

**tball@microsoft.com**

- Falcons, Apple ][, 1981
- B.A. Cornell, 1987
- Ph.D. Univ. Wisc., 1993
- AT&T Bell Labs, 1993-96
- Lucent Technologies Bell Labs, 1996-99
- Microsoft Research, 1999-present
- Research interests
  - software reliability
  - programming languages, program analysis, model checking, automated theorem proving

Microsoft

~ 700

worldwide

Computer

Scien

Redmond

Software

Development

Software  
SLAM



Productivity  
Sstatic

Driver

Zap  
Testing

theorem  
, Verification prover

→  
an

Bartok

& Phoenix

backends<sup>3</sup>

# Testing, Verification and Measurement

- Tom Ball
- Madan Musuvathi (Stanford)
- Shuvendu Lahiri (CMU)
- Nachi Nagappan (NCSU)
- Visitors
  - Orna Kupferman (Hebrew Univ.), Mooly Sagiv (Tel-Aviv Univ.), Andrei Voronkov (Univ. Manchester), Andreas Zeller (Univ. Saarland)
  - Domagoj Babic, Sumit Gulwani, Krishna Mehra, Roman Manevich, Carlos Pacheco, Greta Yorsh

# Microsoft Research: University Relations

- Hiring Ph.D.s
- Fellowships
- Summer internships
- New faculty awards
- Research grants in selected areas
- Sabbatical
- Faculty Summit

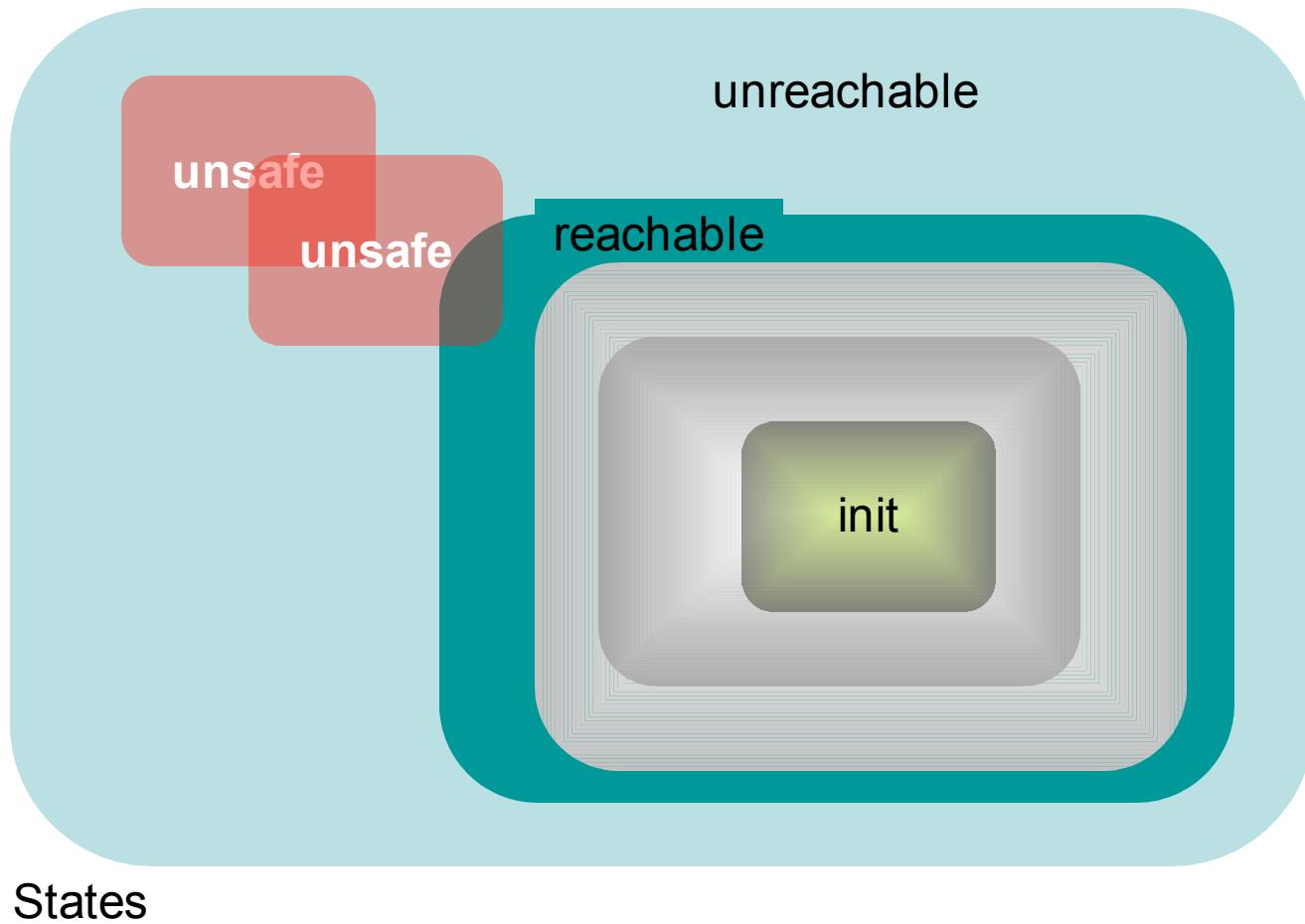
Automatic

Abstract

# Automating Verification of Software

- Remains a “grand challenge” of computer science
- Behavioral abstraction is central to this effort
  - abstractions simplify our view of program behavior
  - proofs over the abstractions carry over to proofs over the program

# Reachability



Aside

Reactability

Assertion

==

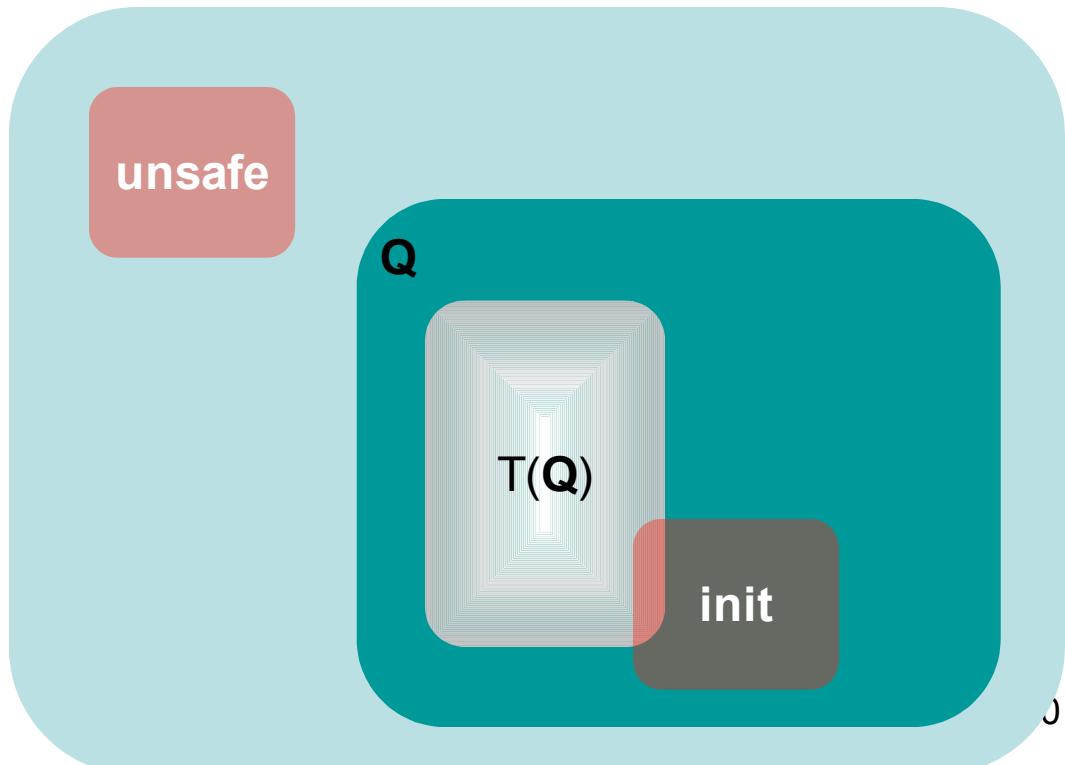
/Invariant

9

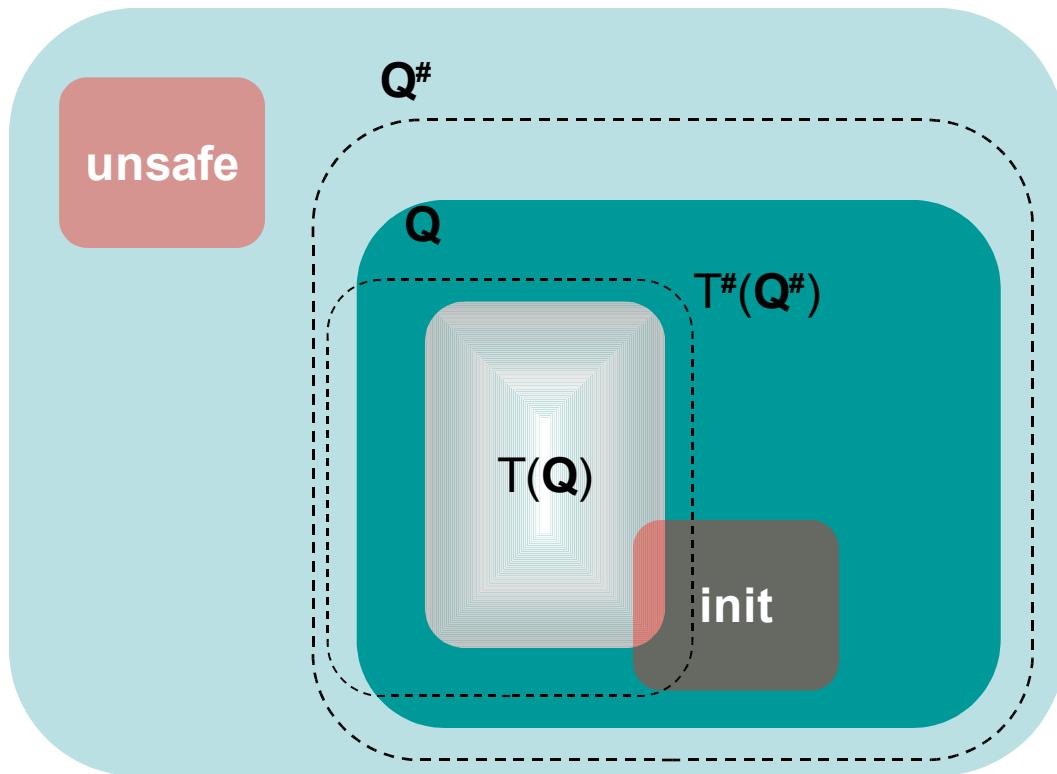
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# Safe Invariants

- $Q$  is a safe invariant if
  - $\text{init} \subseteq Q$
  - $T(Q) \subseteq Q$
  - $Q \subseteq \text{safe}$

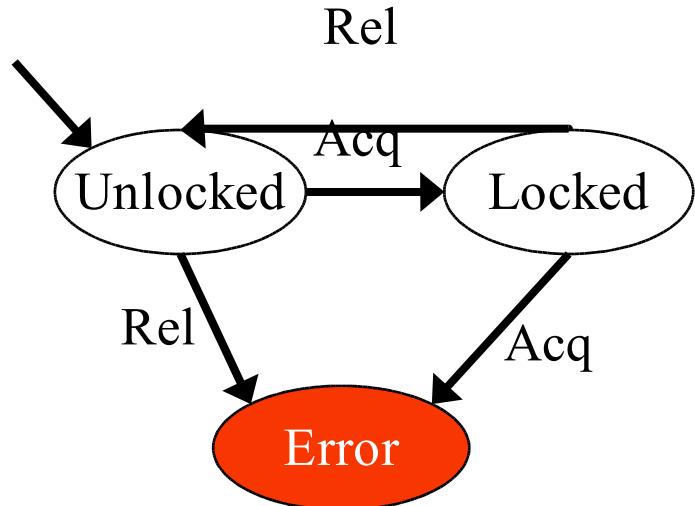


# Abstraction = Overapproximation of Behavior



# More Concretely

```
do  {  
    KeAcquireSpinLock () ;  
  
    nPacketsOld = nPackets;  
  
    if (request) {  
        request = request->Next;  
        KeReleaseSpinLock () ;  
        nPackets++;  
    }  
} while (nPackets != nPacketsOld);  
  
KeReleaseSpinLock () ;
```

