

LAMBDA CALCULI THROUGH THE LENS OF LINEAR LOGIC

Lesson 3: Quantitative Types

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- **Quantitative** information in computer science:
 - ▷ Time, space, probability, cost, ...
- Emerging in **different areas**:
 - ▷ Automata, graphs, logics, algorithms, ...
 - ▷ Verification, model-checking, programming, theorem proving, ...
 - ▷ Performance measurement, network analysis, data mining, ...
- **Theory of programming languages**:
 - ▷ Quantitative information about programs can be captured by **type systems/relational models**
- **Quantitative Type Systems**:
 - ▷ **Principles, Properties, and Applications.**

Outline

- 1 Some Principles of Quantitative Types
- 2 Quantitative Types for Lambda Calculus
- 3 Quantitative Types and Inhabitation
- 4 Quantitative Types for Measuring
- 5 Conclusion

Agenda

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From Simple Types to Quantitative Types

Simple Types - Main Ideas

- **Grammar:** $A, B ::= \iota \mid A \rightarrow B$
- **Typing rules:**

$$\frac{}{\Gamma, x : A \vdash x : A} \quad \frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B} \quad \frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B}$$

- **Logical** (Curry-Howard) interpretation.
- **Monomorphic** information.
- Lack expressivity power but **typability is decidable**.
- Admits powerful polymorphic **extensions** that are still decidable.

Intersection Types - Main Ideas

- **Grammar** : $A, B ::= \iota \mid A \rightarrow B \mid A \cap B$
- **Key typing rule**:

$$\frac{t : A \quad t : B}{t : A \cap B}$$

- **Finite polymorphism**:

$$(A \rightarrow A) \cap ((A \rightarrow B) \rightarrow (A \rightarrow B))$$

represent two different instances of the polymorphic type

$$\forall X. X \rightarrow X.$$

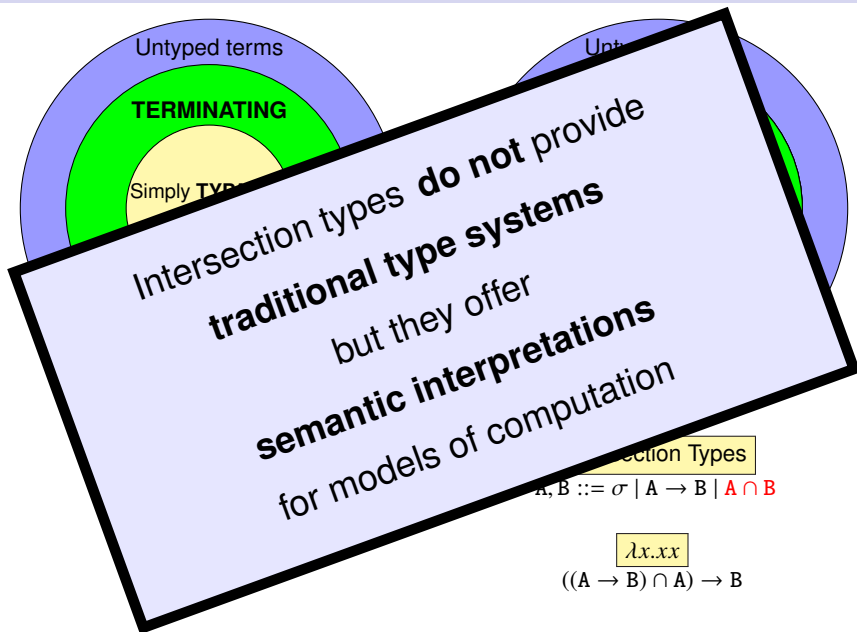
- But **more expressive**:

$$A \cap (A \rightarrow B) \cap ((A \rightarrow B) \rightarrow B)$$

is perfectly admissible.

- Typability becomes **undecidable**
- Are **flexible** and can be adapted to different frameworks
- Provide **models** for different languages
- Very **powerful** tool to reason about properties of higher-order languages

Simple versus Intersection Types



Intersection Types

$A, B ::= \sigma \mid A \rightarrow B \mid A \cap B$

$\lambda x.xx$

$((A \rightarrow B) \cap A) \rightarrow B$

Which kind of intersection constructor?

