Specifying and Checking Stateful Software Interfaces (Lecture 2)

> Manuel Fähndrich maf@microsoft.com Microsoft Research

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Lecture 1 recap

- Goal: Specify and check stateful interfaces
- Techniques
 - Linear type systems
 - Type system based on capabilities (permissions)
- Modeling
 - allocation/deallocation
 - type state protocols
 - locking

Lecture 2

- Frame axiom
- Type-states using capabilities
- Vault: W2K driver case study
- Recursive data structures
- Unifying non-linear data structures and linear data

Lambda abstraction

$\tau ::= \ldots \mid \forall [\Delta].(C; \sigma) \to (\sigma; C)$

• We can abstract allocation sequence init : $\forall [\rho].(\{\rho \mapsto \langle s(0), s(0) \rangle\}, pt(\rho)) \rightarrow (unit, \{\rho \mapsto t\})$ pre-heap post-heap

Recall examples

- Function taking a list argument (but not consuming it!)
 length : ∀[ρ].(C_{L ist}⟨ρ⟩, pt(ρ)) → (int, C_{L ist}⟨ρ⟩)
- Function freeing entire list freeAll : $\forall [\rho].(C_{\text{List}}\langle \rho \rangle, pt(\rho)) \rightarrow (unit, \cdot)$
- Application rule

$$\begin{array}{c} A; C_{1} \vdash e_{1} : (C_{3}, \sigma_{1}) \to (\sigma_{2}, C_{4}); C_{2} \\ A; C_{2} \vdash e_{2} : \sigma_{1}; C_{3} \\ \hline A, C_{1} \vdash e_{1} \ e_{2} : \sigma_{2}; C_{4} \end{array}$$
[app]

The frame rule

$$C_{1} = C_{r} \otimes C_{2}$$

$$\frac{A; C_{2} \vdash e : \sigma; C_{3}}{A, C_{1} \vdash e : \sigma; C_{r} \otimes C_{3}} [frame]$$

Example

 $\textbf{x}: pt(\rho_{\textbf{x}}), \ \textbf{y}: pt(\rho_{\textbf{y}})$

freeAll(y);
int z = length(x);
freeAll(x);

Modifications?

$$\begin{array}{c|c} C_{List}h\rho_{x}i & C_{List}h\rho_{y}i \\ \hline \\ \hline \\ FreeAll(y); \\ \hline \\ C_{List}h\rho_{x}i \\ - \end{array}$$

Specification tasks

- Allocation/Deallocation
- Memory initialization >>
- Locks >>
- Events
- Type states
- Object states
- Regions
- Reference counting
- Sharing
- Channels
- Deadlock freedom

Let's look again at type-states.

Type-states with capabilities



openR : $\forall [\rho].(\{\rho \mapsto \mathsf{AFile}\}, \mathsf{pt}(\rho)) \rightarrow (\mathsf{unit}, \{\rho \mapsto \mathsf{RFile}\}))$ openW : $\forall [\rho].(\{\rho \mapsto \mathsf{AFile}\}, \mathsf{pt}(\rho)) \rightarrow (\mathsf{unit}, \{\rho \mapsto \mathsf{WFile}\}))$ promote : $\forall [\rho].(\{\rho \mapsto \mathsf{RFile}\}, \mathsf{pt}(\rho)) \rightarrow (\mathsf{unit}, \{\rho \mapsto \mathsf{VFile}\})))$ close : $\forall [\rho].(\{\rho \mapsto \mathsf{RFile} \cup \mathsf{WFile}\}, \mathsf{pt}(\rho)) \rightarrow (\mathsf{unit}, \{\rho \mapsto \mathsf{CFile}\})))$ free : $\forall [\rho].(\{\rho \mapsto \mathsf{CFile}\}, \mathsf{pt}(\rho)) \rightarrow (\mathsf{unit}, \cdot))$

Observation about type states

- A type state is just a type!
- Type = Predicate over values and heap fragments
- A physical block of memory can have different types, thus different states/properties at different times.

Heavy notation?

