

LAMBDA CALCULI THROUGH THE LENS OF LINEAR LOGIC

Lesson 5: **Observational Equivalence By Means of Intersection Types**

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Observational Equivalence

Call-by-Name

Call-by-Value

?

Call-by-Need

Linear Call-by-Value

Neededness

CbN/CbV
Useful Evaluation



Observational Equivalence

Call-by-Name

Call-by-Value

$$S_1 \cong S_2$$

Call-by-Need

Linear Call-by-Value

Neededness

CbN/CbV
Useful Evaluation



Observational Equivalence

Call-by-Name

Call-by-Value

$$t \cong_{S_1} u \text{ iff } t \cong_{S_2} u$$

Call-by-Need

Linear Call-by-Value

Neededness

CbN/CbV
Useful Evaluation



Call-by-Name

Call-by-Value

$t \cong_S u$: for all context C ,
 $C\langle\langle t \rangle\rangle$ terminates iff $C\langle\langle u \rangle\rangle$ terminates

Call-by-Need

Linear Call-by-Value

Neededness

CbN/CbV
Useful Evaluation



Call-by-Name Different from Call-by-Value



Call-by-Name Different from Call-by-Value



Sometimes similar,

$$(\lambda x.8)(4 + 3) \rightarrow_{\text{CbN}} 8$$

$$(\lambda x.8)(4 + 3) \rightarrow_{\text{CbV}} (\lambda x.8)7 \rightarrow_{\text{CbV}} 8$$

Call-by-Name Different from Call-by-Value



Sometimes similar,

$$\begin{aligned}(\lambda x.8)(4 + 3) &\rightarrow_{\text{CbN}} 8 \\ (\lambda x.8)(4 + 3) &\rightarrow_{\text{CbV}} (\lambda x.8)7 \rightarrow_{\text{CbV}} 8\end{aligned}$$

But different:

$$\begin{aligned}(\lambda x.8)\Omega &\rightarrow_{\text{CbN}} 8 \\ (\lambda x.8)\Omega &\rightarrow_{\text{CbV}} (\lambda x.8)\Omega \rightarrow_{\text{CbV}} \dots \dots \infty\end{aligned}$$

Call-by-Need Equivalent to Call-by-Name



Call-by-Need Equivalent to Call-by-Name



Example:

$$\begin{aligned} \text{Twice } (4 + 3) &\rightarrow_{\text{CbN}} (4 + 3) + (4 + 3) \rightarrow_{\text{CbN}} 7 + (4 + 3) \rightarrow_{\text{CbN}} 7 + 7 \rightarrow_{\text{CbN}} 14 \\ \text{Twice } (4 + 3) &\rightarrow_{\text{CbNeed}} \text{Twice } 7 \rightarrow_{\text{CbNeed}} 7 + 7 \rightarrow_{\text{CbNeed}} 14 \end{aligned}$$

where $\text{Twice} = \lambda x. x + x$.

Call-by-Need Different from Call-by-Value



Call-by-Need Different from Call-by-Value



A consequence of the two previous results.



Call-by-Need Equivalent to Neededness



(Syntactical) **call-by-need** is similar to (semantical) **neededness**

$$\begin{aligned} (\lambda x.x)(4+3) &\rightarrow_{\text{CbNeed}} (\lambda x.x)7 \rightarrow_{\text{CbNeed}} 7 \\ (\lambda x.x)(4+3) &\rightarrow_{\text{Neededness}} 4+3 \rightarrow_{\text{Neededness}} 7 \end{aligned}$$

State of the Art

- Ariola-Felleisen'97:

Call-by-Name is obs. equivalent to **Call-by-Need**

- Barendregt-Kennaway-Klop-Sleep'87, Ariola-Felleisen'97:

Call-by-Need is obs. equivalent to **Nedeedness**

- Accattoli-Ugo Dal Lago'14:

Call-by-Name is obs. equivalent to **Useful Call-by-Name**

- Accattoli-Sacerdotti Coen'15, Accattoli-Guerrieri'17:

Call-by-Value is obs. equivalent to **Useful Call-by-Value** (definition postponed)

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Syntactical proofs
Difficult to adapt to other variants

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Syntactical proofs
Difficult to adapt to other variants



Easy semantical proofs using
Intersection Types

State of the Art

- Ariola-Felleisen'97:
Call-by-Name is obs. equivalent to **Call-by-Need**
- Barendregt-Kennaway-Klop-Sleep'87, Ariola-Felleisen'97:
Call-by-Need is not
- Accattoli
Call-
- Accattoli
Call-b

All things are
DIFFICULT
before they are
EASY

(in postponed)

Difficult to ... other variants Easy semantical proofs using **Intersection Types**

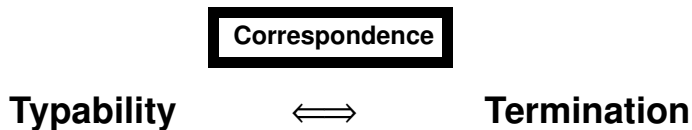
Call-by-Name versus Call-by-Value

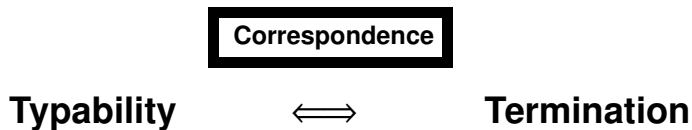
		
Understood in the theory of programming		
Used in practice		

Call-by-Name versus Call-by-Value

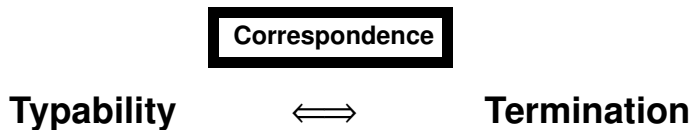
		
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Today we focus on **Call-by-Value**

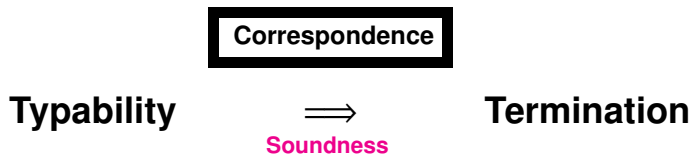




- Applicable to **different** notions of termination.



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- ▶ Which give rise to **different** intersection type system.



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- ▶ **Soundness**: based on a **simple arithmetical** proof of **subject reduction**.



- ▶ Applicable to **different** notions of termination.
- ▶ Which give rise to **different** intersection type system.
- ▶ **Soundness**: based on a **simple arithmetical** proof of **subject reduction**.
- ▶ **Completeness**: based on **typability of normal forms** and **subject expansion**.

Correspondence

Typability



Evaluation

- ▶ Applicable to
- ▶ Which
- ▶ **Sound**
- ▶ **Complete**

From now on:
(Static) Typing System $\mathbb{T}$
and
(Dynamic) Calculus $\mathbb{C}$

on.
ansion.

