

Modelling and reasoning about references

A language with dynamic allocation

$$\tau ::= \text{unit} \mid \text{int} \mid \sigma \text{ ref} \mid \tau \times \tau \mid \tau + \tau \mid \tau \rightarrow \mathbf{T}\tau$$
$$\sigma ::= \text{int} \mid \sigma \text{ ref}$$
$$\gamma ::= \tau \mid \mathbf{T}\tau$$
$$V ::= x \mid \underline{n} \mid \underline{\ell} \mid () \mid (V, V') \mid \text{in}_i^\tau V \mid \text{rec } f(x:\tau):\tau' = M$$
$$M ::= V V' \mid \text{let } x \Leftarrow M \text{ in } M' \mid \text{val } V \mid \pi_i V \mid \text{ref } V \mid !V \mid V := V'$$
$$\mid \text{case } V \text{ of } \text{in}_1 x \Rightarrow M ; \text{in}_2 x \Rightarrow M'$$
$$\mid V = V' \mid V + V' \mid \text{iszero } V$$

Store types Δ map locations $\ell \in \mathbb{L}$ to storable types σ

$$(rec) \frac{\Delta; \Gamma, x : \tau, f : \tau \rightarrow \mathbf{T}(\tau') \vdash M : \mathbf{T}(\tau')}{\Delta; \Gamma \vdash (\text{rec } f(x:\tau) : \tau' = M) : \tau \rightarrow \mathbf{T}(\tau')}$$

$$(loc) \frac{\ell : \sigma \in \Delta}{\Delta; \Gamma \vdash \underline{\ell} : \sigma \text{ ref}}$$

$$(app) \frac{\Delta; \Gamma \vdash V_1 : \tau \rightarrow \mathbf{T}\tau' \quad \Delta; \Gamma \vdash V_2 : \tau}{\Delta; \Gamma \vdash V_1 V_2 : \mathbf{T}\tau'}$$

$$(let) \frac{\Delta; \Gamma \vdash M_1 : \mathbf{T}(\tau_1) \quad \Delta; \Gamma, x : \tau_1 \vdash M_2 : \mathbf{T}(\tau_2)}{\Delta; \Gamma \vdash \text{let } x \leftarrow M_1 \text{ in } M_2 : \mathbf{T}(\tau_2)}$$

$$(val) \frac{\Delta; \Gamma \vdash V : \tau}{\Delta; \Gamma \vdash \text{val } V : \mathbf{T}(\tau)}$$

$$(eq) \frac{\Delta; \Gamma \vdash V_1 : \sigma \text{ ref} \quad \Delta; \Gamma \vdash V_2 : \sigma \text{ ref}}{\Delta; \Gamma \vdash V_1 = V_2 : \mathbf{T}(\text{unit} + \text{unit})}$$

$$(deref) \frac{\Delta; \Gamma \vdash V : \sigma \text{ ref}}{\Delta; \Gamma \vdash !V : \mathbf{T}\sigma}$$

$$(alloc) \frac{\Delta; \Gamma \vdash V : \sigma}{\Delta; \Gamma \vdash \text{ref } V : \mathbf{T}(\sigma \text{ ref})}$$

$$(assign) \frac{\Delta; \Gamma \vdash V_1 : \sigma \text{ ref} \quad \Delta; \Gamma \vdash V_2 : \sigma}{\Delta; \Gamma \vdash V_1 := V_2 : \mathbf{T}(\text{unit})}$$

Continuation-based termination relation

$\Sigma, \text{let } x \Leftarrow M \text{ in } K \quad \downarrow$

$$\frac{}{\Delta; \vdash \text{val } x : (x : \tau)^{\top}} \quad \frac{\Delta; x : \tau \vdash M : \mathbf{T}\tau' \quad \Delta; \vdash K : (y : \tau')^{\top}}{\Delta; \vdash \text{let } y \Leftarrow M \text{ in } K : (x : \tau)^{\top}}$$