Associativity $(A \cap B) \cap C \sim A \cap (B \cap C)$
Commutativity $A \cap B \sim B \cap A$

Idempotent

versus

Non-idempotent

$$A \cap A \sim A$$

$$A \cap A \not\sim A$$





Unbounded Resources

Finite Resources



Idempotent vs Non-Idempotent Intersection Types

Idempotent	Non-idempotent
Coppo & Dezani in the eighties	Gardner and Kfoury in the nineties (Girard's Linear Logic flavour)
Sets: $A \cap A \cap C$ is $\{A, C\}$	Multi-sets: $A \cap A \cap C$ is $[A, A, C]$
<p>Qualitative properties: Yes or No</p> 	<p>Quantitative properties: bound and measure De Carvalho</p> 

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An Emblematic Example: Gardner's system revisited

Grammar:

(Types) $A ::= \iota \mid M \rightarrow A$
(Multi-Types) $M ::= [A_i]_{i \in I}$

(Quantitative) Linear Logic Intuition:

- $[A_1, \dots, A_n]$ can be understood as $A_1 \otimes \dots \otimes A_n$.
- $M \rightarrow A$ can be understood as $M^\perp \wp A$

Judgements:

Multi-Type M_i for each variable x_i



$x_1 : M_1, \dots, x_n : M_n \vdash t : A$



Type A for the term t

An Emblematic Example: Gardner's system revisited

The Typing Rules:

Type System \mathcal{H} (\mathcal{H} for *Head*)

$$\begin{array}{c} \frac{}{x : [\mathbf{A}] \vdash x : \mathbf{A}} \text{ (ax)} \\ \frac{\Gamma \vdash t : \mathbf{A}}{\Gamma \setminus x \vdash \lambda x. t : \Gamma(x) \rightarrow \mathbf{A}} \text{ (fun)} \\ \frac{(\Gamma_i \vdash t : \mathbf{A}_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash t : [\mathbf{A}_i]_{i \in I}} \text{ (many)} \\ \frac{\Gamma \vdash t : \mathbf{M} \rightarrow \mathbf{B} \quad \Delta \vdash u : \mathbf{M}}{\Gamma \sqcup \Delta \vdash tu : \mathbf{B}} \text{ (app)} \\ \frac{}{x : [\mathbf{A}] \vdash x : \mathbf{A}} \text{ (ax)} \quad \frac{\Gamma \vdash t : \mathbf{A}}{\Gamma \setminus x \vdash \lambda x. t : \Gamma(x) \rightarrow \mathbf{A}} \text{ (}\rightarrow\text{)}_i \\ \frac{(\Gamma_i \vdash t : \mathbf{A}_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash t : [\mathbf{A}_i]_{i \in I}} \text{ (many)} \quad \frac{\Gamma \vdash t : \mathbf{M} \rightarrow \mathbf{B} \quad \Delta \vdash u : \mathbf{M}}{\Gamma \sqcup \Delta \vdash tu : \mathbf{B}} \text{ (}\rightarrow\text{)}_e \end{array}$$

Type System \mathcal{H} with a Single Counter

$$\begin{array}{c}
 \frac{}{x : [A] \vdash^{(1)} x : A} \text{ (ax)} \qquad \frac{\Gamma \vdash^{(C)} t : A}{\Gamma \setminus x \vdash^{(C+1)} \lambda x. t : \Gamma(x) \rightarrow A} (\rightarrow_i) \\
 \\
 \frac{(\Gamma_i \vdash^{(C_i)} t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash^{(+i \in I C_i)} t : [A_i]_{i \in I}} \text{ (many)} \qquad \frac{\Gamma \vdash^{(C_1)} t : M \rightarrow B \quad \Delta \vdash^{(C_2)} u : M}{\Gamma \sqcup \Delta \vdash^{(C_1 + C_2 + 1)} tu : B} (\rightarrow_e)
 \end{array}$$

- The single **counter** computes the number of typing rules different from (many).
- Other kind of **counters** will be used later.
- **Counters** can be also added to idempotent systems, but they result useless (as we will see).

(Standard) Notation for Type Derivability

$$\Pi \triangleright_{\mathcal{S}} \Gamma \vdash^{(C_1, \dots, C_n)} t : A$$

- Π is a (tree) derivation, (sometimes omitted)
- \mathcal{S} is a type system, (sometimes omitted)
- Γ is a set of type declarations,
- (C_1, \dots, C_n) are counters, (sometimes omitted)
- t is a program/term,
- A is a type.