- Vault programming language
 - Try to make capabilities available to programmers
 - Type-states as family of some base type File@A, File@R, File@W, File@C

void openR(tracked(ρ) File file) [ρ @A ! R]; openR : $\forall [\rho].(\{\rho \mapsto AFile\}, pt(\rho)) \rightarrow (unit, \{\rho \mapsto RFile\})$

void closeR(tracked(ρ) File file) [-- ρ @A]; closeR : $\forall [\rho].(\{\rho \mapsto \mathsf{RFile}\}, \mathsf{pt}(\rho)) \rightarrow (\mathsf{unit}, \{\rho \mapsto \mathsf{CFile}\})$

Case Study: Windows Drivers

- Driver handles requests from the kernel
 - e.g. start, read, write, shutdown, ...
 - driver exports a function for each request type
 - lifetime of request ≠ lifetime of function call
- Request is encapsulated in a data structure
 - I/O Request Packet (IRP)
 - Driver handles request by side-effecting IRP
 - IRP ownership and lifetime are important

Request often lives across calls



Drivers form a stack

- Kernel sends IRP to top driver in stack
- Driver may...
 - handle IRP itself
 - pass IRP down
 - pass new IRP(s) down



loCompl	leteRequest
---------	-------------

IoCompleteRequest indicates the caller has completed all processing for a given I/O request and is returning the given IRP to the I/O Manager.

Parameters

"**IoCompleteRequest** indicates the caller has completed all processing for a given I/O request and is returning the given IRP to the I/O Manager."

stant by which to increment the runtime priority on. This value is IO_NO_INCREMENT if the could complete quickly (so the requesting on I/O) or if the IRP is completed with an error. device-type-specific. See *ntddk.h* or *wdm.h* for

these constants.

Comments

When a driver has finished all processing for a given IRP, it calls **IoCompleteRequest**. The I/O Manager checks the IRP to determine whether any higher-level drivers have set up an IoCompletion routine for the IRP. If so, each IoCompletion routine is called, in turn, until every layered driver in the chain has completed the IRP.

When all drivers have completed a given IRP, the I/O Manger returns status to the original

IoCompleteRequest

VOID

IoCompleteRequest(

IN PIRP Irp,

IN CCHAR PriorityBoost);

IoCompleteRequest indicates the caller has completed all processing for a given I/O request and is returning the given IRP to the I/O Manager.

Parameters

void loCompleteRequest(tracked(I) IRP Irp, CHAR Boost) [-I];

these constants.

Comments

When a driver has finished all processing for a given IRP, it calls **IoCompleteRequest**. The I/O Manager checks the IRP to determine whether any higher-level drivers have set up an IoCompletion routine for the IRP. If so, each IoCompletion routine is called, in turn, until every layered driver in the chain has completed the IRP.

When all drivers have completed a given IRP, the I/O Manger returns status to the original

IoCallDriver

NTSTATUS

IoCallDriver(

IN PDEVICE_OBJECT DeviceObject,

IN OUT PIRP Irp);

IoCallDriver sends an IRP to the next-lower-level driver after the caller has set up the I/O stack location in the IRP for that driver.

Parameters

"An IRP passed in a call to **loCallDriver** becomes inaccessible to the higher-level driver, ..."

Yice object, representing the target device

IoCallDriver returns the NTSTATUS value that a lower driver set in the I/O status block for the given request or STATUS_PENDING if the request was queued for additional processing.

Comments

IoCallDriver assigns the *DeviceObject* input parameter to the device object field of the IRP stack location for the next lower driver.

An IRP passed in a call to **IoCallDriver** becomes inaccessible to the higher-level driver, unless the higher-level driver has set up its IoCompletion routine for the IRP with **IoSetCompletionRoutine**. If it does, the IRP input to the driver-supplied IoCompletion routine has its I/O status block set by the lower driver(s) and all lower-level driver(s)' I/O stack locations filled with zeros.

Drivers must not use **IoCallDriver** to pass power IRPs (IRP_MJ_POWER). Use **PoCallDriver** instead.

Callers of IoCallDriver must be running at IRQL <= DISPATCH_LEVEL.

