

Reynolds' Parametricity

Patricia Johann
Appalachian State University
`cs.appstate.edu/~johannp`

Based on joint work with Neil Ghani, Fredrik Nordvall
Forsberg, Federico Orsanigo, and Tim Revell

OPLSS 2016

Course Outline

Topic: Reynolds' theory of parametric polymorphism for System F

Goals: - extract the fibrational essence of Reynolds' theory
- generalize Reynolds' construction to very general models

- **Lecture 1:** Reynolds' theory of parametricity for System F
- **Lecture 2:** Introduction to fibrations
- **Lecture 3:** A bifibrational view of parametricity
- **Lecture 4:** Bifibrational parametric models for System F

Course Outline

Topic: Reynolds' theory of parametric polymorphism for System F

Goals: - extract the fibrational essence of Reynolds' theory
- generalize Reynolds' construction to very general models

- Lecture 1: Reynolds' theory of parametricity for System F
- Lecture 2: **Introduction to fibrations**
- Lecture 3: A bifibrational view of parametricity
- Lecture 4: Bifibrational parametric models for System F

Where Were We?

- Last time we recalled Reynolds' standard relational parametricity

Where Were We?

- Last time we recalled Reynolds' standard relational parametricity
- This is the main inspiration for the bifibrational model of parametricity for System F we will develop

Where Were We?

- Last time we recalled Reynolds' standard relational parametricity
- This is the main inspiration for the bifibrational model of parametricity for System F we will develop
- View Reynolds' construction and results through the lens of the relations (bi)fibration on **Set**

Where Were We?

- Last time we recalled Reynolds' standard relational parametricity
- This is the main inspiration for the bifibrational model of parametricity for System F we will develop
- View Reynolds' construction and results through the lens of the relations (bi)fibration on **Set**
- Generalize Reynolds' constructions to bifibrational models of System F for which we can prove (bifibrational versions of) the IEL and Abstraction Theorem

Where Were We?

- Last time we recalled Reynolds' standard relational parametricity
- This is the main inspiration for the bifibrational model of parametricity for System F we will develop
- View Reynolds' construction and results through the lens of the relations (bi)fibration on **Set**
- Generalize Reynolds' constructions to bifibrational models of System F for which we can prove (bifibrational versions of) the IEL and Abstraction Theorem
- Reynolds' construction is (ignoring size issues) such a model

Motivation: Indexed Families of Sets

- A **fibration** captures a family $(\mathcal{E}_B)_{B \in \mathcal{B}}$ of categories \mathcal{E}_B indexed over objects of a(nother) category \mathcal{B}

Motivation: Indexed Families of Sets

- A **fibration** captures a family $(\mathcal{E}_B)_{B \in \mathcal{B}}$ of categories \mathcal{E}_B indexed over objects of a(nother) category \mathcal{B}
- A fibration is a functor $U : \mathcal{E} \rightarrow \mathcal{B}$
 - \mathcal{B} is the **base category** of U
 - \mathcal{E} is the **total category** of U

Motivation: Indexed Families of Sets

- A **fibration** captures a family $(\mathcal{E}_B)_{B \in \mathcal{B}}$ of categories \mathcal{E}_B indexed over objects of a(nother) category \mathcal{B}
- A fibration is a functor $U : \mathcal{E} \rightarrow \mathcal{B}$
 - \mathcal{B} is the **base category** of U
 - \mathcal{E} is the **total category** of U

Intuitively, $\mathcal{E} = \bigcup_{B \in \mathcal{B}} \mathcal{E}_B$

Motivation: Indexed Families of Sets

- A **fibration** captures a family $(\mathcal{E}_B)_{B \in \mathcal{B}}$ of categories \mathcal{E}_B indexed over objects of a(nother) category \mathcal{B}
- A fibration is a functor $U : \mathcal{E} \rightarrow \mathcal{B}$
 - \mathcal{B} is the **base category** of U
 - \mathcal{E} is the **total category** of U

Intuitively, $\mathcal{E} = \bigcup_{B \in \mathcal{B}} \mathcal{E}_B$

- U must have some additional properties for describing indexing

Motivation: Indexed Families of Sets

- A **fibration** captures a family $(\mathcal{E}_B)_{B \in \mathcal{B}}$ of categories \mathcal{E}_B indexed over objects of a(nother) category \mathcal{B}
- A fibration is a functor $U : \mathcal{E} \rightarrow \mathcal{B}$
 - \mathcal{B} is the **base category** of U
 - \mathcal{E} is the **total category** of U

Intuitively, $\mathcal{E} = \bigcup_{B \in \mathcal{B}} \mathcal{E}_B$

- U must have some additional properties for describing indexing
- We are interested in indexing because Reynolds' interpretations are type-indexed

Display Maps

- Simple case: Indexing for sets
 - \mathcal{B} is a set I of indices,
 - \mathcal{E} is $X = \bigcup_{i \in I} X_i$, where $(X_i)_{i \in I}$ is a (wlog, disjoint) family of sets
 - $U : X \rightarrow I$ maps each $x \in X$ to the index $i \in I$ such that $x \in X_i$

Display Maps

- Simple case: Indexing for sets
 - \mathcal{B} is a set I of indices,
 - \mathcal{E} is $X = \bigcup_{i \in I} X_i$, where $(X_i)_{i \in I}$ is a (wlog, disjoint) family of sets
 - $U : X \rightarrow I$ maps each $x \in X$ to the index $i \in I$ such that $x \in X_i$
- U is called the **display map** for $(X_i)_{i \in I}$