States Σ map locations to $\mathbb{Z} + \mathbb{L}$

$$\frac{}{\Sigma, \text{let } x \Leftarrow \text{val } V \text{ in } \text{val } x \downarrow} \quad \frac{\Sigma, \text{let } y \Leftarrow M[V/x] \text{ in } K \downarrow}{\Sigma, \text{let } x \Leftarrow \text{val } V \text{ in } (\text{let } y \Leftarrow M \text{ in } K) \downarrow}$$

$$\frac{\Sigma, \text{let } x_2 \Leftarrow M_1 \text{ in } (\text{let } x_1 \Leftarrow M_2 \text{ in } K) \downarrow}{\Sigma, \text{let } x_1 \Leftarrow (\text{let } x_2 \Leftarrow M_1 \text{ in } M_2) \text{ in } K \downarrow}$$

$$\frac{\Sigma, \text{let } x_1 \Leftarrow M[V/x_2, (\text{rec } f(x_2:\tau_1):\tau_2 = M)/f] \text{ in } K \downarrow}{\Sigma, \text{let } x_1 \Leftarrow (\text{rec } f(x_2:\tau_1):\tau_2 = M) V \text{ in } K \downarrow}$$

$$\Sigma, \text{let } x \Leftarrow \text{val } \underline{\ell} = \underline{\ell}' \text{ in } K \downarrow$$

$$\frac{\Sigma, \text{let } x \Leftarrow \text{val } \text{false} \text{ in } K \downarrow}{\Sigma, \text{let } x \Leftarrow \underline{\ell} = \underline{\ell}' \text{ in } K \downarrow} \quad \ell \neq \ell'$$

$$\frac{\Sigma[\ell \mapsto \text{in}_{\mathbb{L}}\ell'], \text{let } x \Leftarrow \text{val } () \text{ in } K \downarrow}{\Sigma, \text{let } x \Leftarrow \underline{\ell} := \underline{\ell}' \text{ in } K \downarrow}$$

$$\frac{\Sigma(\ell) = \text{in}_{\mathbb{L}}\ell' \quad \Sigma, \text{let } x \Leftarrow \text{val } \underline{\ell}' \text{ in } K \downarrow}{\Sigma, \text{let } x \Leftarrow !\underline{\ell} \text{ in } K \downarrow}$$

$$\frac{\Sigma[\ell \mapsto \text{in}_{\mathbb{L}}\ell'], \text{let } x \Leftarrow \text{val } \underline{\ell} \text{ in } K \downarrow}{\Sigma, \text{let } x \Leftarrow \text{ref } \underline{\ell}' \text{ in } K \downarrow} \quad \ell \notin \text{locs}(\Sigma) \cup \text{locs}(K) \cup \{\ell'\}$$

How to model such a language?

- Nondeterminism and invariance
- Encapsulation
- Functor categories
- FM cpos

Semantics of types

$$\begin{array}{ll} \llbracket \text{unit} \rrbracket = 1 & \llbracket \tau_1 \times \tau_2 \rrbracket = \llbracket \tau_1 \rrbracket \times \llbracket \tau_2 \rrbracket \\ \llbracket \text{int} \rrbracket = \mathbb{Z} & \llbracket \tau_1 + \tau_2 \rrbracket = \llbracket \tau_1 \rrbracket + \llbracket \tau_2 \rrbracket \\ \llbracket \sigma \text{ ref} \rrbracket = \mathbb{L} & \llbracket \tau_1 \rightarrow \mathbf{T}\tau_2 \rrbracket = \llbracket \tau_1 \rrbracket \Rightarrow \mathbf{T}\llbracket \tau_2 \rrbracket \\ \mathbf{T}D = (\mathbb{S} \Rightarrow D \Rightarrow \mathbb{O}) \multimap (\mathbb{S} \Rightarrow \mathbb{O}) & \end{array}$$

$$\mathbb{S} = \mathbb{L} \Rightarrow (\mathbb{Z} + \mathbb{L})$$

$$\llbracket \Delta; \Gamma \vdash \underline{\ell} : \sigma \text{ ref} \rrbracket \rho = \ell$$

