

OPLSS 22 Introduction to Type Theory (1)

Cosmo Viola, Nabil Hassein, Daniel Zackon, Jiawei Chen

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This course will focus on intuition behind type theory instead of the formalism of type theory.

1 What is Type Theory?

- An alternative foundation to Set Theory
- A logic
- A programming language

2 How are Set Theory and Type Theory different?

- **Elementhood is a proposition in set theory but a judgement in type theory.** Elements are not independent of their type, unlike in set theory. For example, $\forall x, x \in A \implies x \in B$ makes sense in in set theory as a definition of subset but not in type theory.
- **Set theory doesn't rule out strange questions about the encoding of elements.** For example, in the encoding of natural numbers in set theory, we can ask if $2 \subseteq 3$, which is valid but terrible. Type theory makes representation invariance easier.
- **The logic of type theory is intuitionistic.** It arises naturally out of the propositions as types explanation.
- **Functions are a primitive of type theory.** In set theory, a function is a special type of relation $f \subseteq A \times B$ such that $\forall x \in A, \exists! y \in B, (x, y) \in f$. (Note that $\exists! y$ means “there exists a unique y”.) In type theory, functions are used to define relations: a relation is a function $R : A \rightarrow B \rightarrow Prop$.

3 What is a function?

A function is a black box that takes in an input and produces an output. Two functions are equal if the functions produces the same output on every input; this is *extensional* equality. We can define a function like

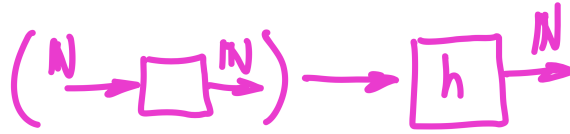


Figure 1: Block-diagram representation of the higher-order function h , which takes a function as an argument

$$f : \mathbb{N} \rightarrow \mathbb{N}, f\ n = n + 2$$

We can evaluate f on an element of \mathbb{N} . For example,

$$\begin{aligned} f\ 3 & \\ &= (n + 2)[n := 3] \text{ (This is } \beta \text{ reduction.)} \\ &= 3 + 2 \\ &= 5 \end{aligned}$$

We can define functions anonymously. For example, $\lambda n \rightarrow n + 2$ is the same function as the above but without a name. Then, let $f' = \lambda n \rightarrow n + 2$, and we can evaluate $f'\ 3$ similarly to before.

$$\begin{aligned} f'\ 3 & \\ &= (\lambda n \rightarrow n + 2)\ 3 \\ &= (n + 2)[n := 3] \\ &= 3 + 2 \\ &= 5 \end{aligned}$$

Function types are right associative: $A \rightarrow B \rightarrow C = A \rightarrow (B \rightarrow C)$. Function application is left associative: $g\ x\ y = (g\ x)\ y$. However, in general $A \rightarrow B \rightarrow C \neq (A \rightarrow B) \rightarrow C$ and $g\ x\ y \neq g\ (x\ y)$.

Functions can be higher order; they can take other functions as inputs and produce functions as outputs. Consider h of type $(\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$, which takes a function of type $\mathbb{N} \rightarrow \mathbb{N}$ as input (Fig. 1).

Let $g : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$, $g\ x\ y = y + x$ (Fig. 2). Suppose we have $y : \mathbb{N}$, and consider $g\ y$. If we simply substitute y for x in g , we will have two different ys with two different meanings. We use α -conversion to solve this:

$$\begin{aligned} g\ y &= (\lambda x \rightarrow \lambda y \rightarrow y + x)\ y \\ &= (\lambda y \rightarrow y + x)[x := y] \\ &= (\lambda z \rightarrow z + x)[x := y] \text{ (}\alpha\text{-conversion)} \\ &= \lambda z \rightarrow z + y \end{aligned}$$

Finally, consider a function $hh : \mathbb{N} \rightarrow \mathbb{N}$, $hh = \lambda n \rightarrow f'n$. There is an η -rule which says that $hh = f'$ definitionally, or judgementally; this is despite the fact that the functions are defined differently.