Outline

- 1 Observational Equivalence: The Call-by-Name/Call-by-Need Case
- 2 Call-by-Value Revisited
- 3 Observational Equivalence: The Call-by-Value Case
- 4 A Quantitative Refinement
- 5 Conclusion

Agenda

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Observational Equivalence for Call-by-Name/Call-by-Need

Call-by-Name

CbN

Call-by-Need

CbNeed

Neededness

Neededness



?

Observational Equivalence for Call-by-Name/Call-by-Need

Call-by-Name

CbN

Call-by-Need

CbNeed

Neededness

Neededness

$$S_1 \cong S_2$$



?

Observational Equivalence for Call-by-Name/Call-by-Need

Call-by-Name

CbN

Call-by-Need

CbNeed

Neededness

Neededness

$$t \cong_{S_1} u \iff t \cong_{S_2} u$$



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Observational Equivalence for Call-by-Name/Call-by-Need

Call-by-Name

CbN

Call-by-Need

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Neededness

Neededness

$t \cong_S u$: for all context C ,
 $C\langle\langle t \rangle\rangle$ $\mathcal{S}$ -terminates $\iff C\langle\langle u \rangle\rangle$ $\mathcal{S}$ -terminates



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Observational Equivalence for Call-by-Name/Call-by-Need

Call-by-Name

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Neededness

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$t \cong_S u$: for all context C ,
 $C\langle\langle t \rangle\rangle$ $\mathcal{S}$ -terminates $\iff C\langle\langle u \rangle\rangle$ $\mathcal{S}$ -terminates
 $C\langle\langle t \rangle\rangle$ is typable $\iff C\langle\langle u \rangle\rangle$ is typable



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Observational Equivalence for Call-by-Name/Call-by-Need

Call-by-Name

CbN

Call-by-Need

CbNeed

Neededness

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$C\langle\langle t \rangle\rangle$ $\mathcal{S}$ -terminates $\iff C\langle\langle u \rangle\rangle$ $\mathcal{S}$ -terminates

$C\langle\langle t \rangle\rangle$ is typable $\iff C\langle\langle u \rangle\rangle$ is typable



SAME typing system (called $\mathcal{A}$)
to capture **DIFFERENT** models of CbN computation

Theorem

- ▶ $\mathcal{A}$ -Typability $\iff$ CbN -Termination.
- ▶ $\mathcal{A}$ -Typability $\iff$ CbNeed -Termination.
- ▶ $\mathcal{A}$ -Typability $\iff$ Neededness -Termination.

Observational Equivalence by Means of Types: First Example

Theorem

- ▶ $\mathcal{A}$ -Typability $\iff$ CbN -Termination.
- ▶ $\mathcal{A}$ -Typability $\iff$ CbNeed -Termination.
- ▶ $\mathcal{A}$ -Typability $\iff$ Neededness

(K:16, K.-Viso-Rios'18)



$$\text{CbN} \cong \text{CbNeed} \cong \text{Neededness}$$

Observational Equivalence by Means of Types: First Example

Theorem

- ▶ $\mathcal{A}$ -Typability $\iff$ CbN -Termination.
- ▶ $\mathcal{A}$ -Typability $\iff$ CbNeed -Termination.
- ▶ $\mathcal{A}$ -Typability $\iff$ Normalization.

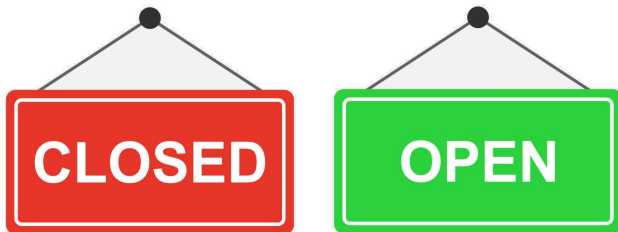


Let's apply these ideas to Call-by-Value

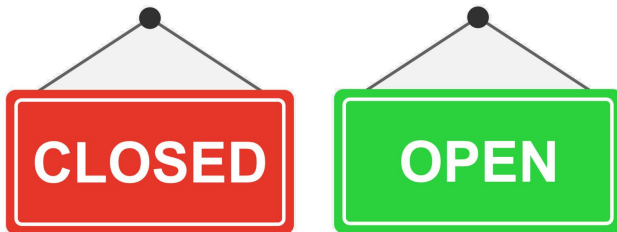
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Closed Versus Open Terms

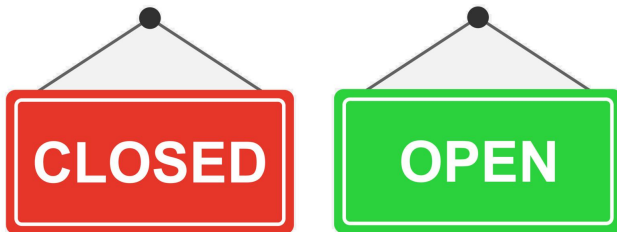


Closed Versus Open Terms



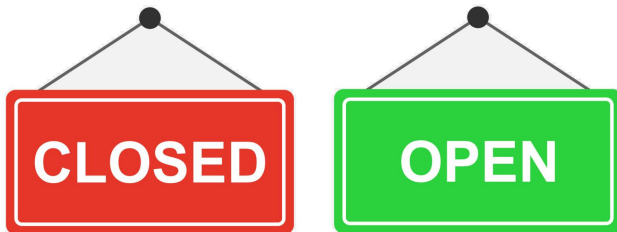
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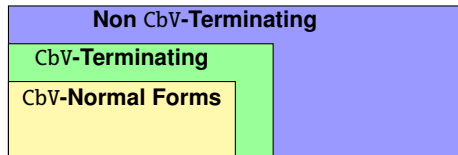
Closed Versus Open Terms



- ▶ **Closed** terms (no free variables): e.g. $\lambda x.x + 2$
 - ▶ Used in **programming languages** (**weak** evaluation)
- ▶ **Open** terms (with free variables): e.g. $\lambda x.x + y$
 - ▶ Used in **proof assistants** (**strong** evaluation)
 - ▶ Partial evaluation
 - ▶ Symbolic computation
 - ▶ Metaprogramming

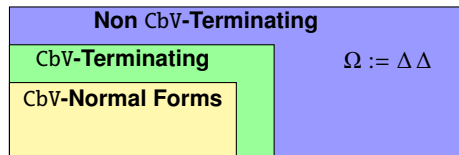
Call-by-Value on Open Terms

Drawback in Plotkin's CbV (Paolini-RonchiDellaRocca'99):



Call-by-Value on Open Terms

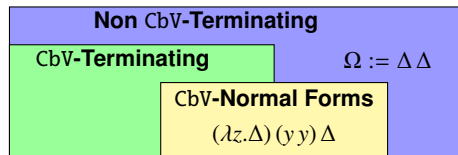
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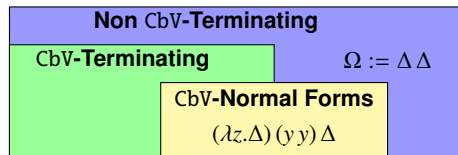


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terms $y t_1 \dots t_n$ are **structures**