A First Example

Let $\Omega := (\lambda x.xx)(\lambda x.xx)$. Let $\mathbf{A} := [] \rightarrow [\iota_0] \rightarrow \iota_1$. Then,

$$\begin{array}{c}
 \frac{}{x : [\mathbf{A}] \vdash x : \mathbf{A}} \text{ (ax)} \quad \frac{}{\vdash \Omega : []} \text{ (many)} \quad \frac{}{x : [\iota_0] \vdash x : \iota_0} \text{ (ax)} \\
 \hline
 \frac{x : [\mathbf{A}] \vdash x \Omega : [\iota_0] \rightarrow \iota_1}{x : [\iota_0] \vdash x : [\iota_0]} \text{ (app)} \quad \frac{}{x : [\iota_0] \vdash x : [\iota_0]} \text{ (many)} \\
 \hline
 \frac{x : [\mathbf{A}, \iota_0] \vdash x \Omega x : \iota_1}{\vdash \lambda x.x \Omega x : [\mathbf{A}, \iota_0] \rightarrow \iota_1} \text{ (fun)}
 \end{array}$$

- The subterm Ω is **untyped**
- The bound variable x is typed with an intersection type $[\mathbf{A}, \iota_0]$.

Another Example: Church Numerals

Let $\underline{3} := \lambda f.\lambda x.f(f(fx)))$ and let $\mathbf{B} := [\mathbf{A}] \rightarrow \mathbf{A}$.

$$\begin{array}{c}
 \frac{}{x : [\mathbf{A}] \vdash x : \mathbf{A}} \\
 \frac{}{f : \mathbf{B} \vdash f : [\mathbf{A}] \rightarrow \mathbf{A}} \quad \frac{}{x : [\mathbf{A}] \vdash x : [\mathbf{A}]} \\
 \hline
 f : [\mathbf{B}], x : [\mathbf{A}] \vdash fx : \mathbf{A} \\
 \hline
 \frac{}{f : \mathbf{B} \vdash f : [\mathbf{A}] \rightarrow \mathbf{A}} \quad \frac{}{f : [\mathbf{B}], x : [\mathbf{A}] \vdash fx : [\mathbf{A}]} \\
 \hline
 f : [\mathbf{B}, \mathbf{B}], x : [\mathbf{A}] \vdash f(fx) : \mathbf{A} \\
 \hline
 \frac{}{f : \mathbf{B} \vdash f : [\mathbf{A}] \rightarrow \mathbf{A}} \quad \frac{}{f : [\mathbf{B}, \mathbf{B}], x : [\mathbf{A}] \vdash f(fx) : [\mathbf{A}]} \\
 \hline
 f : [\mathbf{B}, \mathbf{B}, \mathbf{B}], x : [\mathbf{A}] \vdash f(f(fx)) : \mathbf{A} \\
 \hline
 f : [\mathbf{B}, \mathbf{B}, \mathbf{B}] \vdash \lambda x.f(f(fx)) : [\mathbf{A}] \rightarrow \mathbf{A} \\
 \hline
 \vdash \underline{3} : [\mathbf{B}, \mathbf{B}, \mathbf{B}] \rightarrow [\mathbf{A}] \rightarrow \mathbf{A}
 \end{array}$$

Let $\underline{3} := \lambda f. \lambda x. f(f(fx)))$ and let $\mathbf{B} := [A] \rightarrow A$

Non-Idempotent/Quantitative Typing with Multi-Sets

$$\vdash \underline{3} : [\mathbf{B}, \mathbf{B}, \mathbf{B}] \rightarrow [A] \rightarrow A$$

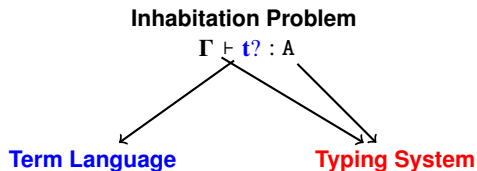
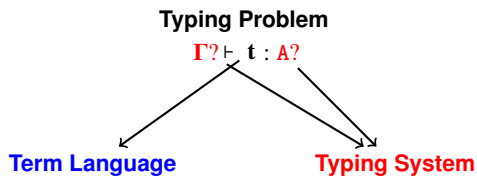
Idempotent/Qualitative Typing with Sets

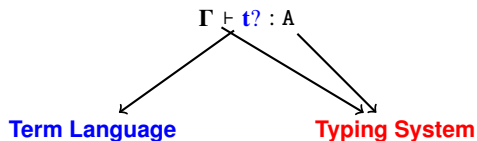
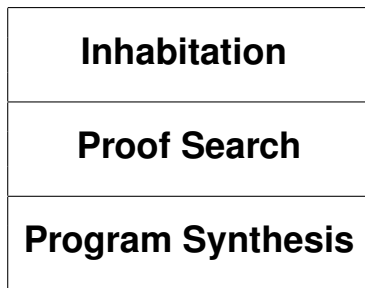
$$\vdash \underline{3} : \{\mathbf{B}\} \rightarrow \{A\} \rightarrow A$$

