

Proofs as Programs Summer School  
Eugene Oregon June - July 2002

Type Systems

Herman Geuvers

Nijmegen University, NL

Lecture 6: Various Type Theoretic Topics

## Pure Type Systems

Determined by a triple  $(S, A, \mathcal{R})$  with

- $S$  the set of **sorts**

- $A$  the set of **axioms**,  $A \subseteq S \times S$

- $\mathcal{R}$  the set of **rules**,  $\mathcal{R} \subseteq S \times S \times S$

If  $s_2 = s_3$  in  $(s_1, s_2, s_3) \in \mathcal{R}$ , we write  $(s_1, s_2) \in \mathcal{R}$ .

**pseudoterms:**

$$T ::= S \mid \text{Var} \mid (\Pi \text{Var}:T.T) \mid (\lambda \text{Var}:T.T) \mid TT.$$

$$\text{(sort)} \quad \frac{\vdash s_1 : s_2 \quad \text{if } (s_1, s_2) \in \mathcal{A} \text{ (var)}}{\Gamma \vdash A : s} \quad \text{if } x \notin \Gamma$$

$$\text{(weak)} \quad \frac{\Gamma \vdash A : s \quad \Gamma \vdash M : C \quad \Gamma, x : A \vdash M : C}{\Gamma \vdash A : s} \quad \text{if } x \notin \Gamma$$

$$\text{(II)} \quad \frac{\Gamma \vdash A : s_1 \quad \Gamma, x : A \vdash B : s_2 \quad \Gamma \vdash \Pi x : A. B : s_3}{\Gamma \vdash A \vdash M : B} \quad \text{if } (s_1, s_2, s_3) \in \mathcal{R}$$

$$\text{(}\lambda\text{)} \quad \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A. B : s}{\Gamma \vdash \lambda x : A. M : \Pi x : A. B}$$

$$\text{(app)} \quad \frac{\Gamma \vdash M : \Pi x : A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]}$$

$$\text{(conv}\beta\text{)} \quad \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s \quad \Gamma \vdash M : B}{\Gamma \vdash M : A} \quad A =_\beta B$$

## Examples of PTSs

$CC$	$S$ Prop, Type $A$ Prop : Type $\mathcal{R}$ (Prop, Prop), (Prop, Type), (Type, Prop), (Type, Type)
------	---

$CC_\infty$	$S$ Prop, $\{Type_i\}_{i \in \mathbb{N}}$ $A$ Prop : Type, $Type_i : Type_{i+1}$ $\mathcal{R}$ (Prop, Prop), (Prop, $Type_i$ ), ( $Type_i$ , Prop), ( $Type_i$ , $Type_j$ , $Type_{\max(i,j)}$ )
-------------	--

Recall that  $(Type_1 Type_0, Type_0)$  (and similarly  $(Type_{i+1} Type_i, Type_i)$ ) would be **inconsistent**.

The **Extended Calculus of Constructions** has in additio

- **Cumulativity:**  $\text{Prop} \subseteq \text{Type}_0 \subseteq \text{Type}_1 \subseteq \dots$ , so

$$\frac{\Gamma \vdash A : \text{Prop} \quad \Gamma \vdash A : \text{Type}_i}{\Gamma \vdash A : \text{Type}_{i+1}}$$

- **$\Sigma$ -types:**

$$\frac{\Gamma \vdash A : \text{Prop} \quad \Gamma, x:A \vdash B : \text{Prop}}{\Gamma \vdash A : \text{Prop}, x:A \vdash B : \text{Prop}} \quad \frac{\Gamma \vdash A : \text{Type}_i, x:A \vdash B : \text{Type}_j}{\Gamma \vdash \Sigma x:A. B : \text{Type}_{\max(i,j)}}$$

For  $\varphi : \text{Prop}$

- We have  **$\Pi A:\text{Type}_i. \varphi$**  : Prop, but
- We do **not** have  **$\Sigma A:\text{Type}_i. \varphi$**  : Prop.

Note: The type theory of Coq has in addition Set : Type and rules (Set, Set), (Type<sub>i</sub>, Set), (Set, Prop).