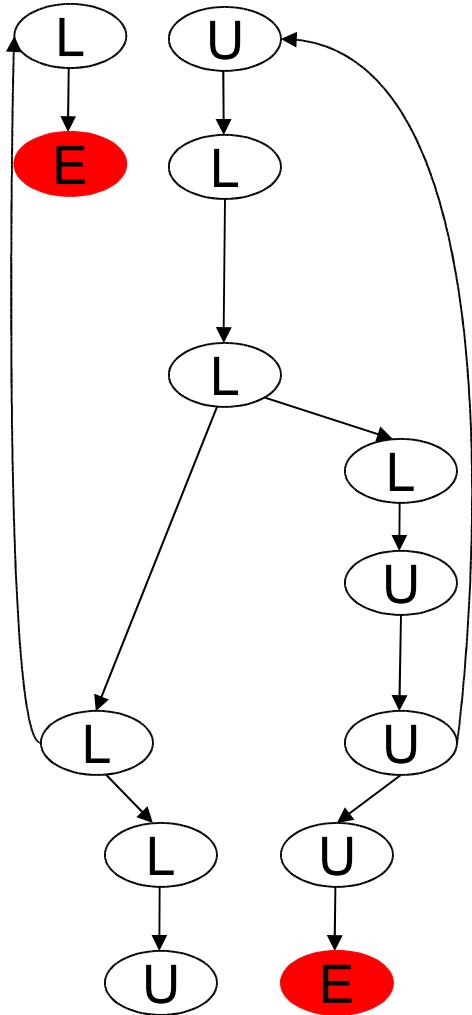


# Abstraction (via Boolean program)

```
do {  
    KeAcquireSpinLock();  
  
    nPacketsOld = nPackets;  
  
    if(request) {  
        request = request->Next;  
        KeReleaseSpinLock();  
        nPackets++;  
    }  
} while(nPackets!=nPacketsOld);  
  
KeReleaseSpinLock();
```

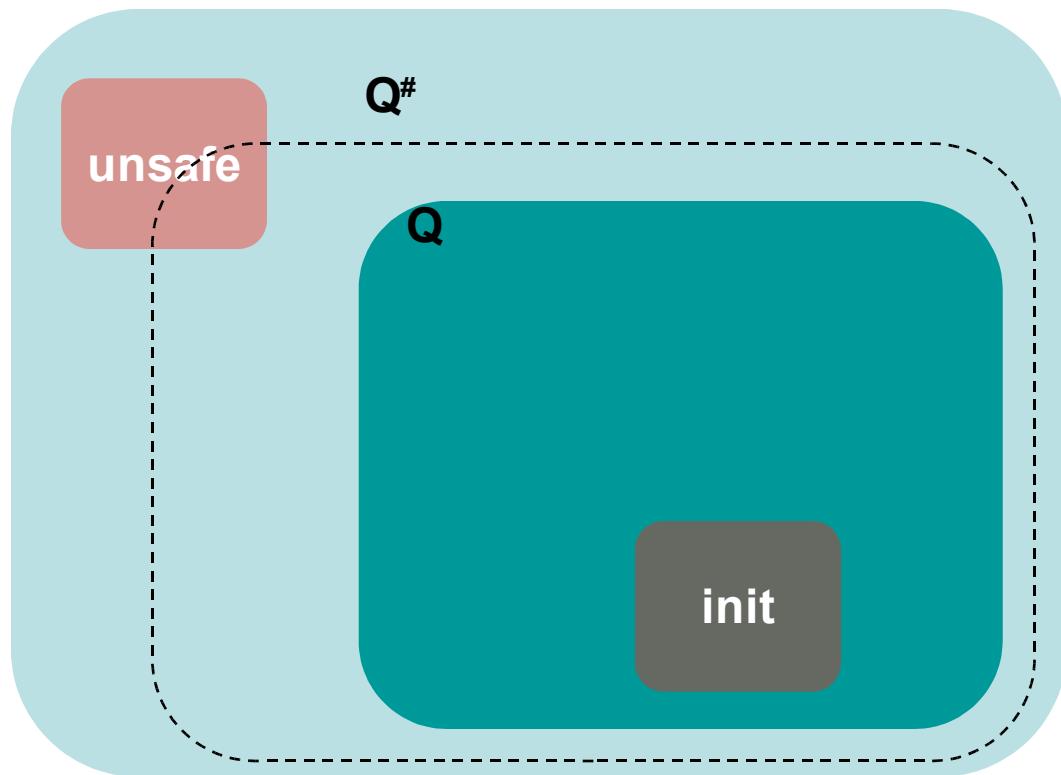
```
s := U;  
do {  
    assert(s=U); s := L;  
  
    if(*) {  
        assert(s=L); s := U;  
    }  
} while (*);  
  
assert(s=L); s := U;
```

# State Space Exploration



```
s := U;  
do {  
    assert(s=U); s := L;  
  
    if (*) {  
        assert(s=L); s := U;  
    }  
} while (*);  
  
assert(s=L); s := U;
```

# Overapproximation Too Large!



# Refined Boolean Abstraction

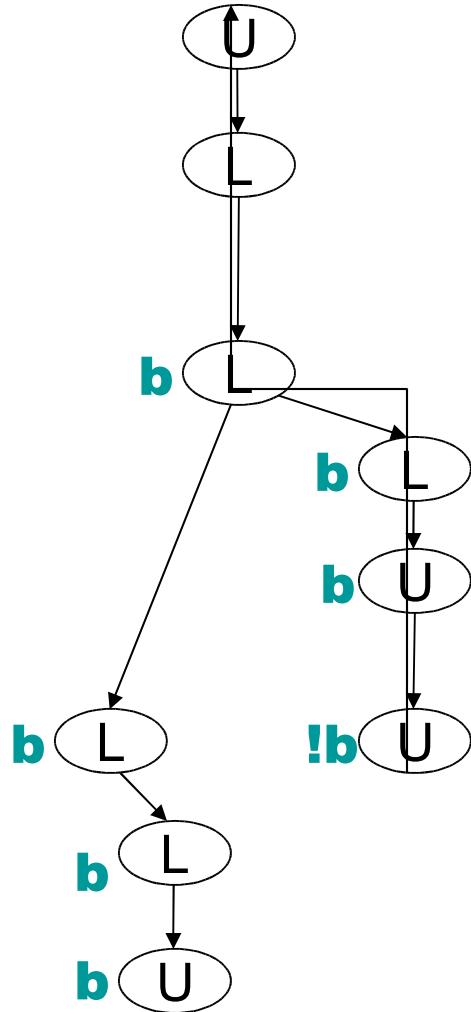
```
do {  
    KeAcquireSpinLock();  
  
    nPacketsOld = nPackets;  
  
    if(request) {  
        request = request->Next;  
        KeReleaseSpinLock();  
        nPackets++;  
    }  
} while(nPackets!=nPacketsOld);  
  
KeReleaseSpinLock();
```

b : (nPacketsOld == nPackets)

```
s := U;  
do {  
    assert(s=U); s:=L;  
  
    b := true;  
  
    if(*) {  
        assert(s=L); s:=U;  
        b := b ? false : *;  
    }  
} while (!b);  
  
assert(s=L); s:=U;
```

# Refined Boolean Abstraction

b : (nPacketsOld == nPackets)



```
s := U;  
do {  
    assert(s=U); s:=L;  
  
    b := true;  
  
    if (*) {  
        assert(s=L); s:=U;  
        b := b ? false : *;  
    }  
} while ( !b );  
assert(s=L); s:=U;
```

# Invariant

"The lock is held  
of the loop if

# Software Verification: A Search for Abstractions

- A complex search space with a fitness function (false errors)
  - search for right abstraction
  - search within state space of abstraction
- Can a machine beat a human at search for the right abstractions?

# Overview

- Part I: Abstract Interpretation
  - [Cousot & Cousot, POPL'77]
  - *Manual abstraction and refinement*
  - ASTRÉE Analyzer
- Part II: Predicate Abstraction
  - [Graf & Saïdi, CAV '97]
  - *Automated abstraction and refinement*
  - SLAM and Static Driver Verifier
- Part III: Comparing Approaches

Concrete

System

Prog =

( C, I, T,

C

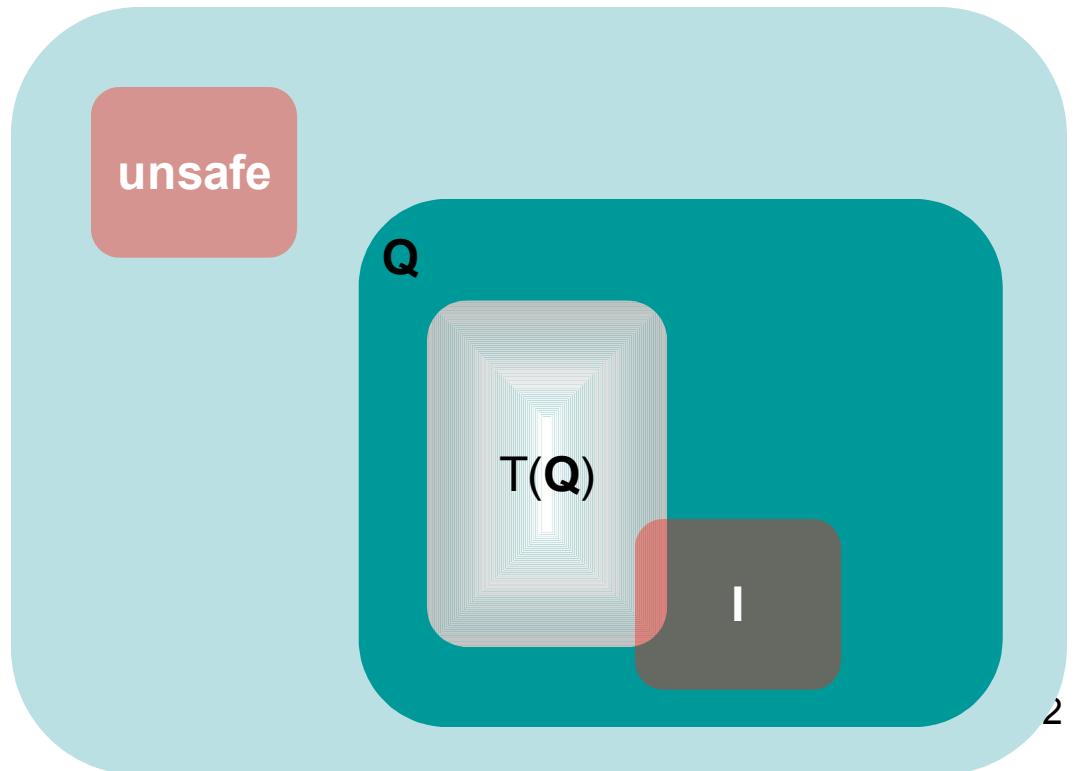
:

infinite

21

# Safe Invariants

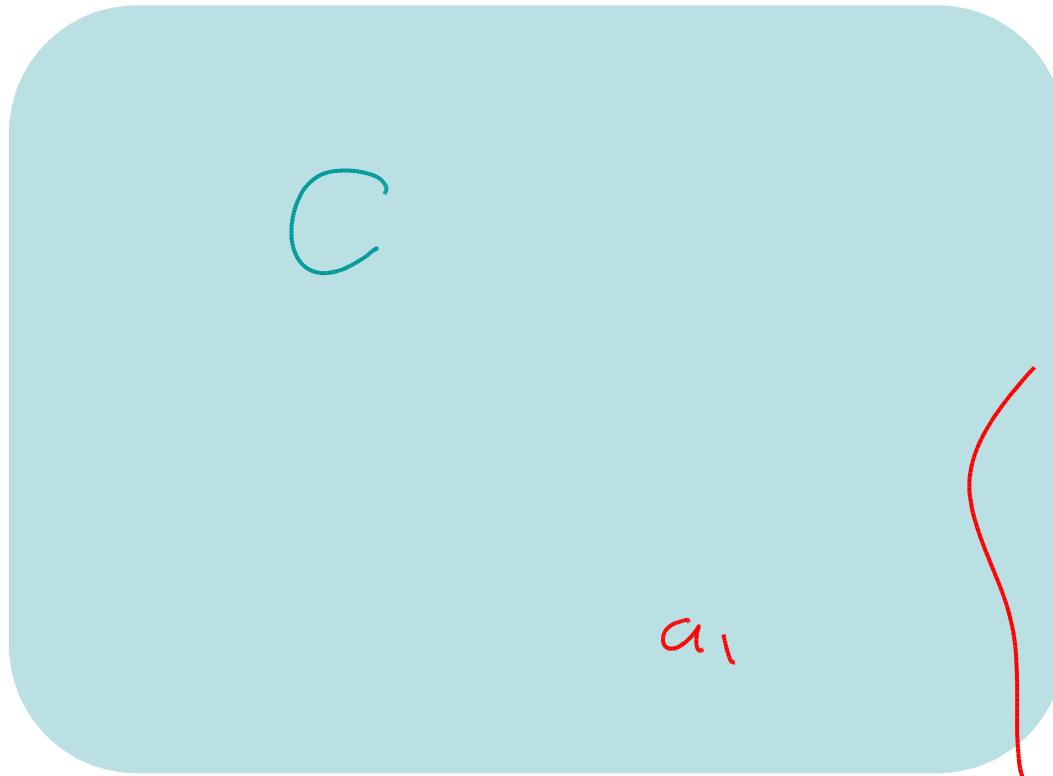
- $Q$  is a safe invariant if
  - $I \subseteq Q$
  - $T(Q) \subseteq Q$
  - $Q \subseteq F$