IoCallDriver

NTSTATUS

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ng

Parameters

void loCallDriver(DEVICE_OBJECT Dev, tracked(I) IRP Irp) [-I];

	the given request or STATUS_PENDING if the request was queued for additional processing.
	Comments
	IoCallDriver assigns the <i>DeviceObject</i> input parameter to the device object field of the IRP stack location for the next lower driver.
	An IRP passed in a call to IoCallDriver becomes inaccessible to the higher-level driver, unless the higher-level driver has set up its IoCompletion routine for the IRP with IoSetCompletionRoutine . If it does, the IRP input to the driver-supplied IoCompletion routine has its I/O status block set by the lower driver(s) and all lower-level driver(s)' I/O stack locations filled with zeros.
	Drivers must not use IoCallDriver to pass power IRPs (IRP_MJ_POWER). Use PoCallDriver instead.
Stateful Software Interfaces	Callers of IoCallDriver must be running at IRQL <= DISPATCH_LEVEL.
	See Also

Example: Driver request

NTSTATUS Read(DEVICE_OBJECT Dev, tracked(I) IRP Irp) [-I] {

if (GetRequestLength(Irp) == 0) {

NTSTATUS status = `STATUS_SUCCESS(`TransferBytes(0));

IoCompleteRequest(Irp, status);

return status;

} else

return loCallDriver(NextDriver,Irp);

Example: Driver request

NTSTATUS Read(DEVICE_OBJECT Dev, tracked(I) IRP Irp) [-I] {	{ }
if (GetRequestLength(Irp) == 0) {	{ }
NTSTATUS status = `STATUS_SUCCESS(`TransferBytes(0));	{ }
loCompleteRequest(Irp, status);	{}
return status;	{}
} else	{ }
return loCallDriver(NextDriver,Irp);	{}

}

IRP completion routines

Getting IRP ownership back

- driver A hands IRP to B and wants it back after B is done
- driver A sets "completion routine" on IRP

void loSetCompletionRoutine(tracked(K) IRP Irp, COMPLETION_ROUTINE<K> Fun) [K];

type COMPLETION_ROUTINE<key K> =
 tracked COMPLETION_RESULT<K>(DEVICE_OBJECT Dev,
 tracked(K) IRP Irp) [-K];

Events

type KEVENT<key R>;

KEVENT<E> KelnitializeEvent<type T> (tracked(E) T Obj) [E];

NTSTATUS KeSignalEvent(KEVENT<E> Event) [-E];

NTSTATUS KeWaitForEvent(KEVENT<E> Event) [+E];



Completion routine example

NTSTATUS PlugPlay(DEVICE_OBJECT Dev, tracked(R) IRP lrp) [-R] { KEVENT <r> DoneEvent = KelnitializeEvent(lrp);</r>	{R} {R}
tracked COMPLETION_RESULT <i></i>	
CompletePnP(DEVICE_OBJECT Dev, tracked(I) IRP Irp) [-I] {	{I=R}
KeSignalEvent(DoneEvent);	{}
return `MoreProcessingRequired;	{}
}	
	{R}
loSetCompletionRoutine(Irp, CompletePnP <r>);</r>	{R}
CALL_RESULT <r> result = loCallDriver(lowerDriver, lrp);</r>	{}
KeWaitForEvent(DoneEvent);	{R}

. . .

Specification tasks

- Allocation/Deallocation >
 Non-tree data structures?
- Memory initialization
- Locks >>
- Events >>
- Type states
- Object states
- Regions
- Reference counting
- Sharing
- Channels
- Deadlock freedom

Non-tree data structures?