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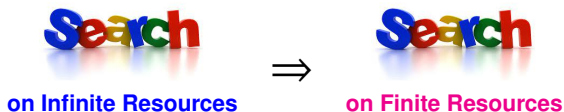
Duality between Typing and Inhabitation





Typing and Inhabitation Problems for Lambda-Calculus

Call-by-Name Lambda-Calculus	Typing $? \vdash t : ?$	Inhabitation $\Gamma \vdash ? : A$
Simple Types	Decidable	Decidable
Unrestricted Idempotent Types	Undecidable	Undecidable Urzyczyn (Infinite Resources)
Restricted Idempotent Types	Undecidable	Decidable Rehof, Dudenhefner, etc (Finite Search on Infinite Resources)
Unrestricted Non-Idempotent Types	Undecidable	Decidable Bucciarelli&K.&RonchiDellaRocca (Finite Resources)



Non-deterministic algorithm



Theorem

The algorithm **terminates**:

Every call on (Γ, σ) generates a finite set of recursive calls.

The algorithm is **sound**:

*If a call on (Γ, σ) computes **T**, then $\Gamma \vdash \mathbf{T} : \sigma$.*

The algorithm is **complete**:

*If $\Gamma \vdash \mathbf{T} : \sigma$, then there exists an answer of the algorithm which generates **T**.*

- First inhabitation results for **CBN**: Bucciarelli&K.&Ronchi Della Rocca' {14,18,21}
- Similar results for **CBV** and **dBang**: Arrial&Guerrieri&K.'23
- Implementation by Arrial in Ocaml.

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Intersection Types and Quantitative Analysis

- Intersection type systems provide a mathematical **meaning** of programs:

$$\llbracket \mathbf{t} \rrbracket := \{(\Gamma, A) \mid \triangleright \Gamma \vdash \mathbf{t} : A\}$$

- This gives **relational models** where **equivalent** programs have the **same** meaning :

$$\text{If } \mathbf{t} \rightarrow_{\text{operational}} \mathbf{u}, \text{ then } \llbracket \mathbf{t} \rrbracket = \llbracket \mathbf{u} \rrbracket$$

$$\text{i.e. } \triangleright \Gamma \vdash^{(C)} \mathbf{t} : A \Leftrightarrow \triangleright \Gamma \vdash^{(C')} \mathbf{u} : A$$

called Subject Reduction and Expansion

Qualitative
analysis
(Idempotent types)

$$C \# C'$$

Quantitative
analysis
(Non-idempotent types)

$$C > C'$$

t is a **typable** term $\iff t \rightarrow \dots \rightarrow \mathbf{result}$

More precisely:

$\triangleright_{\mathcal{S}} \Gamma \vdash t : A \iff t \mathcal{N}\text{-normalizes to a } \mathbf{result}$

Correspondence:

Type System $\mathcal{S} \iff \mathcal{N}\text{-Normalization}$

(Idempotent)



Characterizing Evaluation by Means of Qualitative/**Quantitative** Types

$$\triangleright_{\mathcal{S}} \Gamma \vdash^{(\mathbf{CL}, \mathbf{S})} t : A \quad \Longleftrightarrow \quad \underbrace{t \rightarrow \dots \rightarrow}_{\text{length}} \underbrace{\text{result}}_{\text{size}}$$

\mathcal{S} = **Non-Idempotent Types** with **UPPER BOUNDS** (e.g. Gardner's System \mathcal{H})

t \mathcal{N} -normalizes to a result and **length** + **size** $\leq C$

\mathcal{S} = **Non-Idempotent Types** with **EXACT MEASURES**

t \mathcal{N} -normalizes to a result and **length** + **size** = C



A possible **exponential** gap between **length** and **size**

\mathcal{S} = **Non-Idempotent Types** with **SPLIT MEASURES**

t \mathcal{N} -normalizes to a result and **length** = **L** and **size** = **S**





Idempotent Types



**Non-Idempotent Types
with Upper Bounds**



**Non-Idempotent Types
with Exact Measures**



**Non-Idempotent Types
with Split Measures**

The Emblematic Example: Head Normalization

Head Normal Forms (HNF) : terms of the form $\lambda x_1 \dots \lambda x_n. y t_1 \dots t_m$ ($n, m \geq 0$)