$$\begin{aligned} \llbracket \Delta; \Gamma \vdash \text{let } x \Leftarrow M_1 \text{ in } M_2 : \mathbf{T}\tau_2 \rrbracket \rho k S &= \\ \llbracket \Delta; \Gamma \vdash M_1 : \mathbf{T}\tau_1 \rrbracket \rho (\lambda S' : \mathbb{S}. \lambda d : \llbracket \tau_1 \rrbracket. \llbracket \Delta; \Gamma, x : \tau_1 \vdash M_2 : \mathbf{T}\tau_2 \rrbracket \rho [x \mapsto d] k S') S & \end{aligned}$$

$$\llbracket \Delta; \Gamma \vdash \text{val } V : \mathbf{T}\tau \rrbracket \rho k S = k S (\llbracket \Delta; \Gamma \vdash V : \tau \rrbracket \rho)$$

$$\llbracket \Delta; \Gamma \vdash !V : \mathbf{T}\sigma \rrbracket \rho k S = \begin{cases} k S v & \text{if } S(\llbracket \Delta; \Gamma \vdash V : \sigma \text{ ref} \rrbracket \rho) = \text{in}_{\llbracket \sigma \rrbracket} v \\ \perp & \text{otherwise} \end{cases}$$

$$\begin{aligned} \llbracket \Delta; \Gamma \vdash V_1 := V_2 : \mathbf{T}\text{unit} \rrbracket \rho k S &= \\ k S[(\llbracket \Delta; \Gamma \vdash V_1 : \sigma \text{ ref} \rrbracket \rho) \mapsto \text{in}_{\llbracket \sigma \rrbracket}(\llbracket \Delta; \Gamma \vdash V_2 : \sigma \rrbracket \rho)] * & \end{aligned}$$

$$\begin{aligned} \llbracket \Delta; \Gamma \vdash \text{ref } V : \mathbf{T}\sigma \text{ ref} \rrbracket \rho k S &= k S[\ell \mapsto \text{in}_{\llbracket \sigma \rrbracket}(\llbracket \Delta; \Gamma \vdash V : \sigma \rrbracket \rho)] \ell \\ \text{for some/any } \ell \notin \text{supp}(\lambda \ell'. k S[\ell' \mapsto \text{in}_{\llbracket \sigma \rrbracket}(\llbracket \Delta; \Gamma \vdash V : \sigma \rrbracket \rho)] \ell'). & \end{aligned}$$

$$\begin{aligned} \llbracket \Delta; \Gamma \vdash (\text{rec } f x = M) : \tau \rightarrow \mathbf{T}\tau' \rrbracket \rho &= \\ \text{fix}(\lambda f' : \llbracket \tau \rightarrow \mathbf{T}\tau' \rrbracket. \lambda x' : \llbracket \tau \rrbracket. \llbracket \Delta; \Gamma, f : \tau \rightarrow \mathbf{T}\tau', x : \tau \vdash M : \mathbf{T}\tau' \rrbracket \rho [f \mapsto f', x \mapsto x']) & \end{aligned}$$

Soundness and adequacy

If $\Delta; \vdash M : \mathbf{T}\tau$, $\Delta; \vdash K : (x : \tau)^\tau$, $\Sigma : \Delta$ and $S \in \llbracket \Sigma \rrbracket$ then

$$\Sigma, \text{ let } x \leftarrow M \text{ in } K \downarrow \iff \llbracket \Delta; \vdash M : \mathbf{T}\tau \rrbracket \{ \} \llbracket \Delta; \vdash K : (x : \tau)^\tau \rrbracket^{\mathcal{K}} S = \top.$$

as a corollary

$$\llbracket \Delta; \Gamma \vdash G_1 : \gamma \rrbracket = \llbracket \Delta; \Gamma \vdash G_2 : \gamma \rrbracket \text{ implies } \Delta; \Gamma \vdash G_1 =_{\text{ctx}} G_2 : \gamma$$