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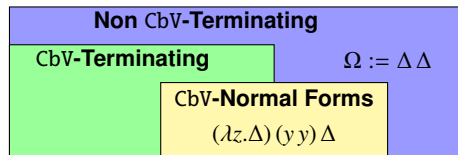
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Towards the Solution:

- **New** Syntax: **explicit substitutions**
- **New** Operational semantics: **reduction at a distance** (Accattoli-K.'10)

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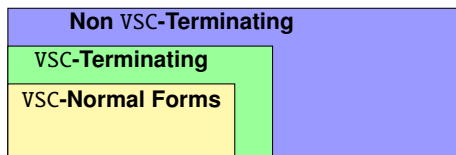
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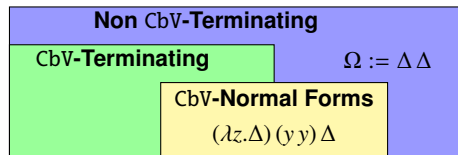
The Resulting New CbV Setting:

- The **Value Substitution Calculus** (VSC) (Accattoli and Paolini'12)
- The VSC extends Plotkin's CbV



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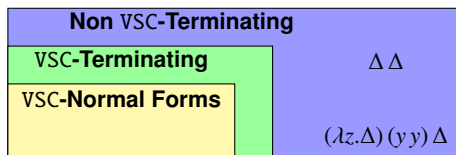
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The Resulting New CbV Setting:

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From Plotkin's CbV to the Value Substitution Calculus VSC

Values $v ::= x \mid \lambda x.t$

Terms $t, u ::= v \mid t u$

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Operational Semantics

Plotkin's CbV :

$$(\lambda x.t)v \rightarrow_{\beta_v} t\{\{x \setminus v\}\}$$

Values $v ::= x \mid \lambda x.t$

Terms $t, u ::= v \mid t\ u \mid t[x \backslash u]$ (**explicit substitution**)

Operational Semantics

Plotkin's CbV :

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Values $v ::= x \mid \lambda x.t$

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Operational Semantics

Beta:

(**multiplicative step**)

$(\lambda x.t)u \rightarrow_{\text{db}} t[x \backslash u]$

(Full) **Value Substitution:**

(**exponential step**)

$t[x \backslash v] \rightarrow_{\text{sv}} t\{\{x \backslash v\}\}$

Distant operational semantics is inspired from linear logic
=
Compact form of commuting conversions

Distant Operational Semantics

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(multiplicative step)

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Compact form of commuting conversions

t **L** abbreviates t $[x_1 \setminus u_1] \dots [x_n \setminus u_n]$ ($n \geq 0$)

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Distant Operational Semantics

Distant **Beta:**

(multiplicative step)

$(\lambda x.t) \text{ **L** } u \rightarrow_{\text{db}} t[x \setminus u] \text{ **L** }$

Distant (Full) Value Substitution:

(exponential step)

$t[x \setminus v] \text{ **L** } \rightarrow_{\text{sv}} t[\llbracket x \setminus v \rrbracket] \text{ **L** }$

Let $\Delta := \lambda x.xx$. Then,

Distant Operational Semantics

Distant Beta:

(multiplicative step)

$$(\lambda x.t) \text{ L } u \rightarrow_{\text{db}} t[x \backslash u] \text{ L}$$

Distant (Full) Value Substitution:

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Let $\Delta := \lambda x.xx$. Then,

$$(1) \ t = (\lambda z.\Delta) (yy) \ \Delta$$

Distant Operational Semantics

Distant Beta:

(multiplicative step)

$$(\lambda x.t) \ L \ u \ \rightarrow_{db} \ t[x \setminus u] \ L$$

Distant (Full) Value Substitution:

(exponential step)

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Let $\Delta := \lambda x.xx$. Then,

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Distant Beta:

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Distant (Full) Value Substitution:

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Distant Operational Semantics

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Distant (Full) Value Substitution:

(exponential step)

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Let $\Delta := \lambda x.xx$. Then,

$$(1) \ t = (\lambda z.\Delta)(yy) \ \Delta \xrightarrow{\text{db}} \Delta \ [z \backslash yy] \ \Delta \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy]$$

Distant Operational Semantics

Distant Beta:

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Distant (Full) Value Substitution:

(exponential step)

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From Plotkin's CbV to the Value Substitution Calculus VSC

Let $\Delta := \lambda x.xx$. Then,

$$\begin{aligned} (1) \quad t &= (\lambda z.\Delta)(yy) \Delta \xrightarrow{\text{db}} \Delta [z \backslash yy] \Delta \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \\ &(\Delta \Delta)[z \backslash yy] \end{aligned}$$

Distant Operational Semantics

Distant Beta:
(multiplicative step)

$$(\lambda x.t) \mathbf{L} u \xrightarrow{\text{db}} t[x \backslash u] \mathbf{L}$$

Distant (Full) Value Substitution:
(exponential step)

$$t[x \backslash \mathbf{V} \mathbf{L}] \xrightarrow{\text{sv}} t\{\{x \backslash \mathbf{V}\}\} \mathbf{L}$$

From Plotkin's CbV to the Value Substitution Calculus VSC

Let $\Delta := \lambda x.xx$. Then,

$$\begin{aligned} \text{(1) } t = (\lambda z.\Delta)(yy) \Delta &\xrightarrow{\text{db}} \Delta [z \backslash yy] \Delta \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \\ (\Delta \Delta)[z \backslash yy] &\xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \end{aligned}$$

Distant Operational Semantics

Distant Beta:

(multiplicative step)

$$(\lambda x.t) \mathbf{L} u \xrightarrow{\text{db}} t[x \backslash u] \mathbf{L}$$

Distant (Full) Value Substitution:

(exponential step)

$$t[x \backslash \mathbf{V} \mathbf{L}] \xrightarrow{\text{sv}} t\{\{x \backslash \mathbf{V}\}\} \mathbf{L}$$

From Plotkin's CbV to the Value Substitution Calculus VSC

Let $\Delta := \lambda x.xx$. Then,

$$\begin{aligned} \text{(1) } t = (\lambda z.\Delta)(yy) \Delta &\xrightarrow{\text{db}} \Delta [z \backslash yy] \Delta \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \\ (\Delta \Delta)[z \backslash yy] &\xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \dots \end{aligned}$$

Distant Operational Semantics

Distant Beta:

(multiplicative step)

$$(\lambda x.t) \mathbf{L} u \xrightarrow{\text{db}} t[x \backslash u] \mathbf{L}$$

Distant (Full) Value Substitution:

(exponential step)

$$t[x \backslash \mathbf{V} \mathbf{L}] \xrightarrow{\text{sv}} t\{\{x \backslash \mathbf{V}\}\} \mathbf{L}$$

From Plotkin's CbV to the Value Substitution Calculus VSC

Let $\Delta := \lambda x.xx$. Then,

$$(1) \ t = (\lambda z.\Delta)(yy) \ \Delta \xrightarrow{\text{db}} \Delta \ [z \backslash yy] \ \Delta \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}}$$

$$(\Delta \Delta)[z \backslash yy] \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \dots$$