Head Evaluation : No reduction inside arguments of applications.

$$\frac{}{(\lambda x. t)u \rightarrow_{hd} t\{x \backslash u\}} \quad \frac{t \rightarrow_{hd} u}{\lambda x. t \rightarrow_{hd} \lambda x. u} \quad \frac{t \rightarrow_{hd} u \quad t \neq \lambda}{tv \rightarrow_{hd} uv}$$

Head Normalization : if there exists a HNF u such that $t \rightarrow_{hd} \dots \rightarrow_{hd} u$.

Example:

Let $I := \lambda y. y$ and $\Omega := (\lambda y. yy)(\lambda y. yy)$. Then $\lambda x. Ix\Omega$ is head normalizing, while Ω is not.

Theorem (**UPPER BOUNDS**)

In Type System \mathcal{H}

$$\triangleright_{\mathcal{H}} \Gamma \vdash^{(\mathbf{C})} \mathbf{t} : \mathbf{A}$$



\mathbf{t} **head normalizes** in **length** steps
to a HNF of size **size** and
length + **size** $\leq \mathbf{C}$.

Characterizing Head Normalization with Split Measures

- **Grammar:**

(Tight Constants)	$tt ::= n \mid a$
(Types)	$A ::= tt \mid M \rightarrow A$
(Multi-types)	$M ::= [A_i]_{i \in I}$

- **Judgements with two counters:** $x_1 : M_1, \dots, x_n : M_n \vdash^{(\mathbf{L}, \mathbf{S})} t : A$

- **Multi-type** M_i for each variable x_i
- **Type** A for the term t
- **L** captures **length** of β -steps to head normal form
- **S** captures **size** of the future head normal form

- **Typing Rules:**

- They describe the increment and decrement of the two counters (\mathbf{L}, \mathbf{S})
- They guess the different possible uses of the constructors along a sequence

- **Tight Derivations:** $\triangleright \Gamma \vdash^{(\mathbf{L}, \mathbf{S})} t : A$ is tight iff all the types in Γ, A are **tight constants**.
Tight derivations (noted $\triangleright_{\text{tight}}$) represent **minimal** derivations.

Type System \mathcal{SH} (S for Split)

Accattolli&Graham-Lengrand&K.

$$\begin{array}{c}
 \frac{}{x : [A] \vdash^{(0,0)} x : A} \text{ (ax)} \qquad \frac{(\Gamma_i \vdash^{(\mathbf{L}_i, \mathbf{S}_i)} t : A_i)_{i \in I}}{\sqcup_{i \in I} \Gamma_i \vdash^{(+_{i \in I} \mathbf{L}_i, +_{i \in I} \mathbf{S}_i)} t : [A_i]} \text{ (many)} \\
 \\
 \frac{\Gamma; x : M \vdash^{(\mathbf{L}, \mathbf{S})} t : A}{\Gamma \vdash^{(\mathbf{1} + \mathbf{L}, \mathbf{S})} \lambda x. t : M \rightarrow A} \text{ (fun}_{\mathbf{c}}\text{)} \qquad \frac{\Gamma; x : M \vdash^{(\mathbf{L}, \mathbf{S})} t : \mathbf{tt} \quad \mathbf{IsItight}(M)}{\Gamma \vdash^{(\mathbf{L}, \mathbf{S} + \mathbf{1})} \lambda x. t : \mathbf{a}} \text{ (fun}_{\mathbf{p}}\text{)} \\
 \\
 \frac{\Gamma \vdash^{(\mathbf{L}, \mathbf{S})} t : M \rightarrow A \quad \Gamma' \vdash^{(\mathbf{L}', \mathbf{S}')} u : M}{\Gamma \sqcup \Gamma' \vdash^{(\mathbf{L} + \mathbf{L}', \mathbf{S} + \mathbf{S}')} tu : A} \text{ (app}_{\mathbf{c}}\text{)} \qquad \frac{\Gamma \vdash^{(\mathbf{L}, \mathbf{S})} t : \mathbf{n} \quad \Gamma' \vdash^{(\mathbf{L}', \mathbf{S}')} u : \mathbf{tt}}{\Gamma \sqcup \Gamma' \vdash^{(\mathbf{L} + \mathbf{L}', \mathbf{S} + \mathbf{S}' + \mathbf{1})} tu : \mathbf{n}} \text{ (app}_{\mathbf{p}}\text{)}
 \end{array}$$