(in)equivalences

$$\frac{\Delta; \Gamma \vdash V_1 : \sigma_1 \quad \Delta; \Gamma \vdash V_2 : \sigma_2 \quad \Delta; \Gamma, x : \sigma_1 \text{ ref}, y : \sigma_2 \text{ ref} \vdash N : \mathbf{T}\tau}{\Delta; \Gamma \vdash \begin{array}{l} \text{let } x \Leftarrow \text{ref } V_1 \text{ in (let } y \Leftarrow \text{ref } V_2 \text{ in } N) \\ =_{\text{ctx}} \text{let } y \Leftarrow \text{ref } V_2 \text{ in (let } x \Leftarrow \text{ref } V_1 \text{ in } N) : \mathbf{T}\tau \end{array} \text{ 😊}}$$

$$\frac{\Delta; \Gamma \vdash V : \sigma \quad \Delta; \Gamma \vdash N : \mathbf{T}\tau}{\Delta; \Gamma \vdash \text{let } x \Leftarrow \text{ref } V \text{ in } N =_{\text{ctx}} N : \mathbf{T}\tau} \quad x \notin \text{fv}N \quad \text{✗}$$

A Parametric Logical Relation

- Partially ordered set of parameters p
- Parameter-indexed relations

$$\forall p. \mathcal{R}_S(p) \subseteq S \times S$$

$$\forall p. \forall \gamma. \mathcal{R}_\gamma(p) \subseteq \llbracket \gamma \rrbracket \times \llbracket \gamma \rrbracket$$

- Show denotation of each term related to itself
- Corollary: terms with related denotations are contextually equivalent

Accessibility maps

- Support turns out not to help in defining “the part of the store about which a relation depends”:

$$\{(S_1, S_2) \mid \exists \ell, S_1 \ell = 0 = S_2 \ell\}$$

An accessibility map A is a function from \mathbb{S} to finite subsets of \mathbb{L} , such that:

$$\forall S, S' \in \mathbb{S}, (\forall \ell \in AS, S\ell = S'\ell) \implies A(S) = A(S')$$

The subtyping ordering $<:$ is defined as:

$$A <: A' \iff \forall S, A(S) \supseteq A'(S)$$

Accessibility maps from state types

If Δ is a state type, then $\text{Acc}_\Delta : \mathbb{S} \rightarrow \mathbb{P}_{fin}(\mathbb{L})$ is defined by $\text{Acc}_\Delta(S) = \bigcup_{(l:\sigma) \in \Delta} \text{Acc}(l, \sigma, S)$ where $\text{Acc}(l, \text{int}, S) \stackrel{\text{def}}{=} \{l\}$ and

$$\text{Acc}(l, \sigma_{\text{ref}}, S) \stackrel{\text{def}}{=} \{l\} \cup \begin{cases} \text{Acc}(l', \sigma, S) & \text{if } Sl = \text{in}_{\mathbb{L}} l' \\ \emptyset & \text{otherwise} \end{cases}$$

If A is an accessibility map, we define $S \sim S' : A$ to mean $\forall l \in A(S), Sl = S'l$.

Finitary state relations

A finitary state relation r is a pair $\langle |r|, A_r \rangle$ where $|r| \subseteq \mathbb{S} \times \mathbb{S}$ and A_r is an accessibility map, subject to the following saturation condition: if $S_1 \sim S'_1 : A_r$ and $S_2 \sim S'_2 : A_r$ then $(S_1, S_2) \in |r| \iff (S'_1, S'_2) \in |r|$.