Coping

with

In



$a_1$

$a_2$



$\alpha$

and

$\gamma$

function

$\alpha$

maps

a

set

of

—

abstract

element

$\alpha :$

$2^C$

$\rightarrow A$

# Abstraction

Sets                  of                  states                  order

# Abstraction

Sets

of

s states

order

$$2^C$$

# Abstraction

Sets

of

s states

order

$$2^C$$

Ordering

in

Abs

A

embedded

with  
in lattice

Ordering in Ab

A embedded

with in lattice

Ordering in Ab

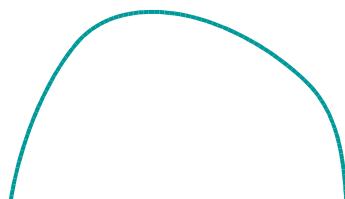
A embedded

with in lattice

Galois

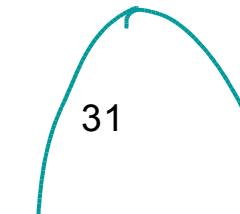
Connection

2<sup>c</sup>



31

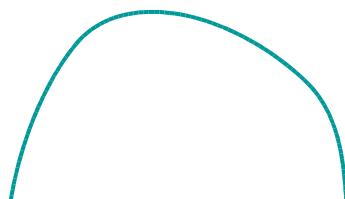
A



# Galois

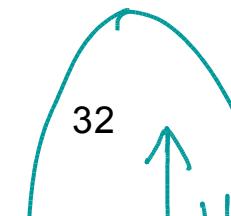
# Connection

$2^C$



32

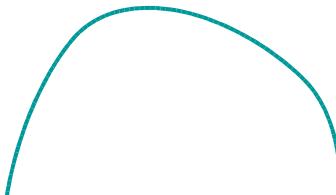
A



# Galois

# Connection

$2^C$



$\gamma_A$

33



Example:

Signs

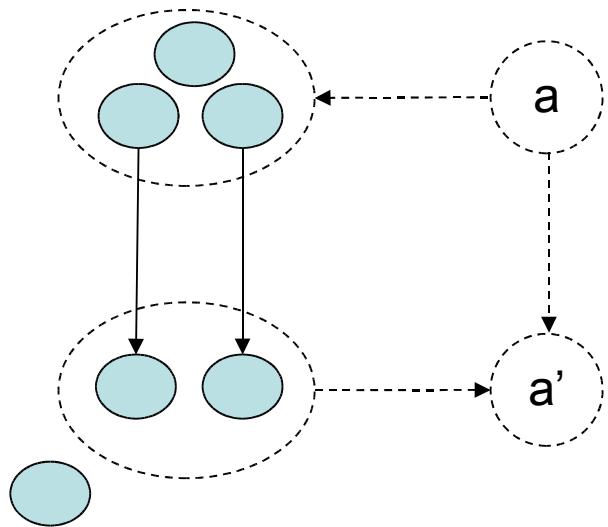
D = 2<sup>int</sup>

Example:

Signs

$$D = 2^{\text{int}}$$

# Abstract Transition Relation



$\gamma$

$s$

$T$

$\alpha$

$s'$

36

Signs

Transition

$x := c;$

Signs

Transition

assume  $(x > 0);$

Signs

Transition

ass      ert      ( $x > 0$ );

Abstract

Fixpoint

$$x := \alpha(I);$$

$$\text{while } x \sqsubseteq \alpha(F)$$

$$x' := x$$

# Example

$\perp$

$\hookrightarrow$

$x :=$

$0 ;$

$\overline{T}$

$\downarrow$

$\text{while}$

$X$

$\leftarrow$

# Example

T

$x := 0 ;$

T

while  $x < 10$

Signs

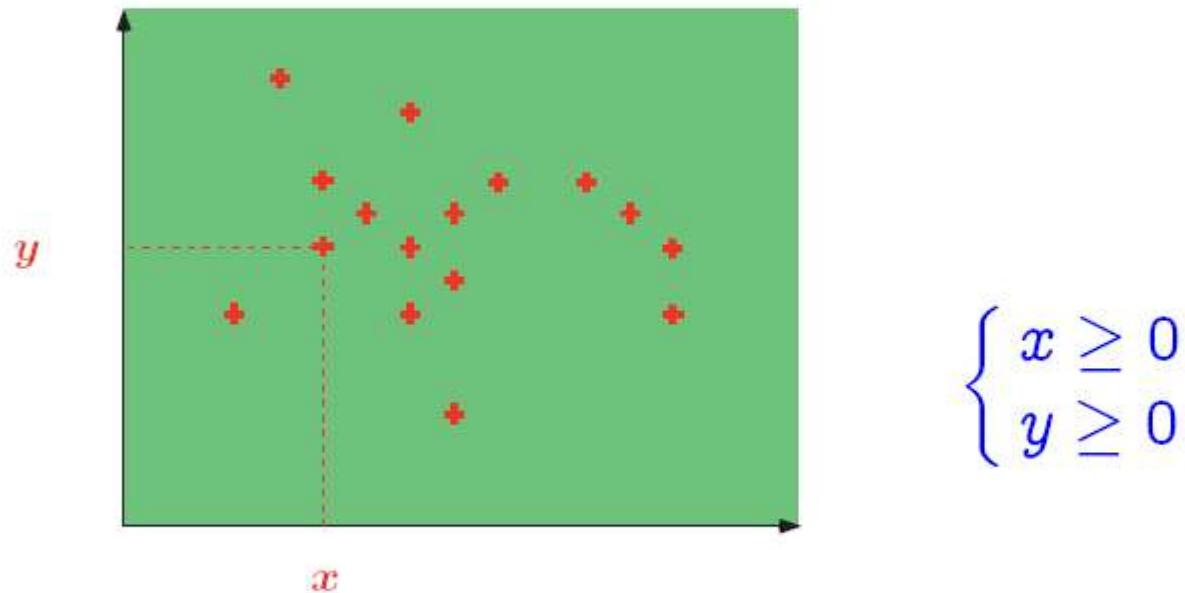
Transition

ass      ert      ( $x \leq 10,000$ );

# Refinement of

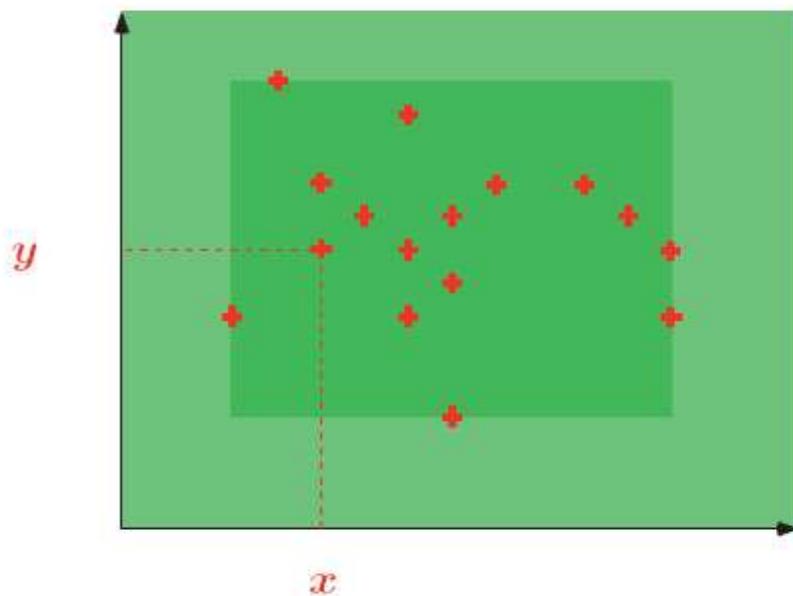
- signs  $a \in$
- intervals  $a^{44} \in$

# Effective computable approximations of an [in]finite set of points; Signs<sup>3</sup>



<sup>3</sup> P. Cousot & R. Cousot. *Systematic design of program analysis frameworks*. ACM POPL'79, pp. 269–282, 1979.

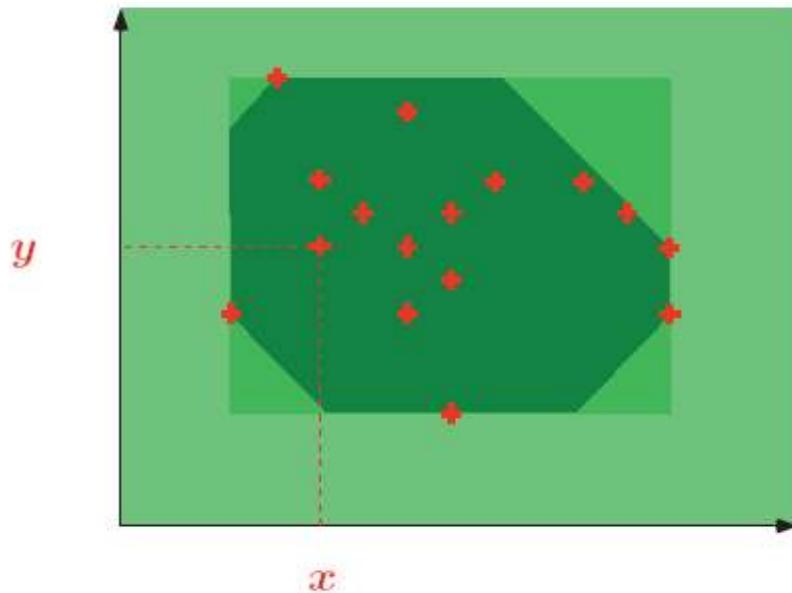
## Effective computable approximations of an [in]finite set of points; Intervals<sup>4</sup>



$$\begin{cases} x \in [19, 77] \\ y \in [20, 03] \end{cases}$$

<sup>4</sup> P. Cousot & R. Cousot. *Static determination of dynamic properties of programs*. Proc. 2<sup>nd</sup> Int. Symp. on Programming, Dunod, 1976.

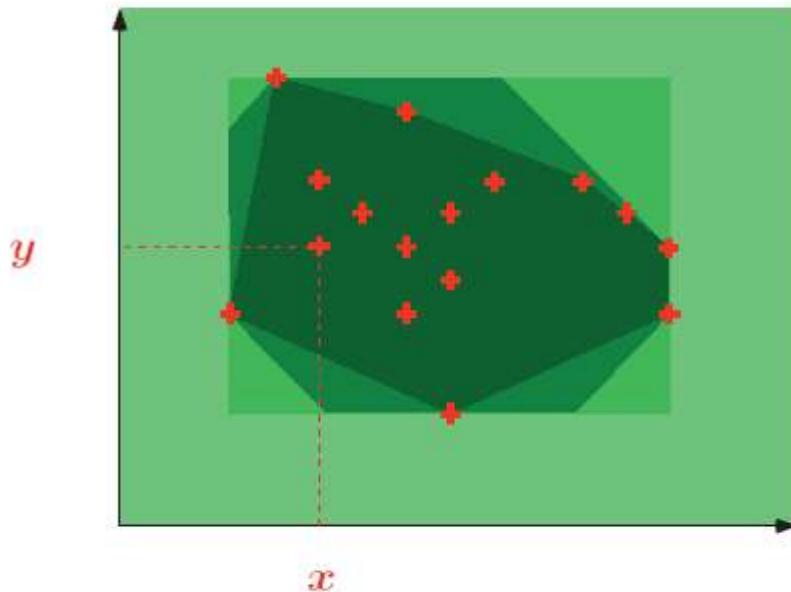
## Effective computable approximations of an [in]finite set of points; Octagons<sup>5</sup>



$$\begin{cases} 1 \leq x \leq 9 \\ x + y \leq 77 \\ 1 \leq y \leq 9 \\ x - y \leq 99 \end{cases}$$

<sup>5</sup> A. Miné. *A New Numerical Abstract Domain Based on Difference-Bound Matrices*. PADO'2001. LNCS 2053, pp. 155–172. Springer 2001. See the *The Octagon Abstract Domain Library* on <http://www.di.ens.fr/~mine/oct/>

# Effective computable approximations of an [in]finite set of points; Polyhedra<sup>6</sup>



$$\begin{cases} 19x + 77y \leq 2004 \\ 20x + 3y \geq 0 \end{cases}$$

<sup>6</sup> P. Cousot & N. Halbwachs. Automatic discovery of linear restraints among variables of a program. ACM POPL, 1978, pp. 84–97.