Display Maps

- Simple case: Indexing for sets
 - \mathcal{B} is a set I of indices,
 - \mathcal{E} is $X = \bigcup_{i \in I} X_i$, where $(X_i)_{i \in I}$ is a (wlog, disjoint) family of sets
 - $U : X \rightarrow I$ maps each $x \in X$ to the index $i \in I$ such that $x \in X_i$
- U is called the **display map** for $(X_i)_{i \in I}$
- It is customary to draw it vertically, like this:

$$\begin{array}{c} X \\ \downarrow U \\ I \end{array}$$

Display Maps

- Simple case: Indexing for sets
 - \mathcal{B} is a set I of indices,
 - \mathcal{E} is $X = \bigcup_{i \in I} X_i$, where $(X_i)_{i \in I}$ is a (wlog, disjoint) family of sets
 - $U : X \rightarrow I$ maps each $x \in X$ to the index $i \in I$ such that $x \in X_i$
- U is called the **display map** for $(X_i)_{i \in I}$
- It is customary to draw it vertically, like this:

$$\begin{array}{c} X \\ \downarrow U \\ I \end{array}$$

- The set

$$X_i = U^{-1}(i) = \{x \in X \mid Ux = i\}$$

is called the **fibre** of X over i

Categories from Indexed Families - Example I

- The **slice category** \mathbf{Set}/I

Categories from Indexed Families - Example I

- The **slice category** \mathbf{Set}/I
 - An **object** in \mathbf{Set}/I is a function $U : X \rightarrow I$ in \mathbf{Set}

Categories from Indexed Families - Example I

- The **slice category** \mathbf{Set}/I
 - An **object** in \mathbf{Set}/I is a function $U : X \rightarrow I$ in \mathbf{Set}
 - A **morphism** from $U' : X' \rightarrow I$ and $U : X \rightarrow I$ in \mathbf{Set}/I is a function $g : X' \rightarrow X$ in \mathbf{Set} such that $U \circ g = U'$

$$\begin{array}{ccc} X' & \xrightarrow{g} & X \\ & \searrow U' & \swarrow U \\ & I & \end{array}$$

Categories from Indexed Families - Example I

- The **slice category** \mathbf{Set}/I
 - An **object** in \mathbf{Set}/I is a function $U : X \rightarrow I$ in \mathbf{Set}
 - A **morphism** from $U' : X' \rightarrow I$ and $U : X \rightarrow I$ in \mathbf{Set}/I is a function $g : X' \rightarrow X$ in \mathbf{Set} such that $U \circ g = U'$

$$\begin{array}{ccc} X' & \xrightarrow{g} & X \\ & \searrow U' & \swarrow U \\ & & I \end{array}$$

- We can view g as a family of functions $(g_i)_{i \in I}$, where $g_i : X'_i \rightarrow X_i$

Categories from Indexed Families - Example I

- The **slice category** \mathbf{Set}/I
 - An **object** in \mathbf{Set}/I is a function $U : X \rightarrow I$ in \mathbf{Set}
 - A **morphism** from $U' : X' \rightarrow I$ and $U : X \rightarrow I$ in \mathbf{Set}/I is a function $g : X' \rightarrow X$ in \mathbf{Set} such that $U \circ g = U'$

$$\begin{array}{ccc} X' & \xrightarrow{g} & X \\ & \searrow U' & \swarrow U \\ & & I \end{array}$$

- We can view g as a family of functions $(g_i)_{i \in I}$, where $g_i : X'_i \rightarrow X_i$
- Identities and composition are inherited from \mathbf{Set}

Categories from Indexed Families - Example II

- The **arrow category** $\mathbf{Set}^{\rightarrow}$

Categories from Indexed Families - Example II

- The **arrow category** $\mathbf{Set}^{\rightarrow}$
 - An **object** of $\mathbf{Set}^{\rightarrow}$ is a function $U : X \rightarrow I$ in \mathbf{Set} for some index set I

Categories from Indexed Families - Example II

- The **arrow category** $\mathbf{Set}^{\rightarrow}$

- An **object** of $\mathbf{Set}^{\rightarrow}$ is a function $U : X \rightarrow I$ in \mathbf{Set} for some index set I
- A **morphism** from $U' : Y \rightarrow J$ to $U : X \rightarrow I$ in $\mathbf{Set}^{\rightarrow}$ is a pair $(g : Y \rightarrow X, f : J \rightarrow I)$ of functions in \mathbf{Set} such that $U \circ g = f \circ U'$

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

Categories from Indexed Families - Example II

- The **arrow category** $\mathbf{Set}^{\rightarrow}$
 - An **object** of $\mathbf{Set}^{\rightarrow}$ is a function $U : X \rightarrow I$ in \mathbf{Set} for some index set I
 - A **morphism** from $U' : Y \rightarrow J$ to $U : X \rightarrow I$ in $\mathbf{Set}^{\rightarrow}$ is a pair $(g : Y \rightarrow X, f : J \rightarrow I)$ of functions in \mathbf{Set} such that $U \circ g = f \circ U'$

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

- We can view g as a family of functions $(g_j)_{j \in J}$, where $g_j : Y_j \rightarrow X_{f(j)}$ (since $g(y) \in U^{-1}(f(j))$ for any $y \in Y_j = U'^{-1}(j)$)

Categories from Indexed Families - Example II

- The **arrow category** $\mathbf{Set}^{\rightarrow}$
 - An **object** of $\mathbf{Set}^{\rightarrow}$ is a function $U : X \rightarrow I$ in \mathbf{Set} for some index set I
 - A **morphism** from $U' : Y \rightarrow J$ to $U : X \rightarrow I$ in $\mathbf{Set}^{\rightarrow}$ is a pair $(g : Y \rightarrow X, f : J \rightarrow I)$ of functions in \mathbf{Set} such that $U \circ g = f \circ U'$

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

- We can view g as a family of functions $(g_j)_{j \in J}$, where $g_j : Y_j \rightarrow X_{f(j)}$ (since $g(y) \in U^{-1}(f(j))$ for any $y \in Y_j = U'^{-1}(j)$)
- Identities and composition are componentwise inherited from \mathbf{Set} .