Given two finitary state relations, $r_1 = \langle |r^1|, A^1 \rangle$ and $r_2 = \langle |r^2|, A^2 \rangle$, define

$$r^1 \otimes r^2 \stackrel{def}{=} \langle |r^1 \otimes r^2|, A^1 \wedge A^2 \rangle$$

where

$$(S_1, S_2) \in |r^1 \otimes r^2| \iff \begin{cases} (S_1, S_2) \in |r^1| \cap |r^2| \\ \forall i \in \{1, 2\}, A^1(S_i) \cap A^2(S_i) = \emptyset \end{cases}$$

Parameters

A parameter is a pair (Δ, r) , where Δ is a state type and r is a finitary relation; we will abbreviate this to Δr . If Δr is a parameter, we define the binary relation on states $\mathcal{R}_S(\Delta r) \stackrel{def}{=} |id_\Delta \otimes r|$ and define the partial order \triangleright on parameters by

$$\Delta r \triangleright \Delta' r' \iff (\Delta \supseteq \Delta') \wedge (\exists r'', r = r' \otimes r'')$$

Logical Relation

$$\begin{aligned}\mathcal{R}_{\text{unit}}(\Delta r) &= \{(*, *)\} \\ \mathcal{R}_{\text{int}}(\Delta r) &= \{(n, n) \mid n \in N\} \\ \mathcal{R}_{\sigma \text{ ref}}(\Delta r) &= \{(\ell, \ell) \mid (\ell : \sigma) \in \Delta\} \\ \mathcal{R}_{\tau \rightarrow \mathbb{T}\tau'}(\Delta r) &= \\ &\{(f_1, f_2) \mid \forall \Delta' r' \triangleright \Delta r, (v_1, v_2) \in \mathcal{R}_{\tau}(\Delta' r'), (f_1 v_1, f_2 v_2) \in \mathcal{R}_{\mathbb{T}\tau'}(\Delta' r')\}\end{aligned}$$

For continuations, we define $\mathcal{R}_{\tau \top}(\Delta r)$ to be

$$\{(k_1, k_2) \mid \forall \Delta' r' \triangleright \Delta r, (v_1, v_2) \in \mathcal{R}_{\tau}(\Delta' r'), (S_1, S_2) \in \mathcal{R}_{\mathbb{S}}(\Delta' r'), \\ k_1 S_1 v_1 = k_2 S_2 v_2\}$$

and for computations, $\mathcal{R}_{\mathbb{T}\tau}(\Delta r)$ is defined as

$$\{(f_1, f_2) \mid \forall \Delta' r' \triangleright \Delta r, (k_1, k_2) \in \mathcal{R}_{\tau \top}(\Delta' r'), (S_1, S_2) \in \mathcal{R}_{\mathbb{S}}(\Delta' r'), \\ f_1 k_1 S_1 = f_2 k_2 S_2\}$$

Why?

- Fundamental Lemma:

If $\Delta; \Gamma \vdash G : \gamma$, then

$$\forall r. (\llbracket \Delta; \Gamma \vdash G : \gamma \rrbracket, \llbracket \Delta; \Gamma \vdash G : \gamma \rrbracket) \in \mathcal{R}_{\Gamma \vdash \gamma}(\Delta r).$$

- Soundness of relational reasoning:

If $\Delta; \Gamma \vdash G_i : \gamma$ for $i = 1, 2$ and

$$(\llbracket \Delta; \Gamma \vdash G_1 : \gamma \rrbracket, \llbracket \Delta; \Gamma \vdash G_2 : \gamma \rrbracket) \in \mathcal{R}_{\Gamma \vdash \mathbf{T}_\tau}(\Delta \mathbf{T})$$

then $\Delta; \Gamma \vdash G_1 =_{\text{ctx}} G_2 : \gamma$.

