



Kandinsky - Abstract Interpretation, 1925

Abstract Interpretation

and Applications in Security,
Data Science, and Machine Learning

OPLSS 2025

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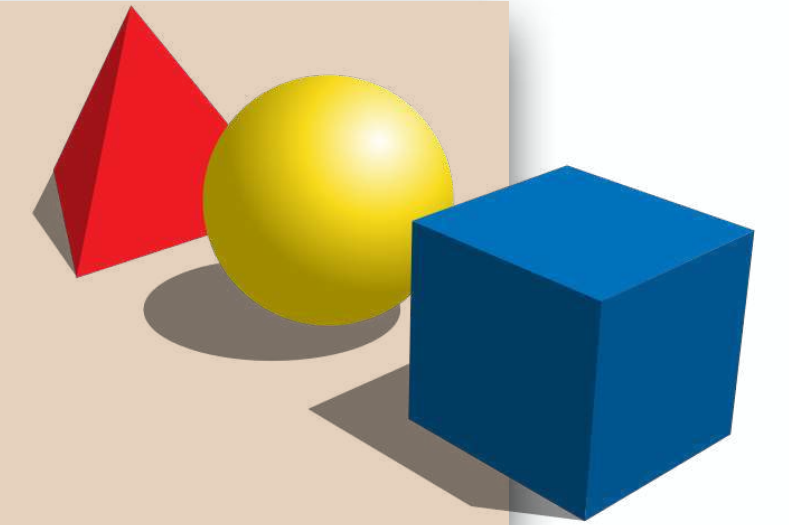
Termination Static Analysis

Abstract Program Termination Semantics

practical tools
targeting specific programs



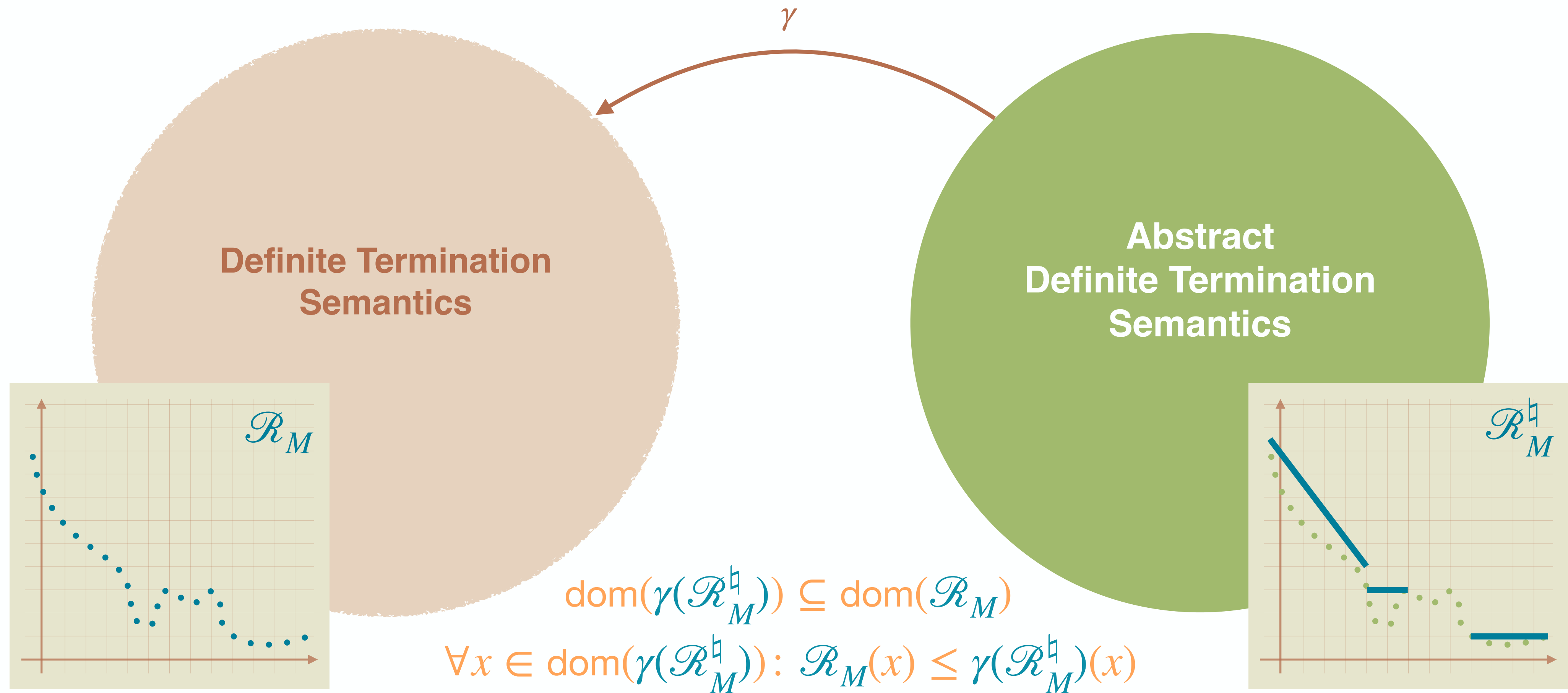
abstract semantics, abstract domains
algorithmic approaches to decide program properties



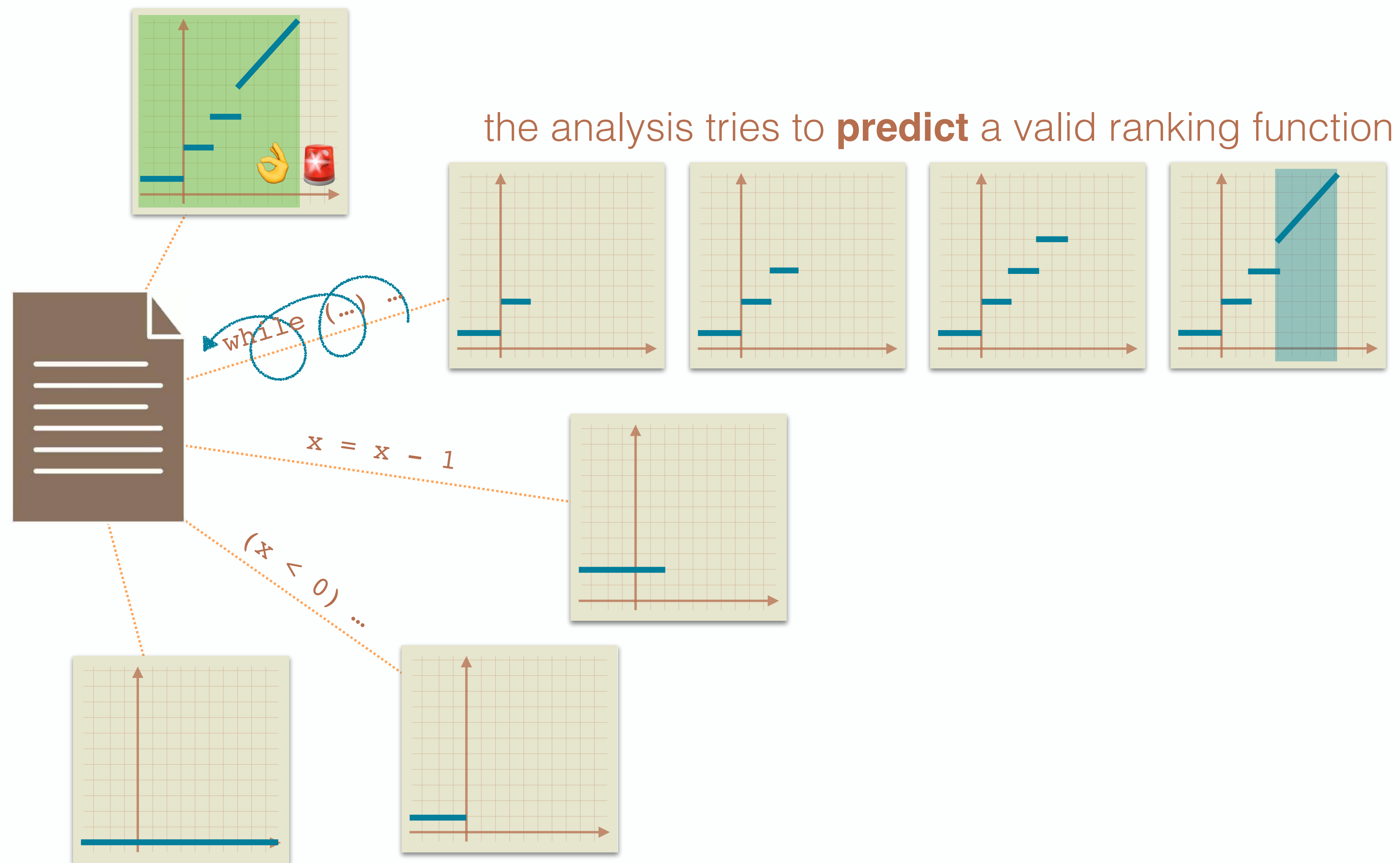
concrete semantics
mathematical models of the program behavior



Piecewise-Defined Ranking Functions



Termination Static Analysis

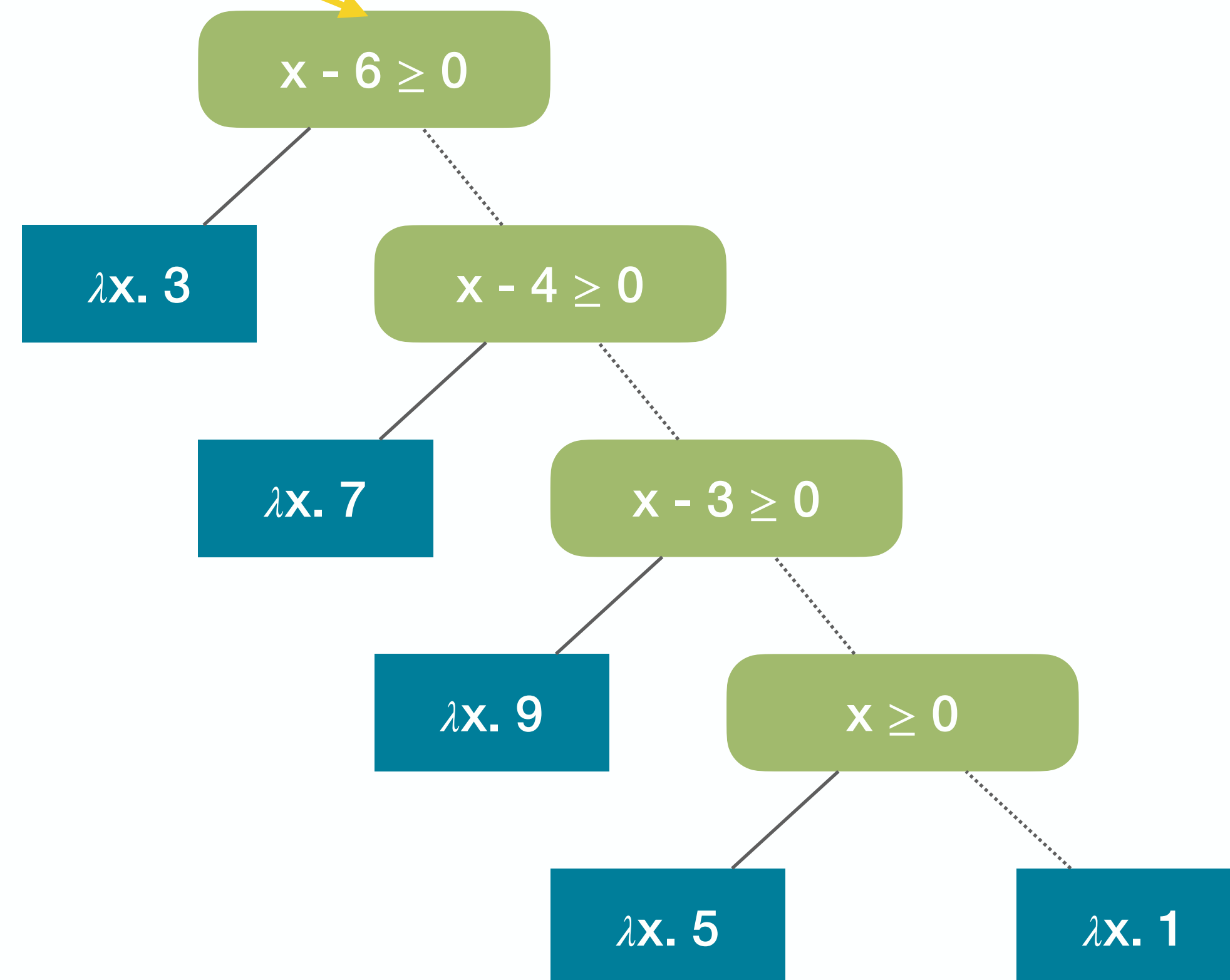
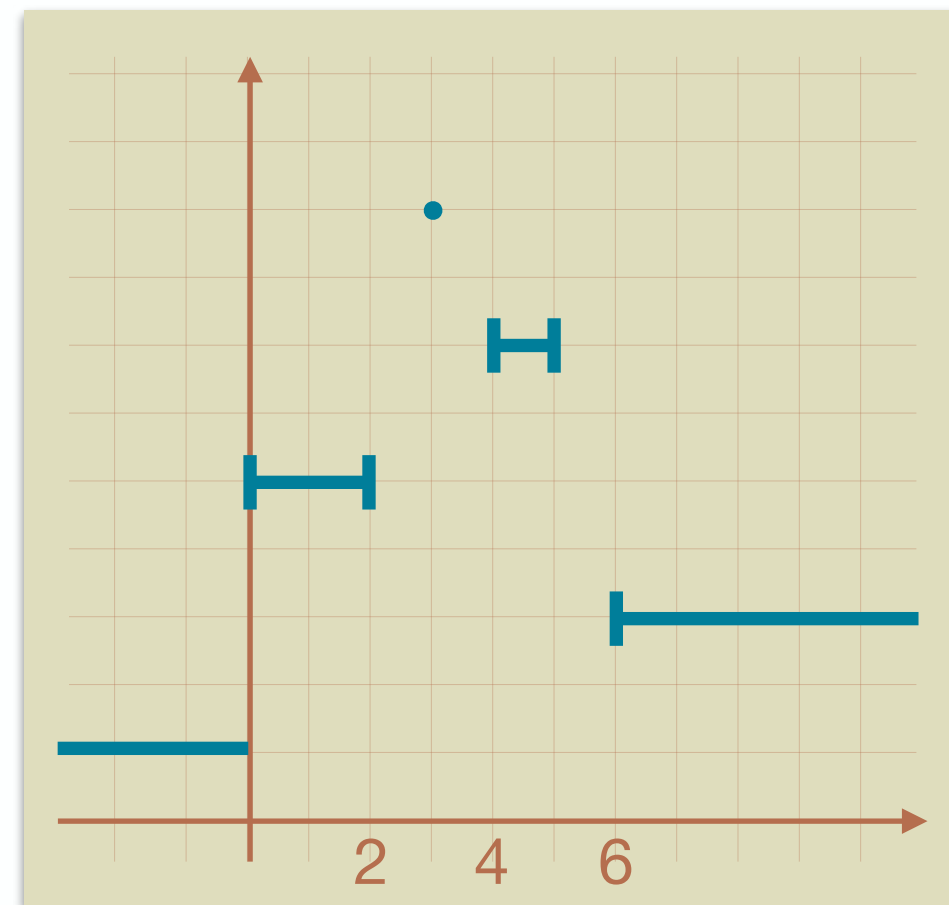


Piecewise-Defined Function Domain

$\langle \mathcal{A}, \leq_A \rangle$

Example

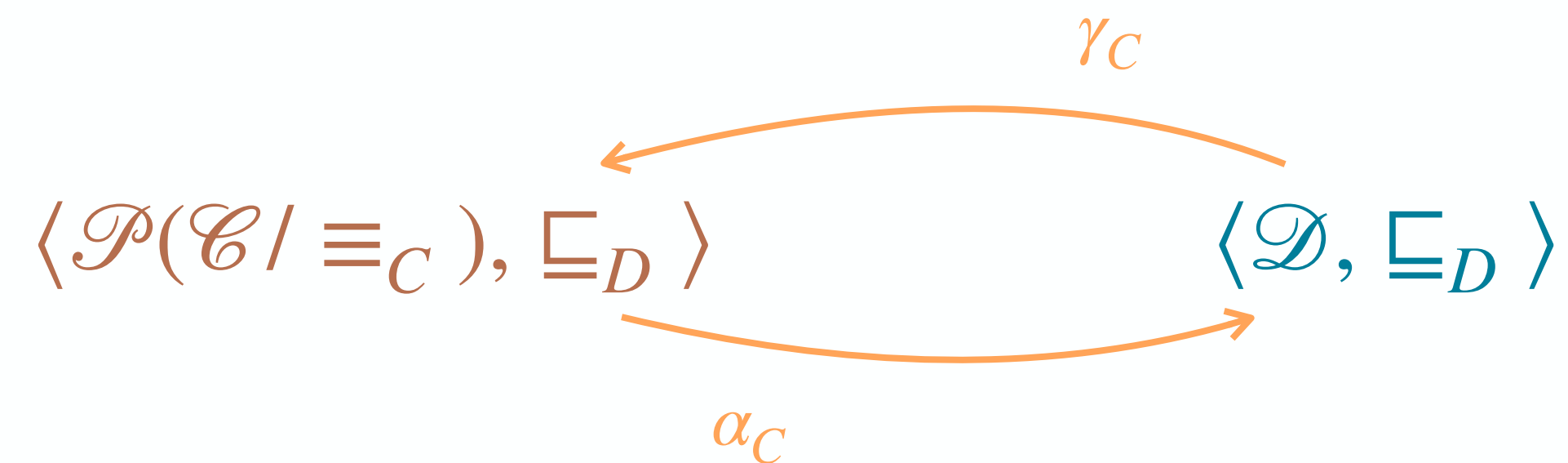
$^1x \leftarrow [-\infty, +\infty]$
while $^2(x \geq 0)$ **do**
 $^3x \leftarrow -2 \cdot x + 10$
done 4



Piecewise-Defined Function Domain

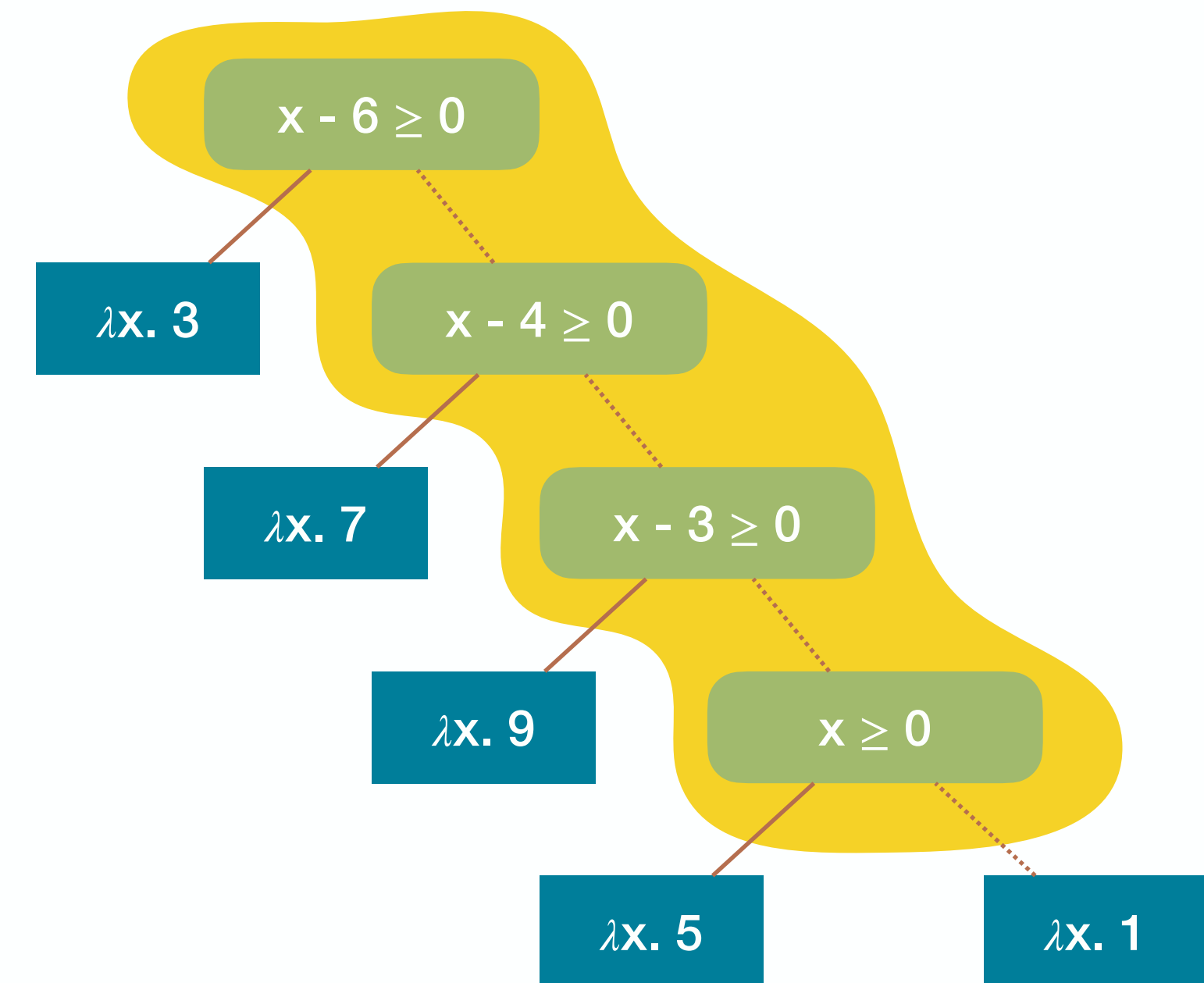
Linear Constraints Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* $\langle \mathcal{D}, \sqsubseteq_D \rangle$ (e.g., intervals, polyhedra):



Example:

$$X \rightarrow [-\infty, 3], Y \rightarrow [0, \infty] \xrightarrow{\gamma_C} \{3 - X \geq 0, Y \geq 0\}$$



- $\mathcal{C}$ is a set of linear constraints *in canonical form*, equipped with a total order $\leq_C$:

$$\mathcal{C} \stackrel{\text{def}}{=} \{c_1 \cdot X_1 + c_k \cdot X_k + c_{k+1} \geq 0 \mid X_1, \dots, X_k \in \mathbb{V} \wedge c_1, \dots, c_{k+1} \in \mathbb{Z} \wedge \gcd(|c_1|, \dots, |c_{k+1}|) = 1\}$$

Natural-Valued Ranking Functions

Piecewise-Defined Function Domain

Functions Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* $\langle \mathcal{D}, \sqsubseteq_D \rangle$