# Overview

- Part I: Abstract Interpretation
  - [Cousot & Cousot, POPL'77]
  - *Manual abstraction and refinement*
  - ASTRÉE Analyzer
- Part II: Predicate Abstraction
  - [Graf & Saïdi, CAV '97]
  - *Automated abstraction and refinement*
  - SLAM and Static Driver Verifier
- Part III: Comparing Approaches

# Abstract Interpretation, So Far

- Create abstract domain and supporting algorithms
- Relate domains via  $\alpha$  and  $\gamma$  functions
- Prove Galois connection
- Create abstract transformer  $T\#$   
*(for  $E$ ,  $L$ )*
- Show that  $T\#$  approximates  $\alpha \circ T \circ \gamma$
- Refinement to reduce false errors
- Widening to achieve termination

# Example

T

$x := 0 ;$

T

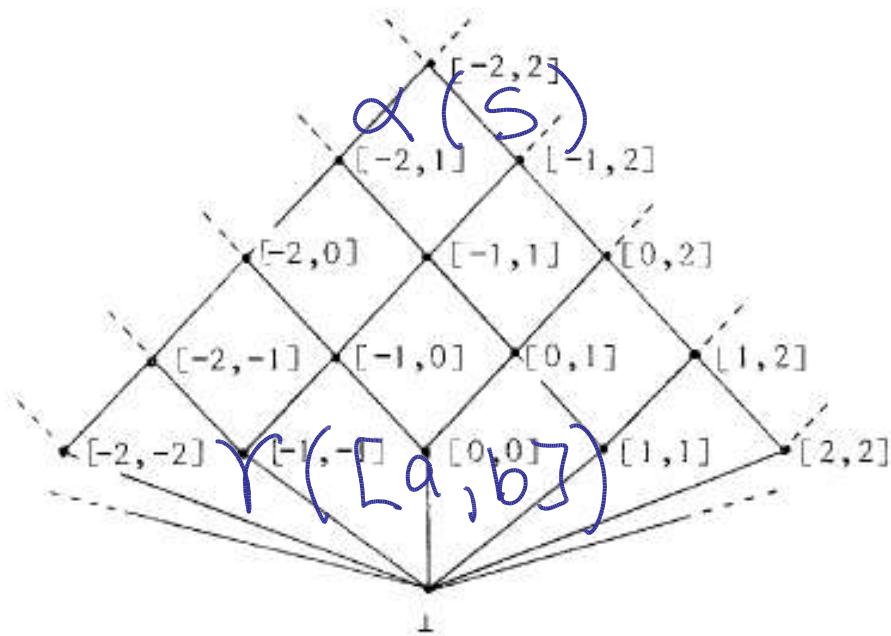
while  $x < 10$

T

Integer

Sets

$\xrightarrow{x}$  Int  
 $\xleftarrow{y}$



=  $[\min(s),$

$\{ \times \}$

Interval

Transition

$x := c_j$

$x := x + 1$

[r]

$x_1$        $x :=$       0 ;  
while       $x < 10,0$

$x_2$  —  
 $x_3$  —  
 $x_4$  —  
 $x_1 = [0,0]$   
 $x := x + 1$

Symbol

lic

Upper

$x :=$

0 ;

$n$

while

$x <$

# Interval

# Widening

o Id

new

$$[ l_0, u_0 ] \nabla [ l_1, u_1 ] =$$

$$[ \text{if } l_1 < l_0 \text{ then } -\infty$$

Abstract

Fixpoint

$$x := \alpha(I);$$

$$\text{while } x \sqsubseteq \alpha(F)$$

$$x' := x$$

$x_1$        $x :=$       0 ;  
while       $x < 10,0$

$x_2$  —  
 $x_3$  —  
 $x_4$  —  
 $x_1 = [0,0]$   
 $x := x + 1$

# ASTRÉE

# Analyzer

Patrick Cousot, Radhia Cousot, Jérôme Feret, Laurent Mauborgne,  
Antoine Miné, David Monniaux, Xavier Rival, Bruno Blanchet

ASTRÉE analyzes structured C programs, without dynamic memory allocation and recursion.

In Nov. 2003, ASTRÉE automatically proved the absence of any run-time error in the primary flight control software of the Airbus A340 fly-by-wire system

a program of 132,000 lines of C analyzed in 1<sup>h</sup>20 on a 2.8 GHz 32-bit PC using 300 Mb of memory



# Abstraction Refinement: PLDI'03 Case Study of Blanchet et al.

- “... the initial design phase is an iterative manual refinement of the analyzer.”
- “Each refinement step starts with a static analysis of the program, which yields false alarms. Then a manual backward inspection of the program starting from sample false alarms leads to the understanding of the origin of the imprecision of the analysis.”
- “There can be two different reasons for the lack of precision:
  - some local invariants are expressible in the current version of the abstract domain but were missed
  - some local invariants are necessary in the correctness proof but are not expressible in the current version of the abstract domain.”

# Part I: Summary

- Create abstract domains and supporting algorithms
- Relate domains via  $\alpha$  and  $\gamma$  functions
- Prove Galois connection
- Create abstract transformer  $T\#$
- Show that  $T\#$  approximates  $\alpha \circ T \circ \gamma$
- Refinement to reduce false errors
- Widening to achieve termination

# Overview

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  - *Automated abstraction and refinement*
  - SLAM and Static Driver Verifier
- Part III: Comparing Approaches

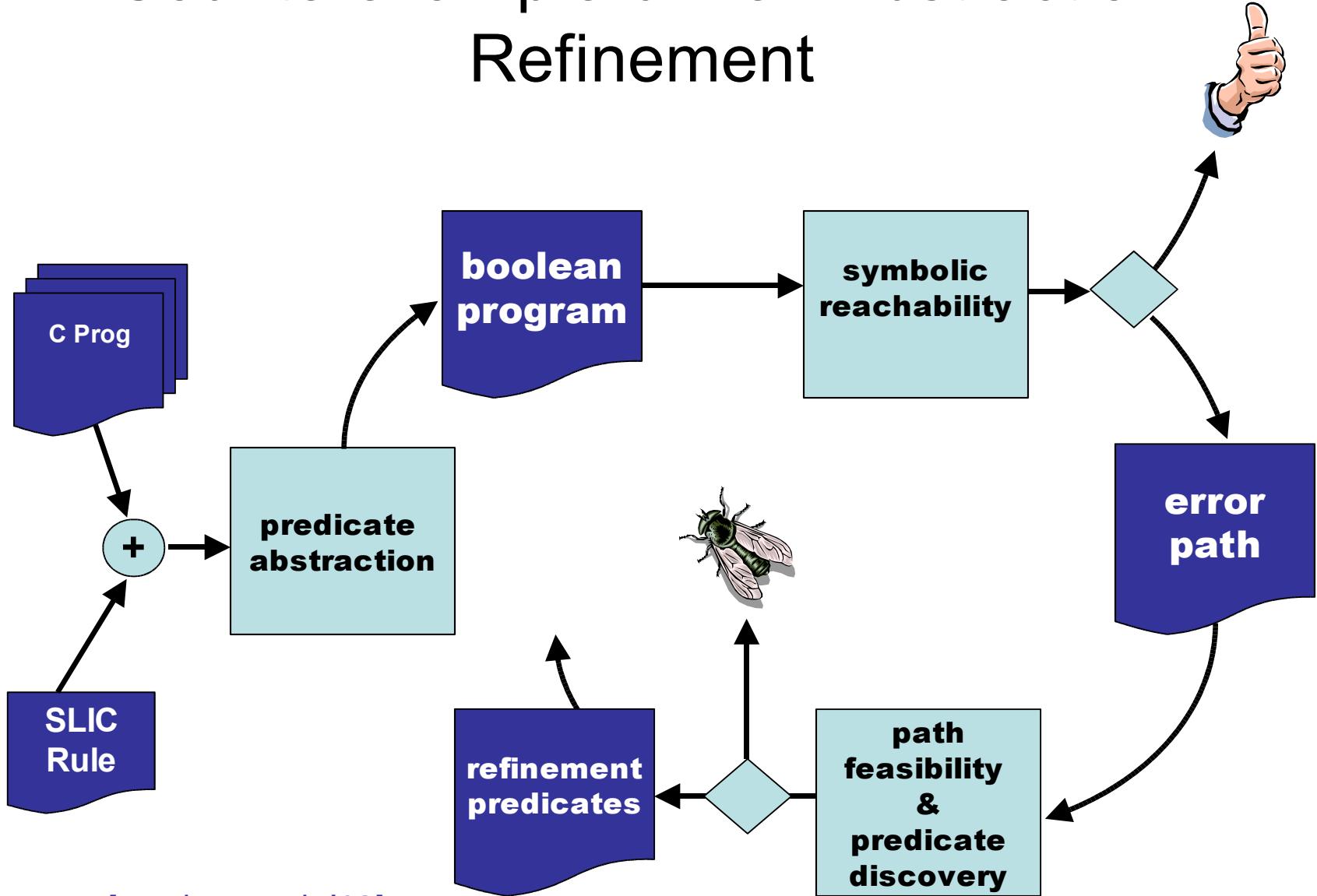
# Boolean Abstraction

b : (nPacketsOld == nPackets)

```
do  {  
    KeAcquireSpinLock () ;  
  
    nPacketsOld = nPackets;  
  
    if (request) {  
        request = request->Next;  
        KeReleaseSpinLock () ;  
        nPackets++;  
    }  
} while (nPackets!=nPacketsOld);  
  
KeReleaseSpinLock () ;
```

```
s :=U;  
do  {  
    assert(s=U) ; s:=L;  
  
    b := true;  
  
    if (*) {  
        assert(s=L) ; s:=U;  
        b := b ? false : *;  
    }  
} while ( !b );  
  
assert(s=L) ; s:=U;
```

# Counterexample-driven Abstraction Refinement



# Part II: Overview

- Predicate Abstraction
- Symbolic Reachability with BDDs
- Predicate Refinement

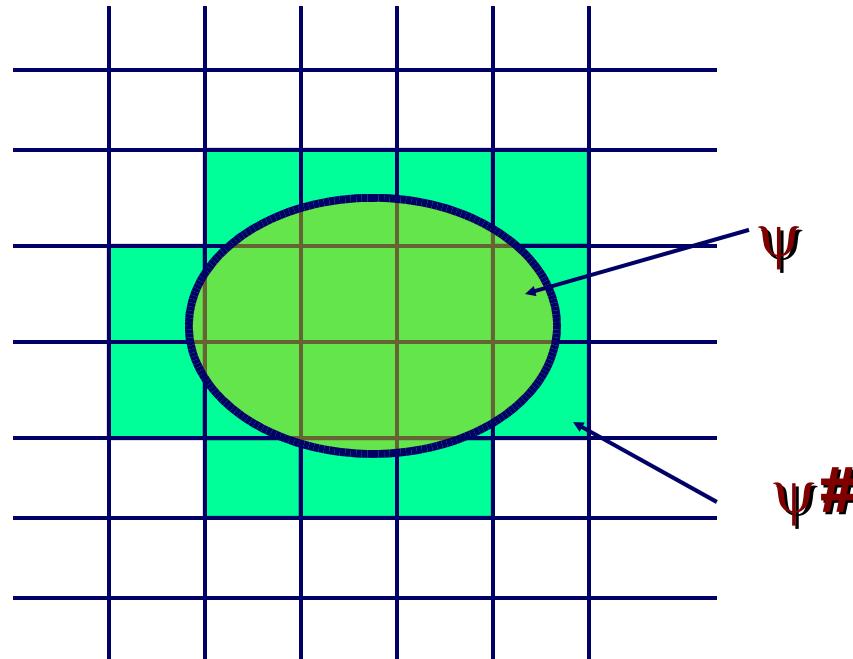
# Predicate Abstraction

- Graf & Saïdi, CAV '97
- Idea
  - Given set of predicates  $P = \{ P_1, \dots, P_k \}$ 
    - Formulas describing properties of system state
- Abstract State Space
  - Set of Boolean variables  $B = \{ b_1, \dots, b_k \}$ 
    - $b_i = \text{true} \Leftrightarrow \text{Set of states where } P_i \text{ holds}$

# Approximating concrete states

## Fundamental Operation

- Approximating a set of concrete states by a set of predicates
- Requires exponential number of theorem prover calls in worst case



## Compute Symbolically

- Main Operation
- $$\exists X. [\psi \wedge (\wedge_i b_i \Leftrightarrow P_i)]$$

Partitioning defined by the predicates

Similar to existential abstraction of finite state machines [Clarke, Grumberg, Long]

# Abstraction $\alpha$ and Concretization $\gamma$ Functions

$\alpha : 2^c \rightarrow A$

# Abstraction $\alpha$ and Concretization $\gamma$ Functions

$\alpha : 2^c \rightarrow A$

$2^c$   
 $\psi \in$

# Abstraction $\alpha$ and Concretization $\gamma$ Functions

$\alpha : 2^c \rightarrow A$

$2^c$   
 $\Downarrow \psi$

Example

$$\Psi = (x = 1 \vee$$

Example

$$\exists x. \quad (x = 1 \vee$$

Example

$$\exists x. \quad (x = 1 \vee$$

Example

$$\exists x. \quad (x = 1 \vee$$

b<sub>1</sub>  $\Leftrightarrow$

Example

$$\exists x. \quad (x = 1 \vee$$

Alternatively

check

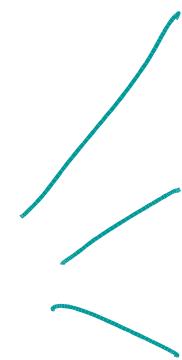
)

of

$\Psi$

against

$x \leq$



( $y=1$ , ...,  $x=6$ )