(2) $(xx)[x \backslash y \Delta]$ is already a normal form

Distant Operational Semantics

Distant Beta:

(multiplicative step)

$$(\lambda x.t) \ L \ u \xrightarrow{\text{db}} t[x \backslash u] \ L$$

Distant (Full) Value Substitution:

(exponential step)

$$t[x \backslash v] \ L \xrightarrow{\text{sv}} t\{\{x \backslash v\}\} \ L$$

From Plotkin's CbV to the Value Substitution Calculus VSC

Let $\Delta := \lambda x.xx$. Then,

$$\begin{aligned} (1) \quad t = (\lambda z.\Delta)(yy) \Delta &\xrightarrow{\text{db}} \Delta [z \backslash yy] \Delta \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \\ (\Delta \Delta)[z \backslash yy] &\xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy] \xrightarrow{\text{sv}} \dots \end{aligned}$$

(2) $(xx)[x \backslash y \Delta]$ is already a normal form : **Structures Remain Shared**

Distant Operational Semantics

Distant Beta:

(multiplicative step)

$$(\lambda x.t) \mathbf{L} u \xrightarrow{\text{db}} t[x \backslash u] \mathbf{L}$$

Distant (Full) Value Substitution:

(exponential step)

$$t[x \backslash \mathbf{V} \mathbf{L}] \xrightarrow{\text{sv}} t\{\{x \backslash \mathbf{V}\}\} \mathbf{L}$$

From Plotkin's CbV to the Value Substitution Calculus VSC

Let $\Delta := \lambda x.xx$. Then,

$$(1) \ t = (\lambda z.\Delta)(yy) \ \Delta \xrightarrow{\text{db}} \Delta \ [z \backslash yy] \ \Delta \xrightarrow{\text{sv}} \Delta \ [z \backslash yy] \xrightarrow{\text{sv}}$$

$$(\Delta \Delta)[z \backslash yy] \xrightarrow{\text{db}} (xx)[x \backslash \Delta][z \backslash yy]$$

(2) $(xx)[x \backslash y \Delta]$ is already a value

Reduction is **WEAK**:
outside λ -abstractions

$$\begin{aligned} & t[x \backslash u] \ L \\ & \text{initial step} \\ & t[x \backslash v] \ L \xrightarrow{\text{sv}} t\{\{x \backslash v\}\} \ L \end{aligned}$$

From VSC to the Linear Value Substitution Calculus

(Full) substitution becomes linear

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$$t[x \backslash v] \rightarrow_{sv} t\{\mathbf{x} \backslash v\}$$

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$$t[x \backslash v] \rightarrow_{sv} t\{\{x \backslash v\}\} \quad \text{becomes} \quad \begin{array}{l} (\dots x \dots \boxed{x} \dots)[x \backslash v] \rightarrow_{1sv} (\dots x \dots v \dots)[x \backslash v] = \\ (\dots \boxed{x} \dots v \dots)[x \backslash v] \rightarrow_{1sv} (\dots v \dots v \dots)[x \backslash v] \end{array}$$

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The Linear Call-by-Value Substitution Calculus LinearVSC :

(multiplicative step)

$$(\lambda x. t) L u \rightarrow_{db} t[x \setminus u] L$$

(exponential step)

$$C \langle\langle x \rangle\rangle [x \setminus v] L \rightarrow_{1sv} C \langle\langle v \rangle\rangle [x \setminus v] L$$

From VSC to the Linear Value Substitution Calculus

(Full) substitution becomes linear

$$t[x \setminus v] \rightarrow_{sv} t\{\{x \setminus v\}\} \quad \text{becomes} \quad \begin{array}{l} (\dots x \dots x \dots)[x \setminus v] \rightarrow_{lsv} (\dots x \dots v \dots)[x \setminus v] = \\ (\dots x \dots v \dots)[x \setminus v] \rightarrow_{lsv} (\dots v \dots v \dots)[x \setminus v] \end{array}$$

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(exponential step)

$$C \langle\langle x \rangle\rangle [x \setminus v] L \rightarrow_{lsv} C \langle\langle v \rangle\rangle [x \setminus v] L$$

Example:

Full Substitution

$$(y \ x \ x)[x \setminus I] \rightarrow_{sv} y \ I \ I$$

Linear Substitution

$$\begin{array}{l} (y \ x \ x)[x \setminus I] \rightarrow_{lsv} (y \ I \ x)[x \setminus I] \\ \rightarrow_{lsv} (y \ I \ I)[x \setminus I] \end{array}$$

(Linear) substitution is only allowed if **creation of function application:**

Towards the Useful Call-by-Value Substitution Calculus

(Linear) substitution is only allowed if **creation** of function application:



Direct Creation: $x [x \backslash I]y \rightarrow I[x \backslash I]y \rightarrow_{db} [z \backslash y][x \backslash I]y$

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Indirect Creation: $x [x \backslash w] [w \backslash I] y \rightarrow w [x \backslash w] [w \backslash I] y$
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- Usefulness was introduced to define **reasonable cost models**.

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- Usefulness can be seen as an **optimized evaluation strategy**.

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Original formulation of **useful evaluation** for **Call-by-Name**

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- ▶ (Barenbaum-K.-Milicich'25):
Recent (inductive) **reformulation** of **useful evaluation** for **Call-by-Value**

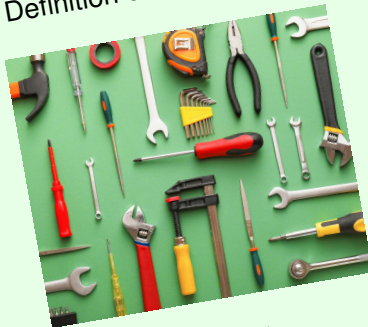
Towards the Useful Call-by-Value Substitution Calculus

(Linear) substitution is only allowed if **creation** of function application:



Direct Creation

Formal Definition of Useful Call-by-Value



(Omitted)

- Usefulness
- Usefulness
- (Accattoli-DalL)
- Original for
- (Accattoli-Sacer
- Original for
- (Barenbaum-K.-M
- Recent (induct

by-value

reformulation of useful evaluation for **Call-by-Value**

Three Different Call-by-Value Operational Semantics

- ▶ **VSC** : The Call-by-Value Substitution Calculus

(Accattoli and Paolini'12)

- ▶ Uses **full** substitution (and thus erases values)

- ▶ **LinearVSC** : The Linear Call-by-Value Substitution Calculus

- ▶ Uses **linear** substitution (and thus is non-erasing)
- ▶ Implementation oriented.

- ▶ **UsefulVSC** : The Useful Call-by-Value Substitution Calculus

(Barenbaum-K.-Milicich'25)

- ▶ Uses **linear** and **useful** substitution
- ▶ Call-by-Value optimization.

