

# Introduction to Proof Theory

## Lecture 1

### Proof Theory and Proof Systems

Marianna Girlando, Sonia Marin

Universtiy of Amsterdam, University of Birmingham

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# Outline

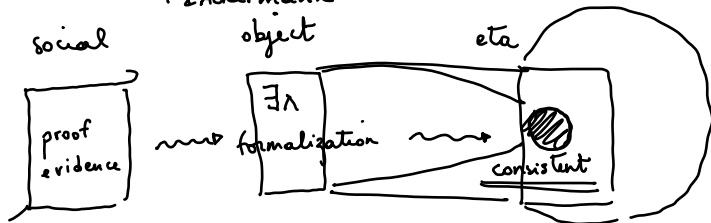
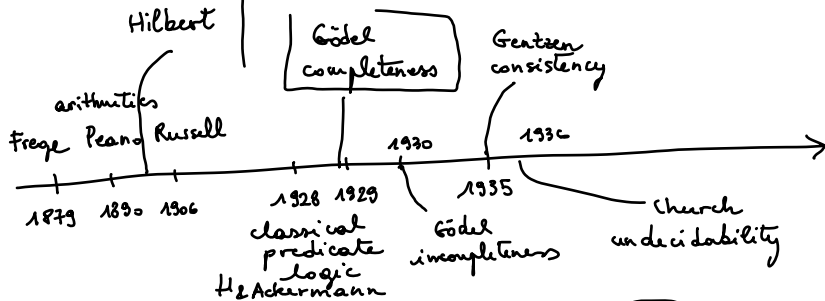
Introduction

Propositional and first order syntax

Proof systems

# Some history

1. Formalize statements/proofs
2. Prove consistency ✓
3. Independent & Completeness ✓
4. Decision problem X



# Outline

1. History: Why it started?

Formal language & First proof system  
Classical logic & Arithmetic

2. Intuitionistic / Constructive

Related to prog lang theory

3. Natural Deduction

Sequent calculus

4. Cut Elimination / Normalization

5. Back to arithmetics

Etc.

# Outline

Introduction

Propositional and first order syntax

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# Propositional logic: syntax

## Language

- ▶ Countably many **propositional variables**:

$$\text{Var}_p = \{p, q, r, \dots\}$$

- ▶ **Propositional constants**:  $\perp$  (*false*)
- ▶ **Connectives**:  $\vee$  (*disjunction*),  $\wedge$  (*conjunction*),  $\rightarrow$  (*implication*)

**Formulas** ( $\text{Form}_p$ )  $A, B, C, \dots$  are inductively generated as follows:

- ▶ Propositional variables and constants are formulas
- ▶ If  $A, B$  are formulas then  $A \vee B, A \wedge B, A \rightarrow B$  are formulas.

$$\neg A := A \rightarrow \perp$$

$$\top := p \vee (p \rightarrow \perp)$$

# How do we interpret propositional formulas?

- ▶ **Propositional assignment:** assigns  $\{0, 1\}$  to propositional variables

$$\alpha : \text{Var}_p \rightarrow \{0, 1\}$$

- ▶ Extend the assignment to formulas

$A$	$B$	$A \wedge B$	$A$	$B$	$A \vee B$	$A$	$B$	$A \rightarrow B$
1	1	1	1	1	1	1	1	1
1	0	0	1	0	1	1	0	0
0	1	0	0	1	1	0	1	1
0	0	0	0	0	0	0	0	1

**Equivalently:** Define  $\alpha \models A$  “ $\alpha$  satisfies  $A$ ”

$$\alpha \not\models \perp$$

$$\alpha \models p \quad \text{iff} \quad \alpha(p) = 1$$

$$\alpha \models A \wedge B \quad \text{iff} \quad \alpha \models A \text{ and } \alpha \models B$$

$$\alpha \models A \vee B \quad \text{iff} \quad \alpha \models A \text{ or } \alpha \models B$$

$$\alpha \models A \rightarrow B \quad \text{iff} \quad \alpha \not\models A \text{ or } \alpha \models B$$

# Predicate logic: language

We define a **predicate language**  $\mathcal{L}^=$  as follows:

- ▶ Countably many **variables**:  $\text{Var} = \{x, y, z, \dots\}$

- ▶ A set of **function symbols**:  $\text{Fun} = \{f, g, h, \dots\}$

Each function symbol has a fixed *arity* (n of arguments it takes)

0-ary function symbols are called **constants**

- ▶ A set of **predicate symbols**:  $\text{Pred} = \{P, Q, R, \dots\}$

Each predicate symbol has a fixed *arity* (n of arguments it takes)

Propositional variables are 0-ary predicates

- ▶ The **equality symbol**  $=$  (2-ary predicate)

- ▶ Propositional constants:  $\perp$

- ▶ Connectives  $\vee, \wedge, \rightarrow$ .

- ▶ Quantifiers:  $\exists$  (*existential*) and  $\forall$  (*universal*)



# Predicate logic: terms

$$f: \mathbb{N}^n \rightarrow \mathbb{N}$$

**Terms** (Ter)  $s, t, u, \dots$  are inductively generated as follows:

- ▶ Variables are terms
- ▶ If  $f \in \text{Fun}$  is a  $k$ -ary function symbol and  $t_1, \dots, t_k$  are terms, then the following is a term:

$$f(t_1, \dots, t_k)$$

Any constant is a term.

Informally, terms denote individual entities.