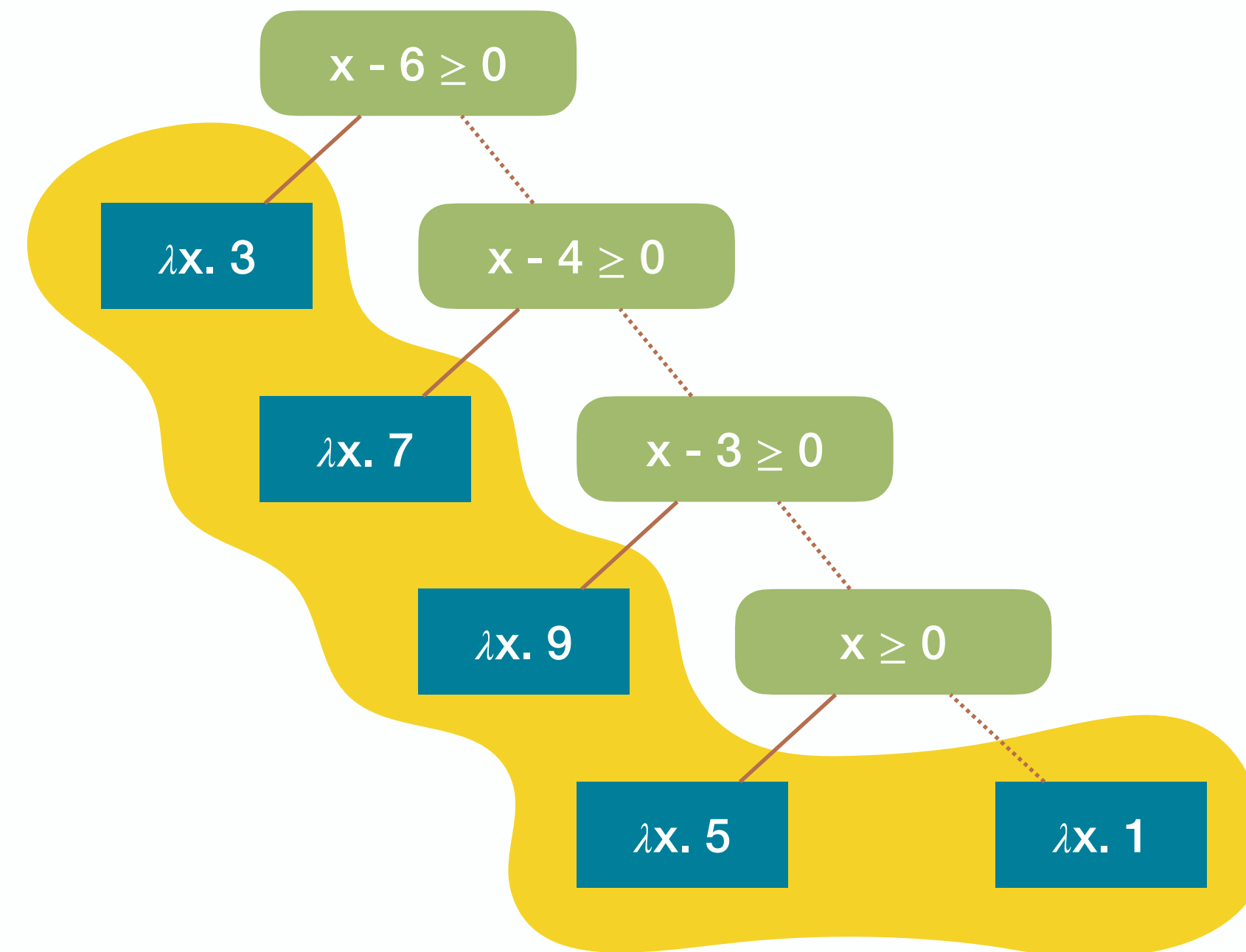
- $\mathcal{F} \stackrel{\text{def}}{=} \{ \perp_F \} \cup (\mathbb{Z}^{|V|} \rightarrow \mathbb{N}) \cup \{ \top_F \}$

We consider **affine functions**:

$$\mathcal{F}_A \stackrel{\text{def}}{=} \{ \perp_F \} \cup \{ f: \mathbb{Z}^{|V|} \rightarrow \mathbb{N} \mid$$

$$f(X_1, \dots, X_k) = \sum_{i=1}^k m_i \cdot X_i + q$$

$$\} \cup \{ \top_F \}$$



Piecewise-Defined Function Domain

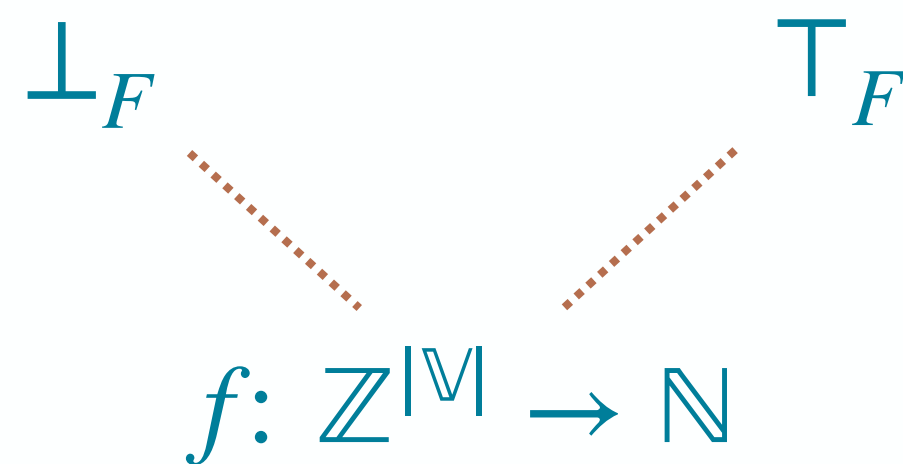
Functions Auxiliary Abstract Domain (continue)

- **approximation order** $\preceq_F[D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \preceq_F[D] f_2 \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D): f_1(\dots, \rho(X_i), \dots) \leq f_2(\dots, \rho(X_i), \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



Piecewise-Defined Function Domain

Functions Auxiliary Abstract Domain (continue)

- **computational order** $\sqsubseteq_F[D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \preceq_F[D] f_2 \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D): f_1(\dots, \rho(X_i), \dots) \leq f_2(\dots, \rho(X_i), \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{array}{c} \top_F \\ \vdots \\ f: \mathbb{Z}^{\mathbb{M}} \rightarrow \mathbb{N} \\ \vdots \\ \perp_F \end{array}$$

Piecewise-Defined Functions Domain

$$\mathcal{A} \stackrel{\text{def}}{=} \{\text{LEAF}: f \mid f \in \mathcal{F}\} \cup \{\text{NODE}\{c\}: t_1; t_2 \mid c \in \mathcal{C} \wedge t_1, t_2 \in \mathcal{A}\}$$

- **concretization function** $\gamma_A: \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\gamma_A(t) \stackrel{\text{def}}{=} \bar{\gamma}_A[\emptyset](t)$$

where $\bar{\gamma}_A: \mathcal{P}(\mathcal{C} / \equiv_C) \rightarrow \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\begin{aligned} \bar{\gamma}_A[C](\text{LEAF}: f) &\stackrel{\text{def}}{=} \gamma_F[\alpha_C(C)](f) \\ \bar{\gamma}_A[C](\text{NODE}\{c\}: t_1; t_2) &\stackrel{\text{def}}{=} \bar{\gamma}_A[C \cup \{c\}](t_1) \dot{\cup} \bar{\gamma}_A[C \cup \{\neg c\}](t_2) \end{aligned}$$

and $\gamma_F: \mathcal{D} \rightarrow \mathcal{F} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\begin{aligned} \gamma_F[D](\perp_F) &\stackrel{\text{def}}{=} \emptyset \\ \gamma_F[D](f) &\stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D): f(\dots, \rho(X_i), \dots) \\ \gamma_F[D](\top_F) &\stackrel{\text{def}}{=} \emptyset \end{aligned}$$

Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order $\preceq_A$ and computational order $\sqsubseteq_A$
 - approximation join $\vee_A$ and computational join $\sqcup_A$
 - meet $\wedge_A$
 - widening ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Abstract Domain Operators

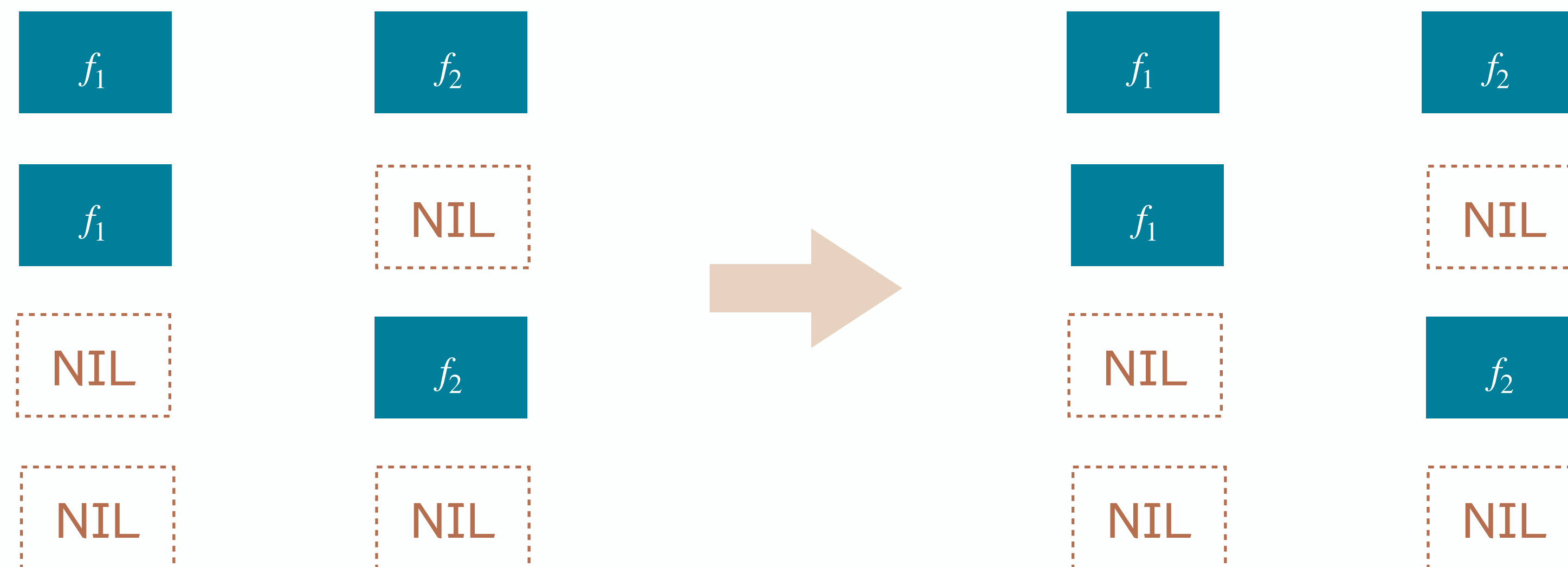
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Piecewise-Defined Functions Domain

Tree Unification

Goal: find a **common refinement** for the given decision trees

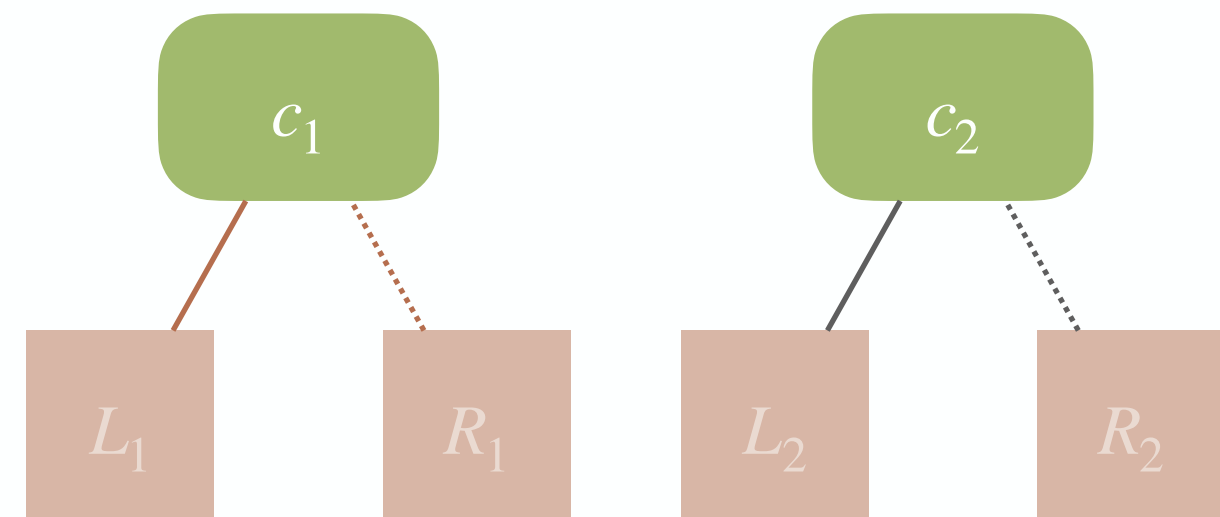
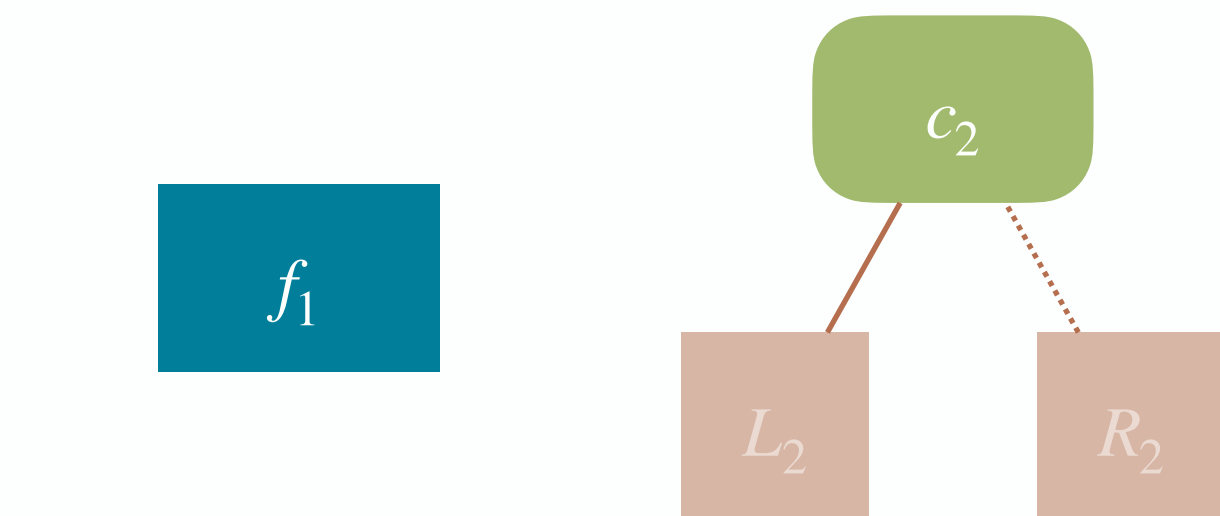
- Base cases:



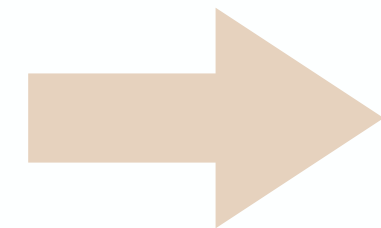
Piecewise-Defined Functions Domain

Tree Unification (continue)

- Case ①



$$c_2 \leq_C c_1$$



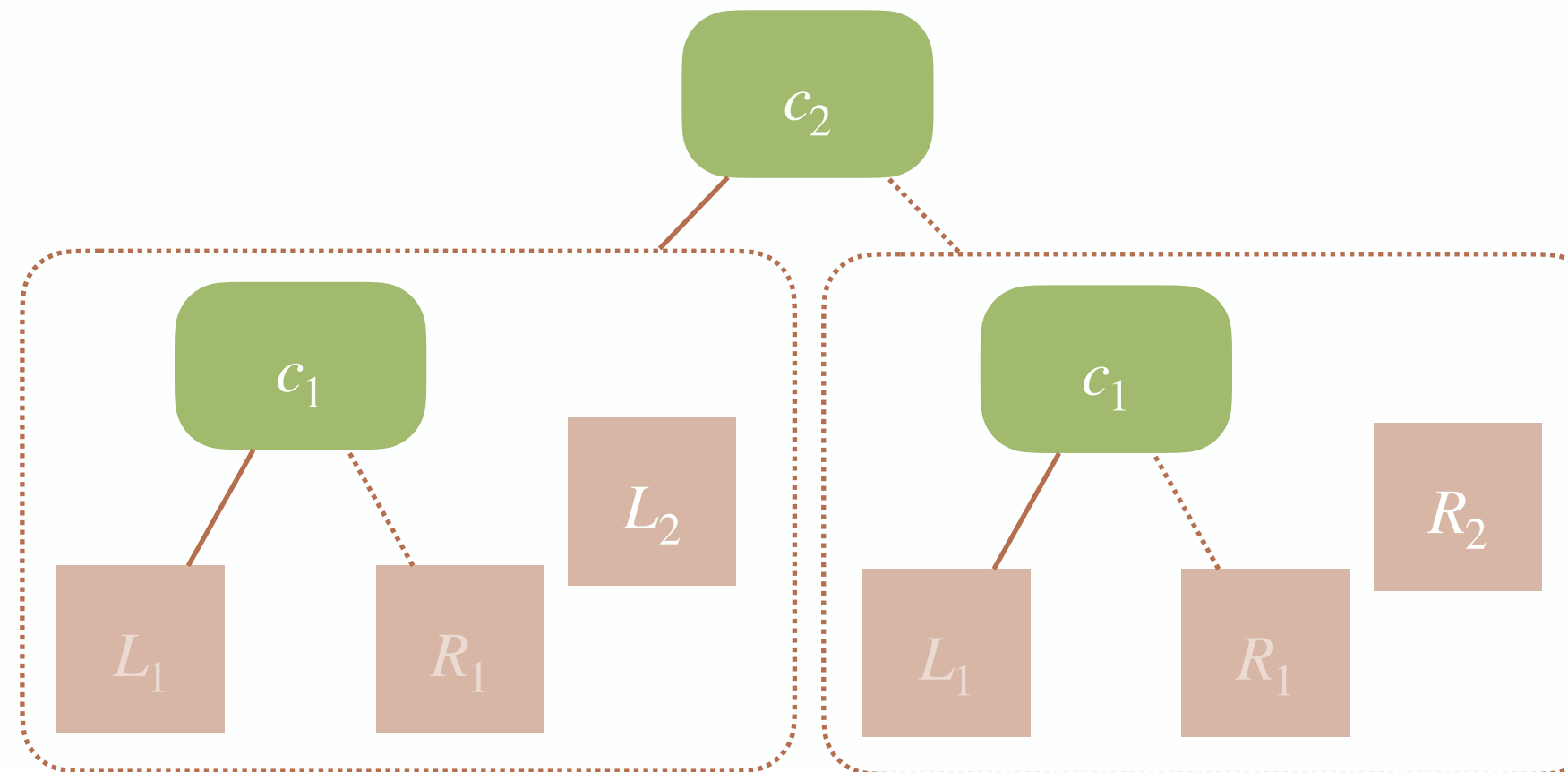
①a) c_2 is redundant



①b) $\neg c_2$ is redundant



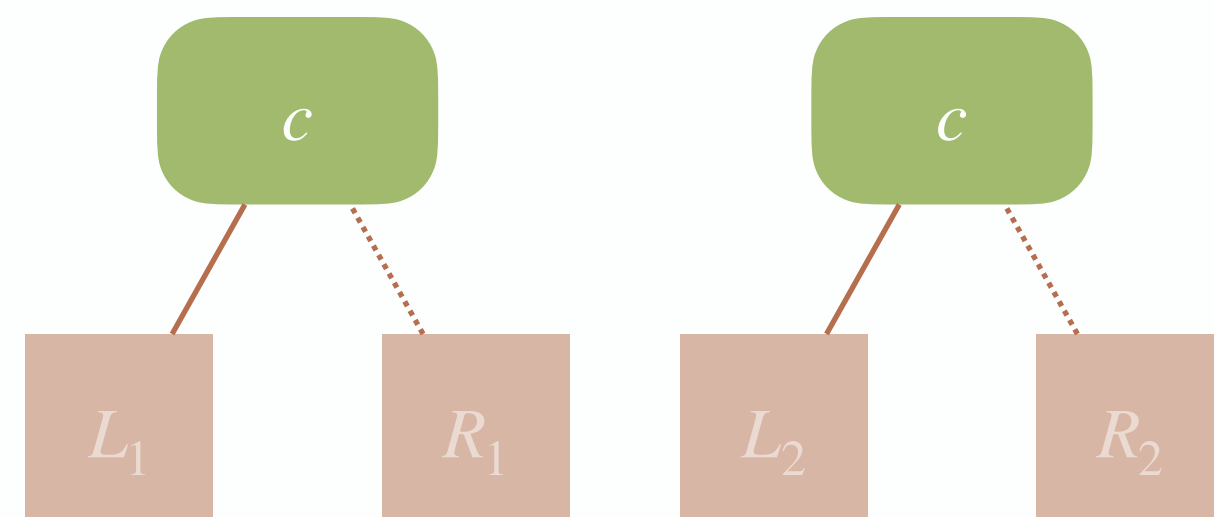
①c) c_2 is added to t_1



Piecewise-Defined Functions Domain

Tree Unification (continue)

- Case ② (symmetric to ①)
- Case ③



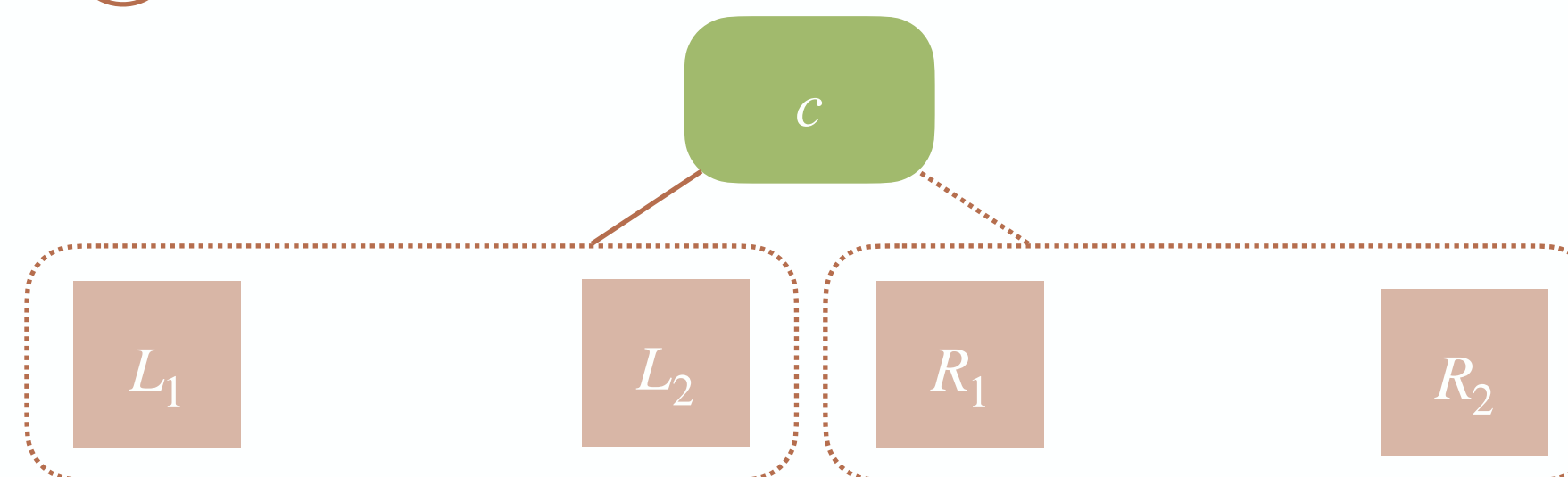
①a) c is redundant



①b) $\neg c$ is redundant



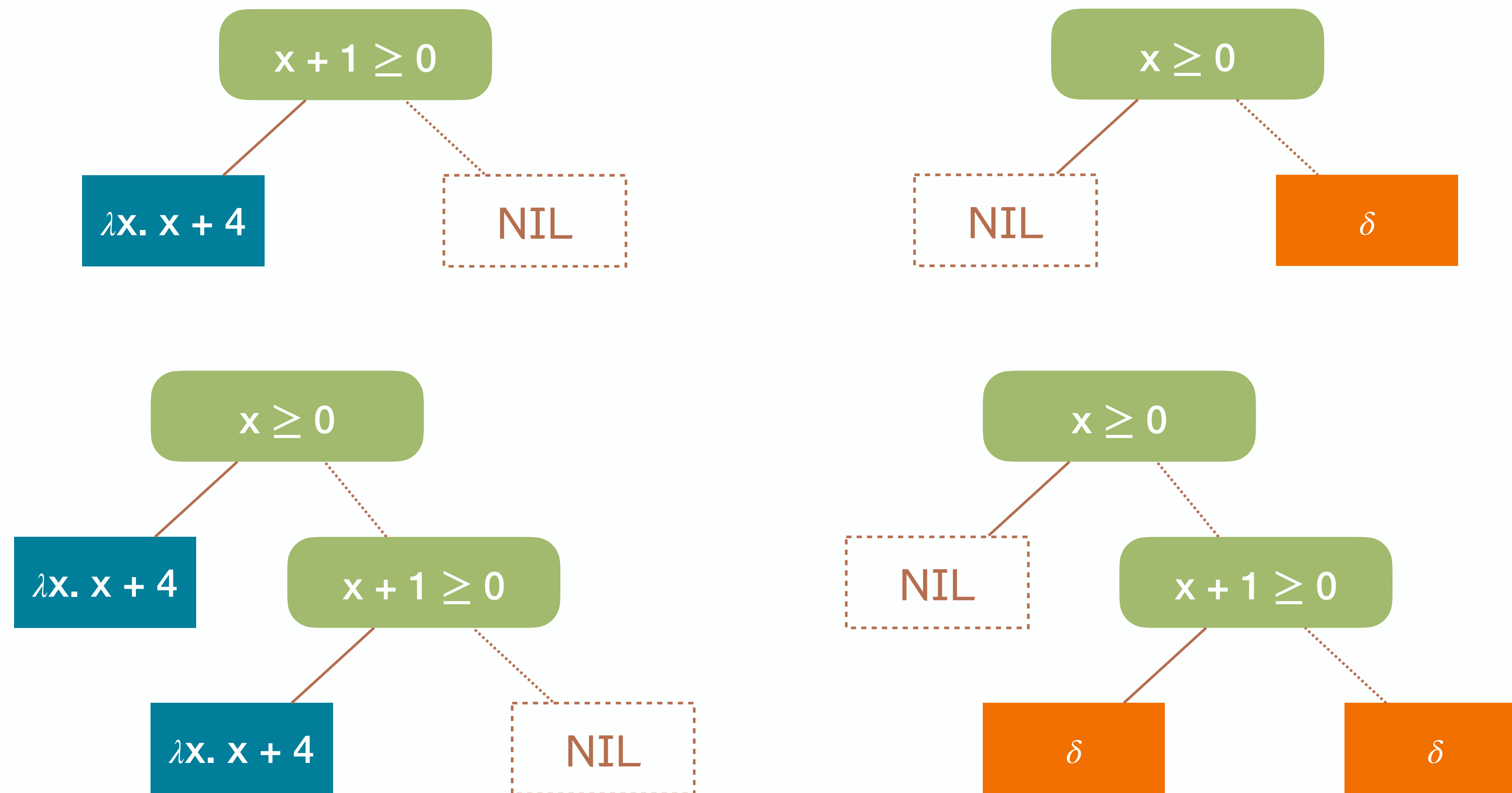
①c) c is kept in t_1 and t_2



Piecewise-Defined Functions Domain

Tree Unification (continue)