$\wedge$

76 X

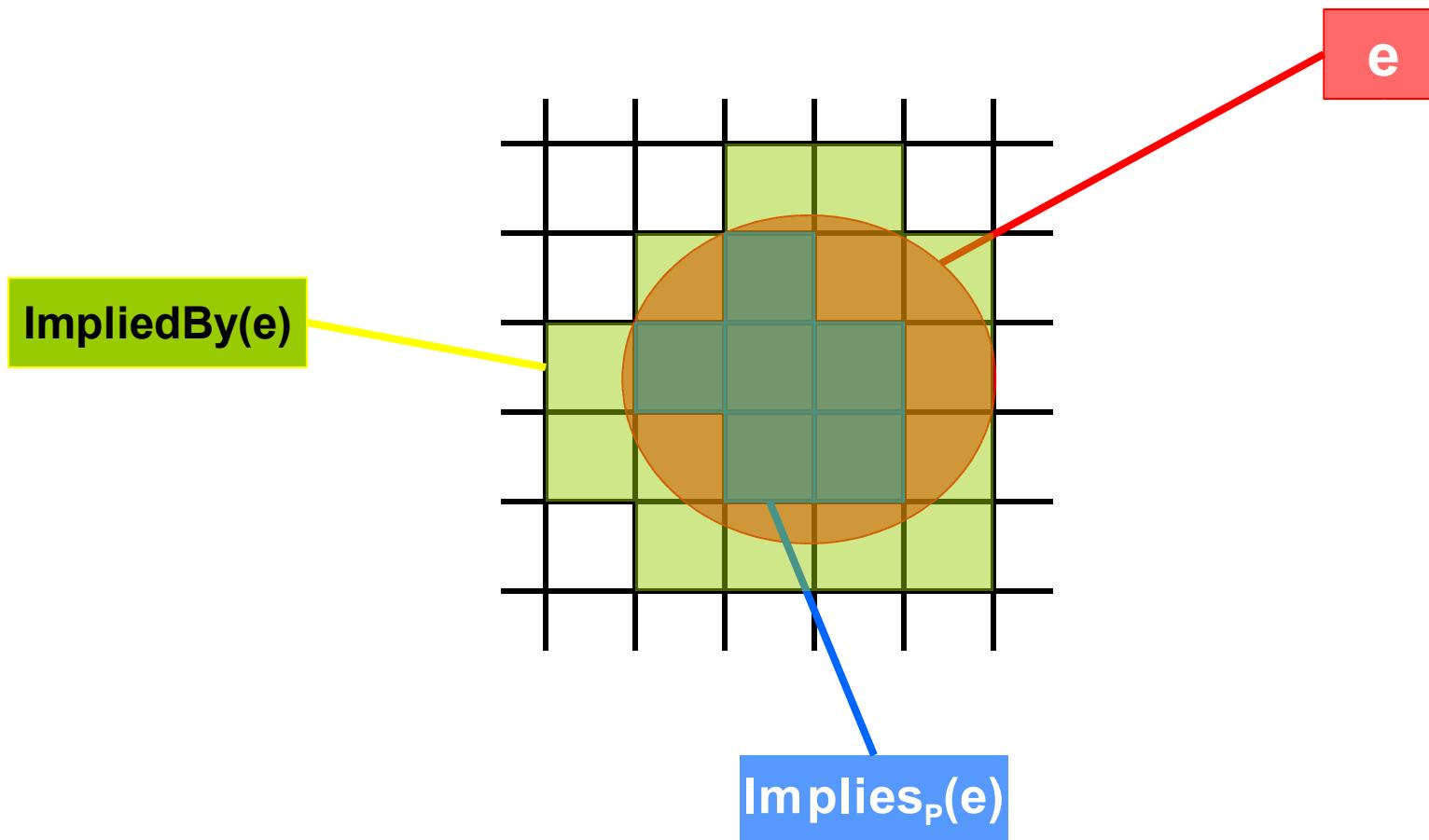
# Abstracting Assigns via WP

- $\text{WP}(x := e, Q) = Q[x \rightarrow e]$
- $\text{WP}(y := y + 1, y < 5) =$   
 $(y < 5) [y \rightarrow y + 1] =$   
 $(y + 1 < 5) =$   
 $(y < 4)$

# WP Problem

- $\text{WP}(s, p_i)$  not always expressible via  $P$
- Example
  - $P = \{ x=0, x=1, x < 5 \}$
  - $\text{WP}( x := x + 1, x < 5 ) = x < 4$

# $\text{Implies}_F(e)$ and $\text{ImpliedBy}_F(e)$



# Abstracting Assignments

- if  $\text{Implies}_P(\text{WP}(s, p_i))$  is true before s then
  - $p_i$  is true after s
- if  $\text{Implies}_P(\text{WP}(s, !p_i))$  is true before s then
  - $p_i$  is false after s

$b_i := \text{Implies}_P(\text{WP}(s, p_i)) \quad ? \quad \text{true} :$   
 $\text{Implies}_F(\text{WP}(s, !p_i)) \quad ? \quad \text{false}$   
: \*;

# Assignment Example

Statement:

$y := y + 1;$

Predicates in P:

$\{x = y\}$

Weakest Precondition:

$WP(y := y + 1, \{x = y\}) = \{x = y + 1\}$

$Implies_F(\{x = y + 1\}) = ?$

$Implies_F(\{x \neq y + 1\}) = ?$

# Assignment Example

Statement:

$y := y + 1;$

Predicates in P:

$\{x = y\}$

Weakest Precondition:

$WP(y := y + 1, \{x = y\}) = \{x = y + 1\}$

$Implies_F(\{x = y + 1\}) =$

$Implies_F(\{x \neq y + 1\}) =$

Abstraction of assignment in B:

$b = b ? \text{false} : *;$

# Abstracting Assumes

- $\text{assume}(e)$  is abstracted to:  
 $\text{assume}(\text{ImpliedBy}_P(e))$

- Example:

$$P = \{x=2, x < 5\}$$

$\text{assume}(x < 2)$  is abstracted to:

$$\text{assume}(\{x < 5\} \&& \{x \neq 2\})$$

Assume,

Explained

if      "assume"      evaluates

then      it must eval.

# Refined Boolean Abstraction

```
do {  
    KeAcquireSpinLock();  
  
    nPacketsOld = nPackets;  
  
    if(request) {  
        request = request->Next;  
        KeReleaseSpinLock();  
        nPackets++;  
    }  
} while(nPackets!=nPacketsOld);  
  
KeReleaseSpinLock();
```

b : (nPacketsOld == nPackets)

```
s := U;  
do {  
    assert(s=U); s:=L;  
  
    b := true;  
  
    if(*) {  
        assert(s=L); s:=U;  
        b := b ? false : *;  
    }  
} while (!b);  
  
assert(s=L); s:=U;
```

Aside

Predicate

abstraction

- procedures

)

# Part II: Overview

- Predicate Abstraction
- Symbolic Reachability with BDDs
- Predicate Refinement

# Reachability in Boolean Programs

bool      id      ( bool  $x$ , bool  $z$ )

decl       $y$  ;

$\sqcup$  :  $y$  := !  $x$  ;

# Reachability in Boolean Programs

bool      id      ( bool  $x$ , bool  $z$ )

decl       $y$  ;

$\vdash ! : y := ! x ;$

# Reachability in Boolean Programs

bool      id      ( bool  $x$ , bool  $z$ )

decl

$y$  ;



$\sqsubset$  :  $y := !x$  ;

# Reachability in Boolean Programs

bool      id      ( bool  $x$ , bool  $z$ )

decl

$y$  ;



$\vdash ! : y := ! x ;$

# Reachability in Boolean Programs

bool      id      ( bool  $x$ , bool  $z$ )

decl       $y$  ;

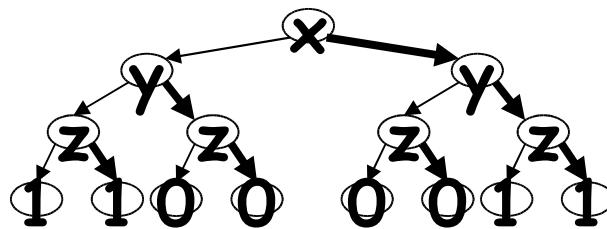
$\vdash ! : y := ! x ;$

# Binary Decision Diagrams

- Acyclic graph data structure for representing a boolean function (equivalently, a set of bit vectors)
- $F(x,y,z) = (x=y)$

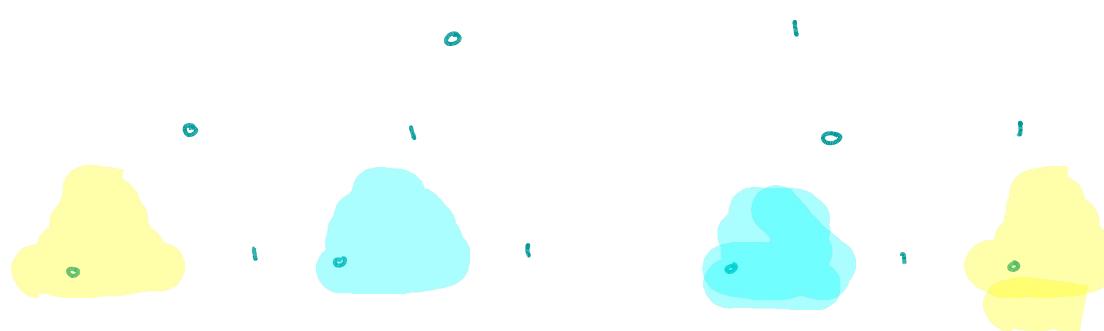
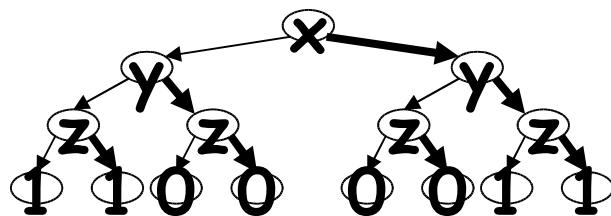
# Binary Decision Diagrams

- Acyclic graph data structure for representing a boolean function (equivalently, a set of bit vectors)
- $F(x,y,z) = (x=y)$

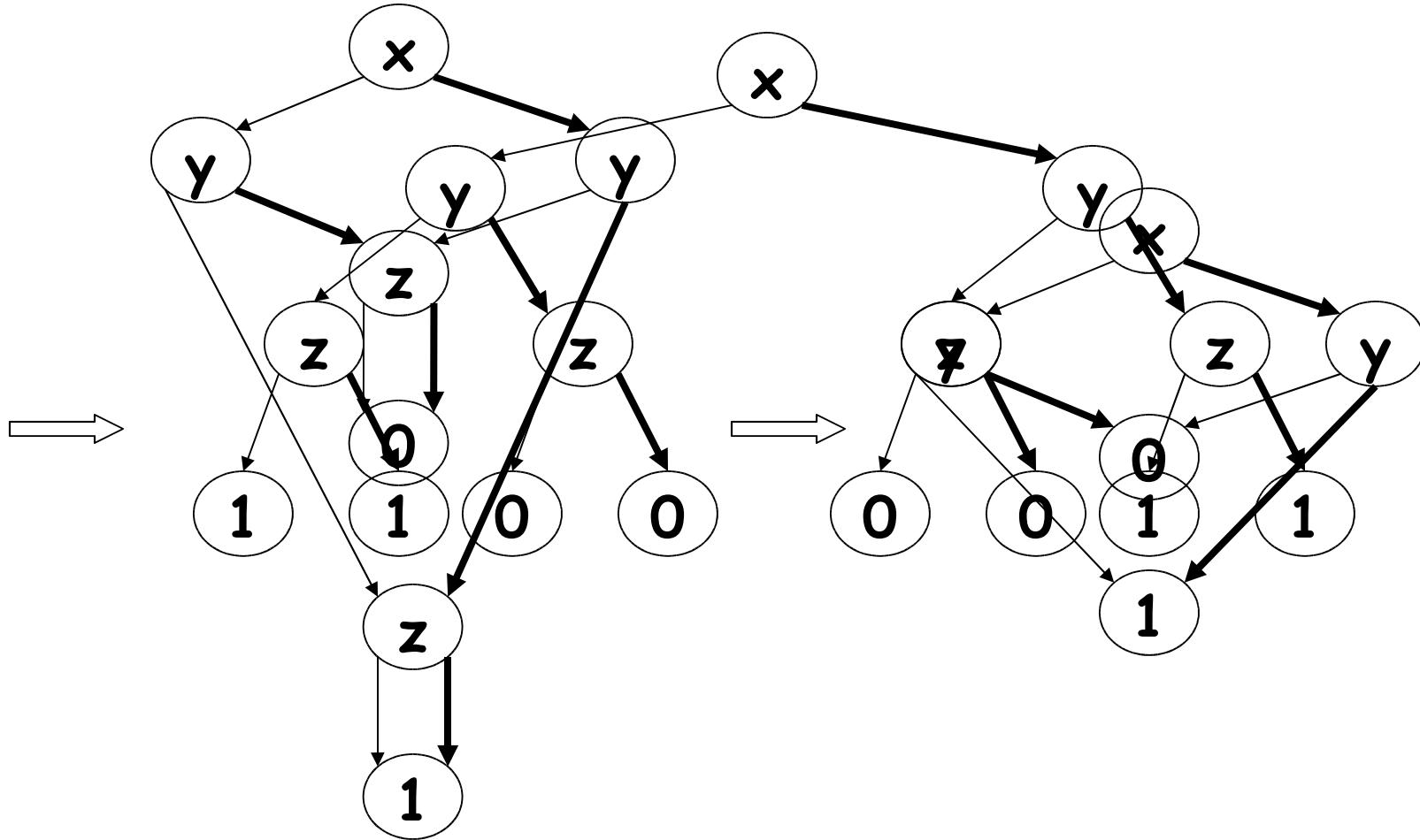


# Binary Decision Diagrams

- Acyclic graph data structure for representing a boolean function (equivalently, a set of bit vectors)
- $F(x,y,z) = (x=y)$