# Predicate logic: formulas

Atomic formulas  $P(t_1, \dots, t_k)$  are inductively generated as follows:

- ▶ If  $s, t$  are terms, then  $s = t$  is an atomic formula.
- ▶ If  $P$  is a predicate symbol of arity  $k$  and  $t_1, \dots, t_k$  are terms, then the following is an atomic formula:

$$P(t_1, \dots, t_k)$$

Formulas (Form)  $P, Q, R, \dots$  are inductively generated as follows:

- ▶ Atomic formulas are formulas
- ▶  $\perp$  is a formula
- ▶ If  $A, B$  are formulas then  $A \vee B$ ,  $A \wedge B$  and  $A \rightarrow B$  are formulas
- ▶ If  $A$  is a formula then  $\exists xA$  and  $\forall xA$  are formulas.

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Introduction

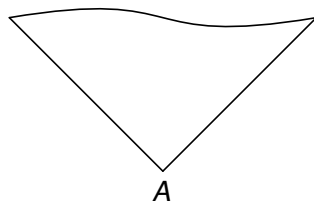
Propositional and first order syntax

**Proof systems**

# Proof systems, informally

A **proof system** consists of:

- ▶ Set of axioms;
- ▶ Set of inference rules.



A **proof** of a formula  $A$  is constructed by chaining together axioms, inference rules, and objects generated from axioms and inference rules, until  $A$  is reached.

A **logic** can be identified with the set of provable formulas.

# Various kinds of proof systems

- ▶ Hilbert-Frege proof systems, or axiom systems, or reductive systems (Prawitz, 1971)
- ▶ Gentzen-style proof systems

Today:

- ▶ Axiom system for propositional logic
- ▶ Axiom system for first-order logic
- ▶ First-order theories and Peano Arithmetic

# An axiom system for classical propositional logic: $\mathcal{H}_{cp}$

$A, B, C$  formulas of  $\mathcal{L}_p$

$$\text{PL1. } A \rightarrow (B \rightarrow A)$$

$$\text{PL2. } (A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$$

$$\text{PL3. } (A \wedge B) \rightarrow A$$

$$\text{PL4. } (A \wedge B) \rightarrow B$$

$$\text{PL5. } A \rightarrow (B \rightarrow (A \wedge B))$$

$$\text{PL6. } A \rightarrow (A \vee B)$$

$$\text{PL7. } B \rightarrow (A \vee B)$$

$$\text{PL8. } (A \rightarrow C) \rightarrow ((B \rightarrow C) \rightarrow ((A \vee B) \rightarrow C))$$

$$\text{PL9. } \perp \rightarrow A$$

$$\text{PL10. } A \vee (A \rightarrow \perp)$$

$$\text{mp} \frac{A \quad A \rightarrow B}{B}$$

# Examples

Prove the following:

1.  $\vdash_{\mathcal{H}_{cp}} \boxed{A \rightarrow A}$

2.  $\{A \rightarrow B, B \rightarrow C\} \vdash_{\mathcal{H}_{cp}} A \rightarrow C$

PL1.  $A \rightarrow (B \rightarrow A)$

PL2.  $(A \rightarrow (B \rightarrow C)) \rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C))$

$$\text{mp} \frac{A \quad A \rightarrow B}{B} \quad A$$

1.  $A \rightarrow ((B \rightarrow A) \rightarrow A)$

2.  $(A \rightarrow ((B \rightarrow A) \rightarrow A)) \rightarrow ((A \rightarrow (B \rightarrow A)) \rightarrow (A \rightarrow A))$

3.  $(A \rightarrow (B \rightarrow A)) \rightarrow (A \rightarrow A)$

4.  $A \rightarrow (B \rightarrow A)$

5.  $A \rightarrow A$

(PL1)

(PL2)

mp 1, 2

PL 1

mp 3, 4

## Proofs in $\mathcal{H}_{cp}$

For  $A$  formula of  $\mathcal{L}_p$ ,  $\Gamma$  set of formulas of  $\mathcal{L}_p$ :

A  $\mathcal{H}_{cp}$  **derivation** of  $A$  from assumptions in  $\Gamma$  is a list of  $\mathcal{L}_p$  formulas

$$\begin{array}{c} A_1 \\ A_2 \\ \vdots \\ A_n \end{array}$$

where  $A_n = A$  and for each  $A_i$ , for  $i \leq n$ , we have that either:

- ▶  $A_i$  is an axiom of  $\mathcal{H}_{cp}$ ;
- ▶  $A_i \in \Gamma$ ;
- ▶  $A_i$  is obtained by applying (mp) to formulas in  $A_1, \dots, A_{i-1}$ .

We write  $\Gamma \vdash_{\mathcal{H}_{cp}} A$  if there is a derivation of  $A$  from formulas in  $\Gamma$ .

A **proof** of  $A$  is a derivation of  $A$  from  $\emptyset$ . We write  $\vdash_{\mathcal{H}_{cp}} A$  if there is a proof of  $A$ .

Classical propositional logic **CPL** is defined as  $\{A \mid \vdash_{\mathcal{H}_{cp}} A\}$ .



# Deduction Theorem

For A formula of  $\mathcal{L}_p$ ,  $\Gamma$  set of formulas of  $\mathcal{L}_p$ :

$$\emptyset \nsubseteq \Gamma \vdash_{\mathcal{H}_{cp}} A \rightarrow B \quad \text{iff} \quad \emptyset \nsubseteq \Gamma \cup \{A\} \vdash_{\mathcal{H}_{cp}} B$$

$$\begin{array}{c} \Gamma \\ \{ \vdots \\ A \rightarrow B \end{array} \quad \Longleftrightarrow \quad \boxed{\begin{array}{c} \Gamma \cup \{A\} \\ \vdots \\ B \end{array}}$$

$\Rightarrow$

$\Gamma$   
 $\vdots$   
 $A \rightarrow B$   
 $\{A\}$   
 $B$

$\Rightarrow$  MANCOSI, GALVAN, ZACH

An Introduction to  
Proof Theory

$\Leftarrow$