Example



Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - **approximation order** $\preceq_A$ and **computational order** $\sqsubseteq_A$
 - approximation join $\vee_A$ and computational join $\sqcup_A$
 - meet $\wedge_A$
 - widening ∇_A
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 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Order

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3. Compare the leaf nodes using the **approximation order** $\preceq_F[\alpha_C(C)]$ or the **computational order** $\sqsubseteq_F[\alpha_C(C)]$

The concretization function γ_A is monotonic with respect to $\preceq_A$:

Lemma

$$\forall t_1, t_2 \in \mathcal{A} : t_1 \preceq_A t_2 \Rightarrow \gamma_A(t_1) \preceq \gamma_A(t_2)$$

Piecewise-Defined Functions Domain

Abstract Domain Operators

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 - widening ∇_A
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 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Join

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3.
$$\begin{array}{l} \text{NIL} \vee_A t \stackrel{\text{def}}{=} t \\ t \vee_A \text{NIL} \stackrel{\text{def}}{=} t \end{array}$$
4. Join the leaf nodes using
the **approximation join** $\vee_F [\alpha_C(C)]$
or the **computational join** $\sqcup_F [\alpha_C(C)]$

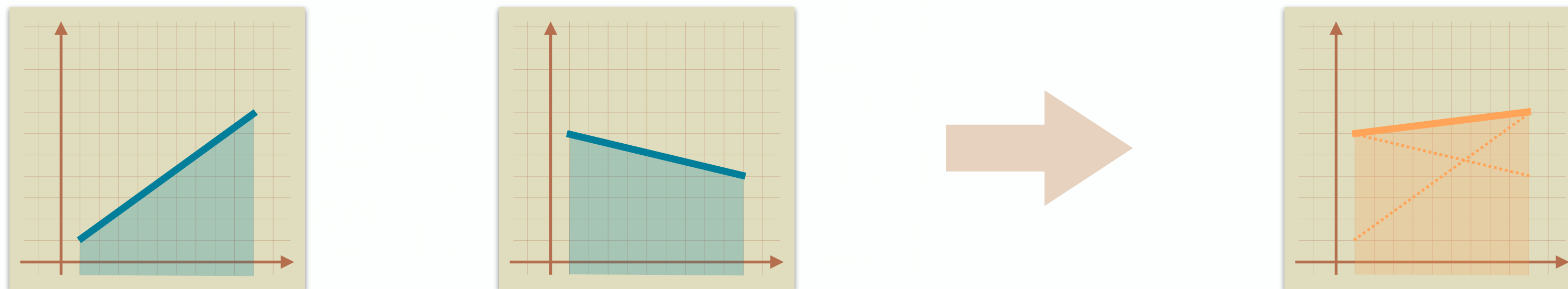
Piecewise-Defined Functions Domain

Join (continue)

- **approximation join** $\vee_F [D]$, where $D \in \mathcal{D}$:
- between defined leaf nodes:

$$f_1 \vee_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f = \lambda \rho \in \gamma_D(D) : \max(f_1(\dots, \rho(X_i), \dots), f_2(\dots, \rho(X_i), \dots)) & f \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases}$$

Example:



Piecewise-Defined Functions Domain

Join (continue)

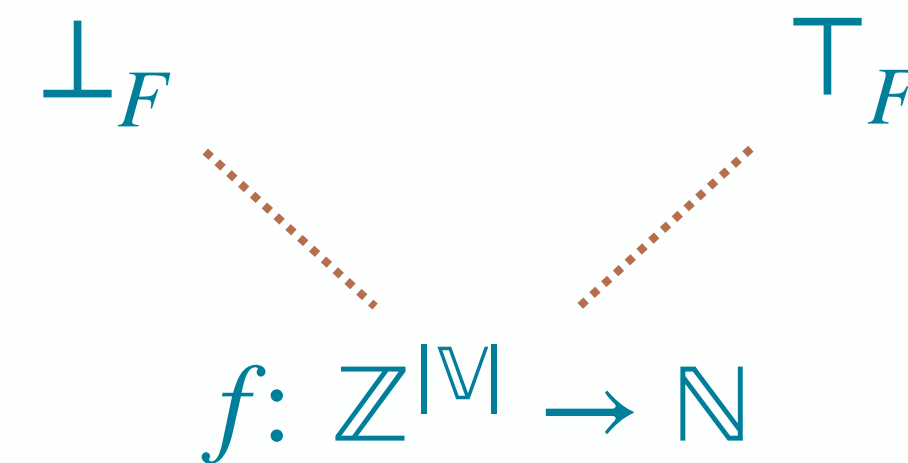
- **approximation join** $\vee_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \vee_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f = \lambda \rho \in \gamma_D(D) : \max(f_1(\dots, \rho(X_i), \dots), f_2(\dots, \rho(X_i), \dots)) & f \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases}$$

- otherwise (i.e., when one or both leaf nodes are undefined):

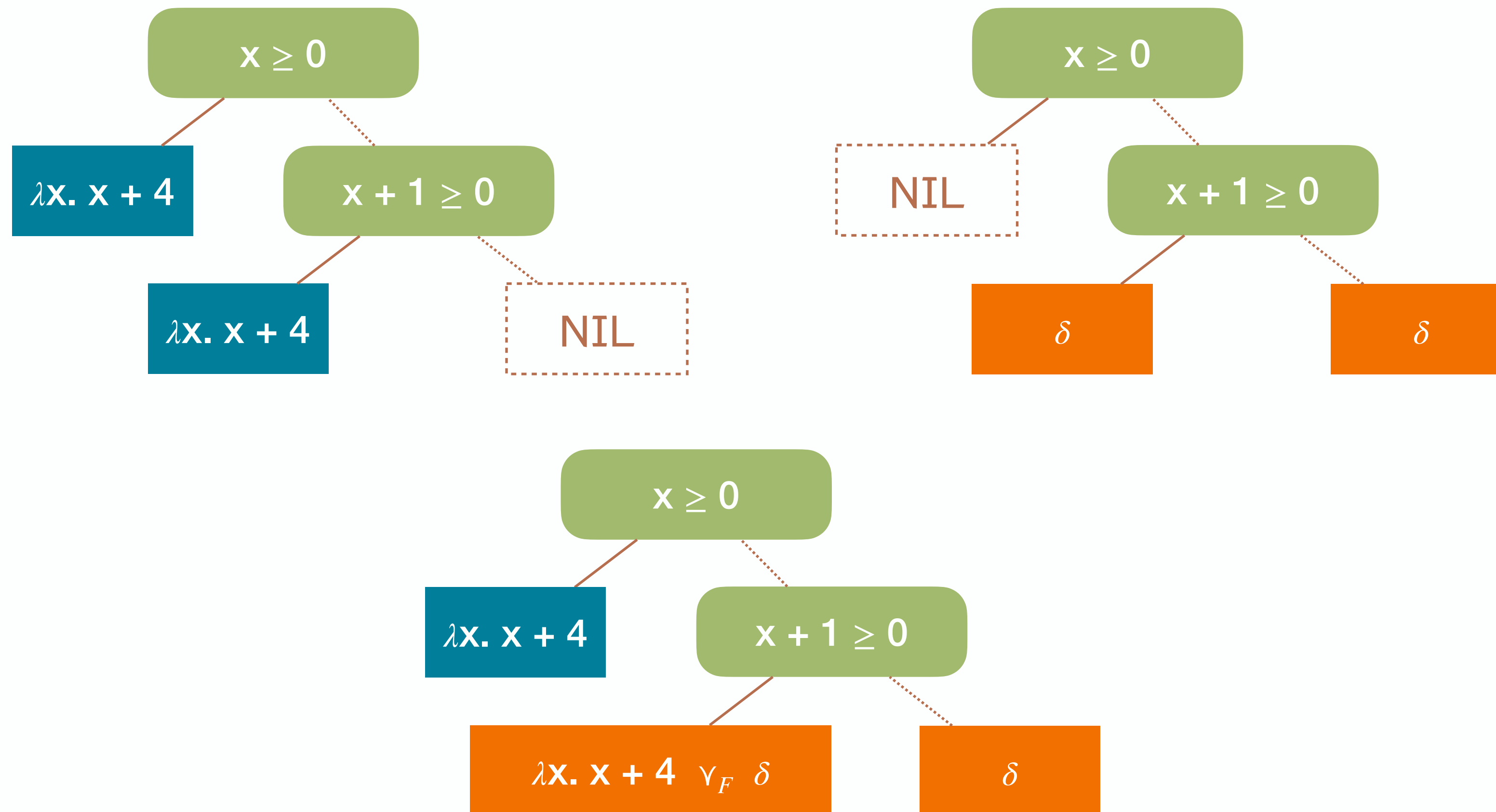
$$\begin{array}{ll} \perp_F \vee_F [D] f \stackrel{\text{def}}{=} \perp_F & f \in \mathcal{F} \setminus \{ \top_F \} \\ f \vee_F [D] \perp_F \stackrel{\text{def}}{=} \perp_F & f \in \mathcal{F} \setminus \{ \top_F \} \\ \top_F \vee_F [D] f \stackrel{\text{def}}{=} \top_F & f \in \mathcal{F} \setminus \{ \perp_F \} \\ f \vee_F [D] \top_F \stackrel{\text{def}}{=} \top_F & f \in \mathcal{F} \setminus \{ \perp_F \} \end{array}$$



Piecewise-Defined Functions Domain

Join (continue)

Example



Piecewise-Defined Functions Domain

Join (continue)

- **computational join** $\sqcup_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$f_1 \vee_F [D] f_2 \stackrel{\text{def}}{=} \begin{cases} f = \lambda \rho \in \gamma_D(D) : \max(f_1(\dots, \rho(X_i), \dots), f_2(\dots, \rho(X_i), \dots)) & f \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases}$$

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{aligned} \perp_F \sqcup_F [D] f &\stackrel{\text{def}}{=} f & f \in \mathcal{F} \\ f \sqcup_F [D] \perp_F &\stackrel{\text{def}}{=} f & f \in \mathcal{F} \\ \top_F \sqcup_F [D] f &\stackrel{\text{def}}{=} \top_F & f \in \mathcal{F} \\ f \sqcup_F [D] \top_F &\stackrel{\text{def}}{=} \top_F & f \in \mathcal{F} \end{aligned}$$

$$\begin{array}{c} \top_F \\ \vdots \\ f: \mathbb{Z}^{\mathbb{N}} \rightarrow \mathbb{N} \\ \vdots \\ \perp_F \end{array}$$

Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order $\preceq_A$ and computational order $\sqsubseteq_A$
 - approximation join $\vee_A$ and computational join $\sqcup_A$
 - **meet** $\wedge_A$
 - widening ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

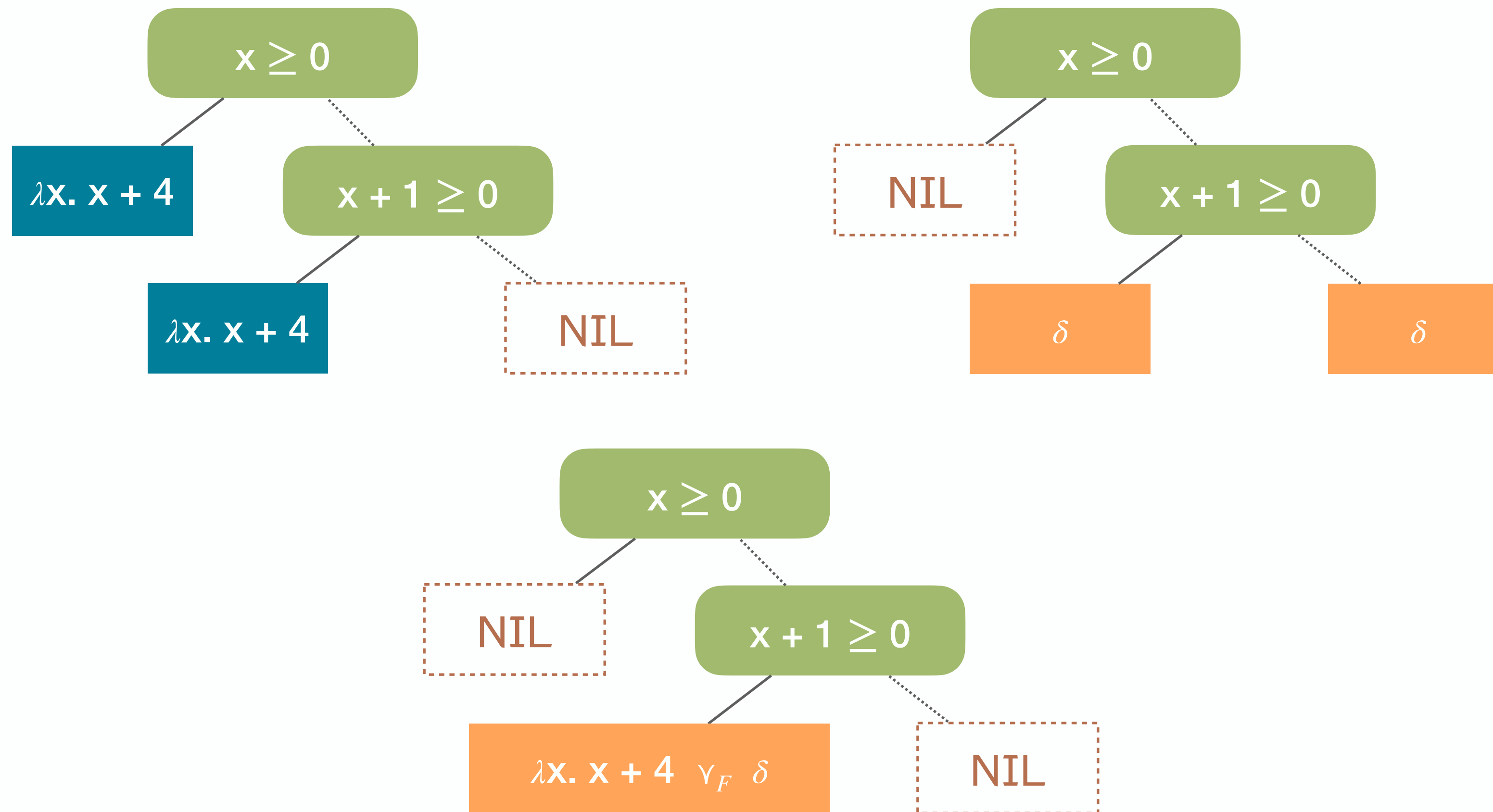
Meet

1. Perform **tree unification**
2. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
3.
$$\begin{aligned} \text{NIL} \vee_A t &\stackrel{\text{def}}{=} \text{NIL} \\ t \vee_A \text{NIL} &\stackrel{\text{def}}{=} \text{NIL} \end{aligned}$$
4. Join the leaf nodes using the **approximation join** $\vee_F [\alpha_C(C)]$

Piecewise-Defined Functions Domain

Meet (continue)

Example



Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order $\preceq_A$ and computational order $\sqsubseteq_A$
 - approximation join $\vee_A$ and computational join $\sqcup_A$
 - meet $\wedge_A$
 - **widening** ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

P

W

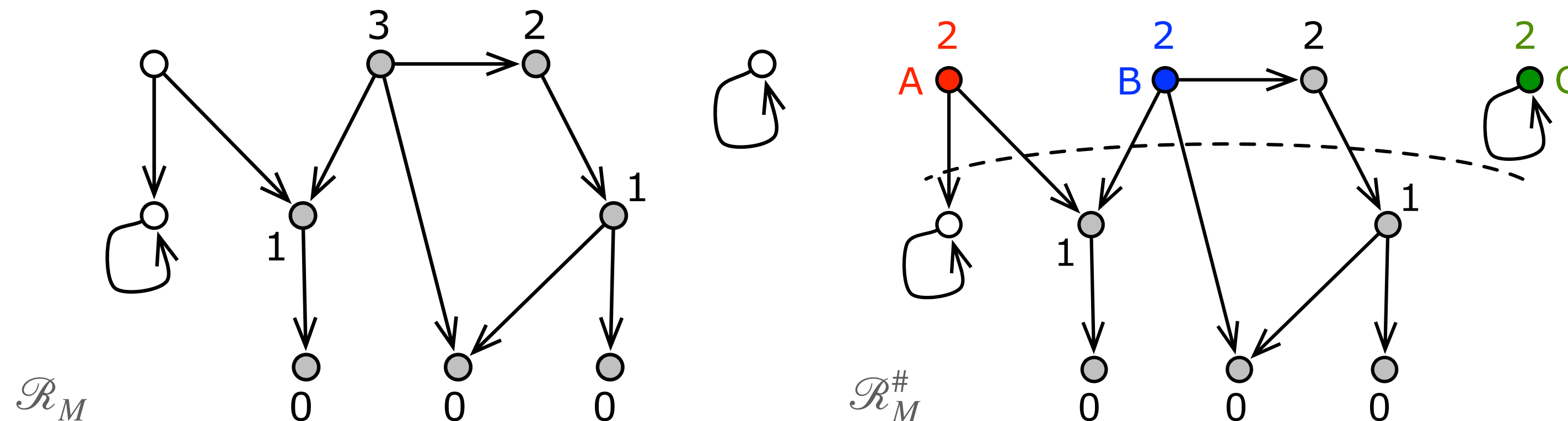
Go

ain

The prediction can (temporarily) be wrong!, i.e., it can

- *under-approximate* the value of $\mathcal{R}_M$
- and/or
- *over-approximate* the domain $\text{dom}(\mathcal{R}_M)$ of $\mathcal{R}_M$

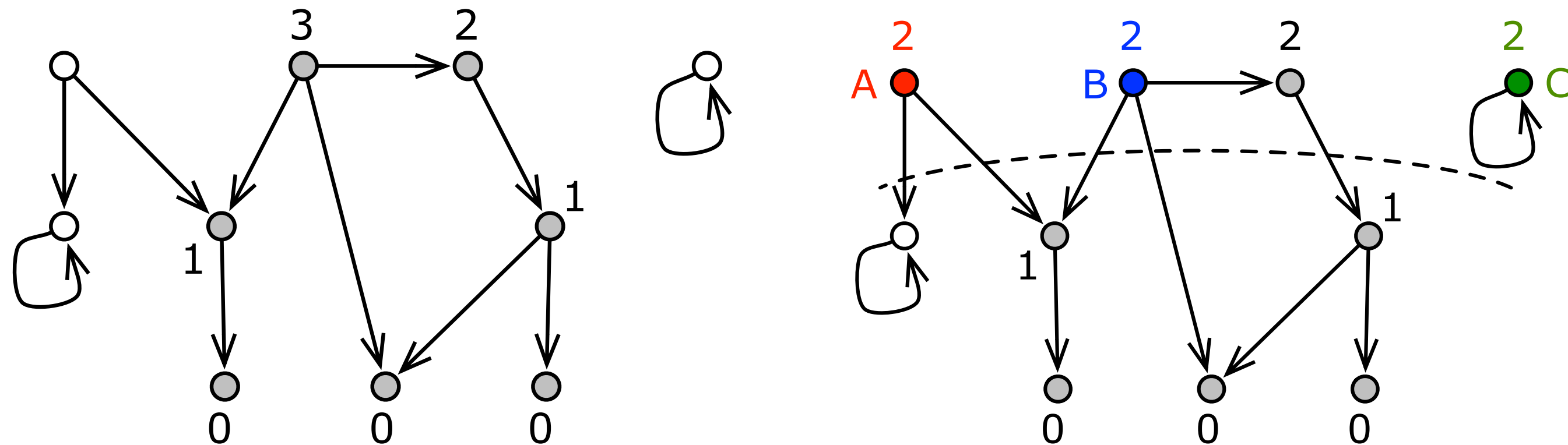
Example



Piecewise-Defined Functions Domain

Widening (continue)

1. Check for **case A** (i.e., wrong domain predictions)
2. Perform **domain widening**
3. Check for **case B or C** (i.e., wrong value predictions)



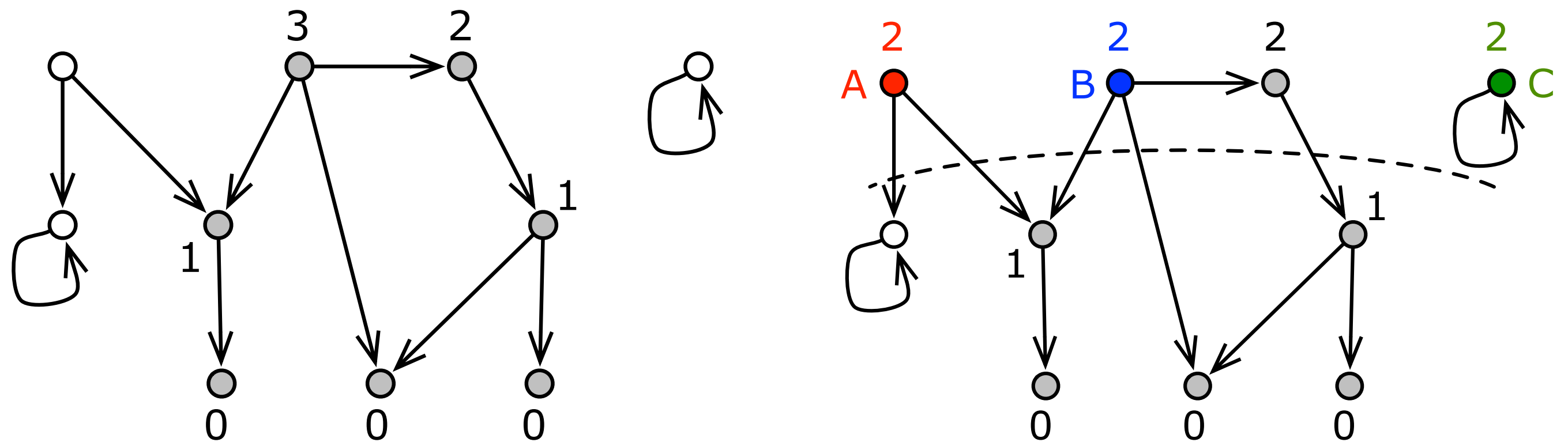
Piecewise-Defined Functions Domain

Widening (continue)