Categories from Indexed Families - Example II

- The **arrow category** $\mathbf{Set}^{\rightarrow}$
 - An **object** of $\mathbf{Set}^{\rightarrow}$ is a function $U : X \rightarrow I$ in \mathbf{Set} for some index set I
 - A **morphism** from $U' : Y \rightarrow J$ to $U : X \rightarrow I$ in $\mathbf{Set}^{\rightarrow}$ is a pair $(g : Y \rightarrow X, f : J \rightarrow I)$ of functions in \mathbf{Set} such that $U \circ g = f \circ U'$

$$\begin{array}{ccc}
 Y & \xrightarrow{g} & X \\
 U' \downarrow & & \downarrow U \\
 J & \xrightarrow{f} & I
 \end{array}$$

- We can view g as a family of functions $(g_j)_{j \in J}$, where $g_j : Y_j \rightarrow X_{f(j)}$ (since $g(y) \in U^{-1}(f(j))$ for any $y \in Y_j = U'^{-1}(j)$)
- Identities and composition are componentwise inherited from \mathbf{Set} .
- $\mathbf{Set}^{\rightarrow}$ induces a **codomain functor** $cod : \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}$ mapping

$$U : X \rightarrow I \text{ to } I \quad \text{and} \quad (g, f) \text{ to } f$$

Substitution

- Consider $U : X \rightarrow I$ for $X = (X_i)_{i \in I}$ for some index set I

Substitution

- Consider $U : X \rightarrow I$ for $X = (X_i)_{i \in I}$ for some index set I
- **Substitution** along $f : J \rightarrow I$ turns the family $(X_i)_{i \in I}$ into a family $(Y_j)_{j \in J}$ such that $Y_j = X_{f(j)}$

Substitution

- Consider $U : X \rightarrow I$ for $X = (X_i)_{i \in I}$ for some index set I
- **Substitution** along $f : J \rightarrow I$ turns the family $(X_i)_{i \in I}$ into a family $(Y_j)_{j \in J}$ such that $Y_j = X_{f(j)}$
- $(Y_j)_{j \in J}$ is obtained by **pullback** of U along f

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & \lrcorner & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

Substitution

- Consider $U : X \rightarrow I$ for $X = (X_i)_{i \in I}$ for some index set I
- **Substitution** along $f : J \rightarrow I$ turns the family $(X_i)_{i \in I}$ into a family $(Y_j)_{j \in J}$ such that $Y_j = X_{f(j)}$
- $(Y_j)_{j \in J}$ is obtained by **pullback** of U along f

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & \lrcorner & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

- $Y = \{(j, x) \in J \times X \mid U(x) = f(j)\}$ with projection functions g and U'

Substitution

- Consider $U : X \rightarrow I$ for $X = (X_i)_{i \in I}$ for some index set I
- **Substitution** along $f : J \rightarrow I$ turns the family $(X_i)_{i \in I}$ into a family $(Y_j)_{j \in J}$ such that $Y_j = X_{f(j)}$
- $(Y_j)_{j \in J}$ is obtained by **pullback** of U along f

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & \lrcorner & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

- $Y = \{(j, x) \in J \times X \mid U(x) = f(j)\}$ with projection functions g and U'
- $U' : Y \rightarrow J$ gives a new family of sets $(Y_j)_{j \in J}$ whose fibres are

$$Y_j = U'^{-1}(j) = \{x \in X \mid U(x) = f(j)\} = U^{-1}(f(j)) = X_{f(j)}$$

Substitution

- Consider $U : X \rightarrow I$ for $X = (X_i)_{i \in I}$ for some index set I
- **Substitution** along $f : J \rightarrow I$ turns the family $(X_i)_{i \in I}$ into a family $(Y_j)_{j \in J}$ such that $Y_j = X_{f(j)}$
- $(Y_j)_{j \in J}$ is obtained by **pullback** of U along f

$$\begin{array}{ccc} Y & \xrightarrow{g} & X \\ U' \downarrow & \lrcorner & \downarrow U \\ J & \xrightarrow{f} & I \end{array}$$

- $Y = \{(j, x) \in J \times X \mid U(x) = f(j)\}$ with projection functions g and U'
- $U' : Y \rightarrow J$ gives a new family of sets $(Y_j)_{j \in J}$ whose fibres are

$$Y_j = U'^{-1}(j) = \{x \in X \mid U(x) = f(j)\} = U^{-1}(f(j)) = X_{f(j)}$$

- We usually write $f^*(U)$ for the display map U'

Substitution - Example 1

- Let f be an element $f : \{*\} \rightarrow I$

Substitution - Example 1

- Let f be an element $f : \{*\} \rightarrow I$
- Then f picks out an element i of I (i.e., $f(*) = i$)

Substitution - Example 1

- Let f be an element $f : \{*\} \rightarrow I$
- Then f picks out an element i of I (i.e., $f(*) = i$)
- $Y_* = U'^{-1}(*) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$

Substitution - Example 1

- Let f be an element $f : \{*\} \rightarrow I$
- Then f picks out an element i of I (i.e., $f(*) = i$)
- $Y_* = U'^{-1}(*) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$
- Thus $Y = \bigcup_{j \in \{*\}} Y_j = Y_* = X_i$

Substitution - Example 1

- Let f be an element $f : \{*\} \rightarrow I$
- Then f picks out an element i of I (i.e., $f(*) = i$)
- $Y_* = U'^{-1}(*) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$
- Thus $Y = \bigcup_{j \in \{*\}} Y_j = Y_* = X_i$
- So substituting along a particular element of I selects the fibre of X over that element

Substitution - Example 2

- Let f be a non-indexed set $f : J \rightarrow \{*\}$

Substitution - Example 2

- Let f be a non-indexed set $f : J \rightarrow \{*\}$
- Then, for every $j \in J$,

$$Y_j = U'^{-1}(j) = \{x \in X \mid U(x) = *\} = U^{-1}(*) = X_* = X$$

Substitution - Example 2

- Let f be a non-indexed set $f : J \rightarrow \{*\}$

- Then, for every $j \in J$,

$$Y_j = U'^{-1}(j) = \{x \in X \mid U(x) = *\} = U^{-1}(*) = X_* = X$$

- So $Y = \bigcup_{j \in J} Y_j = J \times X$ (since the Y_j are disjoint)

Substitution - Example 3

- Let f be a projection $f : I \times J \rightarrow I$

Substitution - Example 3

- Let f be a projection $f : I \times J \rightarrow I$
- Then, for every pair (i, j) ,

$$Y_{(i,j)} = U'^{-1}(i, j) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$$

Substitution - Example 3

- Let f be a projection $f : I \times J \rightarrow I$
- Then, for every pair (i, j) ,

$$Y_{(i,j)} = U'^{-1}(i, j) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$$