# Hash Consing + Variable Elimination



Aside

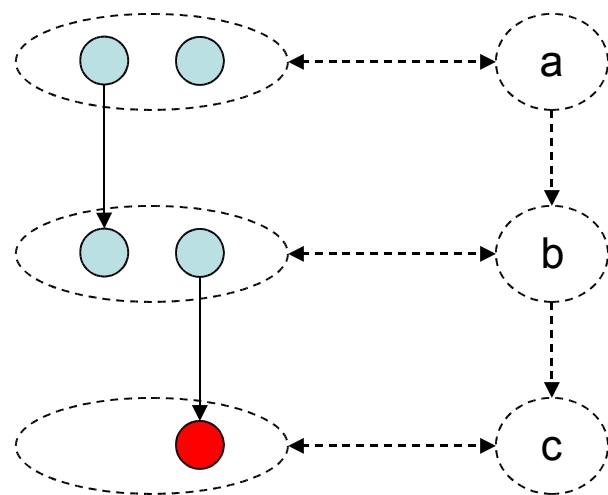
How to deal with  
procedure calls

Prog.  
97

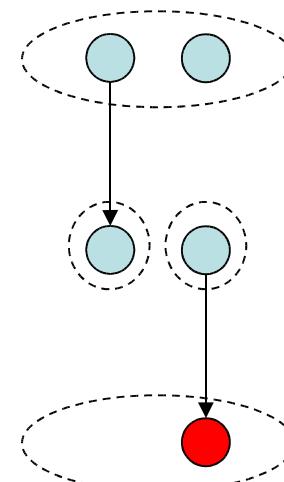
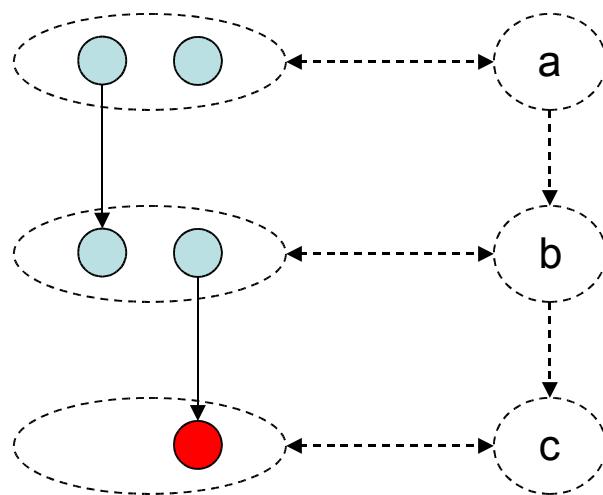
# Part II: Overview

- Predicate Abstraction
- Symbolic Reachability with BDDs
- Predicate Refinement

# Refinement



# Refinement



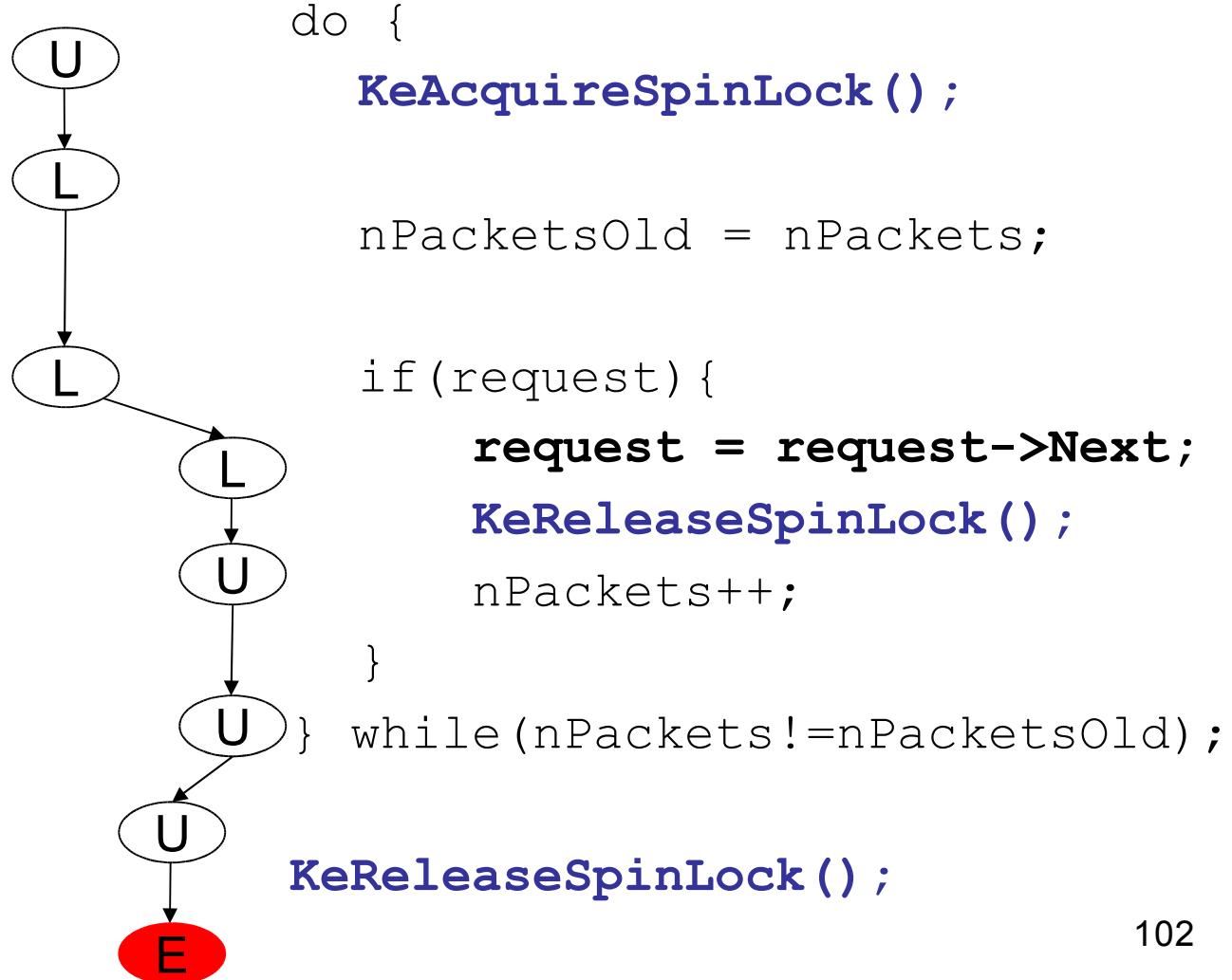
Algorithm for R

path = So ..

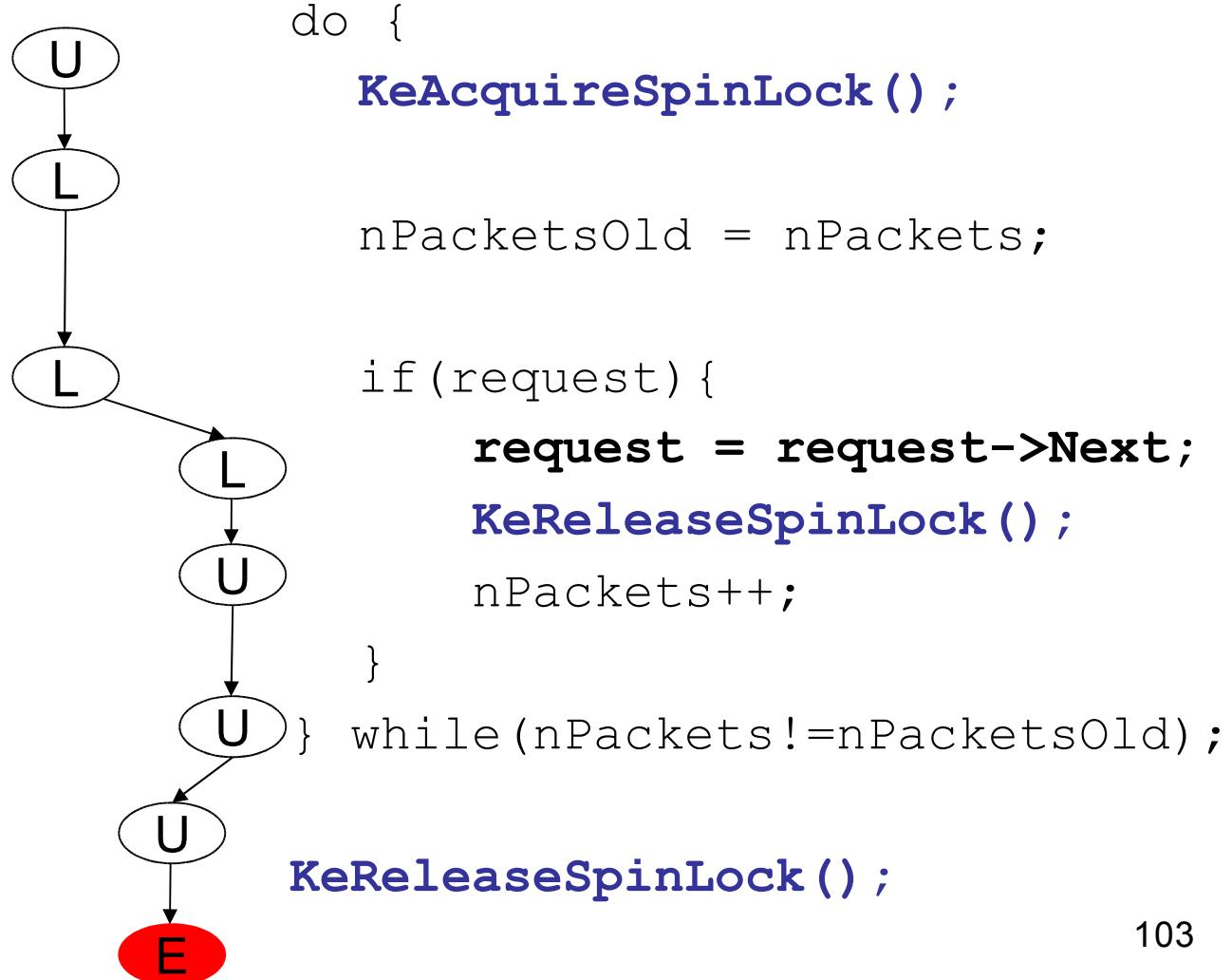
e = error state

for i=k downto

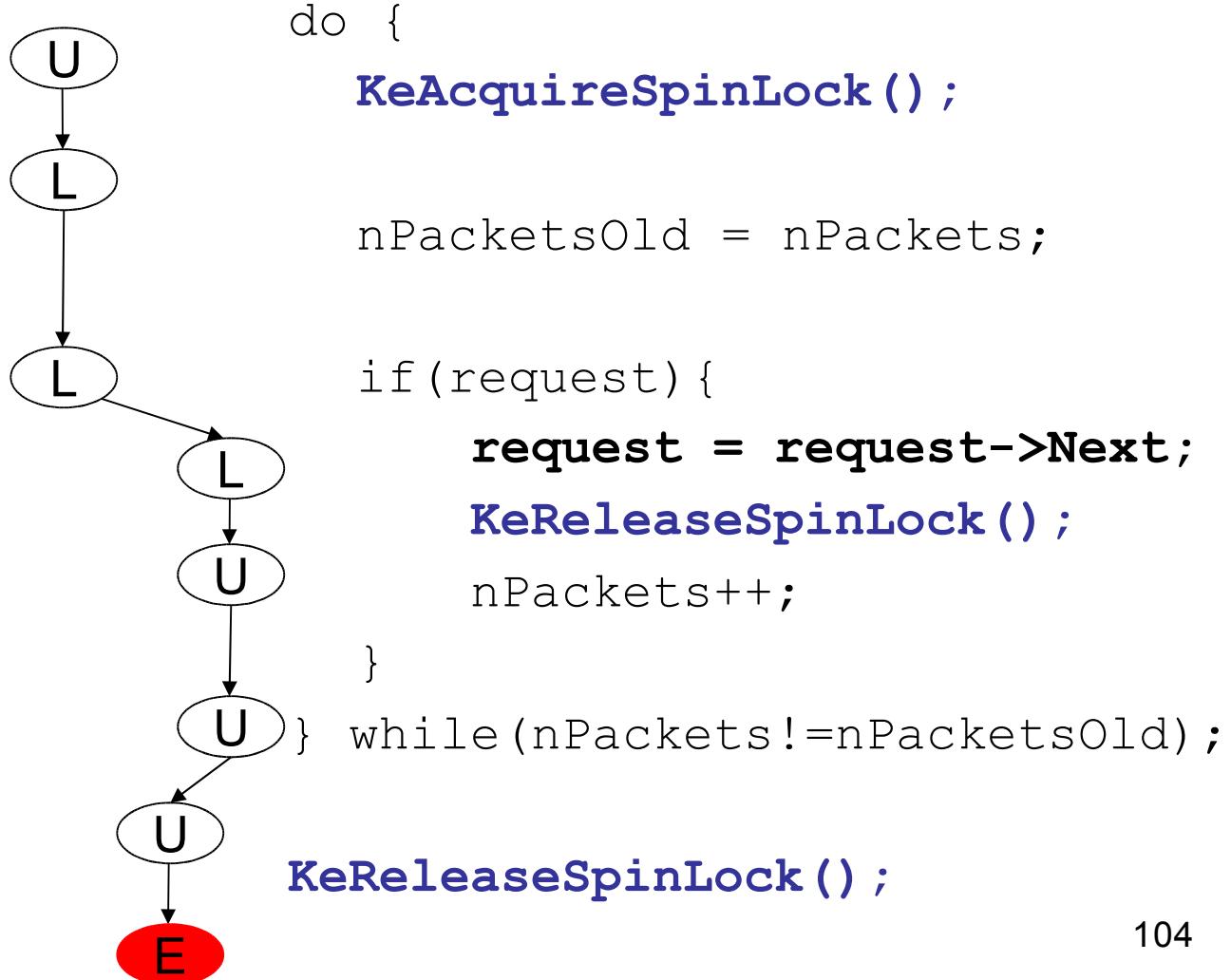
# Abstraction (via Boolean program)