Check for Case A

Lemma

Let $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \neq \emptyset$. Then, in case A, we have
 $\text{dom}(\gamma_A(\mathcal{R}_M^{\#n+1}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell)) \subset \text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell))) \setminus \text{dom}(\mathcal{R}_M(\ell))$



Piecewise-Defined Functions Domain

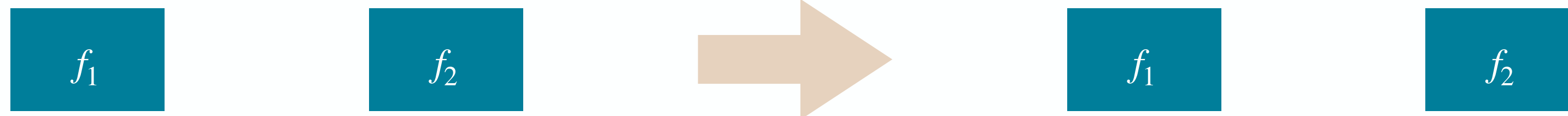
Widening (continue)

Domain Widening

Goal: **limit the size** of the decision trees

Left unification: variant of tree unification that forces the structure of t_1 on t_2

- Base case:

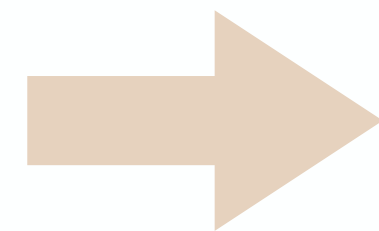
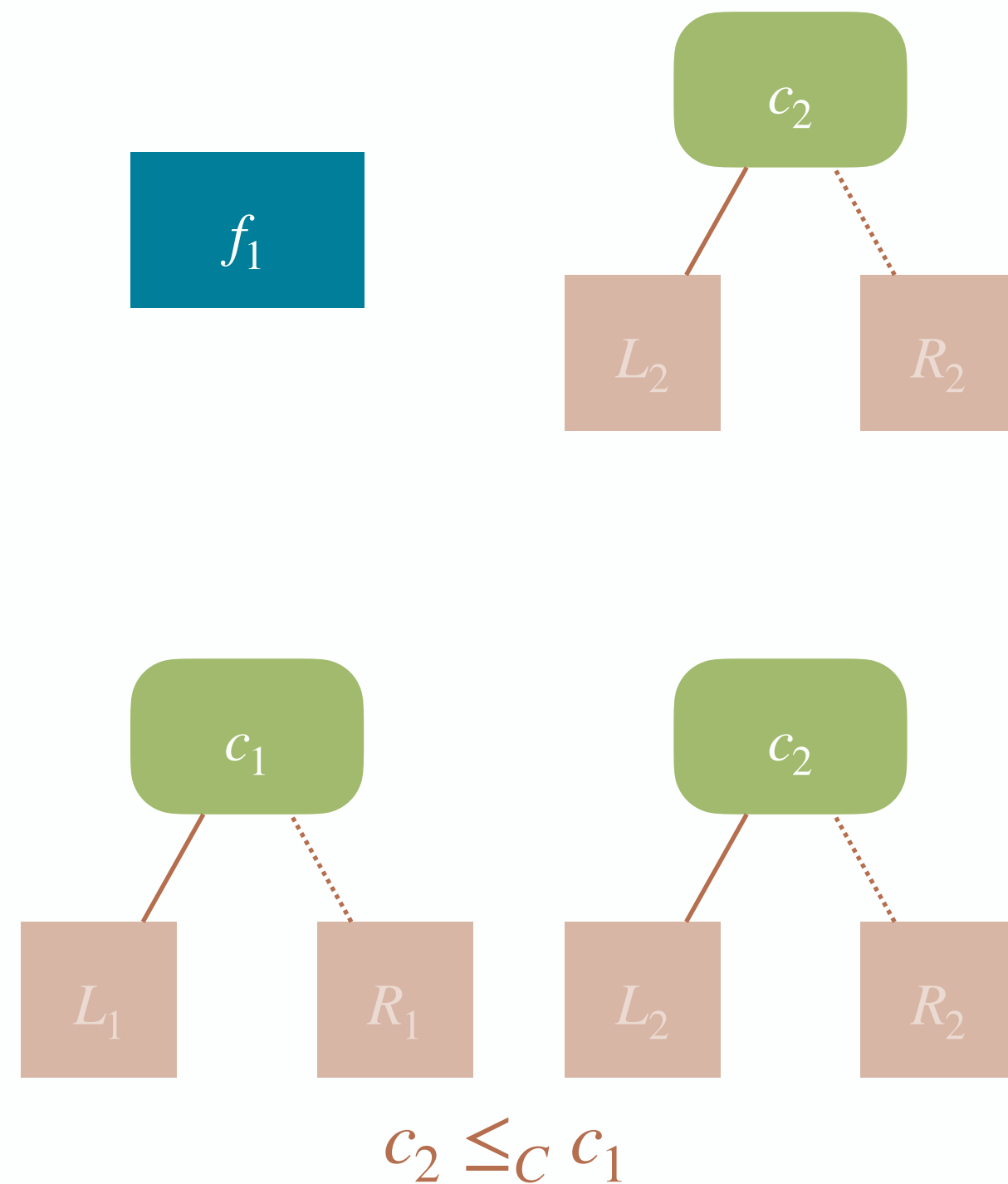


Piecewise-Defined Functions Domain

Widening (continue)

Domain Widening

- Case ①



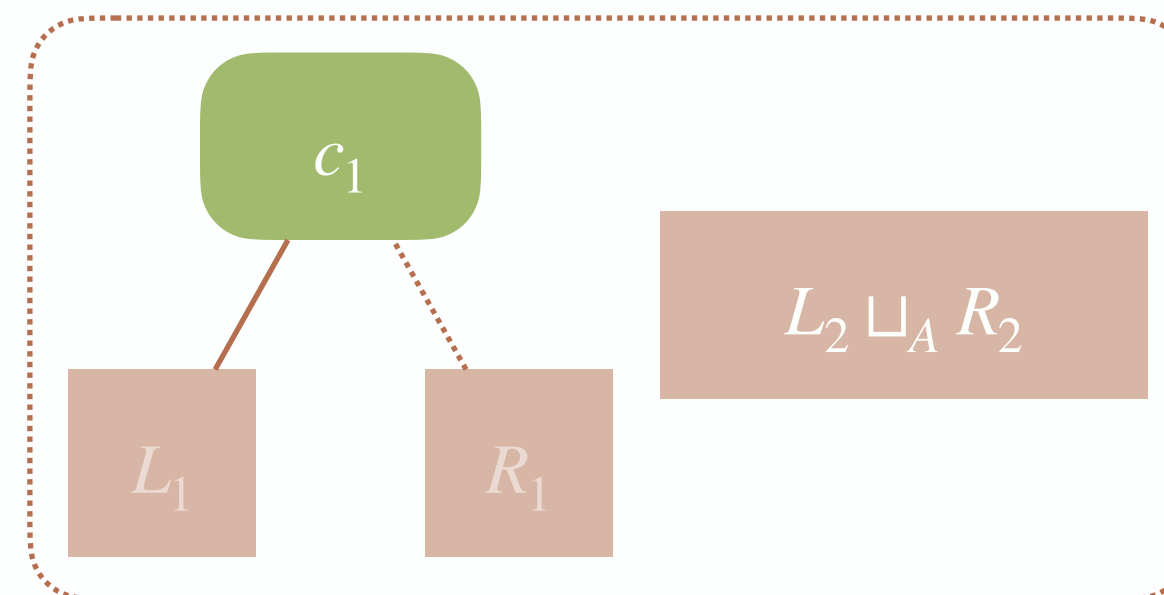
①a) c_2 is redundant



①b) $\neg c_2$ is redundant



①c) c_2 is removed from t_2

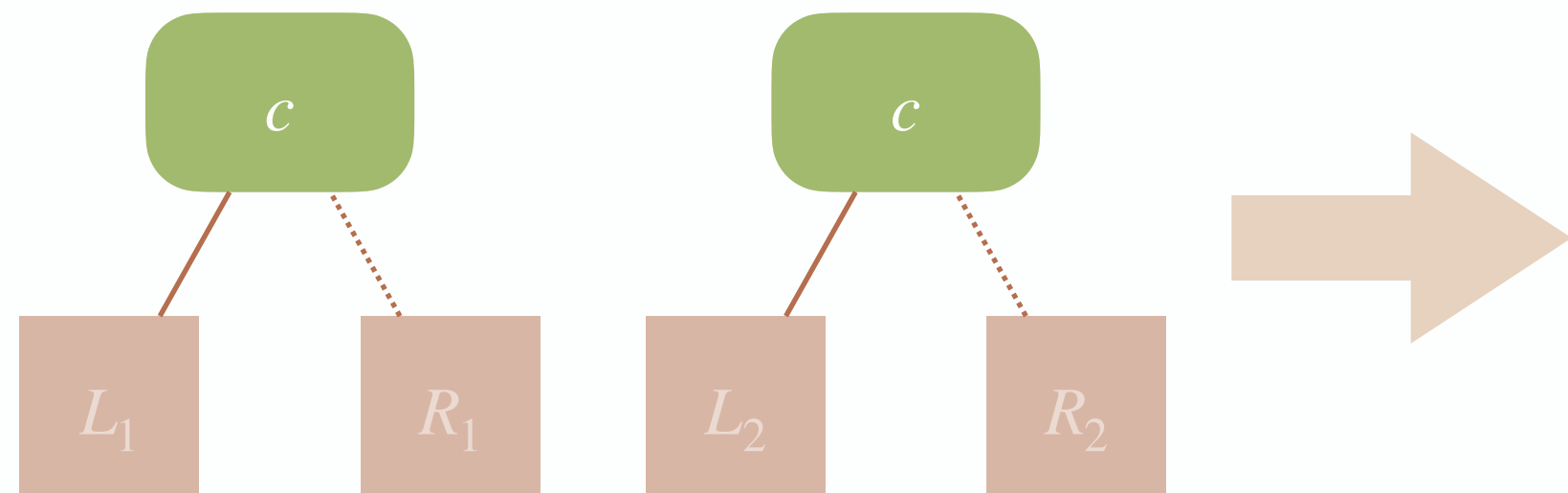


Piecewise-Defined Functions Domain

Widening (continue)

Domain Widening

- Case ② (as for tree unification)
- Case ③



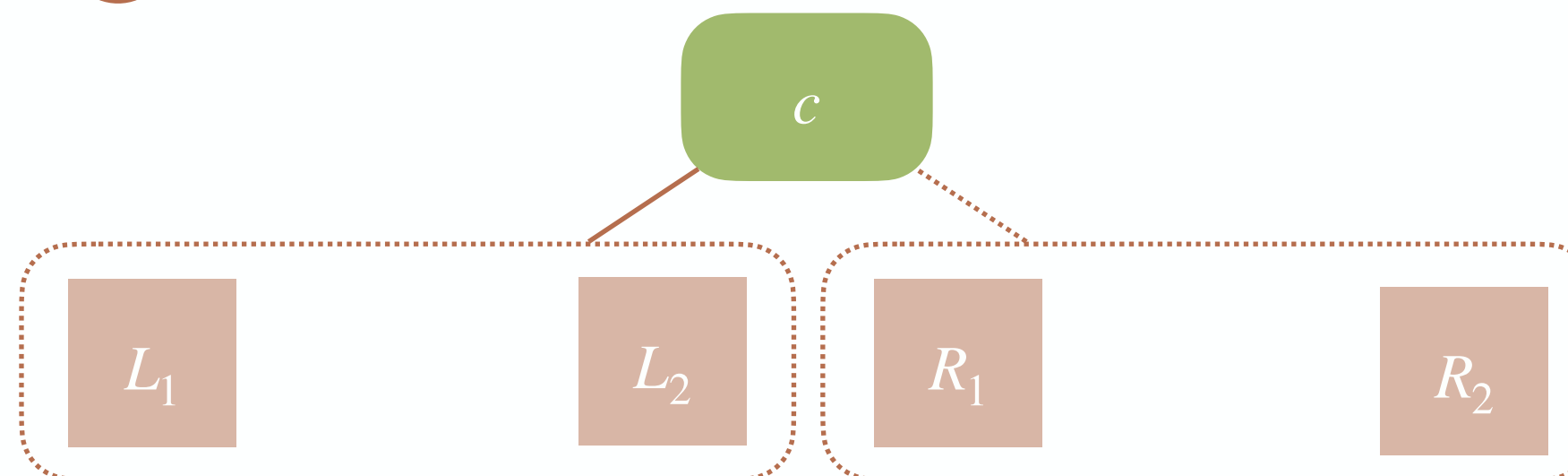
①a) c is redundant



①b) $\neg c$ is redundant



①c) c is kept in t_1 and t_2



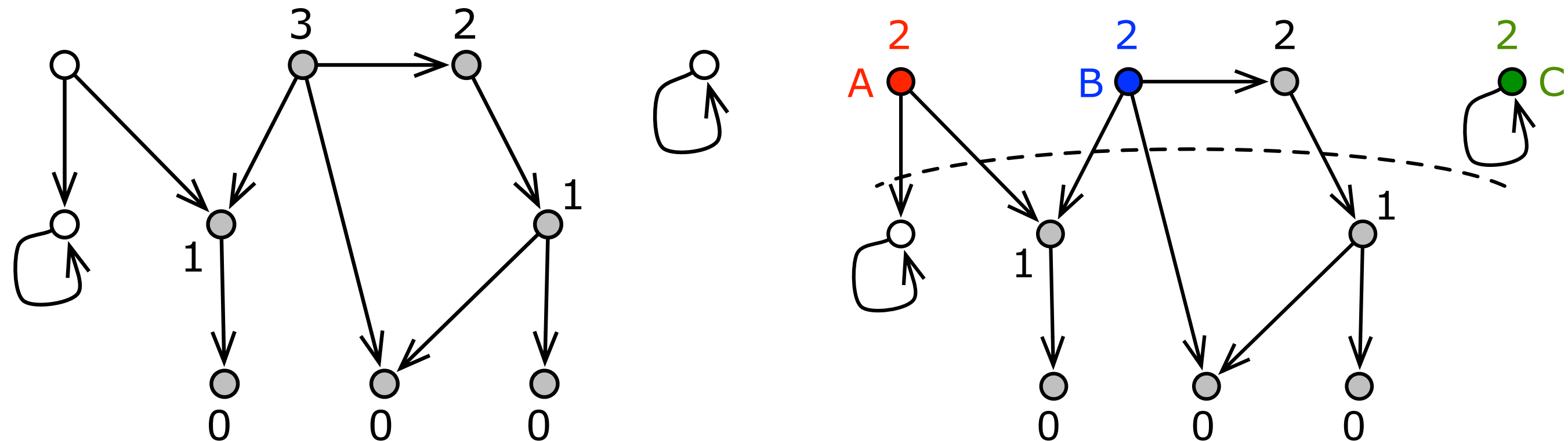
Piecewise-Defined Functions Domain

Widening (continue)

Check for Case B or C

Lemma

Let $\gamma_A(\mathcal{R}_M^{\#n}(\ell))(\bar{\rho}) < \mathcal{R}_M(\ell)(\bar{\rho})$ for some $\bar{\rho} \in \text{dom}(\mathcal{R}_M(\ell)) \cap \text{dom}(\gamma_A(\mathcal{R}_M^{\#n}(\ell)))$ (case B). Then, there exists $\rho \in \text{dom}(\gamma_A(\mathcal{R}_M^{\#n+1}(\ell))) \cap \text{dom}(\mathcal{R}_M^{\#n}(\ell))$ such that $\gamma_A(\mathcal{R}_M^{\#n}(\ell))(\rho) < \gamma_A(\mathcal{R}_M^{\#n+1}(\ell))(\rho)$.



Piecewise-Defined Functions Domain

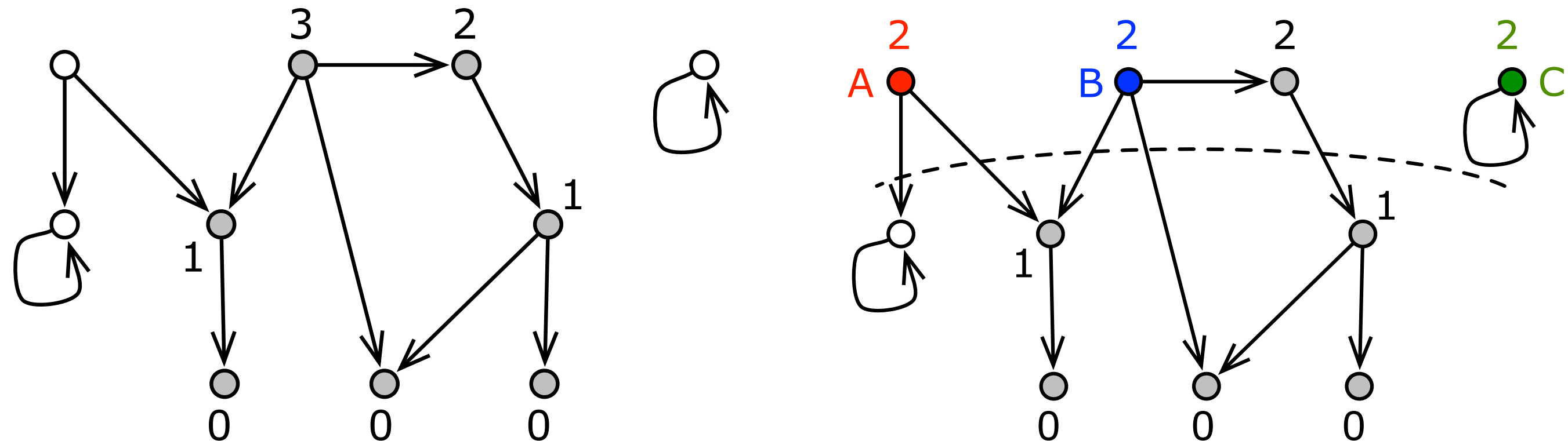
Widening (continue)

Check for Case B or C

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C

2. f_1 f_2 $\Rightarrow$ f_1 $\top_F$

$$f_1 \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \wedge f_2 \not\leq_F [\alpha_c(C)] f_1$$



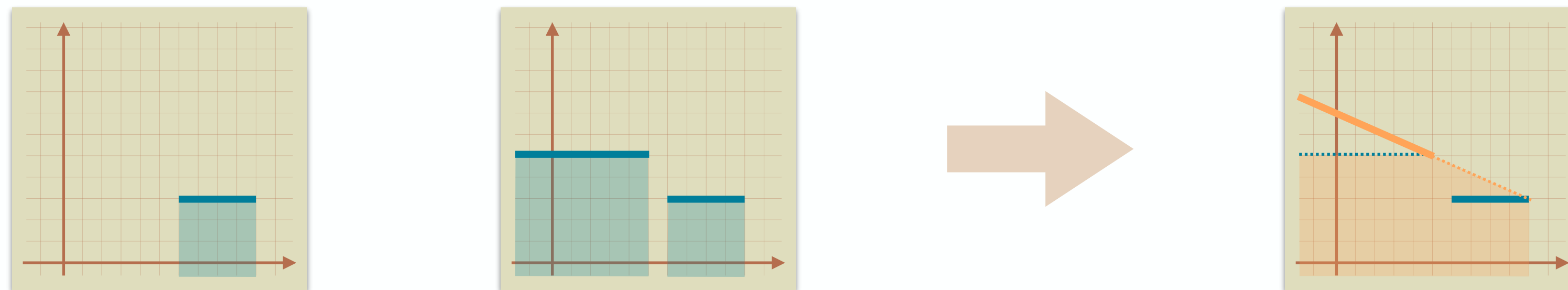
Piecewise-Defined Functions Domain

Widening (continue)

Value Widening

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
2. Widen each (defined) leaf node f with respect to each of their adjacent (defined) leaf node $\bar{f}$ using the **extrapolation operator** $\nabla_F [\alpha_C(\bar{C}), \alpha_C(C)]$, where $\bar{C}$ is the set of constraints along the path to $\bar{f}$

Example:



Piecewise-Defined Functions Domain

Abstract Domain Operators

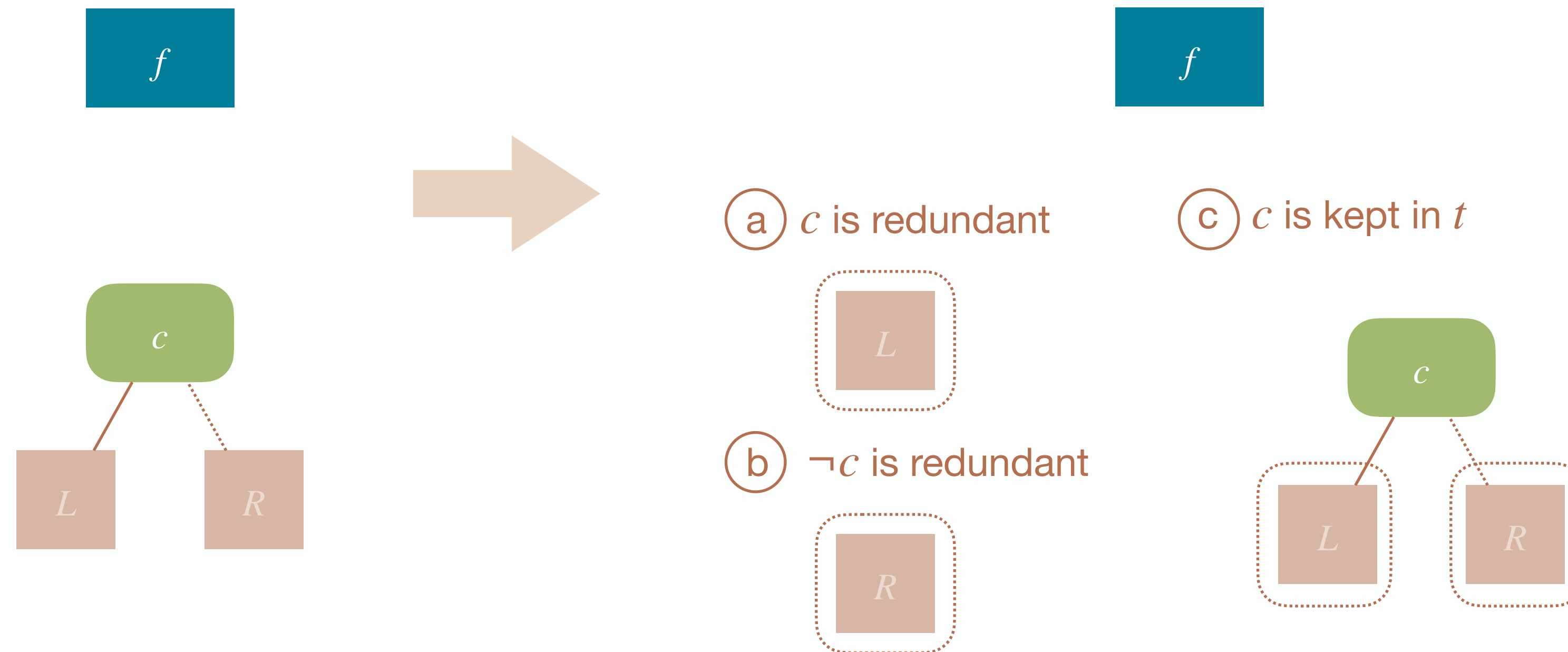
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- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Tree Pruning