- So $Y = \bigcup_{(i,j) \in I \times J} Y_{(i,j)} = \bigcup_{(i,j) \in I \times J} X_i = X_i \times J$

Substitution - Example 3

- Let f be a projection $f : I \times J \rightarrow I$
- Then, for every pair (i, j) ,

$$Y_{(i,j)} = U'^{-1}(i, j) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$$

- So $Y = \bigcup_{(i,j) \in I \times J} Y_{(i,j)} = \bigcup_{(i,j) \in I \times J} X_i = X_i \times J$
- There is a “dummy” index j in the family $f^*(U)$ that plays no role

Substitution - Example 3

- Let f be a projection $f : I \times J \rightarrow I$
- Then, for every pair (i, j) ,

$$Y_{(i,j)} = U'^{-1}(i, j) = \{x \in X \mid U(x) = i\} = U^{-1}(i) = X_i$$

- So $Y = \bigcup_{(i,j) \in I \times J} Y_{(i,j)} = \bigcup_{(i,j) \in I \times J} X_i = X_i \times J$
- There is a “dummy” index j in the family $f^*(U)$ that plays no role
- Logically speaking, substitution along a projection is **weakening**

Substitution - Example 4

- Let f be a diagonal map $f : I \rightarrow I \times I$

Substitution - Example 4

- Let f be a diagonal map $f : I \rightarrow I \times I$
- Then, for every $i \in I$,

$$Y_i = U'^{-1}(i) = \{x \in X \mid U(x) = (i, i)\} = U^{-1}(i, i) = X_{(i, i)}$$

Substitution - Example 4

- Let f be a diagonal map $f : I \rightarrow I \times I$
- Then, for every $i \in I$,

$$Y_i = U'^{-1}(i) = \{x \in X \mid U(x) = (i, i)\} = U^{-1}(i, i) = X_{(i, i)}$$

- So $Y = \bigcup_{i \in I} Y_i = \bigcup_{(i, i) \in I \times I} X_{(i, i)}$

Substitution - Example 4

- Let f be a diagonal map $f : I \rightarrow I \times I$

- Then, for every $i \in I$,

$$Y_i = U'^{-1}(i) = \{x \in X \mid U(x) = (i, i)\} = U^{-1}(i, i) = X_{(i, i)}$$

- So $Y = \bigcup_{i \in I} Y_i = \bigcup_{(i, i) \in I \times I} X_{(i, i)}$

- In other words, Y is restriction of $\bigcup_{(i, i') \in I \times I} X_{(i, i')}$ to the diagonal $i = i'$

Substitution - Example 4

- Let f be a diagonal map $f : I \rightarrow I \times I$

- Then, for every $i \in I$,

$$Y_i = U'^{-1}(i) = \{x \in X \mid U(x) = (i, i)\} = U^{-1}(i, i) = X_{(i, i)}$$

- So $Y = \bigcup_{i \in I} Y_i = \bigcup_{(i, i) \in I \times I} X_{(i, i)}$
- In other words, Y is restriction of $\bigcup_{(i, i') \in I \times I} X_{(i, i')}$ to the diagonal $i = i'$
- Logically speaking, substitution along a diagonal is **contraction**

Best Substitution Morphisms - Part I

- The pair (g, f) in the pullback diagram

$$\begin{array}{ccc} \mathbf{Y} & \xrightarrow{g} & \mathbf{X} \\ f^*(U) \downarrow & \lrcorner & \downarrow U \\ \mathbf{J} & \xrightarrow{f} & \mathbf{I} \end{array}$$

is a morphism from $f^*(U)$ to U in the arrow category $\mathbf{Set}^{\rightarrow}$

Best Substitution Morphisms - Part I

- The pair (g, f) in the pullback diagram

$$\begin{array}{ccc} \mathbf{Y} & \xrightarrow{g} & \mathbf{X} \\ f^*(U) \downarrow & \lrcorner & \downarrow U \\ \mathbf{J} & \xrightarrow{f} & \mathbf{I} \end{array}$$

is a morphism from $f^*(U)$ to U in the arrow category $\mathbf{Set}^{\rightarrow}$

- We call (g, f) a **substitution morphism** from $f^*(U)$ to U

Best Substitution Morphisms - Part II

- (g, f) is such that if
 - $U'' : Z \rightarrow K$ is any object in $\mathbf{Set}^{\rightarrow}$
 - $(g', f') : U'' \rightarrow U$ is a morphism in $\mathbf{Set}^{\rightarrow}$
 - $f' : K \rightarrow I$ factors through $f : J \rightarrow I$ via $v : K \rightarrow J$ (i.e., $f' = f \circ v$)

$$\begin{array}{ccccc}
 Z & \xrightarrow{g'} & Y & \xrightarrow{g} & X \\
 U'' \downarrow & & f^*(U) \downarrow & \lrcorner & \downarrow U \\
 K & \xrightarrow{v} & J & \xrightarrow{f} & I \\
 & & & \lrcorner & \\
 & & & & f'
 \end{array}$$

Best Substitution Morphisms - Part II

- (g, f) is such that if
 - $U'' : Z \rightarrow K$ is any object in $\mathbf{Set}^{\rightarrow}$
 - $(g', f') : U'' \rightarrow U$ is a morphism in $\mathbf{Set}^{\rightarrow}$
 - $f' : K \rightarrow I$ factors through $f : J \rightarrow I$ via $v : K \rightarrow J$ (i.e., $f' = f \circ v$)

then there exists a unique $h : Z \rightarrow Y$ in $\mathbf{Set}^{\rightarrow}$ such that

- $\text{cod}(h, v) = v$ for $\text{cod} : \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}$
- $g \circ h = g'$

$$\begin{array}{ccccc}
 Z & \xrightarrow{g'} & Y & \xrightarrow{g} & X \\
 \downarrow U'' & \dashrightarrow h & \downarrow f^*(U) & \lrcorner & \downarrow U \\
 K & \xrightarrow{v} & J & \xrightarrow{f} & I \\
 & \xrightarrow{f'} & & &
 \end{array}$$