Use  $\Sigma$ -types for mathematical structures:  
 theory of groups: Given  $A : \text{Type}$ , a group over  $A$  is a tuple consisting of

$$\begin{aligned} \circ : A \rightarrow A \rightarrow A \\ e : A \\ \text{inv} : A \rightarrow A \end{aligned}$$

such that the following types are inhabited.

$$\begin{aligned} \prod x, y, z : A. (x \circ y) \circ z = x \circ (y \circ z), \\ \prod x : A. e \circ x = x, \\ \prod x : A. (\text{inv } x) \circ x = e. \end{aligned}$$

Type of group-structures over  $A$ ,  $\text{Group-Str}(A)$ , is

$$(A \rightarrow A \rightarrow A) \times (A \times (A \rightarrow A))$$

The type of groups over  $A$ ,  $\text{Group}(A)$ , is

$$\text{Group}(A) := \Sigma \circ A \rightarrow A.$$

$$\begin{aligned} & (\Pi x, y, z : A. (x \circ y) \circ z = x \circ (y \circ z)) \wedge \\ & (\Pi x : A. e \circ x = x) \wedge \\ & (\Pi x : A. (\text{inv } x) \circ x = e). \end{aligned}$$

If  $t : \text{Group}(A)$ , we can extract the elements of the group structure by projections:  $\pi_1 t : A \rightarrow A \rightarrow A, \pi_1(\pi_2 t) : A \rightarrow A \rightarrow A, a : A$  and  $h : A \rightarrow A$  with  $p_1, p_2, p_3$  and  $p_4$  proof-terms of the associated group-axioms, then

$$\langle f, \langle a, \langle h, \langle p_1, \langle p_2, \langle p_3, p_4 \rangle \rangle \rangle \rangle \rangle : \text{Group}(A).$$

We would like to use **names** for the projections:  
 Coq has **labelled record types** (type dependent)

• Record My\_type : Set :=

{ l\_1 : type\_1 ;

l\_2 : type\_2 ;

l\_3 : type\_3 }.

If  $X : \text{My\_type}$ , then  $(l_1 X) : \text{type}_1$ .

• Also with **dependent types**:  $l_1$  may occur in  $\text{type}_2$ .

If  $X : \text{My\_type}$ , then

$(l_2 X) : \text{type}_2 [(l_1 X)/l_1]$

● Record Group : Type :=

```

{ cr : Set;
  op   : cr -> cr -> cr;
  unit : cr;
  inv  : cr -> cr;
  assoc (x,y,z) : cr;
  (op (op x y) z) = (op x (op y z))
}

```

If  $X$  : Group, then  $(op\ X) : (cr\ X) \rightarrow (cr\ X)$ .

The **record types** can be defined in Coq using inductive types.  
 Note: Group is in Type and not in Set

Allowing

$$\frac{\Gamma \vdash A : \text{Type } \Gamma, x:A \vdash B : \text{Prop}}{\Gamma \vdash \Sigma x:A. B : \text{Prop}}$$

leads to **inconsistency**:

- Define  $\Omega := \Sigma A:\text{Set}.\Sigma R:A \rightarrow A \rightarrow \text{Prop}. \text{wf}(R)$
- $\text{wf}(R)$  denotes that  $R$  is well-founded.

- Define  $>$  on  $\Omega$  by

$(A, R) < (B, Q) := R$  can be embedded into  $Q$  under some  $b : B$

Then

–  $>$  is well-founded on  $\Omega$

– If  $(A, R)$  well-founded, then  $(A, R) < (\Omega, <)$

so contradiction:  $\dots < (\Omega, <) < (\Omega, <) < (\Omega, <)$ .

## Functions and Algorithms

- **Set theory** (and logic): a function  $f : A \rightarrow B$  is a **relation**  $R \subset A \times B$  such that  $\forall x:A. \exists! y:B. R x y$ .  
“functions as graphs”

- In **Type theory**, we have **functions-as-graphs**, but also **functions-as-algorithms**:  $f : A \rightarrow B$ .

**Functions as algorithms** also **compute**:  $\beta$  and  $\iota$  rules:

$$\begin{aligned} (\lambda x:A.M)N &\rightarrow_{\beta} M[N/x], \\ \text{Rec } b f 0 &\rightarrow_{\iota} b, \\ \text{Rec } b f (S x) &\rightarrow_{\iota} f x (\text{Rec } b f x). \end{aligned}$$

Terms of type  $A \rightarrow B$  denote **algorithms**, whose operational semantics is given by the reduction rules.

Type theory can be seen as a small **programming language** esp. if we have inductive types (with primitive recursion)

## Poincaré Principle

An equality involving a computation does not require a proof.

In type theory: if  $t = q$  by evaluation (computing an algorithm), then this is a trivial equality, proved by reflexivity. This is made precise by the conversion rule:

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash M : B}{\Gamma \vdash A =_B B}$$

Can we actually use the programming power of CIC when formalizing mathematics?

Yes. For automation: replacing a **proof obligation** by a **computation**

## Reflection Suppose

- We have a class of problems with a syntactic encoding as a data type, say via the type **Problem**.