 $\{i \mapsto \langle \mathsf{pt}(k), \mathsf{pt}(j) \rangle\} \otimes \{j \mapsto \langle s(5), \mathsf{pt}(k) \rangle\} \otimes \{k \mapsto \langle s(7), \mathsf{pt}(i) \rangle\} \otimes$

- arbitrary finite graphs and
- a form of regular recursive graphs via existential abstraction over pointer names and heap fragments

Recursive data structures

- Consider a linear list
 List² = Nil j Cons of int * List²
 "Each Cons cell owns the rest of the list"
- Using capabilities:
 - Use pt(0) for Nil
 - Package a heap fragment with non-zero pointer
 - Abstract over the pointer value

List² , 9[ρ j C_{List}h ρ i].pt(ρ)

$$C_{List}h\rho i = (\rho=0) \ \ \{\rho \mapsto ListH\}$$

ListH = 9[ρ j C_{List}h ρ i].h int, pt(ρ) i









 $\{\rho_1 \mapsto \exists [\rho_2 \mid \mathsf{CList}\langle \rho_2 \rangle]. \langle \mathsf{int}, \mathsf{pt}(\rho_2) \rangle \}$







Packing and Unpacking

$$\frac{A; C_1 \vdash e : \exists [\Delta \mid C] . \sigma; C_2}{A; C_1 \vdash \mathsf{unpack} e : \sigma; C \otimes C_2} [\mathsf{unpack}]$$

$$\begin{array}{c} A; C_1 \vdash e : S(\sigma); \ S(C) \otimes C_2 \\ S = [\Delta'/\Delta] \\ \hline A; C_1 \vdash \mathsf{pack}[\Delta \mid C].e : \exists [\Delta \mid C].\sigma; C_2 \end{array} [\mathsf{pack}] \end{array}$$

Summary of Capability Type Systems

- Capabilities are single-threaded in type system (heap is single-threaded in dynamic semantics)
 - Linear treatment of capabilities
- Splitting and joining of heap fragments
- Relaxed single pointer requirement
 - Single heap fragment invariant
- Natural imperative programming style
 - Can use pointers as often as we like
 - as long as we can prove suitable capability is present
 - Explicit treatment of dangling pointers

Programming languages

- Based on capabilities or similar concepts
 - Vault resource management and type states
 - Fugue object type states
 - Sing# resource management and channels
 - Cyclonesafe C replacement with regions
 - Clay
 low-level memory management (GC)
 - ATS *low-level memory management*
 - ...

PL Characteristics

- Dichotomy between precisely tracked data and non-linear data (exception Clay)
- Surface specification language vs. internal specification language
 - Has to be concise, otherwise it's a calculus
 - Difficult to find good trade-off between expressiveness and conciseness
- How much is inferred, how much is explicit?
 - Coercions, Instantiations, Proof terms

Arbitrary data structures?

- Arbitrary graphs are difficult to express, but not impossible
 - O'Hearn et.al. have done specifications and hand proofs of complicated graph algorithms
 - graph copying and freeing
 - But automated systems with such expressive power are still under development
 - Clay (Hawblitzel et. al) and ATS (Xi et.al.) come close.
 - Different domains require different expressiveness
 - Specifying and checking copying GC
 - Application program dealing with sockets and files

Non-linear data structures

- Mere mortals need way to express data structures with less detailed capability specifications
 - Who owns the observer in the view-observer pattern?
 - Who owns call-back closures on GUI elements?
 - Where is the permission?
 - How is it threaded to place of use?
- Require some way to abstract over individual permissions
- Necessary evil

Specifications

- Allocation/Deallocation >>
- Memory initialization
- Locks >>
- Events >>
- Type states
- Object states
- Regions
- Reference counting
- Sharing
- Channels
- Deadlock freedom

- Use \neq Consume >
- Non-tree data structures >>

Regions

- Rather than handling individual capabilities for individual objects, need a mechanism to abstract over the capabilities for a set of objects.
- Well-known abstraction: Regions
 - A region is a named subset of the heap
 - Objects are individually allocated from a region
 - A region is deallocated as a whole
 - Common lifetime for all objects within region
 - ρBT denotes an object of type T in region ρ

