

## CIS 313 Intermediate Data Structures

### Sample Proof of Correctness by Loop Invariant

We wish to prove the correctness of the following piece of code, which converts an integer  $n$  to its representation in binary  $b$ :

```
input: integer n>=0
output: integer k, array b of k bits

convert_to_binary(n)  {

-- initialization
int k=0
int t=n
array b = [] of bit

--loop
while t>0 do
    b[k] = (t mod 2)
    k = k+1
    t = t div 2

--end
return b, k
}
```

An invariant  $\alpha$  for a general loop `<init> while  $\gamma$  do  $\mathcal{L}$`  must satisfy the following three properties:

- (i) (*initialization*)  $\alpha$  is true after the initialization phase `<init>`.
- (ii) (*maintenance*) Suppose  $\gamma$  is true so that the loop can be entered. If  $\alpha$  is true, then after one execution of the body of the loop  $\mathcal{L}$ , the invariant  $\alpha$  will still be true.
- (iii) (*termination*) Eventually  $\neg\gamma$  will occur, so the loop will halt. The desired outcome is  $\neg\gamma \wedge \alpha$ .

For `convert_to_binary`, the loop condition is clearly  $\gamma = "t > 0"$  and the loop invariant  $\alpha$  we will use is

- $t \geq 0$ , and
- Let  $m = \sum_{i=0}^{k-1} b[i] \cdot 2^i$  be the number represented by  $b$ . Then  $n = 2^k \cdot t + m$ .

Let's work through the three steps of the process of using a loop invariant.

- (i) (*initialization*) Initially,  $t = n \geq 0$ , so part 1 of  $\alpha$  holds. Also,  $k = 0$  and  $b = []$ , so  $m = 0$ . Part 2 of  $\alpha$  holds now since  $2^k \cdot t + m = 2^0 \cdot n + 0 = n$ .
- (ii) (*maintenance*) Suppose that both  $\gamma$  and  $\alpha$  are true. Then  $t > 0$  and  $n = 2^k \cdot t + m$  (where  $m$  is defined as above). The new values of  $t, k, m$  we will call  $t', k', m'$ , and clearly  $k' = k + 1$ . Also,  $t' = \lfloor t/2 \rfloor$ .

We need to show that  $\alpha$  holds for these new values  $t', k', m'$ . Part 1 of  $\alpha$  is easy:  $t > 0$  so  $t' = \lfloor t/2 \rfloor \geq 0$ . For part 2, it remains to show that  $n = 2^{k'} \cdot t' + m'$ . There are two cases, depending on whether  $t$  is even or odd.

(*t is even*) Here  $b[k] = 0$ ,  $m' = m$ , and  $t' = \frac{t}{2}$ . Now

$$2^{k'} \cdot t' + m' = 2^{k+1} \cdot \frac{t}{2} + m = 2^k \cdot t + m = n$$

(the last step follows by hypothesis) and part 2 is true.

(*t is odd*) In this case  $b[k] = 1$ ,  $m' = 2^k + m$ , and  $t' = \frac{t-1}{2}$ . Substituting as above,

$$2^{k'} \cdot t' + m' = 2^{k+1} \cdot \frac{t-1}{2} + (2^k + m) = 2^k \cdot t - 2^k + (2^k + m) = 2^k \cdot t + m = n$$

and again part 2 of  $\alpha$  holds.

- (iii) (*termination*)

The loop terminates, since  $t > \lfloor t/2 \rfloor$ .

At termination, both  $\alpha$  and  $\neg\gamma$  are true. Part 1 of  $\alpha$  tells us that  $t \geq 0$  while  $\neg\gamma$  says that  $t \leq 0$ . From these we get  $t = 0$ .

Now let's look at part 2 of  $\alpha$  at termination. Remember that  $m = \sum_{i=0}^{k-1} b[i] \cdot 2^i$  is the number represented by the bits stored in the array  $b$ . Part 2 says that  $n = 2^k \cdot t + m$ . But we know that  $t = 0$ , so  $n = m$ . That is what we wanted to prove, namely that  $b$  stores the binary representation of the number  $n$ .