Goal: **add** a set J of **linear constraints** to the decision tree

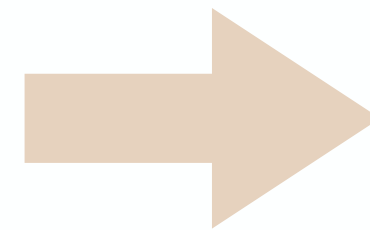
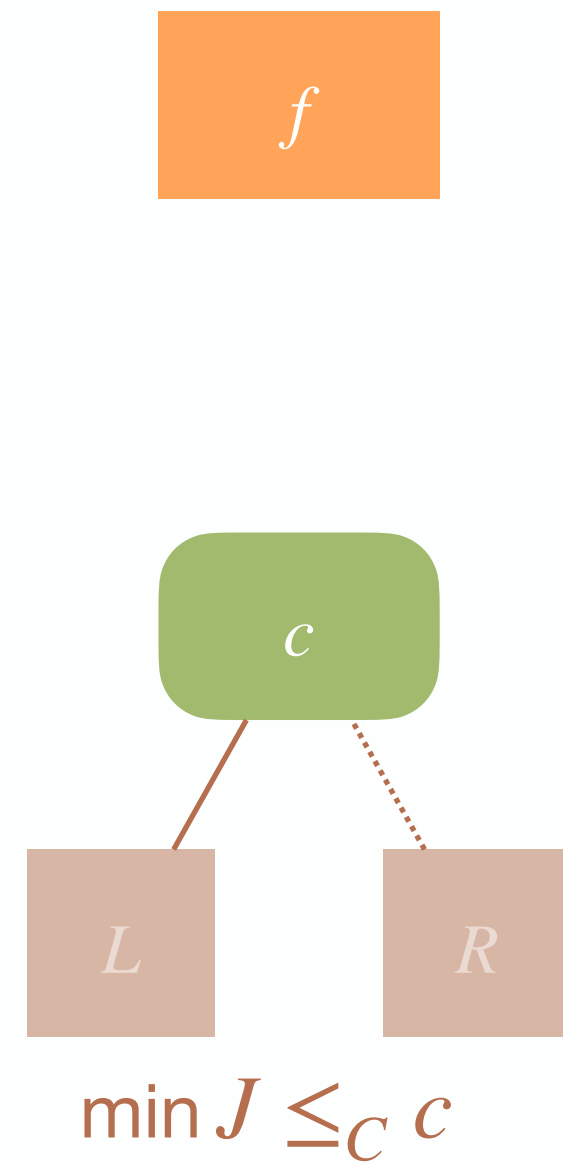
- Base case ($J = \emptyset$)



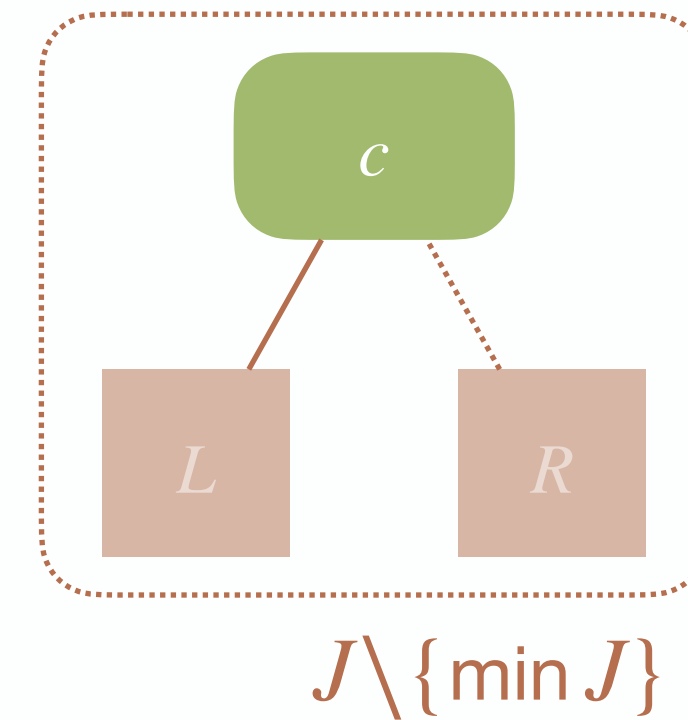
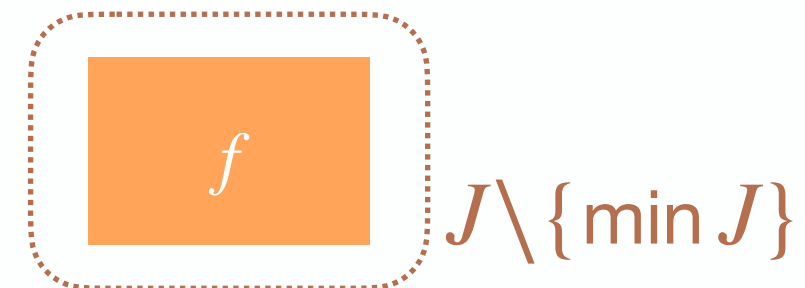
Piecewise-Defined Functions Domain

Tree Pruning (continue)

- Case ①



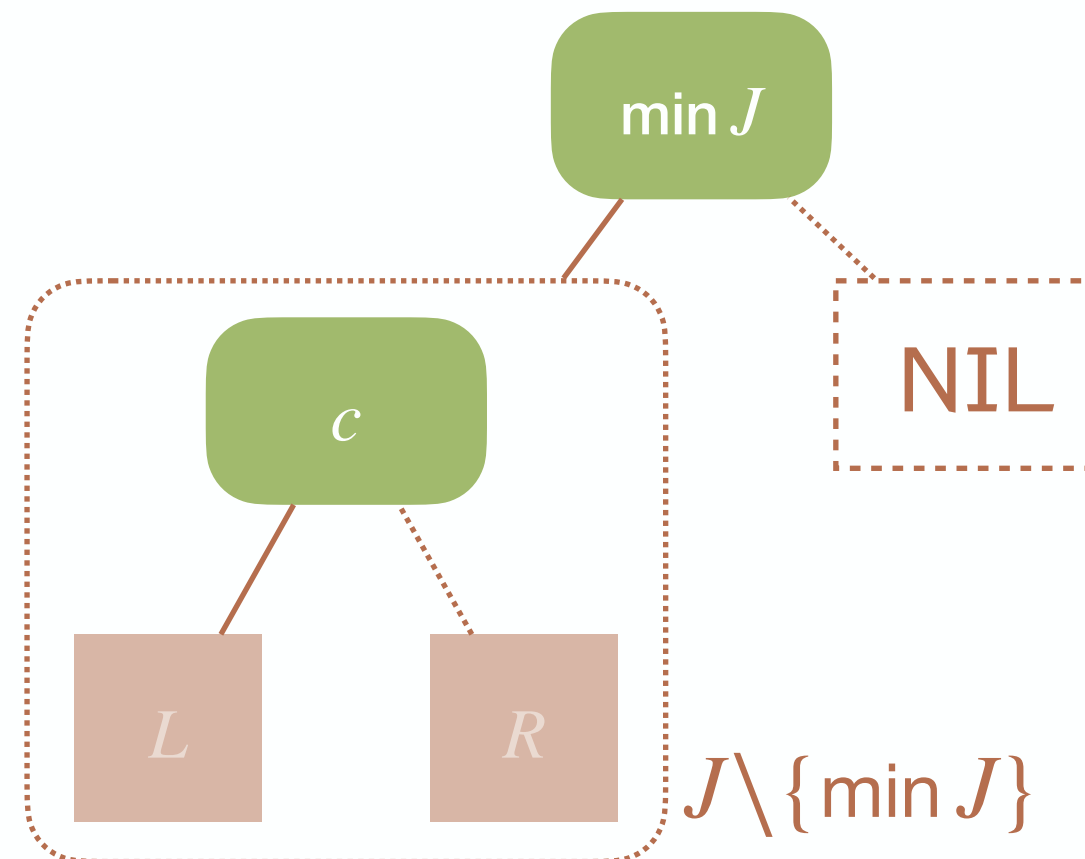
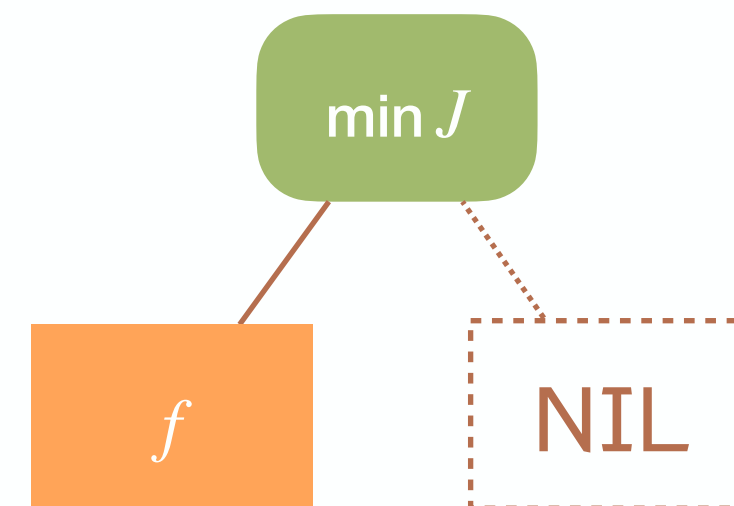
①a) $\min J$ is redundant



①b) $\neg \min J$ is redundant



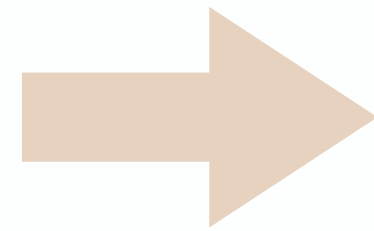
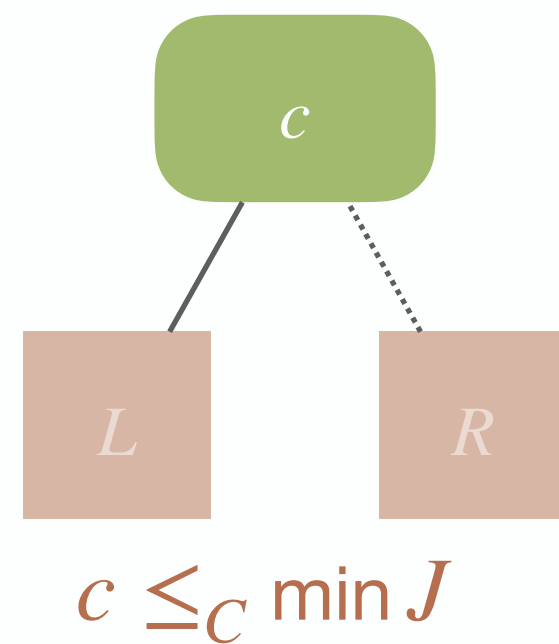
①c) $\min J$ is added to t



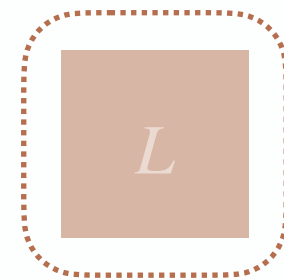
Piecewise-Defined Functions Domain

Tree Pruning (continue)

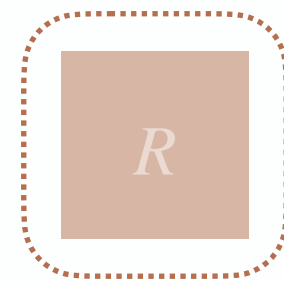
- Case ②



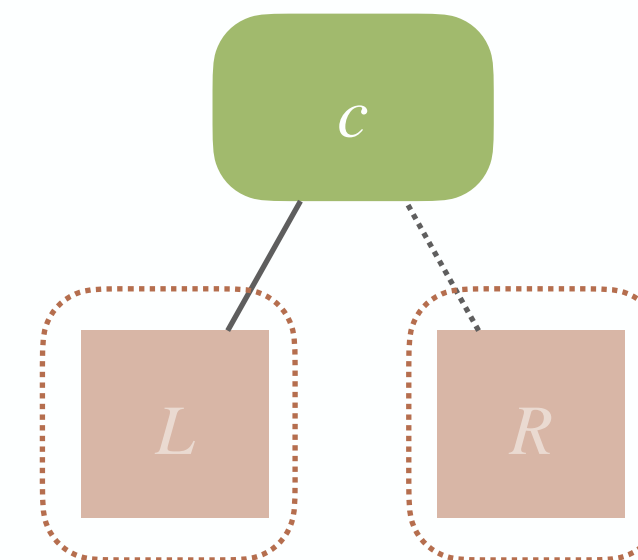
②a) c is redundant



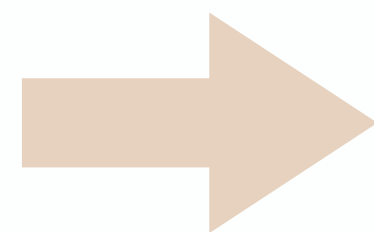
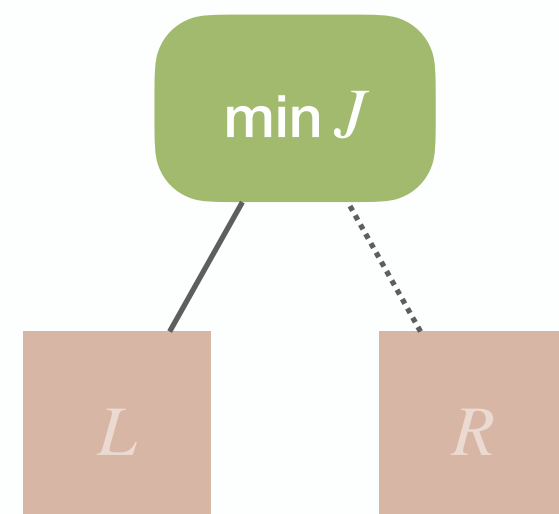
②b) $\neg c$ is redundant



②c) c is kept in t



- Case ③



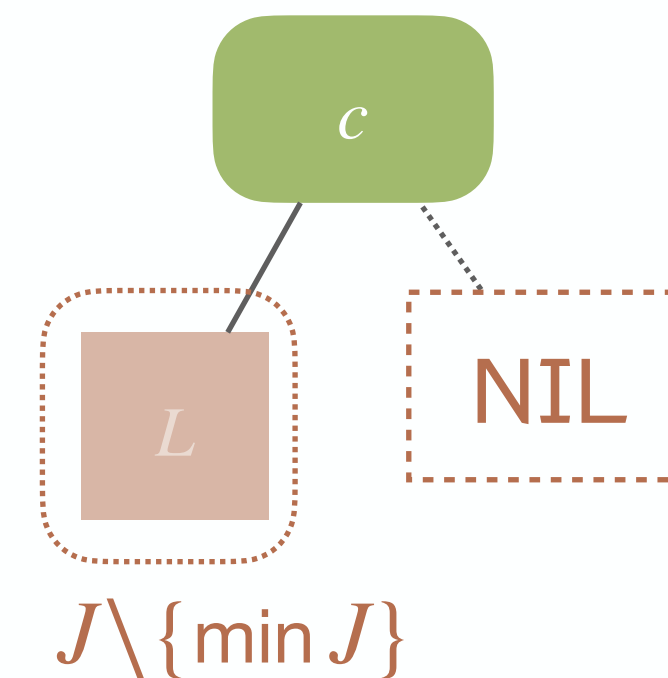
③a) $\min J$ is redundant



③b) $\neg \min J$ is redundant



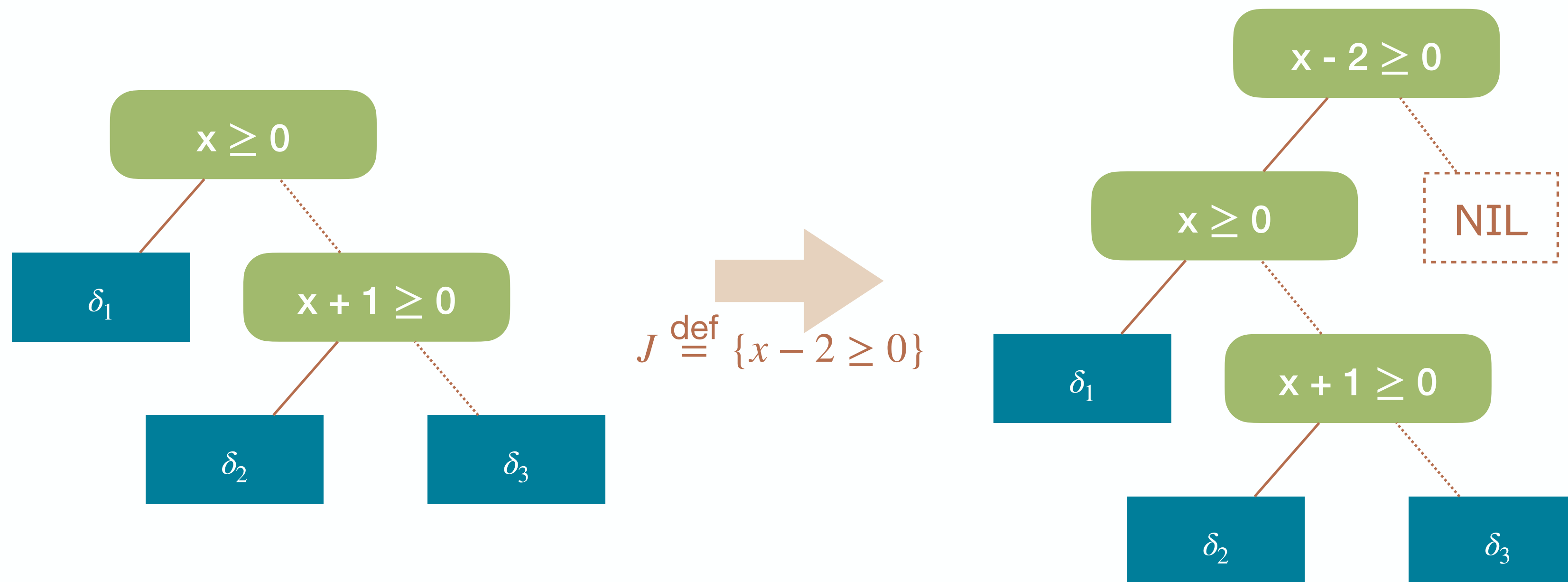
③c) $\min J$ is kept in t



Piecewise-Defined Functions Domain

Tree Pruning (continue)

Example



Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order $\leqslant_A$ and computational order $\sqsubseteq_A$
 - approximation join $\vee_A$ and computational join $\sqcup_A$
 - meet $\wedge_A$
 - widening ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - **assignment** $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Assignments

$$\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[[X \leftarrow e]][\alpha_C(C)]$ on the defined leaf nodes

$$\overleftarrow{\text{ASSIGN}}_F[[X \leftarrow e]][D](f) \stackrel{\text{def}}{=} \begin{cases} \bar{f} & \bar{f} \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \\ \top_F & \text{otherwise} \end{cases} \quad f \in \mathcal{F} \setminus \{ \perp_F, \top_F \}$$

$$\text{where } \bar{f}(\dots, X_i, X, \dots) \stackrel{\text{def}}{=} \max\{f(\dots, \rho(X_i), v, \dots) + 1 \mid \rho \in \gamma_D(\overleftarrow{\text{ASSIGN}}_D[[X \leftarrow e]]D) \wedge v \in E[[e]]\rho\}$$

Example:

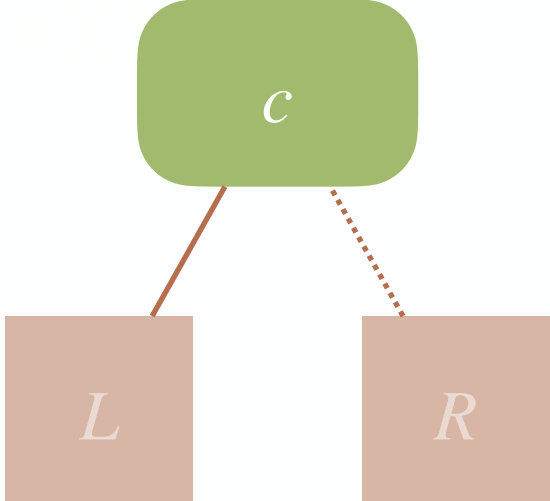
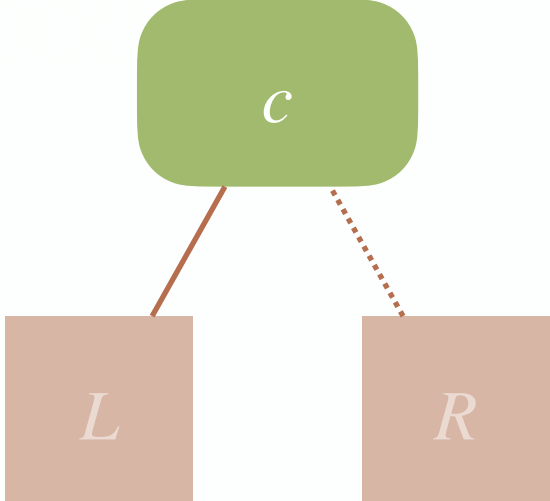
$$\overleftarrow{\text{ASSIGN}}_F[[x \leftarrow x + [1,2]]][\top_D](\lambda x. x + 1) = \lambda x. x + 4$$

(since $f(x + [1,2]) + 1 = x + [1,2] + 1 + 1 = x + [3,4]$ and $\max(3,4) = 4$)

Piecewise-Defined Functions Domain

Assignments

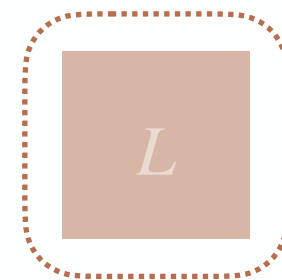
$$\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$$

-  c  L R
Convert $\overleftarrow{\text{ASSIGN}}_D[[X \leftarrow e]](\alpha_C(\{c\}))$ and $\overleftarrow{\text{ASSIGN}}_D[[X \leftarrow e]](\alpha_C(\{\neg c\}))$
into sets I and J of linear constraints *in canonical form*

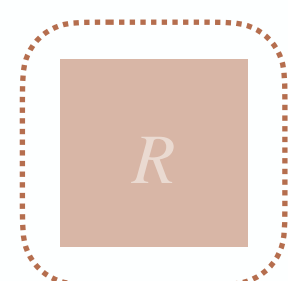
case ① $I = J = \emptyset$



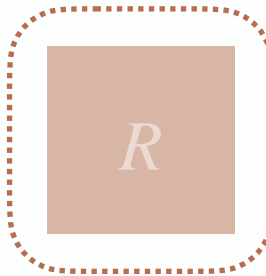
case ② $I = \emptyset \wedge \perp_C \in J$



case ③ $\perp_C \in I \wedge J = \emptyset$



case ④

1. perform **tree pruning** on  and 
2. join the results with γ_A

Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
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- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - **test** $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Tests

$\text{FILTER}_A[[e]]$

1. Recursively descend the tree and apply STEP_F on the defined leaf nodes to account for one more execution step needed before termination:

$$\text{STEP}_F(f) \stackrel{\text{def}}{=} \lambda X_1, \dots, X_k. f(X_1, \dots, X_k) + 1 \qquad f \in \mathcal{F} \setminus \{ \perp_F, \top_F \}$$

2. **Convert e into a set J of linear constraints in canonical form**

Example: $\alpha_C(\text{FILTER}_D[[e]] \top_D)$

where $\langle \mathcal{D}, \sqsubseteq_D \rangle$ is the underlying numerical domain

3. Perform **tree pruning** with J

Abstract Definite Termination Semantics

For each program instruction stmt , we define a transformer $\mathcal{R}_M^\#[\text{stmt}] : \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_M^\#[\![X \leftarrow e]\!]t \stackrel{\text{def}}{=} \overleftarrow{\text{ASSIGN}}_A[\![X \leftarrow e]\!]t$

Lemma (Soundness)

$$\mathcal{R}_M[\![X \leftarrow e]\!]\gamma_A(t) \preceq \gamma_A(\mathcal{R}_M^\#[\![X \leftarrow e]\!]t)$$

Abstract Definite Termination Semantics

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- $\mathcal{R}_M^\#[\![\text{if } e \bowtie 0 \text{ then } s \text{ end}]\!]t \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s_1]\!]t) \vee_T \text{FILTER}_A[\![e \bowtie 0]\!](t)$

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- $\mathcal{R}_M^\#[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]t \stackrel{\text{def}}{=} \text{lfp}^\# \bar{F}_M^\#$

where $\bar{F}_M^\#(x) \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s]\!]x) \vee_T \text{FILTER}_A[\![e \bowtie 0]\!](t)$

Lemma (Soundness)

$$\mathcal{R}_M[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]\gamma_A(t) \preceq \gamma_A(\mathcal{R}_M^\#[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]t)$$

Abstract Definite Termination Semantics

For each program instruction stmt , we define a transformer $\mathcal{R}_M^\#[\![\text{stmt}]\!]: \mathcal{A} \rightarrow \mathcal{A}$:

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- $\mathcal{R}_M^\#[\![\text{if } e \bowtie 0 \text{ then } s \text{ end}]\!]t \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s_1]\!]t) \vee_T \text{FILTER}_A[\![e \nbowtie 0]\!](t)$
- $\mathcal{R}_M^\#[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]t \stackrel{\text{def}}{=} \text{lfp}^\# \bar{F}_M^\#$

where $\bar{F}_M^\#(x) \stackrel{\text{def}}{=} \text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_M^\#[\![s]\!]x) \vee_T \text{FILTER}_A[\![e \nbowtie 0]\!](t)$

- $\mathcal{R}_M^\#[\![s_1; s_2]\!]t \stackrel{\text{def}}{=} \mathcal{R}_M^\#[\![s_1]\!](\mathcal{R}_M^\#[\![s_2]\!]t)$

Lemma (Soundness)

$$\mathcal{R}_M[\![s_1; s_2]\!]\gamma_A(t) \preceq \gamma_A(\mathcal{R}_M^\#[\![s_1; s_2]\!]t)$$

Abstract Definite Termination Semantics

Definition

The **abstract definite termination semantics** $\mathcal{R}_M^\# \llbracket s^\ell \rrbracket \in \mathcal{A}$ of a program s^ℓ is:

$$\mathcal{R}_M^\# \llbracket s^\ell \rrbracket \stackrel{\text{def}}{=} \mathcal{R}_M^\# \llbracket s \rrbracket (\text{LEAF} : \lambda X_1, \dots, X_k. 0)$$

where $\mathcal{R}_M^\# \llbracket s \rrbracket : \mathcal{A} \rightarrow \mathcal{A}$ is the abstract definite termination semantics of each program instruction s

Theorem (Soundness)

$$\mathcal{R}_M \llbracket s^\ell \rrbracket \preceq \gamma_A(\mathcal{R}_M^\# \llbracket s^\ell \rrbracket)$$

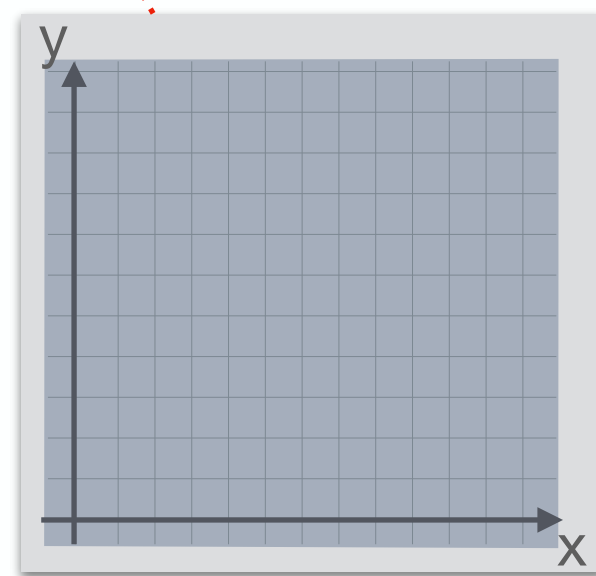
Corollary (Soundness)

A program s^ℓ **must terminate** starting from a set of initial states I if $I \subseteq \text{dom}(\gamma_A(\mathcal{R}_M^\# \llbracket s^\ell \rrbracket))$

Abstract Definite Termination Semantics

Example

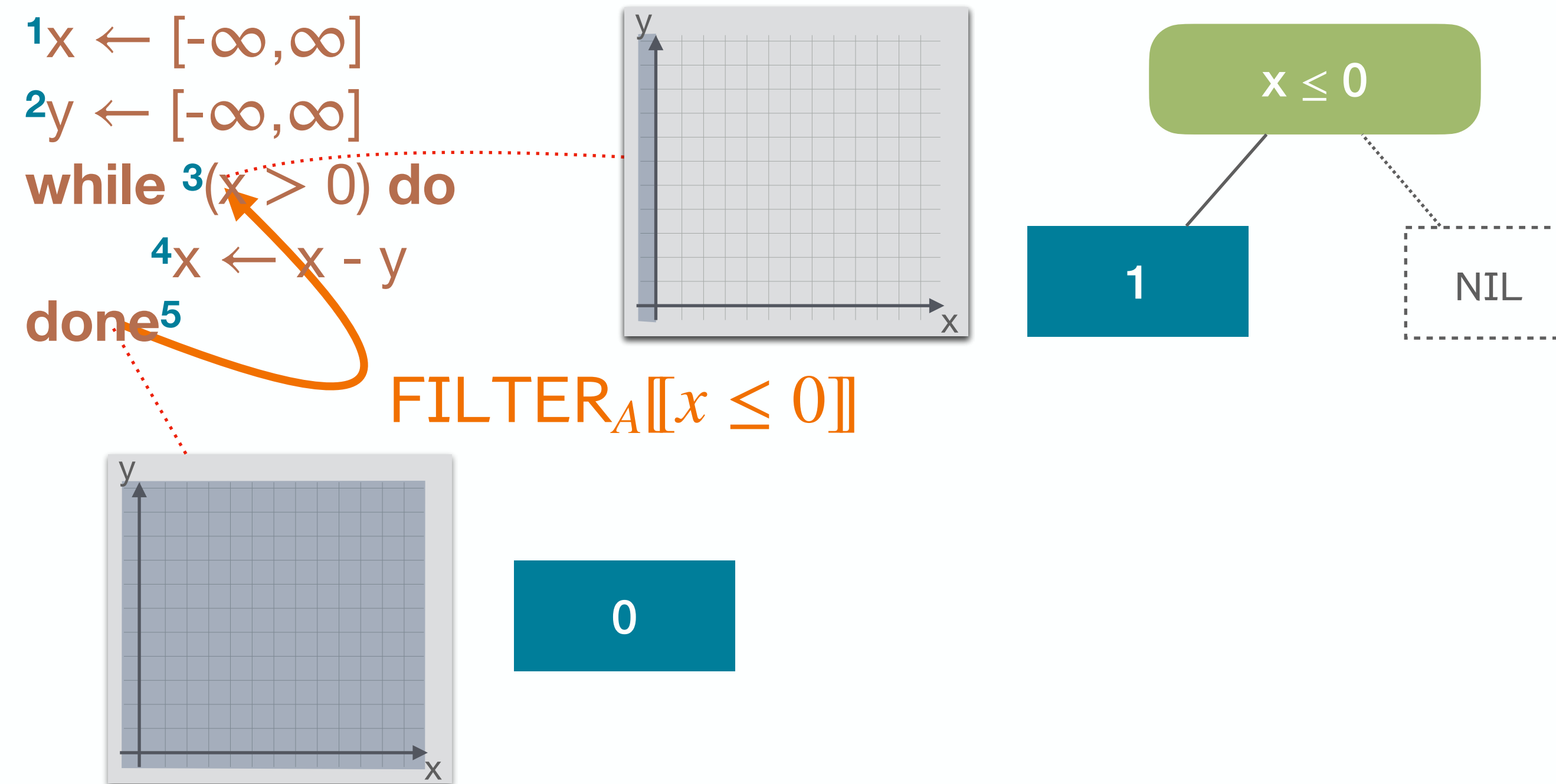
```
1  $x \leftarrow [-\infty, \infty]$   
2  $y \leftarrow [-\infty, \infty]$   
while 3  $(x > 0)$  do  
    4  $x \leftarrow x - y$   
done 5
```



0

Abstract Definite Termination Semantics

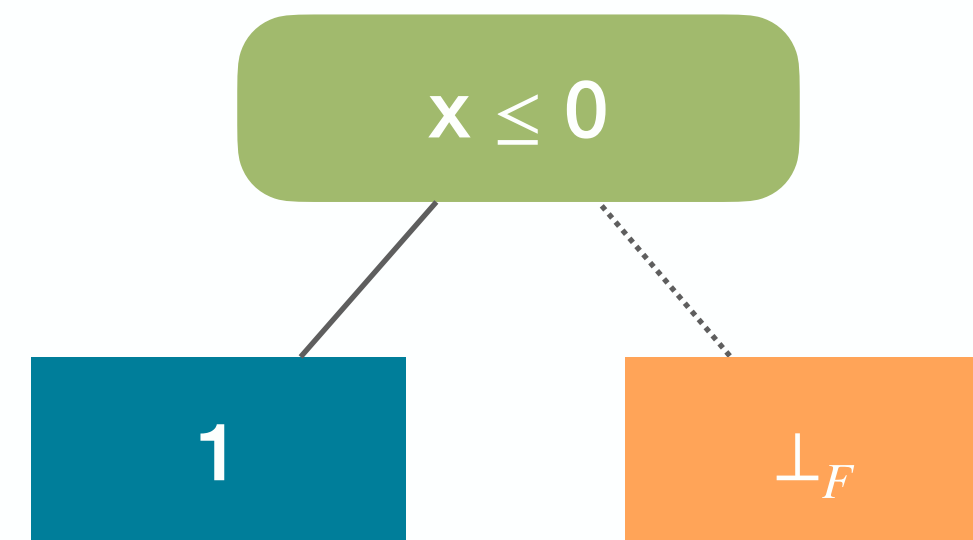
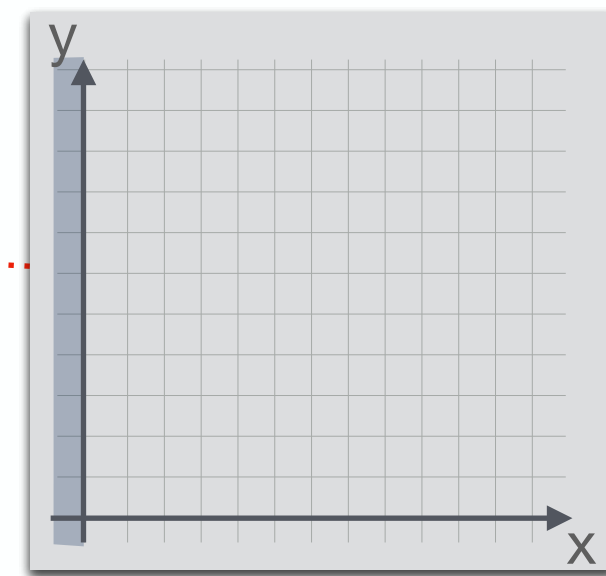
Example



Abstract Definite Termination Semantics

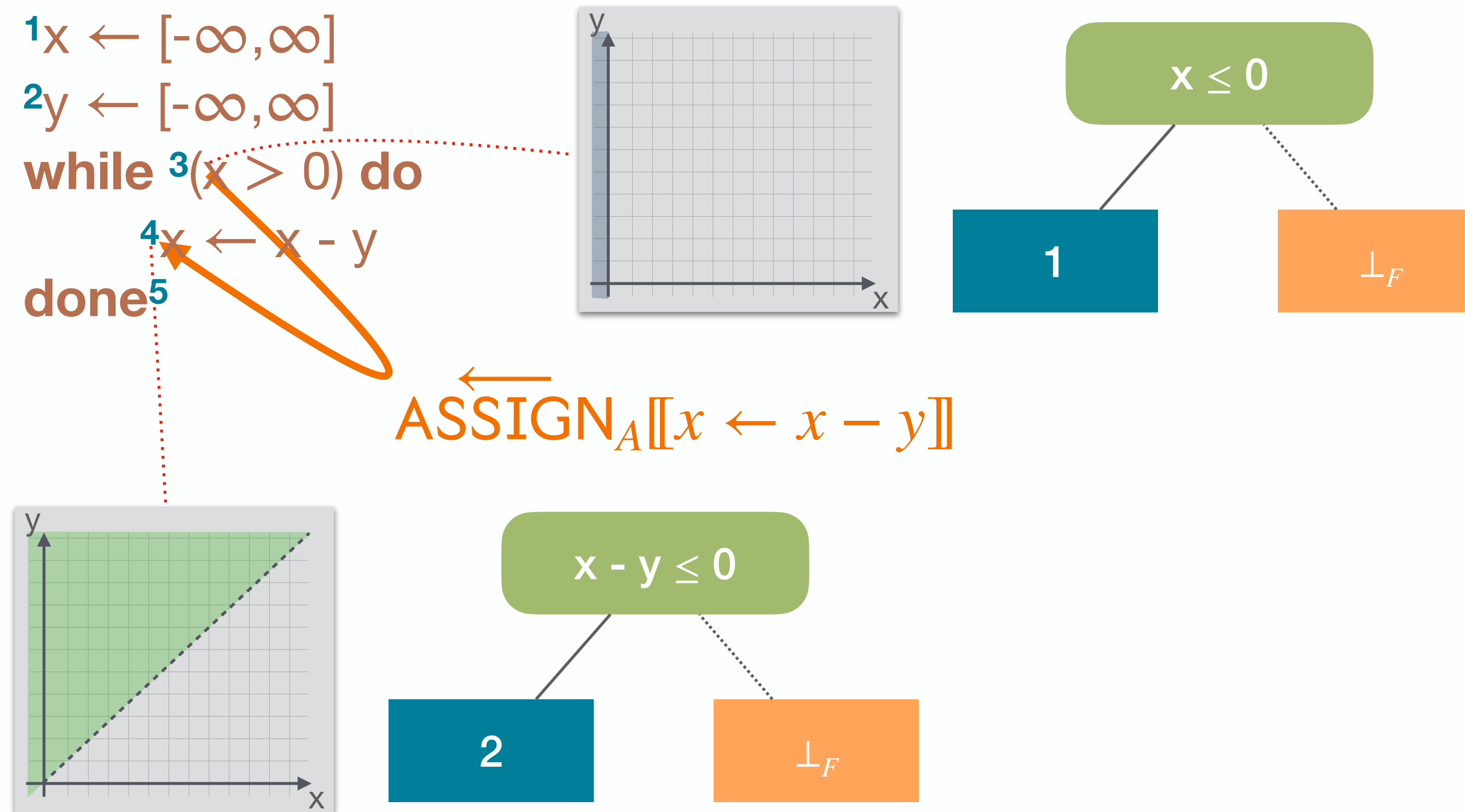
Example

```
1  $x \leftarrow [-\infty, \infty]$   
2  $y \leftarrow [-\infty, \infty]$   
while 3  $(x > 0)$  do  
    4  $x \leftarrow x - y$   
done 5
```



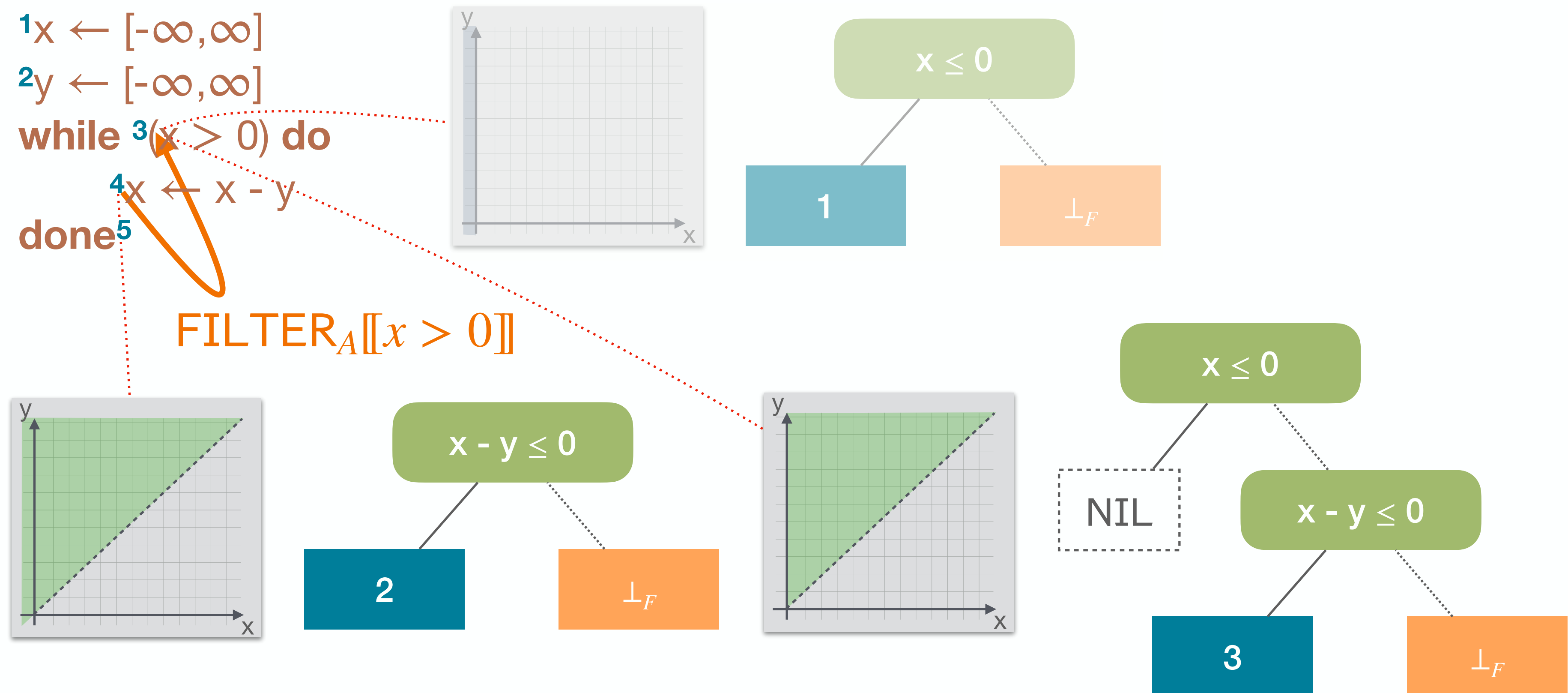
Abstract Definite Termination Semantics

Example



Abstract Definite Termination Semantics

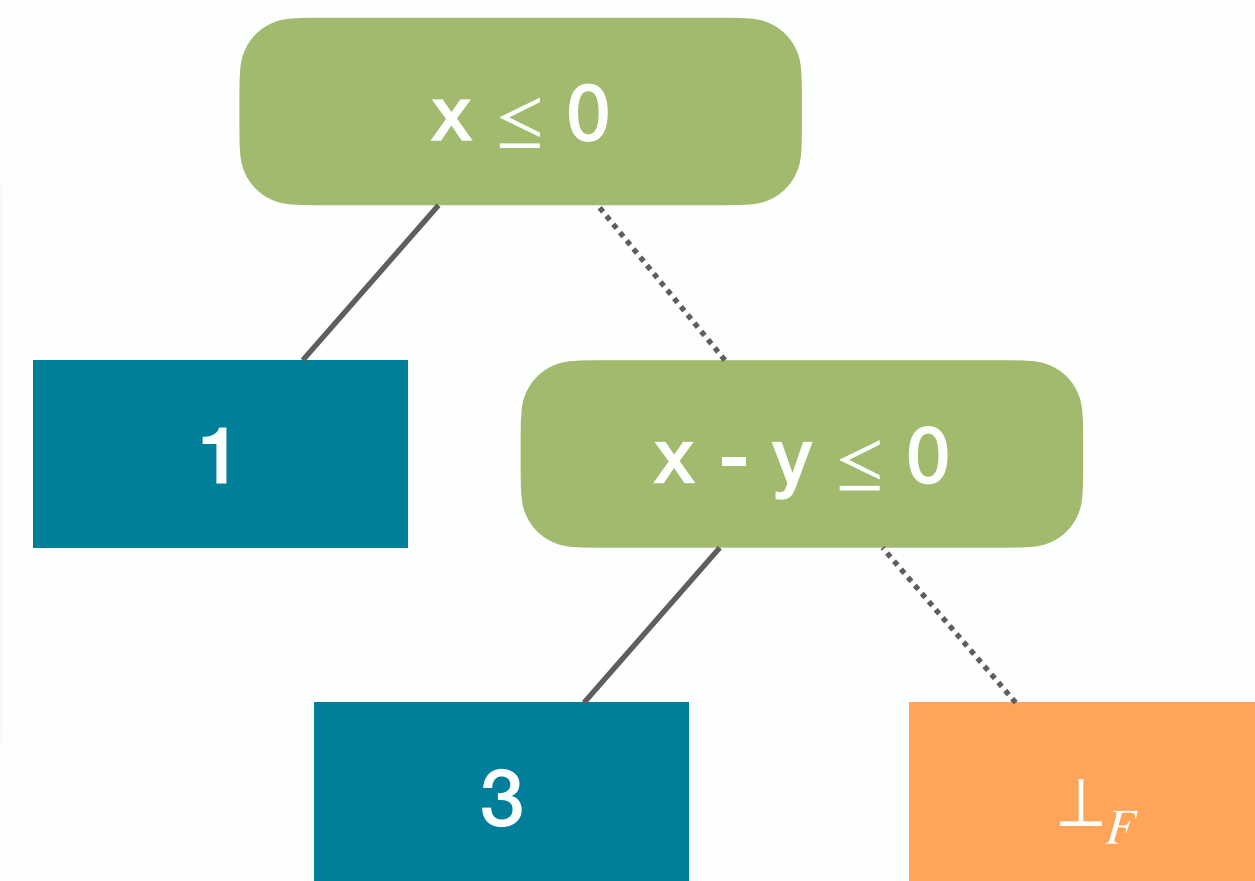
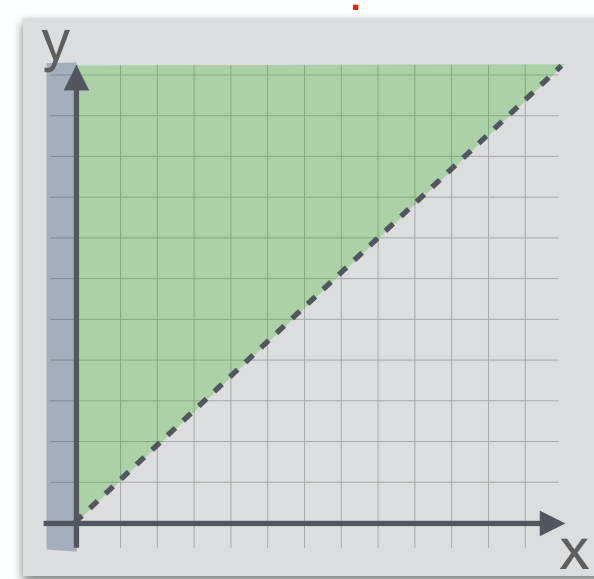
Example