Regions

- A region has type $pt(\rho)$, where $\{\rho \mapsto \text{Region}\}$
- An object in a region has type ρBT
- Can define specialized type rules $A; C_0 \vdash e_0 : pt(\rho); C_1$ $A; C_i \vdash e_i : \tau_i; C_{i+1}$ $C_{n+1} = \{\rho \mapsto \text{Region}\} \otimes_{-}$ $\overline{A; C_0 \vdash alloc(e_0)\langle e_1..e_n \rangle : \rho \triangleright \langle \tau_1..\tau_k \rangle; C_{n+1}} \text{[alloc-r]}$

$$\begin{array}{c} A; C_1 \vdash e : \rho \triangleright \langle \tau_1 .. \tau_n \rangle; C_2 \\ C_2 = \{ \rho \mapsto \text{Region} \} \otimes C_3 \\ \hline A; C_1 \vdash e.k : \tau_k; C_2 \end{array} \text{[read-r]}$$

Region example in Vault

<pre>void main() {</pre>	{}
<pre>tracked(R) region reg = Region.create();</pre>	{R}
R:point pt = new(reg) point {x=4, y=2};	{R}
int y;	{R}
if (pt.x > 0) {	{R}
Region.delete(reg);	{}
y = 0;	{}
} else {	{ R }
y = pt.x;	{ R }
Region.delete(reg);	{}
}	<pre>{} post condition!</pre>
}	

Bug 1: Dangling reference

<pre>void main() {</pre>	{}
<pre>tracked(R) region reg = Region.create();</pre>	{R}
R:point pt = new(reg) point {x=4, y=2};	{R}
int y;	{R}
if (pt.x > 0) {	{R}
Region.delete(reg);	{}
y = 0;	{}
} else {	{R}
Region.delete(reg);	{}
y = pt.x;	bug! R ∉ {}
}	{}

}

Bug 2: Memory leak

<pre>void main() {</pre>	{}
<pre>tracked(R) region reg = Region.create();</pre>	{R}
R:point pt = new(reg) point {x=4, y=2};	{R}
int y;	{R}
if (pt.x > 0) {	{R}
y = 0;	{R}
} else {	{R}
y = pt.x;	{R}
}	{R}
}	{R} bug! leaking key R

Discussion of Regions

Different objects in region can have the same type

R:point x; R:point y; ...

- Non-region pointers and pointers into regions have distinct types
 - $pt(\rho)$ with $\{\rho \mapsto T\}$ vs. ρBT
- Decision for what kind of object is used is done at allocation, and fixed throughout
 - Can't do incremental initialization e.g.
- Component restriction of linear types:
 - can't have linear components in region types

Motivating example

Dictionary example:

- map keys to resizable arrays
- sharing of cells suggests cells and contents in a region ρBrefh ρBint[] i
- But, resize can't free old array



Generalizing the region idea

- Goal: Uniform object model
 - Birth and death as linear objects
 - Switch from linear to non-linear and back
 - Switch from non-linear to linear and back
- Any resource can serve as a region (a lifetime delimiter)
- Call such a resource an adopter ρ_a
- For adoptee:
 - use type pt(ρ₁)

D T

- non-linear predicate (*adoption fact*): $\{\rho_a \mapsto T_a\} B \rho_1:T_1$
 - "given cap $\{\rho_a \mapsto T_a\}$, can deduce ρ_1 is a pointer to T_1 "

delegates permissions

Stateful Software Interfaces

Reliable Computing

Adoption (Freezing)

Explicit act to introduce adoption fact

$$\{ \rho_0; \tau_0 \} \ \mathsf{B} \ \rho_1; \tau_1 \ \text{from} \ \{ \rho_1 \mapsto \tau_1 \}$$

$$\begin{array}{l} A; C_i \vdash e_i : \mathsf{pt}(\rho_i); \ C_{i+1} & i = 0, 1 \\ C_2 = \{ \rho_0 \mapsto h_0 \} \otimes \{ \rho_1 \mapsto h_1 \} \otimes C_3 \\ C_4 = \{ \rho_0 \mapsto h_0 \} \otimes C_3 \otimes \{ \rho_0 \mapsto h_0 \} \triangleright \rho_1 : h_1 \\ \hline A; C_0 \vdash \mathsf{adopt} \ e_1 \ \mathsf{by} \ e_0 : \mathsf{pt}(\rho_1); \ C_4 \end{array} [\mathsf{adopt}]$$

- Abbreviation
 - CBT, 9[ρ j C B ρ : T].pt(ρ)
- Linear components in non-linear objects
 - T abitrary

But, cannot access linear T's via non-linear permission
 Stateful Software Interfaces

Adoption graphically

adopt e_1 by e_0



Stateful Software Interfaces

Data lifetime model (types)



Example Adoption



Stateful Software Interfaces

Adoption is related to let!