Best Substitution Morphisms - Part II

- (g, f) is such that if
 - $U'' : Z \rightarrow K$ is any object in $\mathbf{Set}^{\rightarrow}$
 - $(g', f') : U'' \rightarrow U$ is a morphism in $\mathbf{Set}^{\rightarrow}$
 - $f' : K \rightarrow I$ factors through $f : J \rightarrow I$ via $v : K \rightarrow J$ (i.e., $f' = f \circ v$)

then there exists a unique $h : Z \rightarrow Y$ in $\mathbf{Set}^{\rightarrow}$ such that

- $\text{cod}(h, v) = v$ for $\text{cod} : \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}$
- $g \circ h = g'$

$$\begin{array}{ccccc}
 Z & \xrightarrow{g'} & Y & \xrightarrow{g} & X \\
 \downarrow U'' & \dashrightarrow h & \downarrow f^*(U) & \lrcorner & \downarrow U \\
 K & \xrightarrow{v} & J & \xrightarrow{f} & I \\
 & \xrightarrow{f'} & & &
 \end{array}$$

- That is, (g, f) is the **best substitution morphism** from $f^*(U)$ to U

Best Substitution Morphisms - Part II

- (g, f) is such that if
 - $U'' : Z \rightarrow K$ is any object in $\mathbf{Set}^{\rightarrow}$
 - $(g', f') : U'' \rightarrow U$ is a morphism in $\mathbf{Set}^{\rightarrow}$
 - $f' : K \rightarrow I$ factors through $f : J \rightarrow I$ via $v : K \rightarrow J$ (i.e., $f' = f \circ v$)

then there exists a unique $h : Z \rightarrow Y$ in $\mathbf{Set}^{\rightarrow}$ such that

- $\text{cod}(h, v) = v$ for $\text{cod} : \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}$
- $g \circ h = g'$

$$\begin{array}{ccccc}
 Z & \xrightarrow{g'} & Y & \xrightarrow{g} & X \\
 \downarrow U'' & \dashrightarrow h & \downarrow f^*(U) & \lrcorner & \downarrow U \\
 K & \xrightarrow{v} & J & \xrightarrow{f} & I \\
 & \xrightarrow{f'} & & &
 \end{array}$$

- That is, (g, f) is the **best substitution morphism** from $f^*(U)$ to U
- The existence of such best substitution morphisms is what makes $\text{cod} : \mathbf{Set}^{\rightarrow} \rightarrow \mathbf{Set}$ a **fibration**

Cartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor

Cartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : Q \rightarrow P$ in \mathcal{E} is **cartesian** over $f : X \rightarrow Y$ in \mathcal{B} if

Cartesian Morphisms

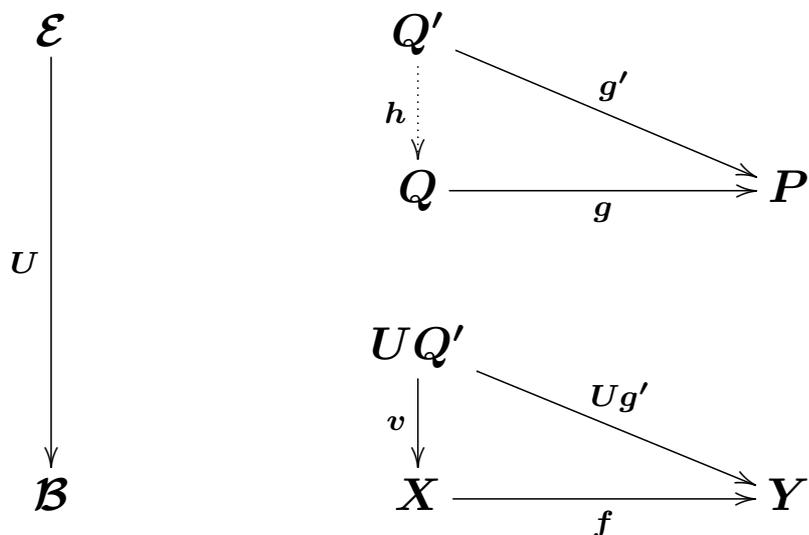
- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : Q \rightarrow P$ in \mathcal{E} is **cartesian** over $f : X \rightarrow Y$ in \mathcal{B} if
 - $Ug = f$

Cartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : Q \rightarrow P$ in \mathcal{E} is **cartesian** over $f : X \rightarrow Y$ in \mathcal{B} if
 - $Ug = f$
 - for every $g' : Q' \rightarrow P$ in \mathcal{E} with $Ug' = f \circ v$ for some $v : UQ' \rightarrow X$, there exists a unique $h : Q' \rightarrow Q$ with $Uh = v$ and $g' = g \circ h$

Cartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : Q \rightarrow P$ in \mathcal{E} is **cartesian** over $f : X \rightarrow Y$ in \mathcal{B} if
 - $Ug = f$
 - for every $g' : Q' \rightarrow P$ in \mathcal{E} with $Ug' = f \circ v$ for some $v : UQ' \rightarrow X$, there exists a unique $h : Q' \rightarrow Q$ with $Uh = v$ and $g' = g \circ h$



Opcartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor

Opcartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : P \rightarrow Q$ in \mathcal{E} is **opcartesian** over $f : X \rightarrow Y$ in \mathcal{B} if