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- 2 Call-by-Value Revisited
- 3 Observational Equivalence: The Call-by-Value Case
- 4 A Quantitative Refinement
- 5 Conclusion

Observational Equivalence for Call-by-Value

Call-by-Value

VSC

Linear Call-by-Value

LinearVSC

Useful Call-by-Value

UsefulVSC



?

Observational Equivalence for Call-by-Value

Call-by-Value

VSC

Linear Call-by-Value

LinearVSC

Useful Call-by-Value

UsefulVSC



?



SAME typing system (called **V**)
to capture **DIFFERENT** models of CbV computation

Grammar:

(Types) $A ::= \iota \mid \mathcal{M} \mid \mathcal{M} \Rightarrow A$
(Multi-Types) $\mathcal{M} ::= [A_i]_{i \in I} \text{ } I \text{ finite set}$

Grammar:

(Types) $A ::= \iota \mid \mathcal{M} \mid \mathcal{M} \Rightarrow A$
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Judgements:

Multi-Type $\mathcal{M}_i$ for each variable x_i



$x_1 : \mathcal{M}_1, \dots, x_n : \mathcal{M}_n \vdash t : A$



Type A for the term t

The Typing Rules:

$$\frac{}{x : \mathcal{M} \vdash x : \mathcal{M}} \text{ (ax)}$$

$$\frac{\Gamma \vdash t : [\mathcal{M} \Rightarrow \mathbf{A}] \quad \Delta \vdash u : \mathcal{M}}{\Gamma \sqcup \Delta \vdash tu : \mathbf{A}} \text{ (app)}$$

$$\frac{(\Gamma_i; x : \mathcal{M}_i \vdash t : \mathbf{A}_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash \lambda x. t : [\mathcal{M}_i \Rightarrow \mathbf{A}_i]_{i \in I}} \text{ (fun)}$$

$$\frac{\Gamma; x : \mathcal{M} \vdash t : \mathbf{A} \quad \Delta \vdash u : \mathcal{M}}{\Gamma \sqcup \Delta \vdash t[x \backslash u] : \mathbf{A}} \text{ (es)}$$

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- **Relevant** axiom (no weakening).

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- **Multiplicative** rule.
- **Operation** $(x : \mathcal{M}_1) \sqcup (x : \mathcal{M}_2)$ yields multiset **union** for multi-types $x : \mathcal{M}_1 \sqcup \mathcal{M}_2$.

The Typing Rules:

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- **Erasing** abstractions are typed with $[\mathcal{M} \Rightarrow \mathbf{A}]$.

The Typing Rules:

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- **Syntax Directed** system.

Example: Church Numerals

Let $\underline{3} := \lambda f. \lambda x. f(f(fx)))$ and let $\mathbf{B} := [] \Rightarrow []$.

$$\begin{array}{c}
 \frac{}{f : [\mathbf{B}] \vdash f : [\mathbf{B}]} \quad \frac{}{f : [\mathbf{B}] \vdash f : [\mathbf{B}]} \quad \frac{}{x : [] \vdash x : []} \\
 \frac{}{f : [\mathbf{B}] \vdash f : [\mathbf{B}]} \quad \frac{}{f : [\mathbf{B}], x : [] \vdash fx : []} \\
 \frac{}{f : [\mathbf{B}] \vdash f : [\mathbf{B}]} \quad \frac{}{f : [\mathbf{B}, \mathbf{B}], x : [] \vdash f(fx) : []} \\
 \frac{}{f : [\mathbf{B}, \mathbf{B}, \mathbf{B}], x : [] \vdash f(f(fx))) : []} \\
 \frac{}{f : [\mathbf{B}, \mathbf{B}, \mathbf{B}] \vdash \lambda x. f(f(fx))) : [] \Rightarrow []} \\
 \hline
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 \end{array}$$



SAME typing system
to capture **DIFFERENT** models of CbV computation

Theorem

$\mathcal{V}$ -Typability $\iff$ VSC -Termination.

$\mathcal{V}$ -Typability $\iff$ LinearVSC -Termination.

$\mathcal{V}$ -Typability $\iff$ UsefulVSC -Termination.

Call-by-Value Observational Equivalence by Means of Types



SAME typing system
to capture **DIFFERENT** models of computation

Theorem

$\mathcal{V}$ -Typability

$\mathcal{V}$ -Typ

$\mathcal{V}$ -Typa

(Bucciarelli-K.-Rios-Viso'20, Barenbaum-K.-Millicich'25)



$$\text{VSC} \cong \text{LinearVSC} \cong \text{UsefulVSC}$$

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Intersection Types and Quantitative Analysis

Call-by-Value, Linear Call-by-Value and Useful Call-by-Value are
qualitatively equivalent

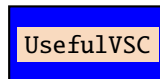
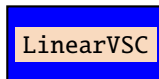
VSC

LinearVSC

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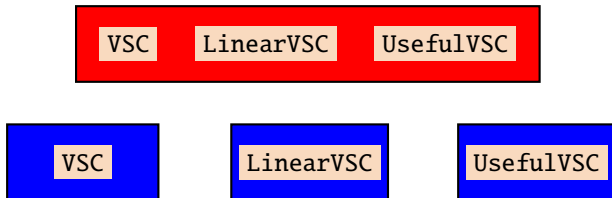
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Intersection Types and Quantitative Analysis

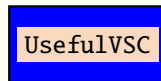
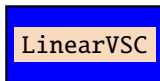
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Normalization sequences have **different** lengths:

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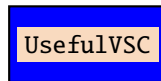
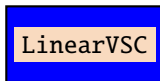
VSC (3) : $(xyx)[x \setminus I] \rightarrow IyI \rightarrow z[z \setminus y]I \rightarrow yI$

LinearVSC (5) : $(xyx)[x \setminus I] \rightarrow (xyI)[x \setminus I] \rightarrow (IyI)[x \setminus I] \rightarrow (z[z \setminus y]I)[x \setminus I] \rightarrow (y[z \setminus y]I)[x \setminus I]$

UsefulVSC (2) : $(xyx)[x \setminus I] \rightarrow (Iyx)[x \setminus I] \rightarrow (z[z \setminus y]x)[x \setminus I]$

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UsefulVSC (2) : $(xyx)[x \setminus I] \rightarrow (Iyx)[x \setminus I] \rightarrow (z[z \setminus y]x)[x \setminus I]$

The corresponding **normal forms** are all equivalent **modulo unfolding**.

Qualitative Intersection Types  

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Typability



Termination

Quantitative Intersection Types

(de Carvalho, Bernadet-Lengrand)

$$\begin{array}{ccc} \text{Typability} & \Longleftrightarrow & \text{Termination} \\ \triangleright \Gamma \vdash^{(C_1, \dots, C_n)} t : A & \Longleftrightarrow & t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } C_1 + \dots + C_n} \text{normal form} \end{array}$$

Quantitative Intersection Types

(de Carvalho, Bernadet-Lengrand)

Typability	$\Longleftrightarrow$	Termination
$\triangleright \Gamma \vdash^{(C_1, \dots, C_n)} t : A$	$\Longleftrightarrow$	$t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } C_1 + \dots + C_n} \text{normal form}$
$\triangleright \Gamma \vdash^{(m+e)} t : A$	$\stackrel{n=1}{\Longleftrightarrow}$	$t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } m + e} \text{normal form}$

m counts **multiplicative** and **e** counts **exponential** steps.