Figure 2: Block-diagram representation of g

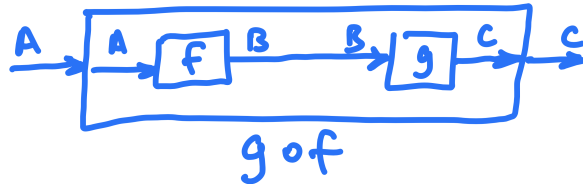


Figure 3: Block-diagram representation of $g \circ f$

4 Combinators

Combinators are functions that only use pure lambda calculus. One combinator, the identity, is

$$\begin{aligned} id &: A \rightarrow A \\ id \ x &= x. \end{aligned}$$

Another combinator, composition (Fig. 3), is

$$\begin{aligned} _ \circ _ &: (B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow (A \rightarrow C) \\ (g \circ f) \ x &= g \ (f \ x). \end{aligned}$$

Here is a third combinator, the K combinator:

$$\begin{aligned} K &: A \rightarrow B \rightarrow A \\ K \ a \ b &= a \end{aligned}$$

Here is a fourth combinator, the S combinator:

$$\begin{aligned} S &: (A \rightarrow B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow (A \rightarrow C) \\ S \ f \ g \ a &= f \ a \ (g \ a) \end{aligned}$$

S takes as arguments functions f and g (Fig. 4).

K and S are sufficient to define all other combinators. The motivation behind this was

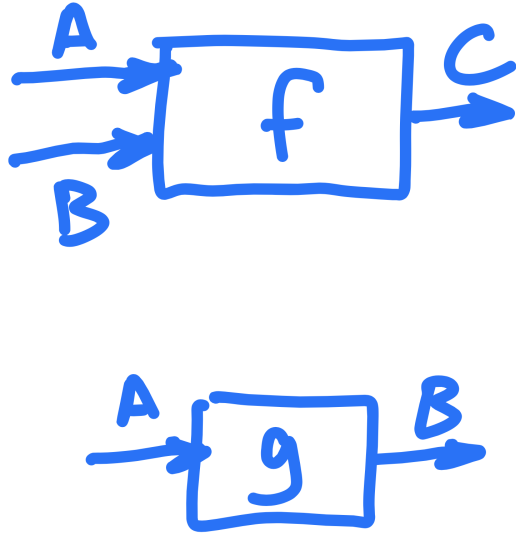


Figure 4: Block-diagram representation of the argument functions f and g

to eliminate the use of variables when defining new functions. We can define the identity in terms of S and K :

$$I : A \rightarrow A$$

$$I = S K K$$

Recall the \circ combinator, but written as prefix instead of infix:

$$CC : (B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow (A \rightarrow C)$$

$$CC = \lambda g f x \rightarrow g (f x)$$

We will give equations which can be used to transform general lambda terms into lambda terms only using the S and K combinators. Note that $\lambda x \rightarrow x = I$, $\lambda x \rightarrow y = K y$, and $\lambda x \rightarrow M N = S (\lambda x \rightarrow M) (\lambda x \rightarrow N)$. This last equation holds because

$$(\lambda x \rightarrow M N) L$$

$$= (M N)[x := L]$$

$$= M[x := L] N[x := L],$$

while

$$S (\lambda x \rightarrow M) (\lambda x \rightarrow N) L$$

$$= (\lambda x \rightarrow M) L ((\lambda x \rightarrow N) L)$$

$$= M[x := L] N[x := L]$$

If x does not occur in M , then $\lambda x \rightarrow M = K M$ and $\lambda x \rightarrow M x = M$. Then, here is the same combinator CC , defined in terms of S and K using the equations given above:

$$\begin{aligned}
CC - sk &: (B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow (A \rightarrow C) \\
\lambda f g a &\rightarrow f (g a) \\
&= \lambda f \rightarrow \lambda g \rightarrow \lambda a \rightarrow f (g a) \\
&= \lambda f \rightarrow \lambda g \rightarrow S (\lambda a \rightarrow f) (\lambda a \rightarrow g a) \\
&= \lambda f \rightarrow \lambda g \rightarrow S (K f) g \\
&= \lambda f \rightarrow \lambda g \rightarrow (S (K f)) g \\
&= \lambda f \rightarrow S (K f) \\
&= S (\lambda f \rightarrow S) (\lambda f \rightarrow K f) \\
&= S (K S) K
\end{aligned}$$