Opcartesian Morphisms

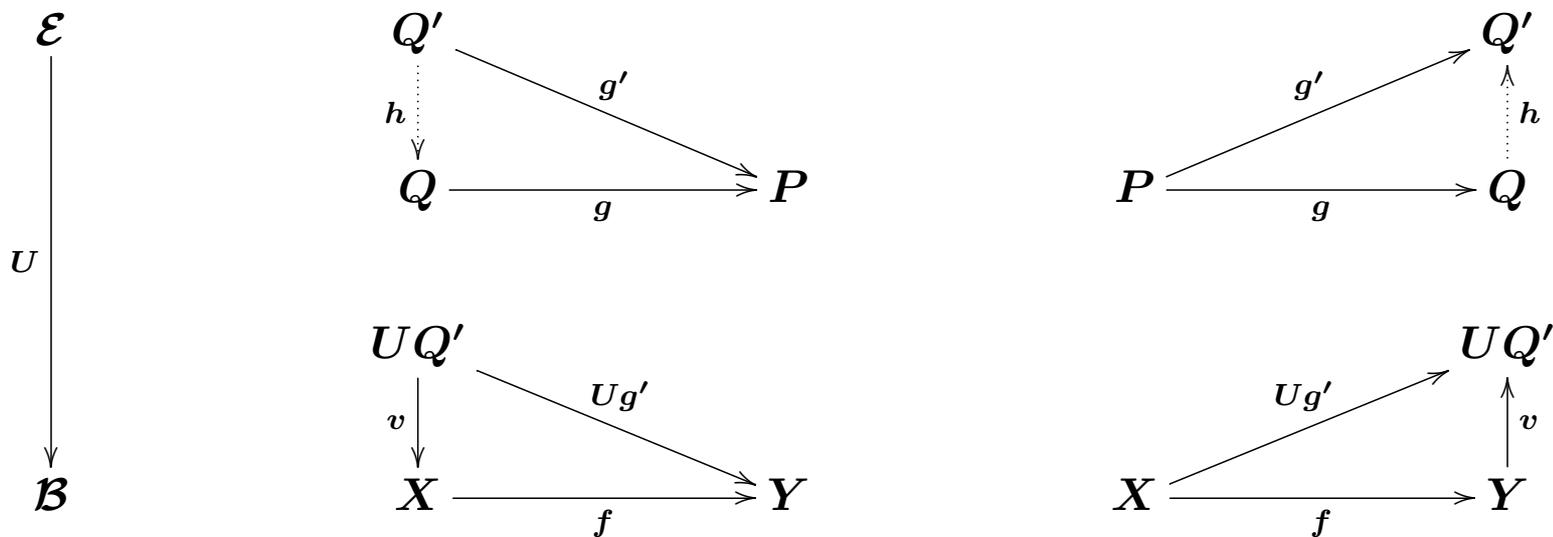
- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : P \rightarrow Q$ in \mathcal{E} is **opcartesian** over $f : X \rightarrow Y$ in \mathcal{B} if
 - $Ug = f$

Opcartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : P \rightarrow Q$ in \mathcal{E} is **opcartesian** over $f : X \rightarrow Y$ in \mathcal{B} if
 - $Ug = f$
 - for every $g' : P \rightarrow Q'$ in \mathcal{E} with $Ug' = v \circ f$ for some $v : Y \rightarrow UQ'$, there exists a unique $h : Q \rightarrow Q'$ with $Uh = v$ and $g' = h \circ g$

Opcartesian Morphisms

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ be a functor
- A morphism $g : P \rightarrow Q$ in \mathcal{E} is **opcartesian** over $f : X \rightarrow Y$ in \mathcal{B} if
 - $Ug = f$
 - for every $g' : P \rightarrow Q'$ in \mathcal{E} with $Ug' = v \circ f$ for some $v : Y \rightarrow UQ'$, there exists a unique $h : Q \rightarrow Q'$ with $Uh = v$ and $g' = h \circ g$



Observations and Notation

- Let P in \mathcal{E} and $f : X \rightarrow Y$ with $UP = Y$

Observations and Notation

- Let P in \mathcal{E} and $f : X \rightarrow Y$ with $UP = Y$
- (Op)cartesian morphisms over f wrt P are unique up to isomorphism

Observations and Notation

- Let P in \mathcal{E} and $f : X \rightarrow Y$ with $UP = Y$
- (Op)cartesian morphisms over f wrt P are unique up to isomorphism
- f_P^\S is the cartesian morphism over f with codomain P

Observations and Notation

- Let P in \mathcal{E} and $f : X \rightarrow Y$ with $UP = Y$
- (Op)cartesian morphisms over f wrt P are unique up to isomorphism
- f_P^\S is the cartesian morphism over f with codomain P
- f_\S^P is the opcartesian morphism over f with domain P

Observations and Notation

- Let P in \mathcal{E} and $f : X \rightarrow Y$ with $UP = Y$
- (Op)cartesian morphisms over f wrt P are unique up to isomorphism
- f_P^\S is the cartesian morphism over f with codomain P
- f_\S^P is the opcartesian morphism over f with domain P
- f^*P is the domain of f_P^\S

Observations and Notation

- Let P in \mathcal{E} and $f : X \rightarrow Y$ with $UP = Y$
- (Op)cartesian morphisms over f wrt P are unique up to isomorphism
- f_P^\S is the cartesian morphism over f with codomain P
- f_\S^P is the opcartesian morphism over f with domain P
- f^*P is the domain of f_P^\S
- $\Sigma_f P$ is the codomain of f_\S^P

Fibrations and Opfibrations

- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **fibration** if for every object P of \mathcal{E} and every $f : X \rightarrow UP$ in \mathcal{B} , there is a cartesian morphism $f_P^\natural : Q \rightarrow P$ in \mathcal{E} over f

Fibrations and Opfibrations

- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **fibration** if for every object P of \mathcal{E} and every $f : X \rightarrow UP$ in \mathcal{B} , there is a cartesian morphism $f_P^\S : Q \rightarrow P$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is an **opfibration** if for every object P of \mathcal{E} and every $f : UP \rightarrow Y$ in \mathcal{B} , there is an opcartesian morphism $f_P^\S : P \rightarrow Q$ in \mathcal{E} over f

Fibrations and Opfibrations

- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **fibration** if for every object P of \mathcal{E} and every $f : X \rightarrow UP$ in \mathcal{B} , there is a cartesian morphism $f_P^\S : Q \rightarrow P$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is an **opfibration** if for every object P of \mathcal{E} and every $f : UP \rightarrow Y$ in \mathcal{B} , there is an opcartesian morphism $f_P^\S : P \rightarrow Q$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **bifibration** if it is both a fibration and an opfibration