Rule	Role	Incrementation
fun _c	consuming function	only first counter
fun _p	persistent function	only second counter
app _c	consuming application	no counter
app _p	persistent application	only second counter

A tight derivation for the term $(\lambda x.x\mathbf{I})\mathbf{I}$

$$\begin{array}{c}
 \frac{z : \mathbf{n} \vdash^{(0,0)} z : \mathbf{n}}{\vdash^{(0,1)} \mathbf{I} : \mathbf{a}} \\
 \frac{x : [[\mathbf{a}] \rightarrow \mathbf{a}] \vdash^{(0,0)} x : [\mathbf{a}] \rightarrow \mathbf{a} \quad \vdash^{(0,1)} \mathbf{I} : [\mathbf{a}]}{x : [[\mathbf{a}] \rightarrow \mathbf{a}] \vdash^{(0,1)} x\mathbf{I} : \mathbf{a}} \\
 \frac{\vdash^{(1,1)} \lambda x.x\mathbf{I} : [[\mathbf{a}] \rightarrow \mathbf{a}] \rightarrow \mathbf{a} \quad \frac{z : [\mathbf{a}] \vdash^{(0,0)} z : \mathbf{a}}{\vdash^{(1,0)} \mathbf{I} : [\mathbf{a}] \rightarrow \mathbf{a}}}{\vdash^{(1,0)} \mathbf{I} : [[\mathbf{a}] \rightarrow \mathbf{a}]} \\
 \hline
 \vdash^{(2,1)} (\lambda x.x\mathbf{I})\mathbf{I} : \mathbf{a}
 \end{array}$$

- The evaluation of $t = (\lambda x.x\mathbf{I})\mathbf{I}$ to head normal form has length **2**:

$$(\lambda x.x\mathbf{I})\mathbf{I} \rightarrow_{\beta} \mathbf{II} \rightarrow_{\beta} \mathbf{I}$$

- The head normal form \mathbf{I} of t has size **1** (variables do not count).

Theorem (**SPLIT MEASURES**)

In Type System \mathcal{SH} with two counters

$$\triangleright_{\mathcal{SH}} \Gamma \vdash^{(\mathbf{L}, \mathbf{S})} \mathbf{t} : A$$



\mathbf{t} **head normalizes** in \mathbf{L} steps
to a HNF of size \mathbf{S} .

Qualitative Versus Quantitative Subject Reduction

Qualitative

If t is typable and $t \rightarrow_{hd} t'$, then t' is typable.



Quantitative (Upper Bounds)

If $\triangleright_{\mathcal{H}}^{(\mathbf{C})} t$ and $t \rightarrow_{hd} t'$, then $\exists \triangleright_{\mathcal{H}}^{(\mathbf{C}')} t'$ s.t. $\mathbf{C} > \mathbf{C}'$.



Quantitative (Exact Measures)

If $\triangleright_{\text{tight}}^{(\mathbf{C})} t$ and $t \rightarrow_{hd} t'$, then $\exists \triangleright_{\text{tight}}^{(\mathbf{C}')} t'$ s.t. $\mathbf{C} = \mathbf{C}' + 1$.



Quantitative (Split Measures)

If $\triangleright_{\text{tight}}^{(\mathbf{L}, \mathbf{S})} t$ and $t \rightarrow_{hd} t'$, then $\exists \triangleright_{\text{tight}}^{(\mathbf{L}-1, \mathbf{S})} t'$.



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Power of quantitative types

- (Quantitative) **characterization** of different notions of termination (head, head-linear, head-needed, weak, strong, value, infinitary etc).
- Get around the size explosion problem (**upper bounds** versus **split/exact measures**).
- Quantitative **view** of traditional **properties** (solvability, genericity).
- **Relational** models.
- Turn Inhabitation problem **decidable**.
- Simple **observational equivalence** proofs by means of types.
- **Characterize** complexity classes.
- **Completeness** of reduction strategies.

- Challenging cases:
 - ▷ **Effectful models of computation** (algebraic, continuations, ...)
 - ▷ **Useful evaluation** (and other interesting time cost models)
 - ▷ **Strong evaluation** (for proof assistants)
 - ▷ **Deep Inference**
 - ▷ **General rewriting**
- (More) **quantitative view** of traditional properties.
- Compare **efficiency** of different implementations/strategies of programming languages by means of quantitative type theory.
- Identify **decidable** fragments applicable to **programming languages**.