Quantitative Intersection Types (with **SPLIT MEASURES**)

(Accattoli-GrahamLengrand-K. ICFP'18)



$$\begin{array}{ll} \text{Typability} & \Longleftrightarrow \text{Termination} \\ \triangleright \Gamma \vdash^{(C_1, \dots, C_n)} t : A & \Longleftrightarrow t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } C_1 + \dots + C_n} \text{normal form} \\ \triangleright \Gamma \vdash^{(\mathbf{m} + \mathbf{e})} t : A & \stackrel{n=1}{\Longleftrightarrow} t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } \mathbf{m} + \mathbf{e}} \text{normal form} \end{array}$$



$\mathbf{m}$ counts **multiplicative** and $\mathbf{e}$ counts **exponential** steps.

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Typability	$\iff$	Termination
$\triangleright \Gamma \vdash^{(C_1, \dots, C_n)} t : A$	$\iff$	$t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } C_1 + \dots + C_n} \text{normal form}$
$\triangleright \Gamma \vdash^{(\mathbf{m} + \mathbf{e})} t : A$	$\stackrel{n=1}{\iff}$	$t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } \mathbf{m} + \mathbf{e}} \text{normal form}$
$\triangleright \Gamma \vdash^{(\mathbf{m}, \mathbf{e})} t : A$	$\stackrel{n=2}{\iff}$	$t \underbrace{\rightarrow \dots \rightarrow}_{\text{length } \mathbf{m} + \mathbf{e}} \text{normal form}$

$\mathbf{m}$ counts **multiplicative** and $\mathbf{e}$ counts **exponential** steps.

Typability Characterizes Quantitative Properties of Languages

This scheme applies to

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- **Different** Call-by-Name evaluation strategies:
 - ▶ Head normalization
 - ▶ Linear head normalization
 - ▶ Leftmost normalization
 - ▶ Strong normalization

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 - ▶ Strong normalization
- Different **Expressiveness Features** :
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 - ▶ Closed Call-by-Need
 - ▶ Pattern matching features
 - ▶ Control operators
 - ▶ Calculi with effects

Typability Characterizes Quantitative Properties of Languages

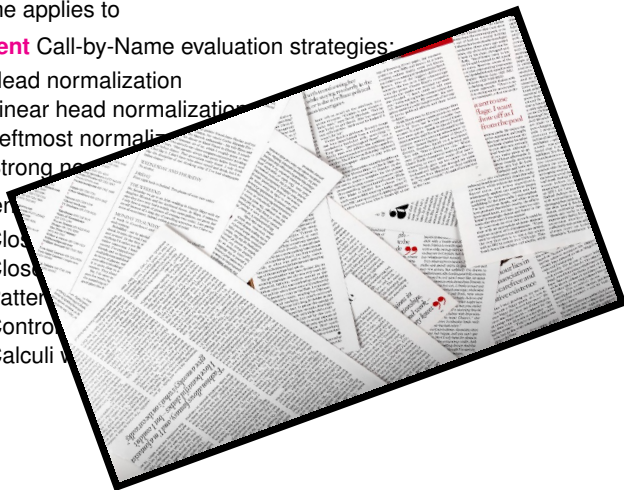
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Typability Characterizes Quantitative Properties of Languages

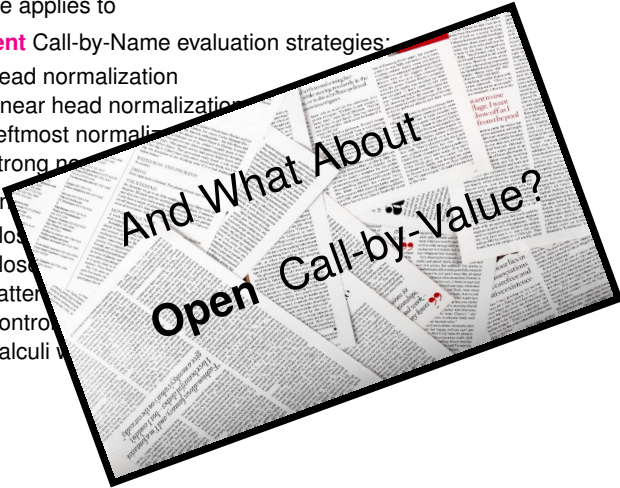
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And What About
Open Call-by-Value?

- ▶ (Accattoli-Guerrieri ESOP 19) Exact split counting for VSC
 - ▶ Free variables/constants are not considered as values

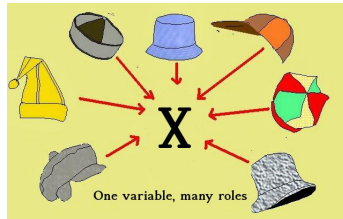
- ▶ (Accattoli-Guerrieri ESOP 19) Exact split counting for VSC
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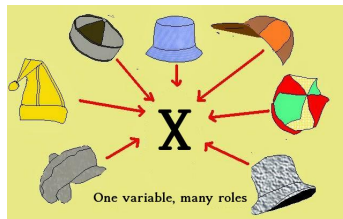
The State of the Art

- ▶ (Accattoli-Guerrieri ESOP 19) Exact split counting for VSC
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 - ▶ Exact split counting for UsefulVSC
- ▶ (Barenbaum-K.'25): (New) System $\mathcal{T}$
 - ▶ Values include free variables/constants
 - ▶ The same system admits:
 - ▶ Exact split counting for VSC
 - ▶ Exact split counting for LinearVSC

Main Difficulties

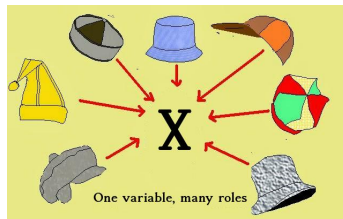


Main Difficulties



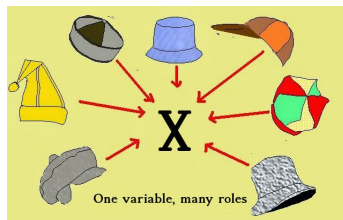
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Exact Counting for UsefulVSC System $\mathcal{U}$

Characterizing Useful Call-by-Value Normalization with Split Measures

Grammar:

(Countable Types) $\alpha ::= A^? \Rightarrow A$
(Multi-types) $\mathcal{M} ::= [\alpha_i]_{i \in I}$
(Types) $A ::= s \mid \mathcal{M}$
(Optional Types) $A^? ::= \perp \mid A$

Characterizing Useful Call-by-Value Normalization with Split Measures

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(Types)	A	$::=$	$\$ \mid \mathcal{M}$
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Three constants:

$[\]$ types inaccessible **values**
 $\$$ types **structures**
 $\perp$ **absence**

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(Types)	A	::=	$\mathbb{S} \mid \mathcal{M}$
(Optional Types)	$A^?$	::=	$\perp \mid A$