• Wadler 90:

let! (x) y = e_1 in e_2

linear type of x is non-linear during e_1 .

- Problems:
 - Scoped
 - How to enforce escaping of components of x
 - Unsound with mutability:
 Consider ref<int[]> → ref<int[]>



Example focus

```
void resize(ACellPh\rho_{D}i c) {
  focus c {
    free c.data;
    c.data = new int[];
                                    \{\rho_c \mapsto \text{Cell}\}\ (\ \{\rho_D \mapsto \text{Dict}\}\)
                        \rho_{c}
                                                                         \rho_{\mathsf{D}}
                  С
                       Cell
                                              Dict
                      .1
                               int
```

Unfocus

- $\{\rho_1 \mapsto h_1\}$ ($\{\rho_A \mapsto h_A\}$
- Can be seen as an implication or coercion function
- Explicit implication allows for non-lexical scopes
 - Right to unfocus can be passed up/down to other functions
 - Useful for inferring scopes locally

Unadoption



Generalizations

- Adoption facts: C B ρ:τ
- Abstract over capabilities (symbolic cap G)
- Resize function does not need to know details of adopter
- 8[ρ,G]. (G (G B ρ:Cell), pt(ρ))! (void, G)
- Temporary view of non-adopted pointer as adopted
 - $\{\rho \mapsto h\}$! $\{\rho \mapsto h\}$ $\{\rho \mapsto h\}$ B ρ :h
 - can write functions that work over adopted and nonadopted data!

Generalizations (cont)

Can handle interior pointers

$$\begin{split} \{\rho \mapsto h\} \\ h = h \ T_1, T_2 \ i \\ Want \ pt(\rho_1) \ to \ 1^{st} \ field \ of \ type \ T_1 \\ \{\rho \mapsto h\} \ B\rho_1: T_1 \end{split}$$

Pointers to the stack

Lecture 3

- Permission sharing
- Type states for objects
- Techniques for message based systems



Locking (3)

- Lingering problems
 - Release wrong lock
- τ ::= ... j Lockhρ, σi j RTokenhρi

acquire : $\forall [\rho].(\cdot, Lock\langle \rho, \sigma \rangle) \rightarrow \exists [\rho'](\sigma, \{\rho' \mapsto RToken\langle \rho \rangle\})$ release : $\forall [\rho, \rho'].(\{\rho' \mapsto RToken\langle \rho \rangle\}, Lock\langle \rho, \sigma \rangle, \sigma) \rightarrow (unit, \cdot)$

- Code looks as expected:
 - token not passed explicitly

Packing and unpacking of h

$$\begin{array}{l} A; C_1 \vdash e : \mathsf{pt}(i); \ C_2\\ C_2 = \{i \mapsto S(h)\} \otimes S(C) \otimes C_3\\ S = [\Delta'/\Delta]\\ \hline A; C_1 \vdash \mathsf{pack}[\Delta \mid C].e : \mathsf{pt}(i); \ \{i \mapsto \exists [\Delta \mid C].h\} \otimes C_3\end{array} [\mathsf{pack-h}]\end{array}$$

$$\begin{array}{c} A; C_1 \vdash e : \mathsf{pt}(i); \ C_2\\ C_2 = \{i \mapsto \exists [\Delta \mid C].h\} \otimes C_3\\ \hline A; C_1 \vdash \mathsf{unpack} \ e : \mathsf{pt}(i); \ \{i \mapsto h\} \otimes C \otimes C_3 \end{array} [\mathsf{unpack-h}]\end{array}$$

Stateful Software Interfaces