Abstract Definite Termination Semantics

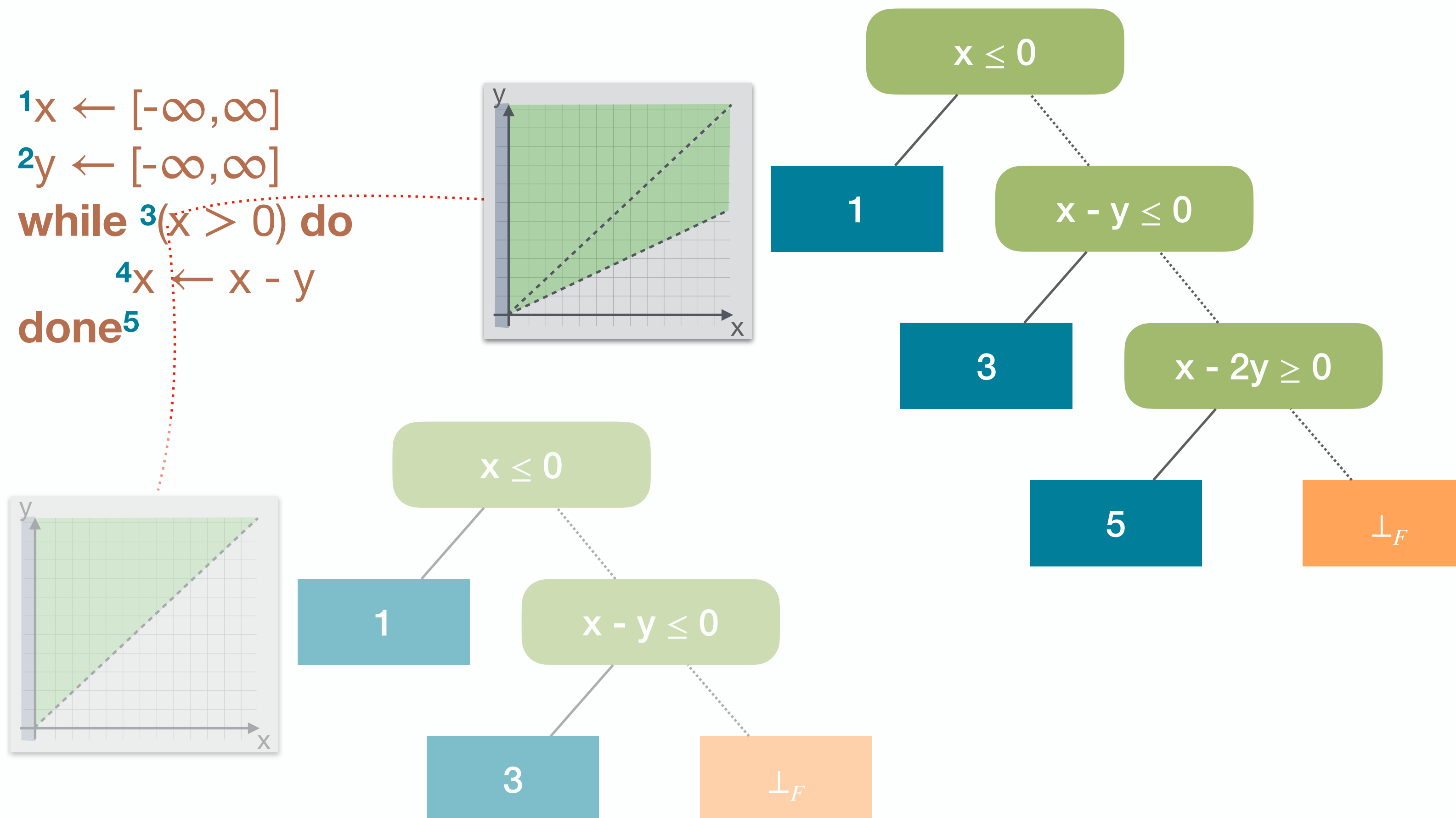
Example

```
1  $x \leftarrow [-\infty, \infty]$   
2  $y \leftarrow [-\infty, \infty]$   
while 3  $(x > 0)$  do  
    4  $x \leftarrow x - y$   
done 5
```



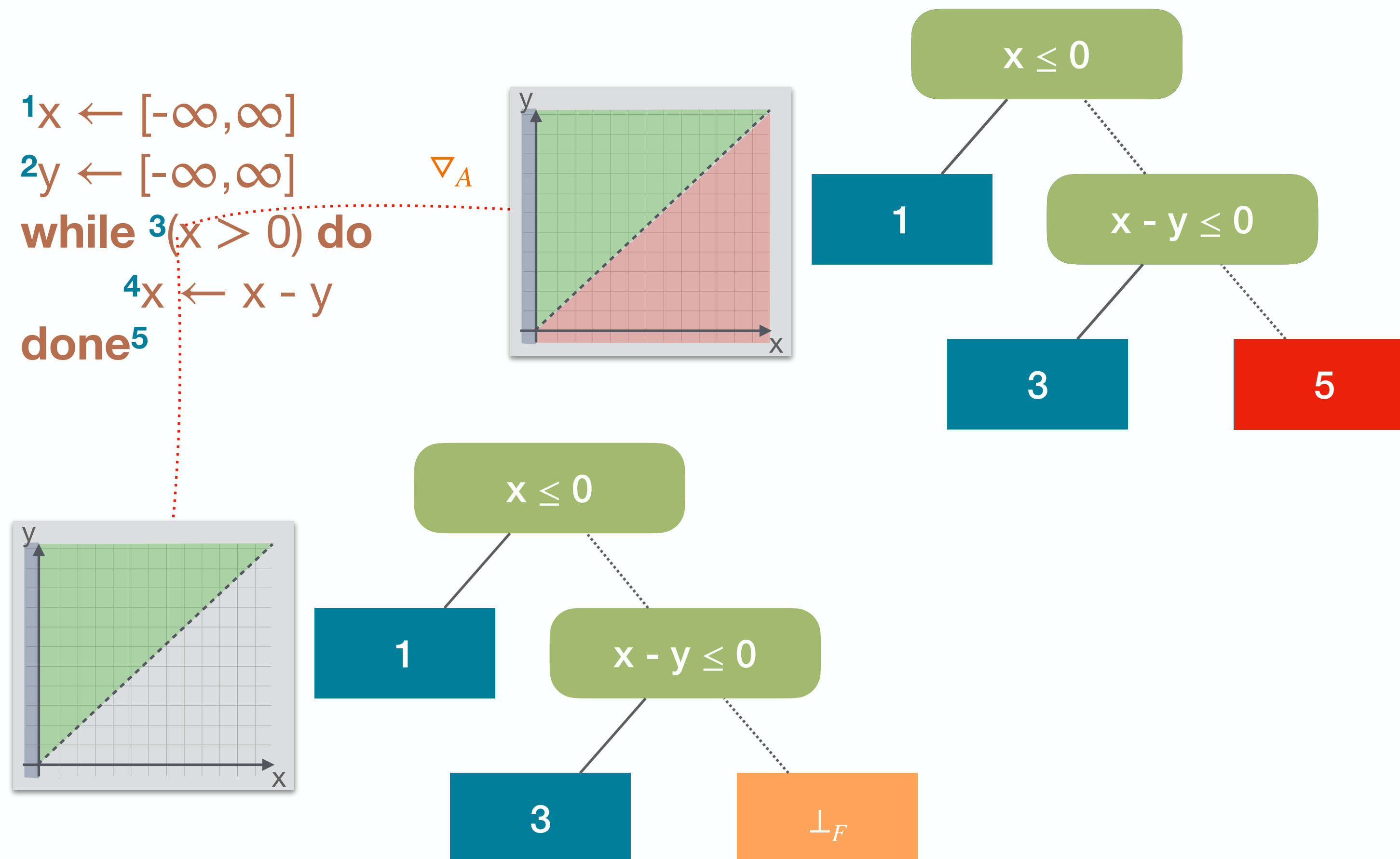
Abstract Definite Termination Semantics

Example



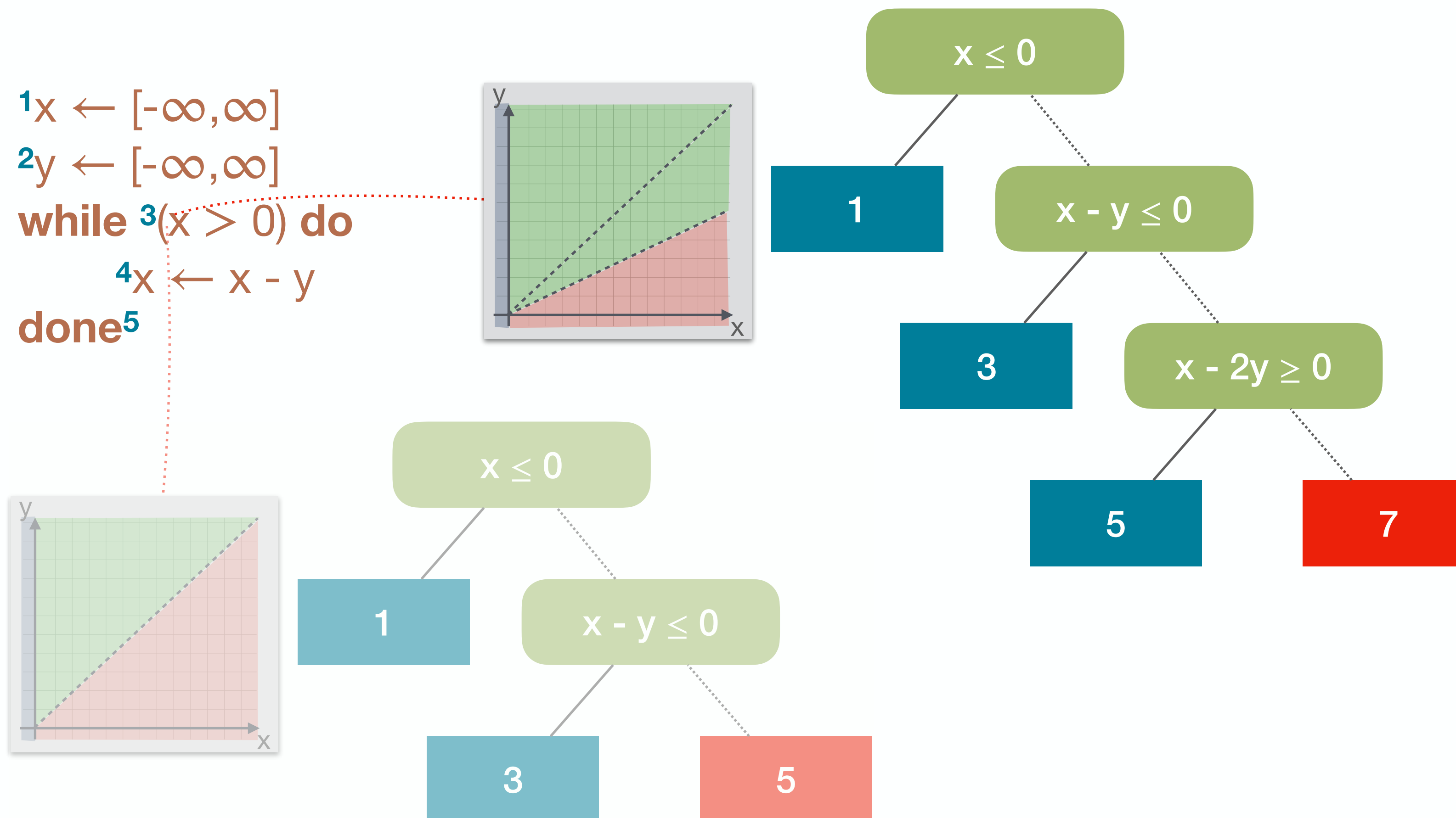
Abstract Definite Termination Semantics

Example



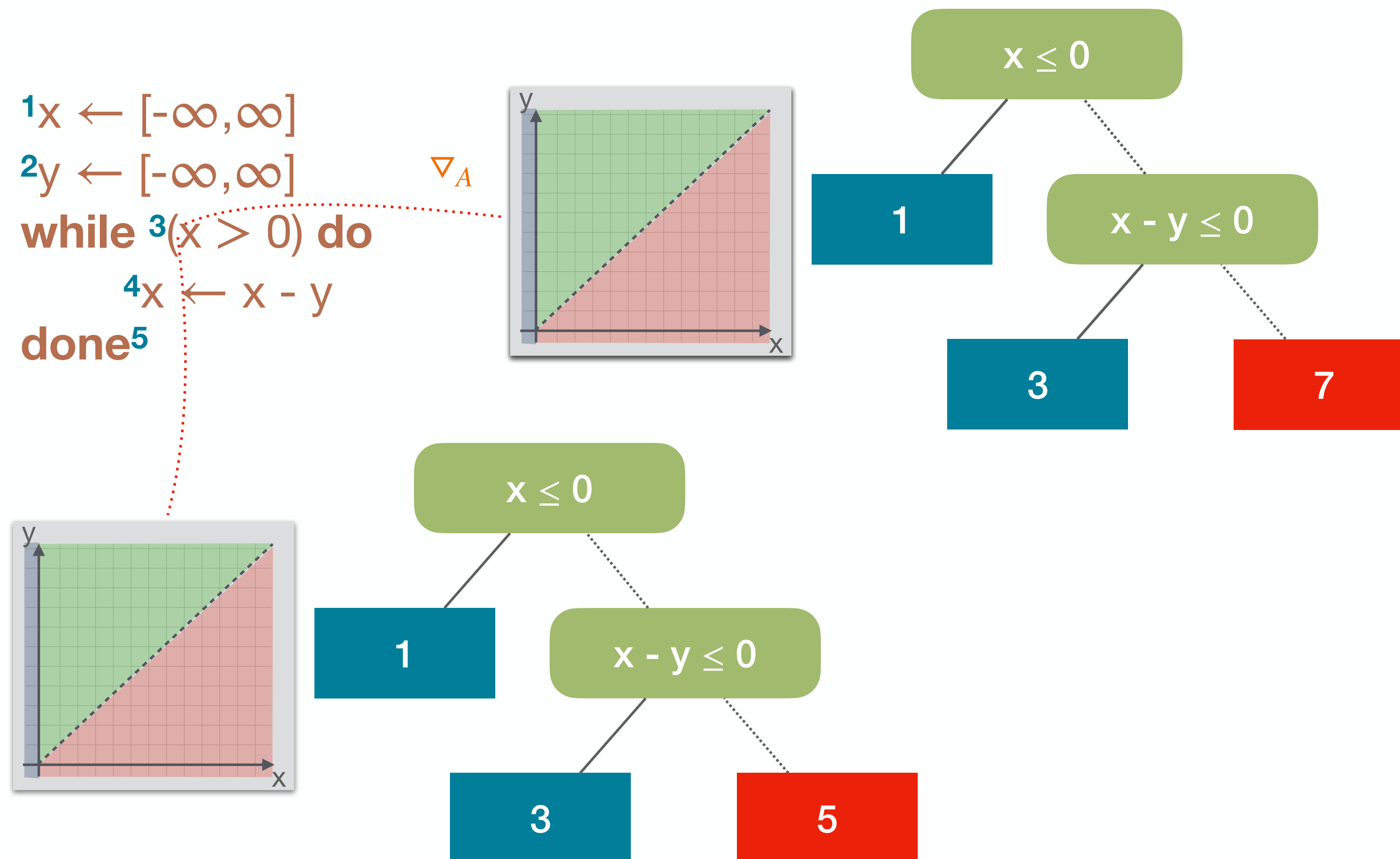
Abstract Definite Termination Semantics

Example



Abstract Definite Termination Semantics

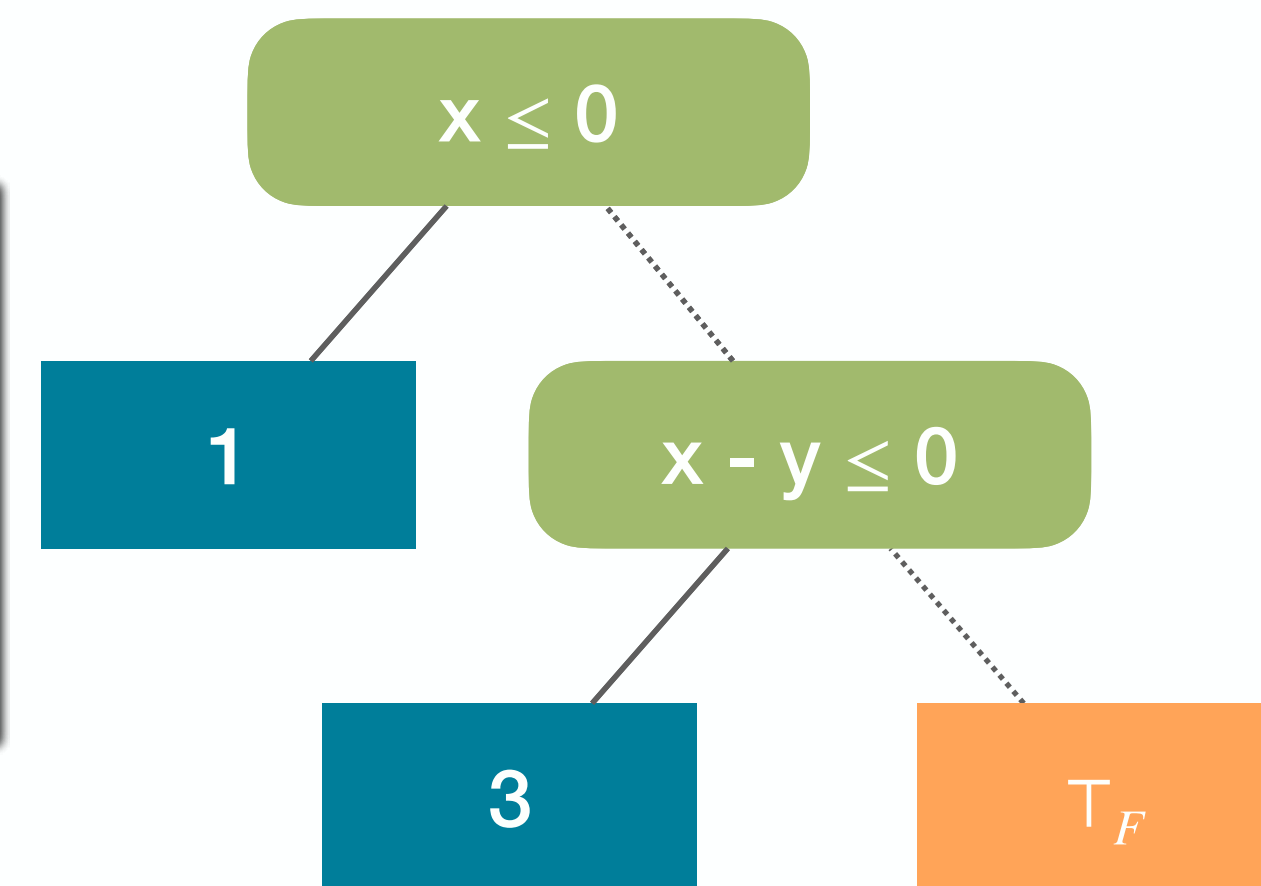
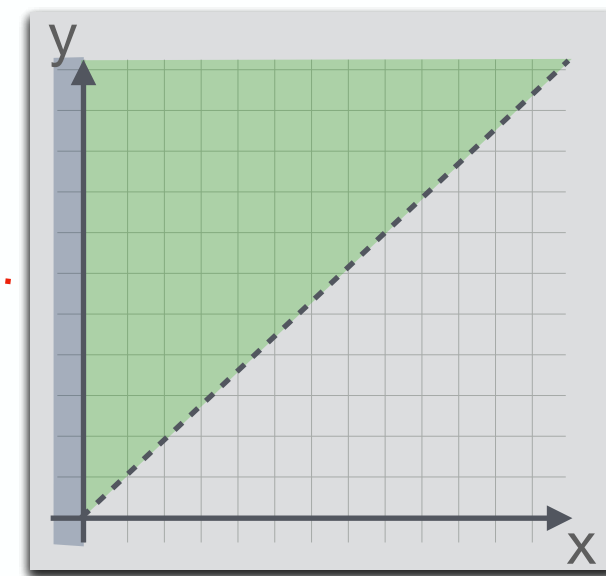
Example



Abstract Definite Termination Semantics

Example

```
1  $x \leftarrow [-\infty, \infty]$   
2  $y \leftarrow [-\infty, \infty]$   
while 3 $(x > 0)$  do  
    4 $x \leftarrow x - y$   
done5
```

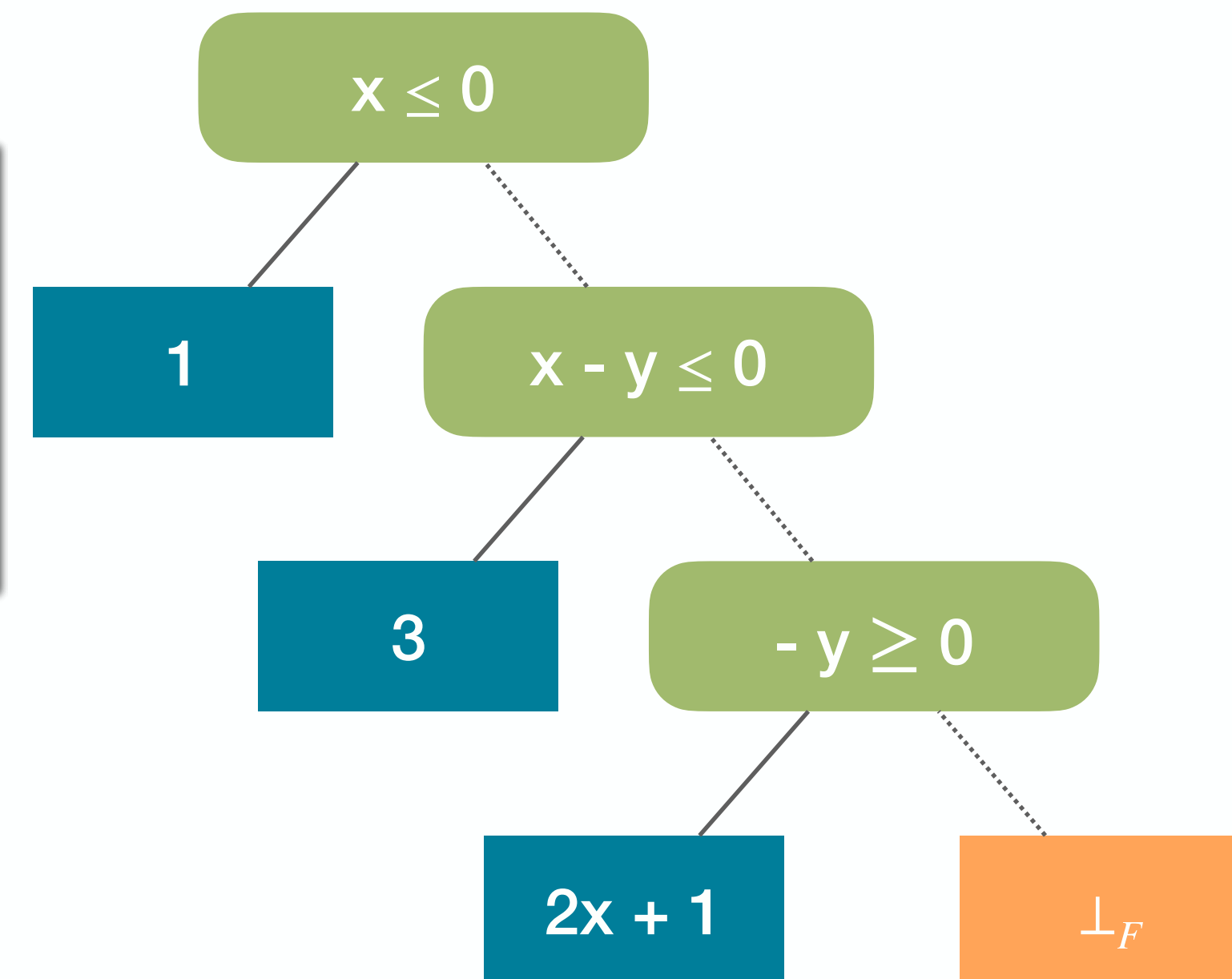
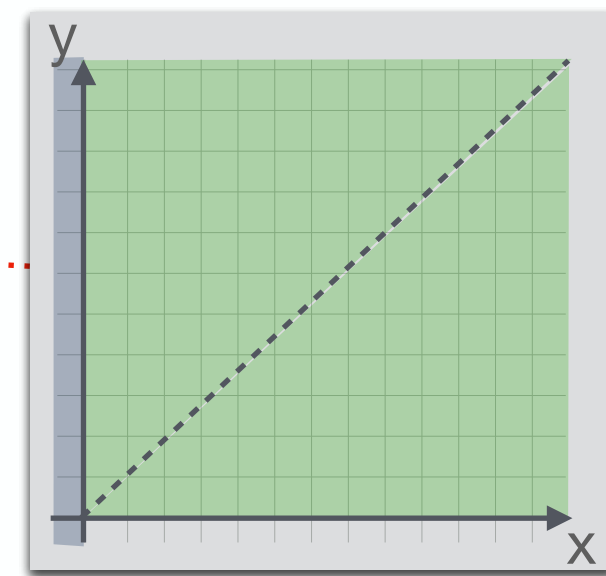


Abstract Definite Termination Semantics

Example

Better Widening

```
1  $x \leftarrow [-\infty, \infty]$   
2  $y \leftarrow [-\infty, \infty]$   
while 3  $(x > 0)$  do  
    4  $x \leftarrow x - y$   
done 5
```