Fibrations and Opfibrations

- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **fibration** if for every object P of \mathcal{E} and every $f : X \rightarrow UP$ in \mathcal{B} , there is a cartesian morphism $f_P^\natural : Q \rightarrow P$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is an **opfibration** if for every object P of \mathcal{E} and every $f : UP \rightarrow Y$ in \mathcal{B} , there is an opcartesian morphism $f_P^\natural : P \rightarrow Q$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **bifibration** if it is both a fibration and an opfibration
- If $U : \mathcal{E} \rightarrow \mathcal{B}$ is a fibration, opfibration, or bifibration, then an object P in \mathcal{E} is **over** its image UP and similarly for morphisms

Fibrations and Opfibrations

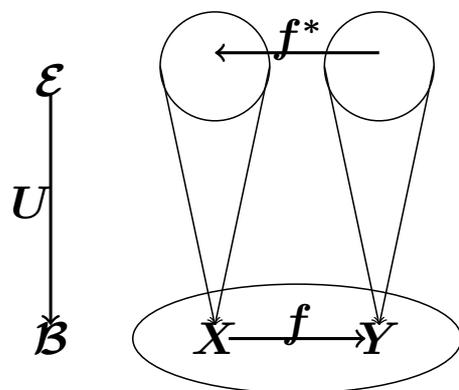
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **fibration** if for every object P of \mathcal{E} and every $f : X \rightarrow UP$ in \mathcal{B} , there is a cartesian morphism $f_P^\S : Q \rightarrow P$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is an **opfibration** if for every object P of \mathcal{E} and every $f : UP \rightarrow Y$ in \mathcal{B} , there is an opcartesian morphism $f_\S^P : P \rightarrow Q$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **bifibration** if it is both a fibration and an opfibration
- If $U : \mathcal{E} \rightarrow \mathcal{B}$ is a fibration, opfibration, or bifibration, then an object P in \mathcal{E} is **over** its image UP and similarly for morphisms
- A morphism is **vertical** if it is over id

Fibrations and Opfibrations

- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **fibration** if for every object P of \mathcal{E} and every $f : X \rightarrow UP$ in \mathcal{B} , there is a cartesian morphism $f_P^\S : Q \rightarrow P$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is an **opfibration** if for every object P of \mathcal{E} and every $f : UP \rightarrow Y$ in \mathcal{B} , there is an opcartesian morphism $f_\S^P : P \rightarrow Q$ in \mathcal{E} over f
- $U : \mathcal{E} \rightarrow \mathcal{B}$ is a **bifibration** if it is both a fibration and an opfibration
- If $U : \mathcal{E} \rightarrow \mathcal{B}$ is a fibration, opfibration, or bifibration, then an object P in \mathcal{E} is **over** its image UP and similarly for morphisms
- A morphism is **vertical** if it is over id
- The **fibre** \mathcal{E}_X over an object X in \mathcal{B} is the subcategory of \mathcal{E} of objects over X and morphisms over id_X

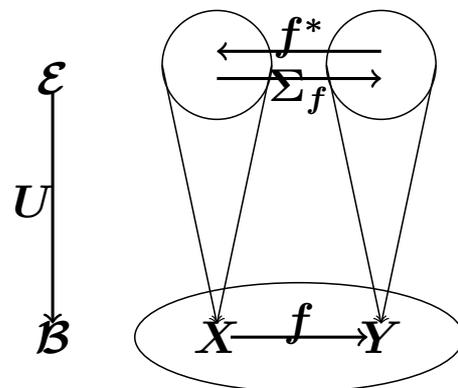
Indexing and Reindexing Functors

- The function mapping each object P of \mathcal{E} to f^*P extends to the **reindexing functor** $f^* : \mathcal{E}_Y \rightarrow \mathcal{E}_X$ along f mapping each $k : P \rightarrow P'$ in \mathcal{E}_Y to the (unique) morphism f^*k such that $k \circ f_P^\natural = f_{P'}^\natural \circ f^*k$



Indexing and Reindexing Functors

- The function mapping each object P of \mathcal{E} to f^*P extends to the **reindexing functor** $f^* : \mathcal{E}_Y \rightarrow \mathcal{E}_X$ along f mapping each $k : P \rightarrow P'$ in \mathcal{E}_Y to the (unique) morphism f^*k such that $k \circ f_{\S}^P = f_{\S}^{P'} \circ f^*k$
- The function mapping each object P of \mathcal{E} to $\Sigma_f P$ extends to the **opreindexing functor** $\Sigma_f : \mathcal{E}_X \rightarrow \mathcal{E}_Y$ along f mapping each $k : P \rightarrow P'$ in \mathcal{E}_X to the (unique) morphism $\Sigma_f k$ such that $\Sigma_f k \circ f_{\S}^P = f_{\S}^{P'} \circ k$



New Fibrations from Old

- $|\mathcal{C}|$ is the discrete category of \mathcal{C}

New Fibrations from Old

- $|\mathcal{C}|$ is the discrete category of \mathcal{C}
- The **discrete functor** $|U| : |\mathcal{E}| \rightarrow |\mathcal{B}|$ is induced by the restriction of $U : \mathcal{E} \rightarrow \mathcal{B}$ to $|\mathcal{E}|$

New Fibrations from Old

- $|\mathcal{C}|$ is the discrete category of \mathcal{C}
- The **discrete functor** $|U| : |\mathcal{E}| \rightarrow |\mathcal{B}|$ is induced by the restriction of $U : \mathcal{E} \rightarrow \mathcal{B}$ to $|\mathcal{E}|$
- \mathcal{C}^n is the n -fold product of \mathcal{C} (in **Cat**)

New Fibrations from Old

- $|\mathcal{C}|$ is the discrete category of \mathcal{C}
- The **discrete functor** $|U| : |\mathcal{E}| \rightarrow |\mathcal{B}|$ is induced by the restriction of $U : \mathcal{E} \rightarrow \mathcal{B}$ to $|\mathcal{E}|$
- \mathcal{C}^n is the n -fold product of \mathcal{C} (in **Cat**)
- The **n -fold product** of $U : \mathcal{E} \rightarrow \mathcal{B}$, denoted $U^n : \mathcal{E}^n \rightarrow \mathcal{B}^n$, is given by $U^n(X_1, \dots, X_n) = (UX_1, \dots, UX_n)$ and $U^n(f_1, \dots, f_n) = (Uf_1, \dots, Uf_n)$