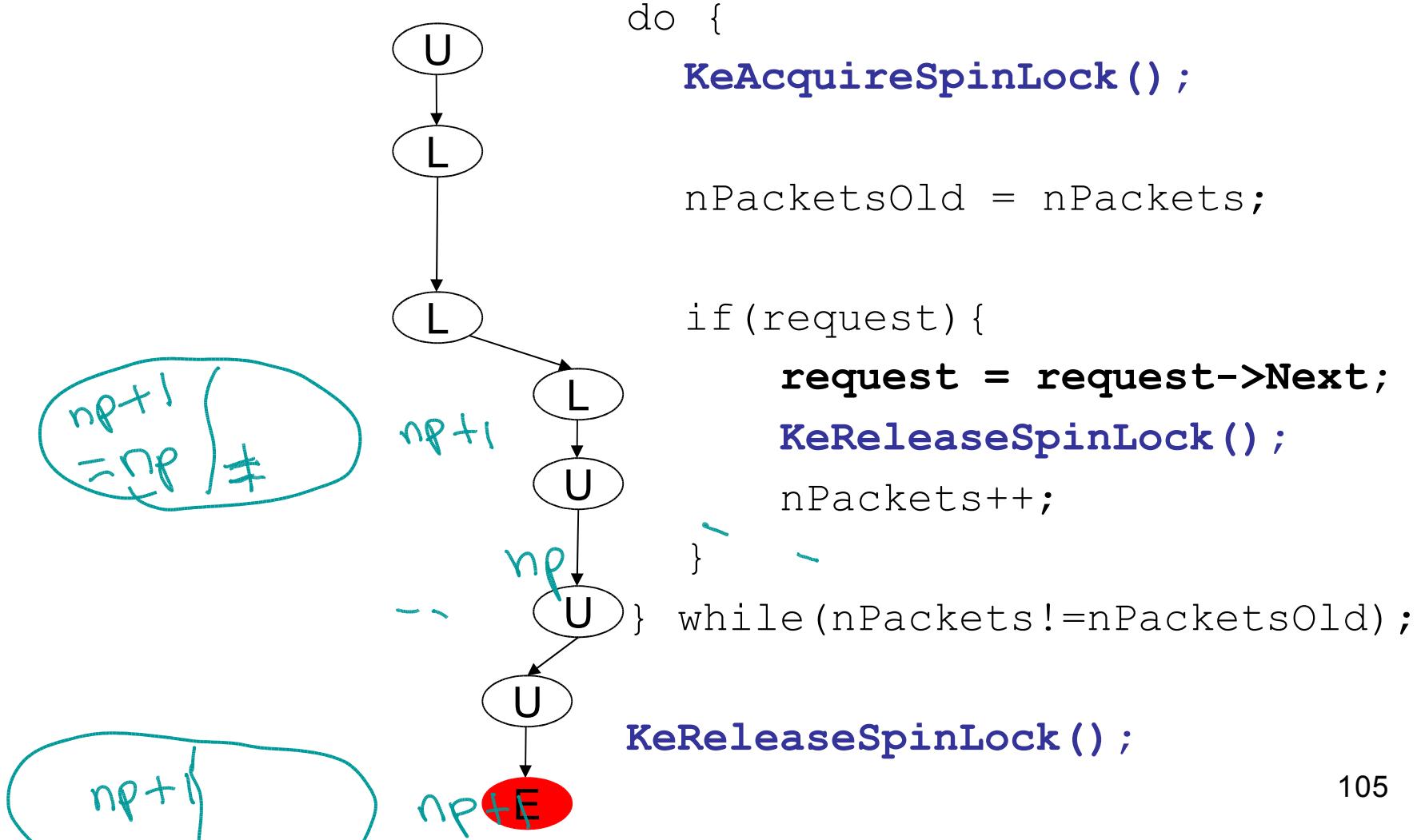
# Abstraction (via Boolean program)

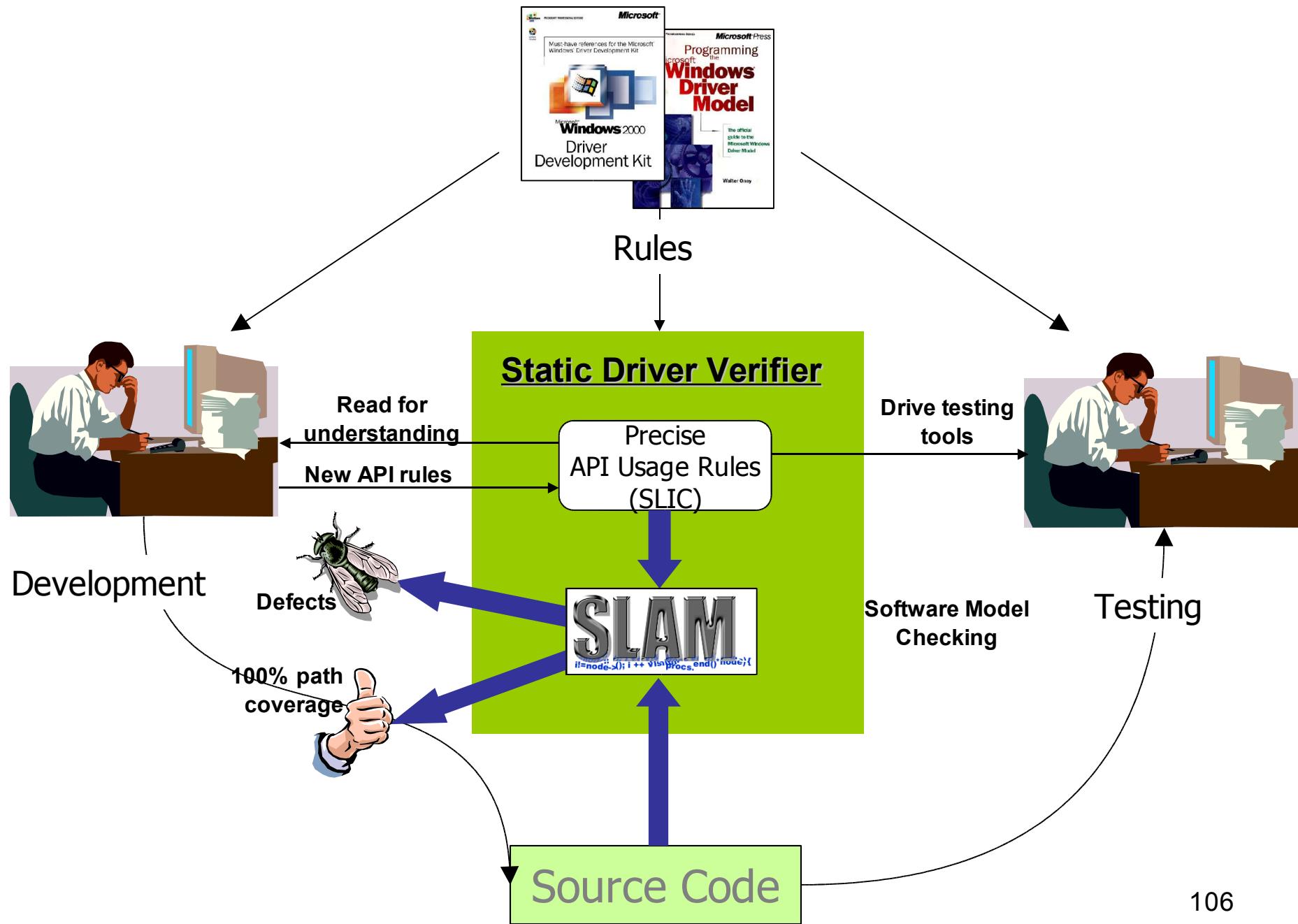


# Abstraction (via Boolean program)



# Abstraction (via Boolean program)





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Address <http://www.microsoft.com/whdc/devtools/tools/SDV.mspx>

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**Windows**

WHDC Home | WHDC Site Map

Search WHDC for  Go

Getting Started  
PC Fundamentals  
Device Fundamentals  
Driver Fundamentals  
Development Tools and Testing  
Windows Logo Program  
WHQL Testing  
Driver Maintenance  
Resources and Support  
Driver DevCon  
WinHEC

  
Next-generation Driver Development  
**WDF** Tracing and

  
Tips for 64-bit Driver Developers

[Development Tools and Testing > Tools for Testing and Tuning](#)

## Static Driver Verifier - Finding Driver Bugs at Compile-Time

Static Driver Verifier (SDV) is a compile-time tool that explores code paths in a device driver by symbolically executing the source code. SDV is a unit-testing tool for Microsoft® Windows® device drivers based on Windows Driver Model (WDM) and Windows Driver Foundation (WDF).

SDV places a driver in a hostile environment and systematically tests all code paths by looking for violations of WDM usage rules. The symbolic execution makes very few assumptions about the state of the operating system or the initial state of the driver, so it can exercise situations that are difficult to exercise by traditional testing.

The set of rules packaged with SDV define how device drivers should use the WDM API. The categories of rules tested include the following.

Category	Rules tested for ...
IRP	Functions that use of I/O request packets
IRQL	Functions that use interrupt request levels
PnP	Plug and Play functions
PM	Power management
WMI	Functions using Windows Management Instrumentation
Sync	Synchronization related to spin locks, semaphores, timers, mutexes, and other methods of access control
Other	Functions that are not fully described by any of the other categories

**Looking for drivers and updates?**

**Tools and Testing**

- [Ordering Kits and Tools](#)
- [Windows DDK Overview](#)
- [Windows DDK FAQ](#)
- [Debugging Tools](#)
- [Tools for Testing and Tuning](#)
- [IFS Kit](#)
- [HCT Kit](#)
- [DCT Kit](#)

**Resources**

- [Support for Developers](#)
- [KB Articles for Drivers](#)
- [Which Windows DDK to Use](#)

**References**

- [Logo Requirements: B1.0](#)
- [WHQL Test Specs](#)
- [HCT Procedures](#)
- [DDK Online](#)

**Note:** SDV is distributed as part of the WDF Beta program. To sign up for the WDF Beta

# Part III: Comparison

- Informal
- Formal

Informal

Comparison

Abs

tract

Interpretati

- domain-specific

- large ma nual

efficient

Informal

Comparison

Abs

tract

Interpretati

- domain-specific

- large ma nual

efficient

Informal

Comparisor

Predicate

Abstraction

- domain-specific

- automatic

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abst  
111

# Formaly Comparing the Two Approaches

- WAIL
  - widening + abstract interpretation over infinite lattice
- FAIR
  - finite abstraction + iterative refinement

# Abstraction/Refinement

- [Cousot-Cousot, PLILP'92]
  - widening + abstract interpretation with infinite lattices (WAIL) is more powerful than a (single) finite abstraction
- [Namjoshi/Kurshan, CAV'00]
  - if there is a finite (bi-)simulation quotient then WAIL with no widening will terminate [and therefore so will FAIR]
- [Ball-Podelski-Rajamani, TACAS'02]
  - finite abstractions plus iterative refinement (FAIR) is more powerful than WAIL

# Guarded Command Language

- Variables  $X = \{x_1, \dots, x_n\}$
- Guarded command  $c$ 
  - $g \wedge x_1' = e_1 \wedge \dots \wedge x_n' = e_n$
- Program is a set of guarded commands
  - each command is deterministic
  - set of commands may be non-deterministic