Examples

- The garbage collection rule from earlier
- All the Meyer-Sieber examples, e.g

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let  $x \leftarrow \text{ref } 0$  in
  let  $\text{almost\_add2} \leftarrow \lambda z. \text{if } z = x$ 
    then  $x := 1$ 
    else let  $y \leftarrow !x$  in let  $y' \leftarrow y + 2$  in  $x := y'$  in
     $p(\text{almost\_add2});$ 
  let  $y \leftarrow !x$  in
    if  $!x \bmod 2 = 0$  then  $\text{diverge}_{\text{unit}}$  else  $\text{val } ()$ 
```

Examples

- Pointers between hidden and visible parts:
let $x \Leftarrow \text{ref } 0$ in
let $y \Leftarrow \text{ref } x$ in
 $p\ x;$
let $z \Leftarrow !y$ in
if $z = x$ then $\text{diverge}_{\text{unit}}$ else $\text{val } ()$
- Some very artificial encodings of crypto a la Sumii and Pierce

Non-examples ☹️

$M =$ let $x \leftarrow \text{ref } 0$ in
 $p(\lambda_. x := 1; 0);$
 let $y \leftarrow !x$ in
 if iszero y then val () else $\text{diverge}_{\text{unit}}$

$N = p (\lambda_. \text{diverge}_{\text{int}})$

$\text{snapback } f k S = f * (\lambda S'. \lambda n. k S n) S$

Garbage Collection If x is not free in M , and $\Delta; \Gamma \vdash M : \mathbf{T}\tau$, then

$$\Gamma \vdash \text{let } x \Leftarrow \text{ref } V \text{ in } M =_{\text{ctx}} M : \mathbf{T}\tau$$

We prove that $\llbracket \text{let } x \Leftarrow \text{ref } V \text{ in } M \rrbracket$ and $\llbracket M \rrbracket$ are related by $\mathcal{R}_{\Gamma \vdash \mathbf{T}\tau}(\Delta \top)$, and we conclude using Theorem 17. Let $\Delta' r' \triangleright \Delta \top$ be a parameter and $(\rho_1, \rho_2) \in \mathcal{R}_{\Gamma}(\Delta' r')$. We need to prove that $(\llbracket \text{let } x \Leftarrow \text{ref } V \text{ in } M \rrbracket \rho_1, \llbracket M \rrbracket \rho_2) \in \mathcal{R}_{\mathbf{T}\tau}(\Delta' r')$. Let $\Delta'' r'' \triangleright \Delta' r'$, $(k_1, k_2) \in \mathcal{R}_{\tau \top}(\Delta'' r'')$ and $(S_1, S_2) \in \mathcal{R}_{\mathfrak{S}}(\Delta'' r'')$. We have to prove that

$$\llbracket \text{let } x \Leftarrow \text{ref } V \text{ in } M \rrbracket \rho_1 k_1 S_1 = \llbracket M \rrbracket \rho_2 k_2 S_2$$

For $\ell \notin \text{supp}(\lambda \ell'. k_1 S_1[\ell' \rightarrow \llbracket V \rrbracket \rho] \ell')$

$$\llbracket \text{let } x \Leftarrow \text{ref } V \text{ in } M \rrbracket \rho_1 k_1 S_1 = \llbracket M \rrbracket \rho_1 k_1 S_1[\ell \rightarrow \llbracket V \rrbracket \rho_1]$$

because x is not free in M . Since we can pick *any* such ℓ , we actually choose one also out of $\text{Acc}_{\Delta''}(S_i) \cup A_{r''}(S_i)$ for $i = 1, 2$. By the fundamental lemma, $\llbracket M \rrbracket$ is related to itself by $\mathcal{R}_{\Gamma \vdash \mathbf{T}\tau}(\Delta \top)$, so if we prove that $(S_1[\ell \rightarrow \llbracket V \rrbracket \rho_1], S_2) \in \mathcal{R}_{\mathfrak{S}}(\Delta'' r'')$ we are done.

First, since $\ell \notin \text{Acc}_{\Delta''}(S_i)$, $(S_1[\ell \rightarrow \llbracket V \rrbracket \rho_1], S_2) \in \text{id}_{\Delta''}$, and since $\ell \notin A_{r''}(S_i)$, $(S_1[\ell \rightarrow \llbracket V \rrbracket \rho_1], S_2) \in r''$. By definition of accessibility maps, $\text{Acc}_{\Delta''}$ and $A_{r''}$ are unchanged, so they still do not overlap, which concludes the proof.