finding the ith order statistic has a running time of:
a) $\mathrm{O}(1)$
b) $\mathrm{O}(\lg n)$
c) $O(n)$
d) $O(n \lg n)$
e) $O\left(n^{\wedge} 2\right)$


## Wakeup quiz - Order statistics

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d) $O(n \lg n)$
e) $\mathrm{O}\left(\mathrm{n}^{\wedge} 2\right)$
- The correct answer is d.


## Order statistics - method

- Sorting takes $\Omega\left(n^{*} \lg (n)\right)$
- Going through the list takes $\mathrm{O}(n)$
- $m$ OS queries thus take $O\left(m^{*} n\right)$
- If $m$ is close to $n$ the overall running time is $O\left(n^{\wedge} 2\right)$ !
- Can we do better than this?
- Yes


## Augmenting red-black trees

- "Some engineering situations ... require a dash of creativity"
- "...often, it will suffice to augment a textbook data structure"
- We will augment a red-black tree
- Making an order-statistics tree


## Order statistics tree

- Add data to a node called size
- For a node $x$ :
- x.size $=$ x.left.size + x.right.size +1
- Let's do this on the board!
- For the seven dwarves


## Order statistics tree

- Finding the rank (ith OS) for a node $x$.
- Outline:
- We start at $x$
- Go up the tree to the root
- i.e. maximum $h$ steps
- Along the way we calculate the size of all nodes preceding $x$.
- Since our tree has height $h=\lg n$, OS-rank runs in $\mathrm{O}(\lg n)$ time.


## OS - finding the rank

- Finding the rank (ith OS) OS-rank(T,x)

$$
\begin{aligned}
& r=x . l e f t . s i z e+1 \\
& y=x \\
& \text { While ( } y \text { ! }=\text { T.root }) \\
& \text { If ( } y==\text { y.p.right }) \\
& \quad \begin{array}{r}
r=r \\
\text { y y.p.left.size }+1
\end{array} \\
& \quad y=\text { y.p }
\end{aligned}
$$

return $r$

## Assignment 3

- Step 1: Implement the LLRB
- Left/right rotate, color flip, insert
- Step 2: Augment the LLRB with dynamic order statistics.
- size for each node
- Modifications to insert and rotation
- OS-rank implementation
- Step 3: Solve the problem
- name for each node


## Assignment 3 - tips

- Reading in a number and name

$$
\begin{aligned}
& \text { int key; string name; } \\
& \text { cin >> key >> skipws >> name; }
\end{aligned}
$$

- Finding the correct rank
- High scores should have highest rank
- Use textbook OS-rank
- Return ( $n+1$ ) - OS-rank
- Or reverse the tree on insertion


## Thank you

## Questions?