New Fibrations from Old

- $|\mathcal{C}|$ is the discrete category of \mathcal{C}
- The **discrete functor** $|U| : |\mathcal{E}| \rightarrow |\mathcal{B}|$ is induced by the restriction of $U : \mathcal{E} \rightarrow \mathcal{B}$ to $|\mathcal{E}|$
- \mathcal{C}^n is the n -fold product of \mathcal{C} (in **Cat**)
- The **n -fold product** of $U : \mathcal{E} \rightarrow \mathcal{B}$, denoted $U^n : \mathcal{E}^n \rightarrow \mathcal{B}^n$, is given by $U^n(X_1, \dots, X_n) = (UX_1, \dots, UX_n)$ and $U^n(f_1, \dots, f_n) = (Uf_1, \dots, Uf_n)$
- **Lemma**
 1. If $U : \mathcal{E} \rightarrow \mathcal{B}$ is a functor, then $|U| : |\mathcal{E}| \rightarrow |\mathcal{B}|$ is a bifibration, called the **discrete fibration** for U
 2. If U is a (bi)fibration then so is $U^n : \mathcal{E}^n \rightarrow \mathcal{B}^n$ for any $n \in \mathit{Nat}$

Fibred Functors

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ and $U' : \mathcal{E}' \rightarrow \mathcal{B}'$ be fibrations

Fibred Functors

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ and $U' : \mathcal{E}' \rightarrow \mathcal{B}'$ be fibrations
- A **fibred functor** $F : U' \rightarrow U$ comprises two functors

$$F_o : \mathcal{B}' \rightarrow \mathcal{B} \quad \text{and} \quad F_r : \mathcal{E}' \rightarrow \mathcal{E}$$

such that

Fibred Functors

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ and $U' : \mathcal{E}' \rightarrow \mathcal{B}'$ be fibrations
- A **fibred functor** $F : U' \rightarrow U$ comprises two functors

$$F_o : \mathcal{B}' \rightarrow \mathcal{B} \quad \text{and} \quad F_r : \mathcal{E}' \rightarrow \mathcal{E}$$

such that

$$- U \circ F_r = F_o \circ U'$$

$$\begin{array}{ccc} \mathcal{E}' & \xrightarrow{F_r} & \mathcal{E} \\ U' \downarrow & & \downarrow U \\ \mathcal{B}' & \xrightarrow{F_o} & \mathcal{B} \end{array}$$

Fibred Functors

- Let $U : \mathcal{E} \rightarrow \mathcal{B}$ and $U' : \mathcal{E}' \rightarrow \mathcal{B}'$ be fibrations
- A **fibred functor** $F : U' \rightarrow U$ comprises two functors

$$F_o : \mathcal{B}' \rightarrow \mathcal{B} \quad \text{and} \quad F_r : \mathcal{E}' \rightarrow \mathcal{E}$$

such that

$$- U \circ F_r = F_o \circ U'$$

$$\begin{array}{ccc} \mathcal{E}' & \xrightarrow{F_r} & \mathcal{E} \\ U' \downarrow & & \downarrow U \\ \mathcal{B}' & \xrightarrow{F_o} & \mathcal{B} \end{array}$$

- cartesian morphisms are preserved, i.e., if f in \mathcal{E}' is cartesian over g in \mathcal{B}' then $F_r f$ in \mathcal{E} is cartesian over $F_o g$ in \mathcal{B}

Fibred Natural Transformations

- Let $F, F' : U' \rightarrow U$ be fibred functors

Fibred Natural Transformations

- Let $F, F' : U' \rightarrow U$ be fibred functors
- A **fibred natural transformation** $\eta : F' \rightarrow F$ comprises two natural transformations

$$\eta_o : F'_o \rightarrow F_o \quad \text{and} \quad \eta_r : F'_r \rightarrow F_r$$

Fibred Natural Transformations

- Let $F, F' : U' \rightarrow U$ be fibred functors
- A **fibred natural transformation** $\eta : F' \rightarrow F$ comprises two natural transformations

$$\eta_o : F'_o \rightarrow F_o \quad \text{and} \quad \eta_r : F'_r \rightarrow F_r$$

such that $U \circ \eta_r = \eta_o \circ U'$

Fibred Natural Transformations

- Let $F, F' : U' \rightarrow U$ be fibred functors
- A **fibred natural transformation** $\eta : F' \rightarrow F$ comprises two natural transformations

$$\eta_o : F'_o \rightarrow F_o \quad \text{and} \quad \eta_r : F'_r \rightarrow F_r$$

such that $U \circ \eta_r = \eta_o \circ U'$

$$\begin{array}{ccc}
 F'_r X & \xrightarrow{\eta_{rX}} & F_r X \\
 \downarrow F'_r f & & \downarrow F_r f \\
 U F'_r Y & \xrightarrow{\eta_{rY}} & F_r Y U \\
 \downarrow & & \downarrow \\
 F'_o U' X & \xrightarrow{\eta_{oUX}} & F_o U X \\
 \downarrow F'_o U' f & & \downarrow F_o U f \\
 F'_o U' Y & \xrightarrow{\eta_{oUY}} & F_o U Y
 \end{array}$$

Coming Up

- View Reynolds' construction and results through the lens of the relations (bi)fibration on **Set**

Coming Up

- View Reynolds' construction and results through the lens of the relations (bi)fibration on **Set**
- Generalize Reynolds' constructions to (bi)fibrational models of System F for which we can prove (fibrational versions of) the IEL and Abstraction Theorem Reynolds' construction is (ignoring size issues) an instance

Coming Up

- View Reynolds' construction and results through the lens of the relations (bi)fibration on **Set**
- Generalize Reynolds' constructions to (bi)fibrational models of System **F** for which we can prove (fibrational versions of) the IEL and Abstraction Theorem Reynolds' construction is (ignoring size issues) an instance
- Reynolds' construction is (ignoring size issues) such a model

References

- *Categorical Logic and Type Theory*. B. Jacobs. Elsevier, 1999.
- Bifibrational functorial semantics for parametric polymorphism. N. Ghani, P. Johann, F. Nordvall Forsberg, F. Orsanigo, and T. Revell. MFPS'15.