Example: equalities between **expressions** over a **group**  
Then the syntactic encoding is

```
Inductive E : Set :=
  evar   : nat -> E
  | eone  : E
  | eop   : E -> E -> E
  | einv  : E -> E
```

- We have a **decoding** function  $\llbracket - \rrbracket : \text{Problem} \rightarrow \text{Prop}$
- We have a **decision** function  $\text{Dec} : \text{Problem} \rightarrow \{0, 1\}$
- We can prove  $\text{Ok} : \forall p : \text{Problem} ((\text{Dec}(p) = 1) \rightarrow \llbracket p \rrbracket)$

To **verify**  $P$  (from the class of problems):

- **Find** a  $d$  : Problem such that  $\llbracket d \rrbracket = P$ .
- Then  $\text{Dec}(d)$  yields either 1 or 0
- If  $\text{Dec}(d) = 1$ , then we have a proof of  $P$  (using Ok)
- If  $\text{Dec}(d) = 0$ , we obtain no information about  $P$  (it 'fails')

Note: if Dec is **complete**:

$$\forall d: \text{Problem}(\text{Dec}(d) = 1) \Leftrightarrow \llbracket d \rrbracket$$

then  $\text{Dec}(d) = 0$  yields a proof of  $\neg P$ .

## Implicit Syntax and Coercions

- **Implicit Syntax:** If the type checker can **infer** some arguments, we can leave them away:

Write  $(f \ ? \ a \ b)$  instead of  $(f \ S \ a \ b)$  if  $f : (S : Set) S \rightarrow S \rightarrow S$   
Also: define  $F := (f \ ?)$  and write  $(F \ a \ b)$ .

- **Coercions:** The user can tell the type checker to use specific terms as **coercions**.

Coercion  $k : A \rightarrow B$  declares the term  $k : A \rightarrow B$  as a coercion.

– If  $f$  a can not be typed, the type checker will try to type check  $(k \ f) \ a$  and  $f \ (k \ a)$ .

– If we declare a variable  $x : A$  and  $A$  is not a type, the type checker will check if  $(k \ A)$  is a type.

Coercions can be composed.

## Coercions and structures

```
Record CMonoid : Type :=
  { m_crr      : > CSemigroup;
    m_proof   : (Commutative m_crr (sg_op m_crr))
      \ (IsUnit m_crr (sg_unit m_crr) (sg_op m_crr))
  }.
```

- A monoid is now a tuple  $\langle\langle S, =_S, r \rangle, a, f, p \rangle, q \rangle$   
If  $M : \text{Monoid}$ , the carrier of  $M$  is  $(\text{crr}(\text{sg\_crr}(M)))$   
Nasty !!

$\Rightarrow$  We want to use the structure  $M$  as synonym for the carrier  
 $\text{set}(\text{crr}(\text{sg\_crr}(M)))$ .

$\Rightarrow$  The maps  $\text{crr}$ ,  $\text{sg\_crr}$ ,  $\text{m\_crr}$  should be left implicit.

- The notation  $\text{m\_crr} : > \text{Semigroup}$  declares the coercion

$\text{m\_crr} : \text{Monoid} <-> \text{Semigroup}$ .

## Setoids

How to represent the notion of **set**?

**Note:** A **set** is not just a **type**, because  $M : A$  is **decidable** whereas  $t \in X$  is **undecidable**

A **setoid** is

- a pair  $[A, =]$  with

- $A : \text{Set}$ ,

- $= : A \rightarrow (A \rightarrow \text{Prop})$  an **equivalence relation** over  $A$

**Function space setoid**

$[A \xrightarrow{s} B, =_{A \xrightarrow{s} B}]$  is **defined** by

$$A \xrightarrow{s} B := \Sigma f : A \rightarrow B. (\Pi x, y : A. (R^A x y) \rightarrow ((f x) =_B (f y)))$$
$$f =_{A \xrightarrow{s} B} g := \Pi x, y : A. (x =_A y) \rightarrow (\pi_1 f x) =_B (\pi_1 g y).$$

Two mathematical constructions: **quotient** and **subset** for sets.

$\mathcal{Q}$  is an **equivalence relation** over the setoid  $[A, =_A]$  if

- $\mathcal{Q} : A \rightarrow (A \rightarrow \text{Prop})$  is an equivalence relation,

- $=_A \subset \mathcal{Q}$ , i.e.  $\forall x, y : A. (x =_A y) \rightarrow (\mathcal{Q} x y)$ .