Judgements:

$$x_1 : A_1^?, \dots, x_n : A_n^? \vdash^{(\mathbf{m}, \mathbf{e})} \mathbf{t} : A$$

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Opt. Type $A_i^?$ for each variable x_i



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Judgements:

Opt. Type $A_i^?$ for each variable x_i
($x : \perp$ if x is not used in the typing context)



$x_1 : A_1^?, \dots, x_n : A_n^? \vdash^{(\mathbf{m}, \mathbf{e})} \mathbf{t} : A$

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Judgements:

Type A for the term t

$\downarrow$

$$x_1 : A_1^?, \dots, x_n : A_n^? \vdash^{(\mathbf{m}, \mathbf{e})} t : A$$

Characterizing Useful Call-by-Value Normalization with Split Measures

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Judgements:

Counter **m** captures the **number** of **multiplicative**-steps to normal form

Counter **e** captures the **number** of **exponential**-steps to normal form


$$x_1 : A_1^?, \dots, x_n : A_n^? \vdash^{(\mathbf{m}, \mathbf{e})} \mathbf{t} : A$$

Characterizing Useful Call-by-Value Normalization with Split Measures

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$$x_1 : A_1^?, \dots, x_n : A_n^? \vdash^{(m,e)} t : A$$

Tightness: A type derivation of judgement $\Gamma \vdash^{(m,e)} t : A$ is **tight** (e.g. minimal) iff all the types in Γ and A are **constants**.

Type System $\mathcal{V}$

(Ehrhard'12)

$$\begin{array}{c}
 \frac{}{x : A \vdash \quad x : A} \\
 \\
 \frac{(\Gamma_i; x : B_i \vdash \quad t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash \quad \lambda x. t : [B_i \Rightarrow A_i]_{i \in I}} \\
 \\
 \frac{\Gamma \vdash \quad t : [B \Rightarrow A] \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad tu : A} \\
 \\
 \frac{\Gamma; x : B \vdash \quad t : A \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad t[x \backslash u] : A}
 \end{array}$$

Type System $\mathcal{U}$

(Barenbaum-K.-Milicich'24)

$$\begin{array}{c}
 \frac{}{x : A \vdash \quad x : A} \\
 \\
 \frac{(\Gamma_i; x : B_i^? \vdash \quad t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash \quad \lambda x. t : [B_i^? \Rightarrow A_i]_{i \in I}} \\
 \\
 \frac{\Gamma \vdash \quad t : [B^? \Rightarrow A] \quad B^? \ll B \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad tu : A} \\
 \\
 \frac{\Gamma; x : B^? \vdash \quad t : A \quad B^? \ll B \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad t[x \backslash u] : A}
 \end{array}$$

Type System $\mathcal{U}$

(Barenbaum-K.-Milicich'24)

$$\frac{\frac{}{x : A \vdash \quad x : A} \quad (\Gamma_i; x : B_i^? \vdash \quad t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash \quad \lambda x. t : \Gamma^?}$$

Operator $- \ll -$ introduces a sort of **weakening**:

$$\begin{aligned} \perp &\ll s \\ \perp &\ll [] \\ M &\ll M \end{aligned}$$

Type System $\mathcal{U}$

(Barenbaum-K.-Milicich'24)

$$\begin{array}{c}
 \frac{}{x : A \vdash \quad x : A} \\
 \\
 \frac{(\Gamma_i; x : B_i^? \vdash \quad t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash \quad \lambda x. t : [B_i^? \Rightarrow A_i]_{i \in I}} \\
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 \frac{\Gamma; x : B^? \vdash \quad t : A \quad B^? \ll B \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad t[x \backslash u] : A}
 \end{array}$$

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 \frac{}{x : A \vdash \quad x : A} \\
 \\
 \frac{(\Gamma_i; x : B_i^? \vdash \quad t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash \quad \lambda x. t : [B_i^? \Rightarrow A_i]_{i \in I}} \quad \frac{\Gamma \vdash \quad t : S \quad \Delta \vdash \quad u : A \in \{\$, [\,]\}}{\Gamma \sqcup \Delta \vdash \quad tu : S} \\
 \\
 \frac{\Gamma \vdash \quad t : [B^? \Rightarrow A] \quad B^? \ll B \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad tu : A} \\
 \\
 \frac{\Gamma; x : B^? \vdash \quad t : A \quad B^? \ll B \quad \Delta \vdash \quad u : B}{\Gamma \sqcup \Delta \vdash \quad t[x \backslash u] : A}
 \end{array}$$

Type System $\mathcal{U}$

(Barenbaum-K.-Milicich'24)

$\mathbf{n} = \# \text{ arrows in } A$

$$\frac{}{x : A \vdash^{(\mathbf{0}, \mathbf{n})} x : A}$$

$$\frac{(\Gamma_i; x : B_i^? \vdash^{(\mathbf{m}_i, \mathbf{e}_i)} t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash^{(+i \in I \ \mathbf{m}_i, +i \in I \ \mathbf{e}_i)} \lambda x. t : [B_i^? \Rightarrow A_i]_{i \in I}} \quad \frac{\Gamma \vdash^{(\mathbf{m}, \mathbf{e})} t : s \quad \Delta \vdash^{(\mathbf{m}', \mathbf{e}')} u : A \in \{\$, [\,]\}}{\Gamma \sqcup \Delta \vdash^{(\mathbf{m} + \mathbf{m}', \mathbf{e} + \mathbf{e}')} tu : s}$$

$$\frac{\Gamma \vdash^{(\mathbf{m}, \mathbf{e})} t : [B^? \Rightarrow A] \quad B^? \ll B \quad \Delta \vdash^{(\mathbf{m}', \mathbf{e}')} u : B}{\Gamma \sqcup \Delta \vdash^{(\mathbf{1} + \mathbf{m} + \mathbf{m}', \mathbf{e} + \mathbf{e}')} tu : A}$$

$$\frac{\Gamma; x : B^? \vdash^{(\mathbf{m}, \mathbf{e})} t : A \quad B^? \ll B \quad \Delta \vdash^{(\mathbf{m}', \mathbf{e}')} u : B}{\Gamma \sqcup \Delta \vdash^{(\mathbf{m} + \mathbf{m}', \mathbf{e} + \mathbf{e}')} t[x \setminus u] : A}$$

A **tight** type derivation for the term $\mathbf{t} := (x\ y\ x)[x \backslash \mathbf{I}]$

$$y : \mathbf{s} \vdash (x\ y\ x)[x \backslash \mathbf{I}] : \mathbf{s}$$

A **tight** type derivation for the term $\mathbf{t} := (x\ y\ x)[x \backslash \mathbf{I}]$