# Symbolic Representation of States

$$\varphi \equiv \bigvee_{i \in I} \bigwedge_{j \in J(i)} \varphi_{ij}$$

$\varphi_{ij}$  : atomic formula such as  $(x < 5)$

$$\varphi' \leq \varphi \equiv \varphi' \Rightarrow \varphi$$

pre of

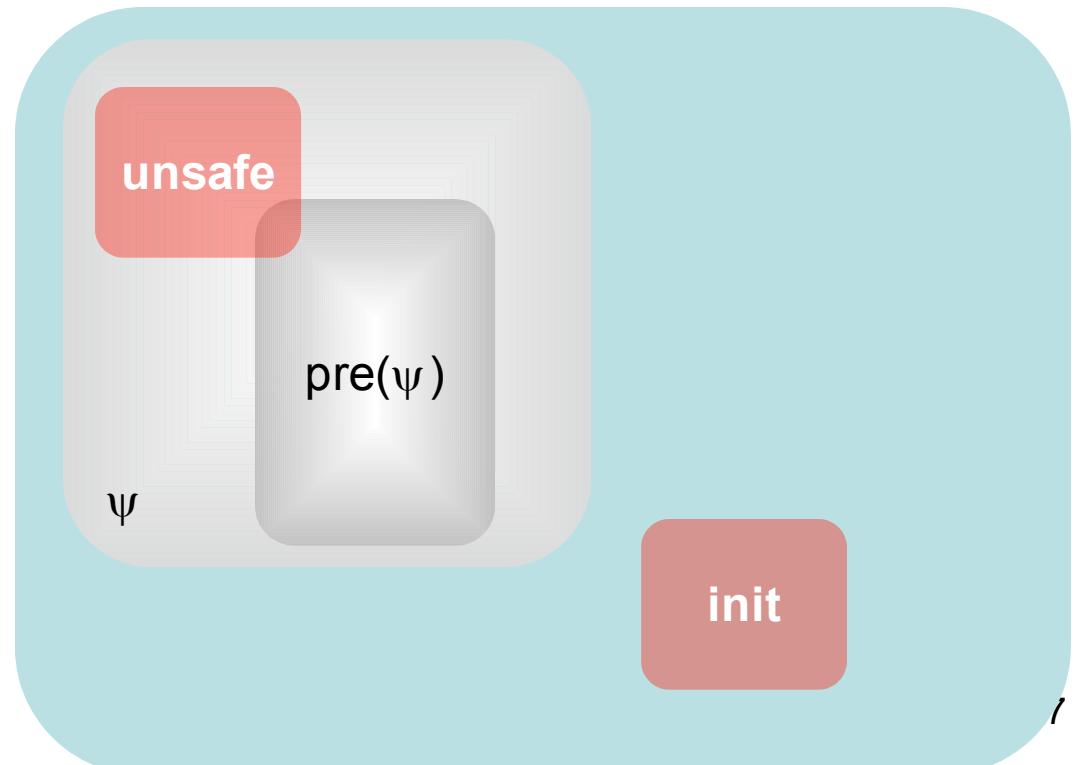
$$c \equiv g \wedge x_1' = e_1 \wedge \dots \wedge x_n' = e_n$$

- $\text{pre}_c(\varphi) \equiv g \wedge \varphi[e_1, \dots, e_n / x_1, \dots, x_n]$
- $\text{pre}(\varphi) \equiv \bigvee_{c \in C} \text{pre}_c(\varphi)$

# Safe Backward Invariants

$\forall \psi$  is a safe backward invariant if

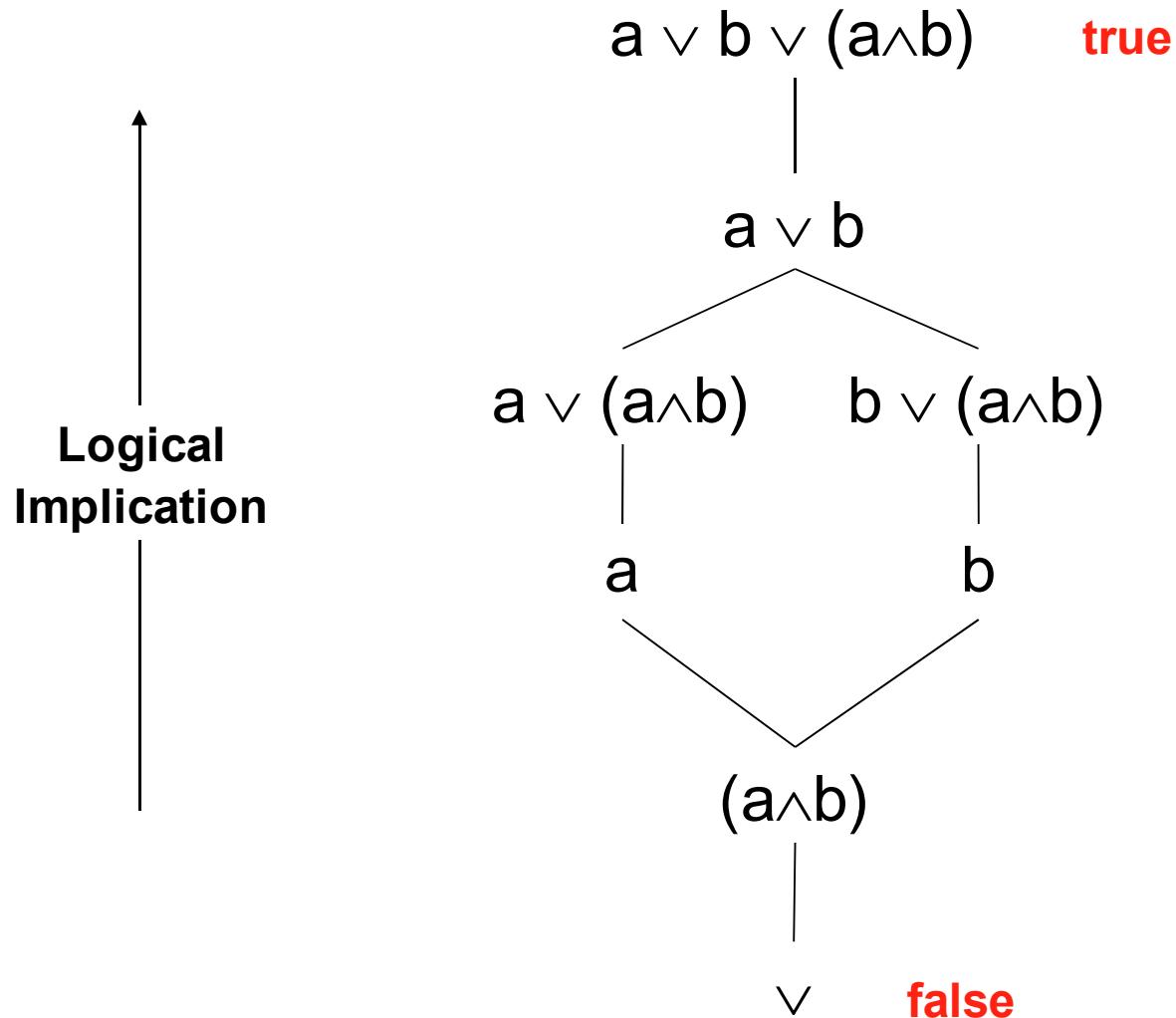
- $\text{unsafe} \Rightarrow \psi$
- $\text{pre}(\psi) \Rightarrow \psi$
- ‘  $\psi \Rightarrow \text{noninit}$



# Predicate Abstraction

- A set  $P$  of predicates over a program's state space defines an abstraction of the program
  - $P = \{ (a=1), (b=1), (a>0) \}$
  - Uninterpreted atoms  $[a=1][b=1][a>0]$
- If  $P$  has  $n$  predicates, the abstract domain contains exactly  $2^{2^n}$  elements
  - an abstract state = conjunction ( $\wedge$ ) of atoms
  - a set of abstract states = disjunction ( $\vee$ ) of abstract states

# Free Lattice of DNF over {a,b}



$$\text{pre}^\#_P \equiv \alpha_P \text{ pre } \gamma$$

$\forall \gamma \equiv$  the identity function

$\forall \alpha_P(\varphi) \equiv$  the least  $\varphi'$  such that  $\varphi \leq \gamma \varphi'$

- Example:

$$\begin{array}{lcl} - P & = & \{ (x < 2), (x < 3), (x = 0) \} \\ \alpha_P( x = 1 ) & = & (x < 2) \wedge (x < 3) \end{array}$$

# FAIR

$n := 0; \varphi := \text{unsafe}$

**loop**

$P_n := \text{atoms}(\varphi)$

construct  $\text{pre}^{\#}_n$ , as defined by  $P_n$

$\psi := \text{lfp}(\text{pre}^{\#}_n, \text{unsafe})$

**if** ( $\psi \leq \text{noninit}$ ) **then**

**return** “success”

$\varphi := \varphi \vee \text{pre}(\varphi);$

$n := n + 1;$

**forever**

# Widening

- $\text{widen}(\varphi) = \varphi'$  such that  $\varphi \leq \varphi'$
- We consider widening that simply drops terms from some conjuncts

$$\text{widen}(\bigvee_{i \in I} \bigwedge_{j \in J(i)} \varphi_{ij}) =$$

$$\bigvee_{i \in I} \bigwedge_{j \in \mathbf{J}'(i)} \varphi_{ij} \quad \text{where } \mathbf{J}'(i) \subseteq J(i)$$

- Results can be extended to other classes of widenings

# Interval Widening, Revisited

$$[l_0, u_0] \wedge l_0 \leq x \wedge x \leq u_0 \Downarrow [l_1, u_1]$$

# WAIL

```
n:= 0; φ := unsafe; old := false;  
loop  
  if (φ ≤ old) then  
    if (φ ≤ noninit) then  
      return “success”  
    else  
      return “Don’t know”  
  else  
    old := φ  
    i   := guess provided by oracle  
    φ   := widen(i, φ ∨ pre(φ) )  
    n   := n+1  
forever
```

# FAIR

$n := 0; \varphi := \text{unsafe}$   
**loop**

$P_n := \text{atoms}(\varphi)$

construct  $\text{pre}^{\#}_n$ , as defined by  $P_n$

$\psi := \text{lfp}(\text{pre}^{\#}_n, \text{unsafe})$

**if** ( $\psi \leq \text{noninit}$ ) **then**  
    **return** “success”

$\varphi := \varphi \vee \text{pre}(\varphi);$

$n := n + 1;$   
**forever**

# WAIL

$n := 0; \varphi := \text{unsafe}; \text{old} := \text{false};$   
**loop**

**if** ( $\varphi \leq \text{old}$ ) **then**

**if** ( $\varphi \leq \text{noninit}$ ) **then**  
        **return** “success”

**else**

**return** “Don’t know”

**else**

$\text{old} := \varphi$

$i := \text{guess provided by oracle}$

$\varphi := \text{widen}(i, \varphi \vee \text{pre}(\varphi))$

$n := n + 1;$

**forever**

**Theorem. For any program P, if WAIL terminates with success for some sequence of widening choices, then FAIR will terminate with success as well.**

- Lemma 1: If a safe invariant  $\psi$  can be expressed in terms of predicates in P then  $\text{Ifp}(\text{pre}_P^\#, \text{unsafe})$  is a safe invariant
- Lemma 2: For any guarded command c,  
$$\text{pre}_c(\varphi \vee \varphi') = \text{pre}_c(\varphi) \vee \text{pre}_c(\varphi')$$
$$\text{pre}_c(\varphi \wedge \varphi') = \text{pre}_c(\varphi) \wedge \text{pre}_c(\varphi')$$
- Corollary: For any guarded command c,  
$$\text{atoms}(\text{pre}_c(\varphi \vee \varphi')) = \text{atoms}(\text{pre}_c(\varphi)) \cup \text{atoms}(\text{pre}_c(\varphi'))$$
$$\text{atoms}(\text{pre}_c(\varphi \wedge \varphi')) = \text{atoms}(\text{pre}_c(\varphi)) \cup \text{atoms}(\text{pre}_c(\varphi'))$$

# Proof of Theorem

$$\varphi_0 = \text{unsafe}$$

$$\varphi_{n+1} = \varphi_n \vee \text{pre}(\varphi_n)$$

$$\varphi'_0 = \text{unsafe}$$

$$\varphi'_{n+1} = \text{widen}(\varphi'_n \vee \text{pre}(\varphi'_n))$$

for all  $i$ ,  $\text{atoms}(\varphi_i) \supseteq \text{atoms}(\varphi'_i)$

by induction on  $i$  and Lemma 2

if  $\varphi'_i$  is a safe inv. then

by Lemma 1 and above result

$\text{lfp}(F_{\text{atoms}(\varphi_i)}^{\#}, \text{start})$  is a safe inv.

# Summary

- Predicate abstraction + refinement and widening can be formally related to each other
- Predicate abstraction + refinement = widening with “optimal” guidance

# What We Did

- Part I: Abstract Interpretation
  - [Cousot & Cousot, POPL'77]
  - *Manual abstraction and refinement*
  - ASTRÉE Analyzer
- Part II: Predicate Abstraction
  - [Graf & Saïdi, CAV '97]
  - *Automated abstraction and refinement*
  - SLAM and Static Driver Verifier
- Part III: Comparing Approaches

# Searching for Solutions

- Once upon a time, only a human could play a great game of chess...
  - ... but then smart brute force won the day
- Once upon a time, only a human could design a great abstraction...