The **quotient setoid**  $[A, =_A] / \mathcal{Q}$  is defined as

$$[A, \mathcal{Q}]$$

Easy exercise:

If the setoid function  $f : [A, =_A] \rightarrow [B, =_B]$  **respects**  $\mathcal{Q}$  (i.e.  $\forall x, y : A. (\mathcal{Q} x y) \rightarrow ((f x) =_B (f y))$ )

it induces a setoid function from  $[A, =_A] / \mathcal{Q}$  to  $[B, =_B]$ .

- All equivalence classes are reduced to a one element set
- The subsetoid  $[A, =_A] \parallel P$  is isomorphic to  $[A, =_A]$

$$\mathcal{Q} \langle x, d \rangle := \text{gcd}(x, d + 1) = 1.$$

Take the predicate  $P$  on  $A$  defined by

$$\langle x, d \rangle \in A \langle y, q \rangle := x(q + 1) = y(d + 1).$$

We define, for  $\langle x, d \rangle, \langle y, q \rangle \in A$ ,

Example (rational numbers): Let  $A := \text{int} \times \text{nat}$

a subsetoid we may remove elements from the  $=$ -classes.

**NB** We do not require the predicate  $P$  to respect  $=_A$ : In taking

$$q \in (=_{A \parallel P}) r := (\pi_1 q) =_A (\pi_1 r).$$

$=_{A \parallel P}$  is  $=_A$  restricted to  $P$ : for  $q, r : \Sigma x:A.(P x)$ ,

$$[A, =_A] \parallel P := [\Sigma x:A.(P x), =_{A \parallel P}]$$

Given  $[A, =_A]$  and predicate  $P$  on  $A$  define the **sub-setoid**

## Objects depending on proofs

What should the type of the `reciprocal`?

given that the `reciprocal of 0` is not defined.

- Let  $\text{recip} : A \rightarrow A$  with the property  $\forall x:A. x \neq 0 \rightarrow \text{mult } x (\text{recip } x) = 1$

- Now  $\text{recip } 0$  is an 'unspecified' element of  $A$ , it should be undefined

- Type theoretic solution

$\text{recip} : (\sum x:A. x \neq 0) \rightarrow A.$

- Then `recip` is only defined on the subset of elements that are non-zero:

`recip` takes as input a pair  $\langle a, d \rangle$  with  $d : a \neq 0$  and returns  $\text{recip} \langle a, d \rangle : A.$

- How to understand the dependency of this object (of type  $A$ ) on the proof  $p$ ?

Possible **solution**: setoids

- Take a setoid  $[A, =_A]$  as the carrier of a field
  - The operations on the field are taken to be setoid functions
  - The field-properties are now denoted using the setoid equality.
- For the reciprocal:

$$\text{recip} : [A, =_A] \rightarrow [A, =_A],$$

a setoid function from the subsetoid of non-zeros to  $[A, =_A]$

**Note** recip still takes a pair of an object and a proof  $\langle a, p \rangle$  and returns  $\text{recip} \langle a, p \rangle : A$ .

But recip is now a **setoid function** which implies

If  $p : a \neq_A 0, q : a \neq_A 0$ , then  $\text{recip} \langle a, p \rangle =_A \text{recip} \langle a', q \rangle$

- The value of  $\text{recip}\langle a, d \rangle$  does not depend on the actual  $d$
- One only has to ascertain that such a term exists.

**Proof Irrelevance** principle: for an object  $t(p) : A$ , with  $p : \varphi$  a sub-term of  $t$ ,

$$t(p) = t(q) \text{ for all } p, q : \varphi$$

The setoid equality obeys this principle.

## Intensionality versus Extensionality

The side condition equality in the conv rule is called the **definitional equality**

It can be **intensional** or **extensional**.

**Extensional** equality requires the following rules:

$$\text{(ext)} \quad \frac{\Gamma \vdash M, N : A \rightarrow B \quad \Gamma \vdash p : \Pi x:A.(Mx = Nx)}{\Gamma \vdash M = N : A \rightarrow B}$$

$$\text{(conv)} \quad \frac{\Gamma \vdash P : B}{\Gamma \vdash P : A \quad \Gamma \vdash A = B : s}$$

● Intensional equality of functions = equality of **algorithms** (the way the function is presented to us (syntax))

● Extensional equality of functions = equality of **graphs** (the (set-theoretic) meaning of the function (semantics))

Adding the rule (ext) renders TCP **undecidable**:

Suppose  $H : (A \multimap B) \multimap \text{Prop}$  and  $x : (H f)!$ ; then

$x : (H g)$  iff there is a  $p : \prod x:A. f x = g x$

So, to solve this TCP, we need to solve a TIP.

The interactive theorem prover NuPr1 is based on extensional type theory.