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 \frac{}{x : [\mathbf{s} \Rightarrow \mathbf{s}] \vdash x : [\mathbf{s} \Rightarrow \mathbf{s}]} \quad \frac{}{y : \mathbf{s} \vdash y : \mathbf{s}} \\
 \hline
 x : [\mathbf{s} \Rightarrow \mathbf{s}], y : \mathbf{s} \vdash x\ y : \mathbf{s} \quad \frac{}{x : [] \vdash x : []} \quad \frac{}{w : \mathbf{s} \vdash w : \mathbf{s}} \\
 \hline
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 \end{array}$$

Evaluation of $\mathbf{t}$ to normal form: **1 multiplicative** step and **1 exponential** steps:

$$(x\ y\ x)[x \backslash \mathbf{I}] \rightarrow (\mathbf{I}\ y\ x)[x \backslash \mathbf{I}] \rightarrow (w[w \backslash y]\ x)[x \backslash \mathbf{I}]$$

A **tight** type derivation for the term $\mathbf{t} := (x\ y\ x)[x \backslash \mathbf{I}]$

$$\begin{array}{c}
 \frac{}{x : [\mathbf{s} \Rightarrow \mathbf{s}] \vdash^{(0,1)} x : [\mathbf{s} \Rightarrow \mathbf{s}]} \quad \frac{}{y : \mathbf{s} \vdash^{(0,0)} y : \mathbf{s}} \\
 \hline
 x : [\mathbf{s} \Rightarrow \mathbf{s}], y : \mathbf{s} \vdash^{(1,1)} x\ y : \mathbf{s} \quad \frac{}{x : [] \vdash^{(0,0)} x : []} \quad \frac{}{w : \mathbf{s} \vdash^{(0,0)} w : \mathbf{s}} \\
 \hline
 x : [\mathbf{s} \Rightarrow \mathbf{s}], y : \mathbf{s} \vdash^{(1,1)} x\ y\ x : \mathbf{s} \quad \frac{}{\emptyset \vdash^{(0,0)} \mathbf{I} : [\mathbf{s} \Rightarrow \mathbf{s}]} \\
 \hline
 y : \mathbf{s} \vdash^{(1,1)} (x\ y\ x)[x \backslash \mathbf{I}] : \mathbf{s}
 \end{array}$$

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Qualitative Versus Quantitative Subject Reduction

Let $t \rightarrow$ UsefulVSC t' . Then,

Qualitative Versus Quantitative Subject Reduction

Let $t \rightarrow$ **UsefulVSC** t' . Then,

Qualitative Subject Reduction



If t is **$\mathcal{U}$** -typable then t' is **$\mathcal{U}$** -typable

Qualitative Versus Quantitative Subject Reduction

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If t is **tightly** U-typable with counters $(\mathbf{m}, \mathbf{e})$, and the reduction step is **multiplicative** then t' is **tightly** U-typable with C-counters $(\mathbf{m} - \mathbf{1}, \mathbf{e})$.

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If t is **tightly** U-typable with counters $(\mathbf{m}, \mathbf{e})$, and the reduction step is **exponential** then t' is **tightly** U-typable with C-counters $(\mathbf{m}, \mathbf{e} - \mathbf{1})$.

Qualitative Versus Quantitative Characterization of Termination

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Theorem (Qualitative Soundness and Completeness)

Let t be a term. Then t is $\mathcal{U}$ -typable if and only if t UsefulVSC-terminates.

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Theorem (Quantitative Soundness and Completeness)

Let t be a term. Then t is tightly $\mathcal{U}$ -typable with counters (m, e) if and only if t UsefulVSC-terminates after m multiplicative steps and e exponential steps.

Qualitative Versus Quantitative Characterization of Termination



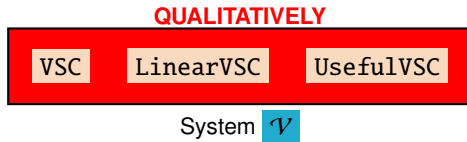
Theorem (Qualitative Soundness and Completeness)

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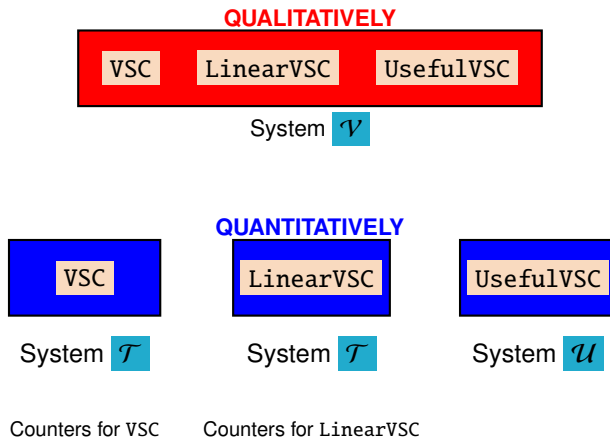
Similar results can be obtained
for $\mathcal{VSC}$ and LinearVSC
by means of system $\mathcal{T}$

Theorem (Quantitative Soundness and Completeness)

Let t be a term. Then t is UsefulVSC -terminating in (n, e) if and only if t terminates in n steps and e exponential steps.



The Full Picture



Agenda

- 1 Observational Equivalence: The Call-by-Name/Call-by-Need Case
- 2 Call-by-Value Revisited
- 3 Observational Equivalence: The Call-by-Value Case
- 4 A Quantitative Refinement
- 5 Conclusion

Concluding Remarks For Lesson 5

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- Cases **VSC**, **LinearVSC** : **simple** operational semantics, **subtle** typing system.
- Can we capture the three operational semantics in a single system by means of different counters?
- Challenging cases:
 - ▶ **Effectful** models of computation (algebraic, continuations, ...)
 - ▶ **Useful Call-by-Name** evaluation (and other interesting time cost models)
 - ▶ **Strong evaluation** (for proof assistants)

Takeaway Messages



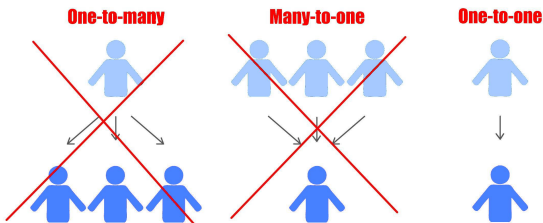
Lambda calculus is a microscope for understanding programming languages



Linear logic is a resource-aware logic that tracks assumption usage



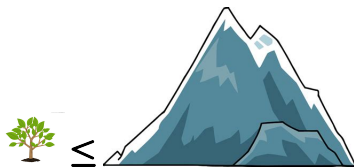
(Intuitionistic) linear logic proof nets captured by the fine-grained **pn** term calculus



Idempotent Intersection Types to Reason About Qualitative Properties of Programs



Non-Idempotent Intersection Types to Reason About Quantitative Properties of Programs



**Non-Idempotent Types
with Upper Bounds**



**Non-Idempotent Types
with Exact Measures**



**Non-Idempotent Types
with Split Measures**

A Subsuming Framework Inspired from Linear Logic to Capture Different Models of Computation



Intersection Types to Reason about Observational Equivalence

Call-by-Name

Call-by-Value

?

Call-by-Need

Linear Call-by-Value



Neededness

CbN/CbV

Useful Evaluation