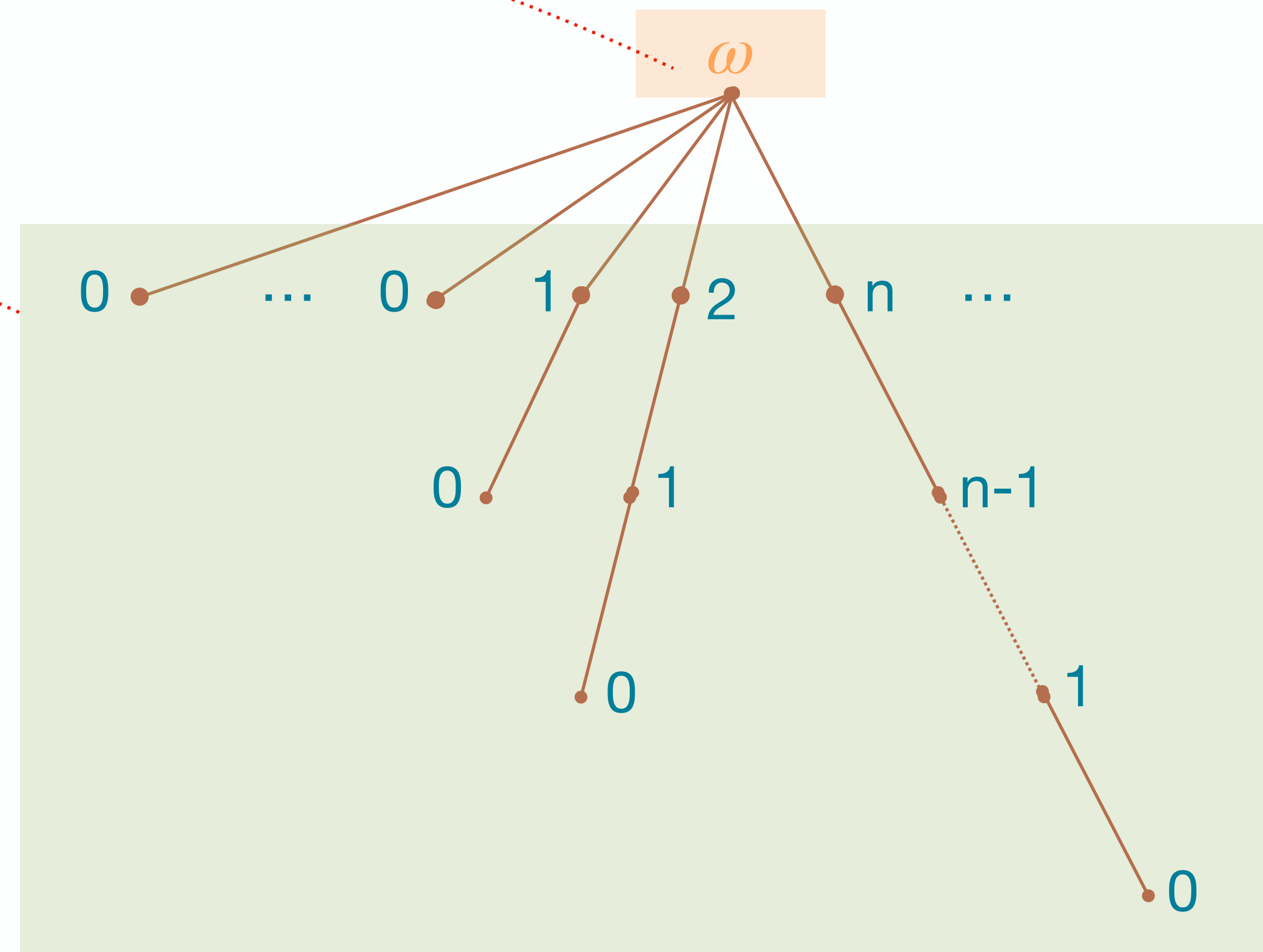


Ordinal-Valued Ranking Functions

Need for Ordinals

Example

```
1 $x \leftarrow [-\infty, +\infty]$   
while 2 $(x > 0)$  do  
  3 $x \leftarrow x - 1$   
done4
```



Ordinal Arithmetic

Addition

$$\alpha + 0 = \alpha \quad (\text{zero case})$$

$$\alpha + \text{succ}(\beta) = \text{succ}(\alpha + \beta) \quad (\text{successor case})$$

$$\alpha + \beta = \bigcup_{\gamma < \beta} (\alpha + \gamma) \quad (\text{limit case})$$

Properties

- **associative** $(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$
- **not commutative** $1 + \omega = \omega \neq \omega + 1$

Ordinal Arithmetic

Multiplication

$$\alpha \cdot 0 = 0 \quad \text{(zero case)}$$

$$\alpha \cdot \text{succ}(\beta) = (\alpha \cdot \beta) + \alpha \quad \text{(successor case)}$$

$$\alpha \cdot \beta = \bigcup_{\gamma < \beta} (\alpha \cdot \gamma) \quad \text{(limit case)}$$

Properties

- **associative** $(\alpha \cdot \beta) \cdot \gamma = \alpha \cdot (\beta \cdot \gamma)$
- **left distributive** $\alpha \cdot (\beta + \gamma) = (\alpha \cdot \beta) + (\alpha \cdot \gamma)$
- **not commutative** $2 \cdot \omega = \omega \neq \omega \cdot 2$
- **not right distributive** $(\omega + 1) \cdot \omega = \omega \cdot \omega \neq \omega \cdot \omega + \omega$

Piecewise-Defined Function Domain

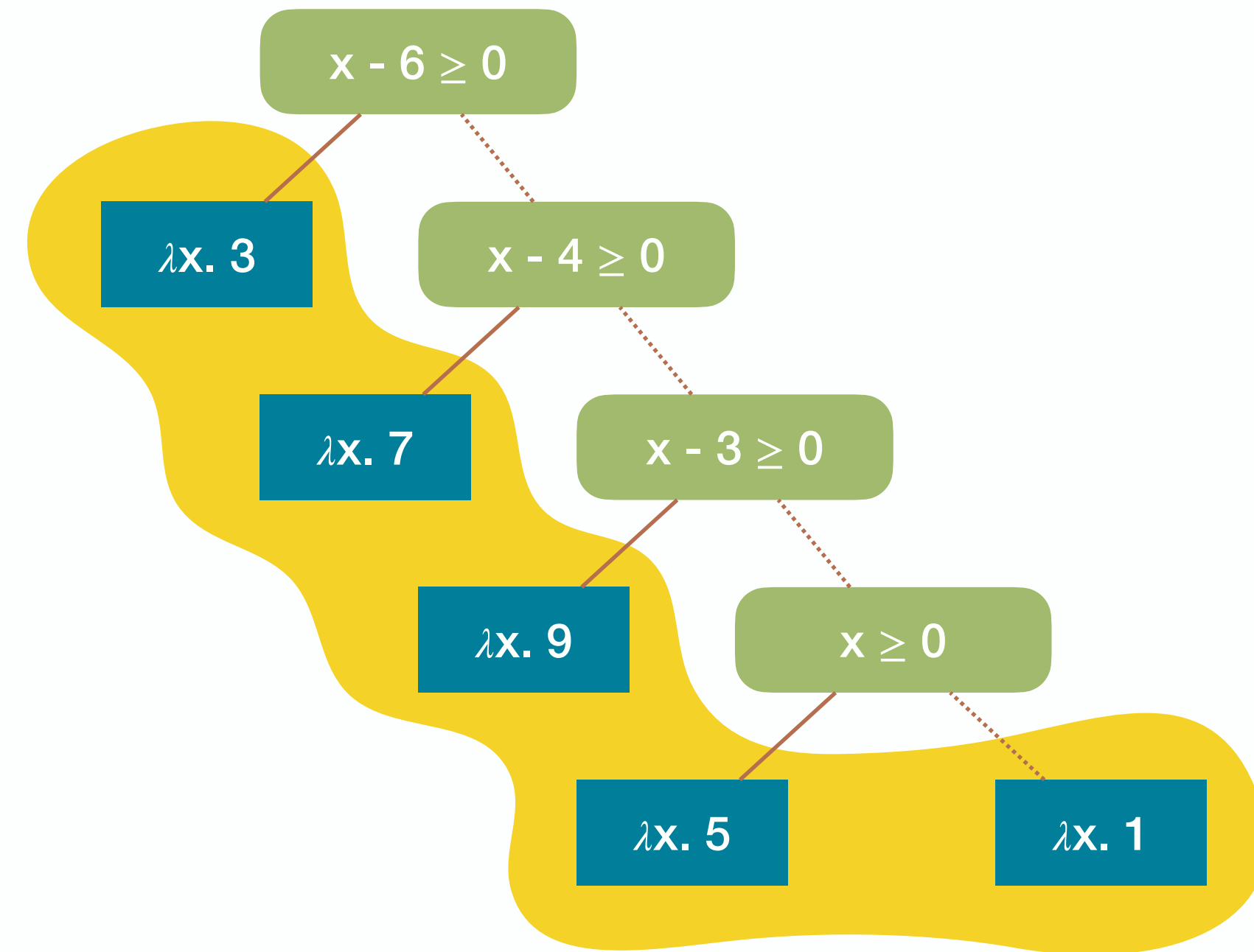
Functions Auxiliary Abstract Domain

- Parameterized by an *underlying numerical abstract domain* $\langle \mathcal{D}, \sqsubseteq_D \rangle$

- $\mathcal{W} \stackrel{\text{def}}{=} \{ \perp_W \} \cup \{ \sum_i \omega^i \cdot f_i \mid f_i \in \mathcal{F} \setminus \{ \perp_F, \top_F \} \} \cup \{ \top_W \}$

Cantor Normal Form
 $\omega^{\beta_1} \cdot n_1 + \dots + \omega^{\beta_k} \cdot n_k$

- $\mathcal{F} \stackrel{\text{def}}{=} \{ \perp_F \} \cup (\mathbb{Z}^{|V|} \rightarrow \mathbb{N}) \cup \{ \top_F \}$



Piecewise-Defined Function Domain

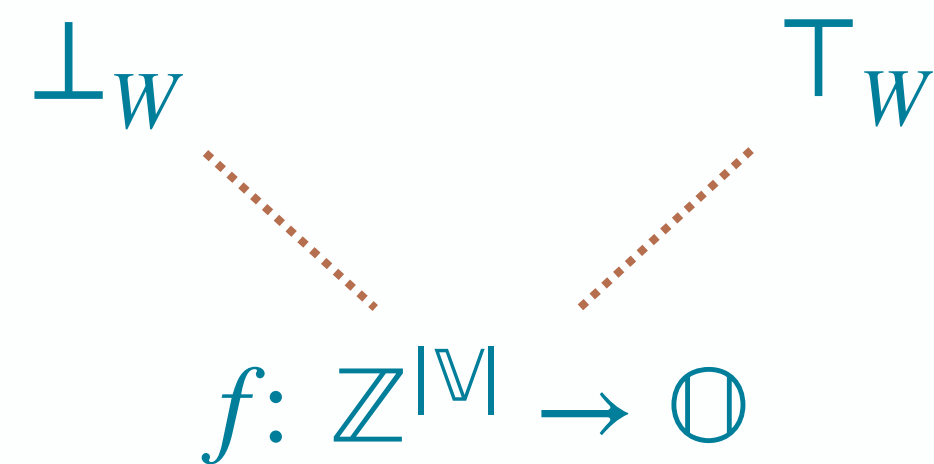
Functions Auxiliary Abstract Domain (continue)

- **approximation order** $\preceq_F[D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$\sum_i \omega^i \cdot f_{i_1} \preceq_W[D] \sum_i \omega^i \cdot f_{i_2} \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : \sum_i \omega^i \cdot f_{i_1}(\dots \rho(X_i) \dots) \leq \sum_i \omega^i \cdot f_{i_2}(\dots \rho(X_i) \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):



Piecewise-Defined Function Domain

Functions Auxiliary Abstract Domain (continue)

- **computational order** $\sqsubseteq_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

$$\sum_i \omega^i \cdot f_{i_1} \sqsubseteq_W [D] \sum_i \omega^i \cdot f_{i_2} \stackrel{\text{def}}{=} \forall \rho \in \gamma_D(D) : \sum_i \omega^i \cdot f_{i_1}(\dots \rho(X_i) \dots) \leq \sum_i \omega^i \cdot f_{i_2}(\dots \rho(X_i) \dots)$$

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{array}{c} \top_W \\ \vdots \\ f: \mathbb{Z}^{\mathbb{M}} \rightarrow \mathbb{O} \\ \vdots \\ \perp_W \end{array}$$

Piecewise-Defined Functions Domain

$$\mathcal{A} \stackrel{\text{def}}{=} \{\text{LEAF}: f \mid f \in \mathcal{F}\} \cup \{\text{NODE}\{c\}: t_1; t_2 \mid c \in \mathcal{C} \wedge t_1, t_2 \in \mathcal{A}\}$$

- **concretization function** $\gamma_A: \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\gamma_A(t) \stackrel{\text{def}}{=} \bar{\gamma}_A[\emptyset](t)$$

where $\bar{\gamma}_A: \mathcal{P}(\mathcal{C} / \equiv_C) \rightarrow \mathcal{A} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\begin{aligned} \bar{\gamma}_A[C](\text{LEAF}: f) &\stackrel{\text{def}}{=} \gamma_F[\alpha_C(C)](f) \\ \bar{\gamma}_A[C](\text{NODE}\{c\}: t_1; t_2) &\stackrel{\text{def}}{=} \bar{\gamma}_A[C \cup \{c\}](t_1) \dot{\cup} \bar{\gamma}_A[C \cup \{\neg c\}](t_2) \end{aligned}$$

and $\gamma_F: \mathcal{D} \rightarrow \mathcal{F} \rightarrow (\mathcal{E} \rightarrow \mathbb{O})$:

$$\begin{aligned} \gamma_F[D](\perp_F) &\stackrel{\text{def}}{=} \emptyset \\ \gamma_F[D](\sum_i \omega^i \cdot f_i) &\stackrel{\text{def}}{=} \lambda \rho \in \gamma_D(D): \sum_i \omega^i \cdot f_i(\dots, \rho(X_i), \dots) \\ \gamma_F[D](\top_F) &\stackrel{\text{def}}{=} \emptyset \end{aligned}$$

Piecewise-Defined Functions Domain

Abstract Domain Operators

- They manipulate elements in $\mathcal{A}_{\text{NIL}} \stackrel{\text{def}}{=} \{\text{NIL}\} \cup \mathcal{A}$
- The **binary operators** rely on a tree unification algorithm
 - approximation order $\preceq_A$ and computational order $\sqsubseteq_A$
 - approximation join $\vee_A$ and computational join $\sqcup_A$
 - meet $\wedge_A$
 - widening ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - assignment $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

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Piecewise-Defined Functions Domain

Approximation Join

- **approximation join** $\vee_F [D]$, where $D \in \mathcal{D}$:
- between defined leaf nodes:

approximation join $\vee_F [D]$ in ascending powers of ω

Example:

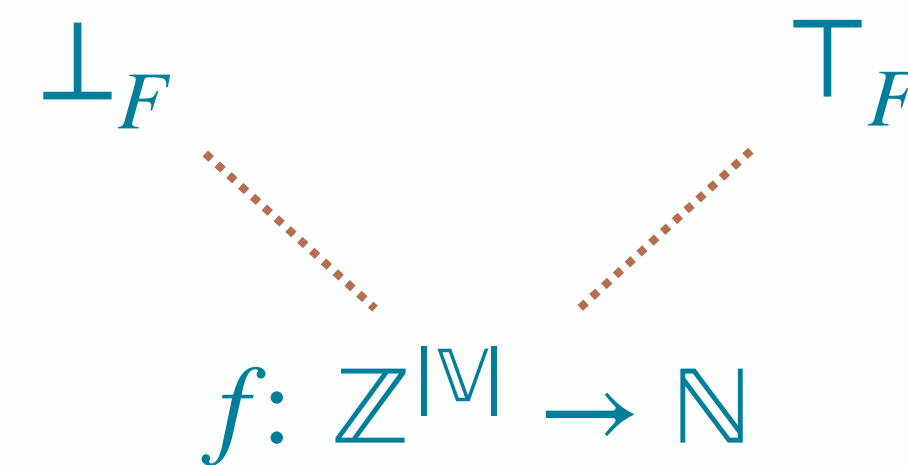
$$\begin{array}{rclclcl} f_1 & \equiv & \omega^2 \cdot x_1 & + & \omega \cdot x_2 & + & 3 \\ f_2 & \equiv & \omega^2 \cdot x_1 & + & \omega \cdot (-x_2) & + & 4 \\ f_1 \vee_W [\top_D] f_2 & \equiv & \omega^2 \cdot (x_1 + 1) & + & \omega \cdot 0 & + & 4 \end{array}$$

Piecewise-Defined Functions Domain

Approximation Join

- **approximation join** $\vee_F [D]$, where $D \in \mathcal{D}$:
- between defined leaf nodes:
approximation join $\vee_F [D]$ in ascending powers of ω
- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{array}{ll}
 \perp_W \vee_W [D] f \stackrel{\text{def}}{=} \perp_W & f \in \mathcal{W} \setminus \{ \top_W \} \\
 f \vee_W [D] \perp_W \stackrel{\text{def}}{=} \perp_W & f \in \mathcal{W} \setminus \{ \top_W \} \\
 \top_W \vee_W [D] f \stackrel{\text{def}}{=} \top_W & f \in \mathcal{W} \setminus \{ \perp_W \} \\
 f \vee_W [D] \top_W \stackrel{\text{def}}{=} \top_W & f \in \mathcal{W} \setminus \{ \perp_W \}
 \end{array}$$



Piecewise-Defined Functions Domain

Computational Join

- **computational join** $\sqcup_F [D]$, where $D \in \mathcal{D}$:

- between defined leaf nodes:

computational join $\sqcup_W [D]$ in ascending powers of ω

- otherwise (i.e., when one or both leaf nodes are undefined):

$$\begin{array}{ll} \perp_W \sqcup_W [D] f \stackrel{\text{def}}{=} f & f \in \mathcal{W} \\ f \sqcup_W [D] \perp_W \stackrel{\text{def}}{=} f & f \in \mathcal{W} \\ \top_W \sqcup_W [D] f \stackrel{\text{def}}{=} \top_W & f \in \mathcal{W} \\ f \sqcup_W [D] \top_W \stackrel{\text{def}}{=} \top_W & f \in \mathcal{W} \end{array}$$

$$\begin{array}{c} \top_F \\ \vdots \\ f: \mathbb{Z}^{\mathbb{N}} \rightarrow \mathbb{N} \\ \vdots \\ \perp_F \end{array}$$

Piecewise-Defined Functions Domain

Abstract Domain Operators

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 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Widening

Value Widening

1. Recursively descend the trees while *accumulating the linear constraints encountered along the paths* into a set of constraints C
2. Widen each (defined) leaf node f with respect to each of their adjacent (defined) leaf node $\bar{f}$ using the **extrapolation operator** $\nabla_F [\alpha_C(\bar{C}), \alpha_C(C)]$, where $\bar{C}$ is the set of constraints along the path to $\bar{f}$ **in ascending powers of ω**

yield T_W when the extrapolation of natural-valued functions yields T_F

Piecewise-Defined Functions Domain

Abstract Domain Operators

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 - widening ∇_A
- The **unary operators** rely on a tree pruning algorithm
 - **assignment** $\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$
 - test $\text{FILTER}_A[[e]]$

Piecewise-Defined Functions Domain

Assignments

$$\overleftarrow{\text{ASSIGN}}_A[[X \leftarrow e]]$$

- Base case (f)

Apply $\overleftarrow{\text{ASSIGN}}_F[[X \leftarrow e]][\alpha_C(C)]$ on the defined leaf nodes
in ascending powers of ω

Example:

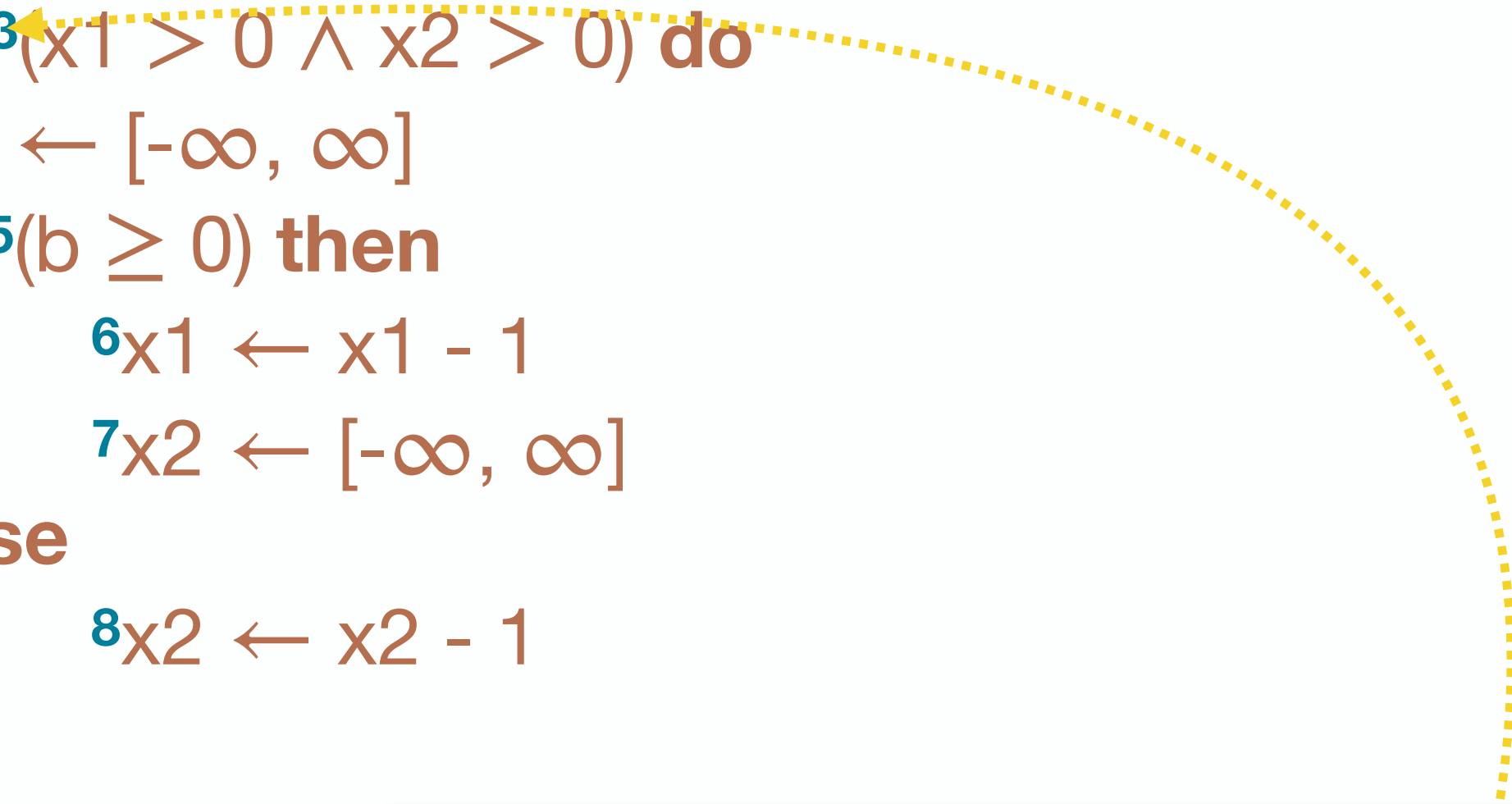
$$f \equiv \omega \cdot x_1 + x_2$$
$$\overleftarrow{\text{ASSIGN}}_W[[x_1 \leftarrow [-\infty, +\infty]]][\top_D] \equiv \omega^2 \cdot 1 + \omega \cdot 0 + x_2 + 1$$

$$\omega \cdot \omega = \omega^2 \cdot 1 + \omega \cdot 0$$

Abstract Definite Termination Semantics

Example

```
1  $x_1 \leftarrow [-\infty, \infty]$   
2  $x_2 \leftarrow [-\infty, \infty]$   
3 while  $(x_1 > 0 \wedge x_2 > 0)$  do  
  4  $b \leftarrow [-\infty, \infty]$   
  5 if  $(b \geq 0)$  then  
    6  $x_1 \leftarrow x_1 - 1$   
    7  $x_2 \leftarrow [-\infty, \infty]$   
  else  
    8  $x_2 \leftarrow x_2 - 1$   
9 done
```



$$f_3 \stackrel{\text{def}}{=} \begin{cases} 1 & x_1 \leq 0 \vee x_2 \leq 0 \\ \omega \cdot (x_1 - 7) + 7x_1 + 3x_2 - 5 & x_1 > 0 \wedge x_2 > 0 \end{cases}$$

Static Termination Analysis

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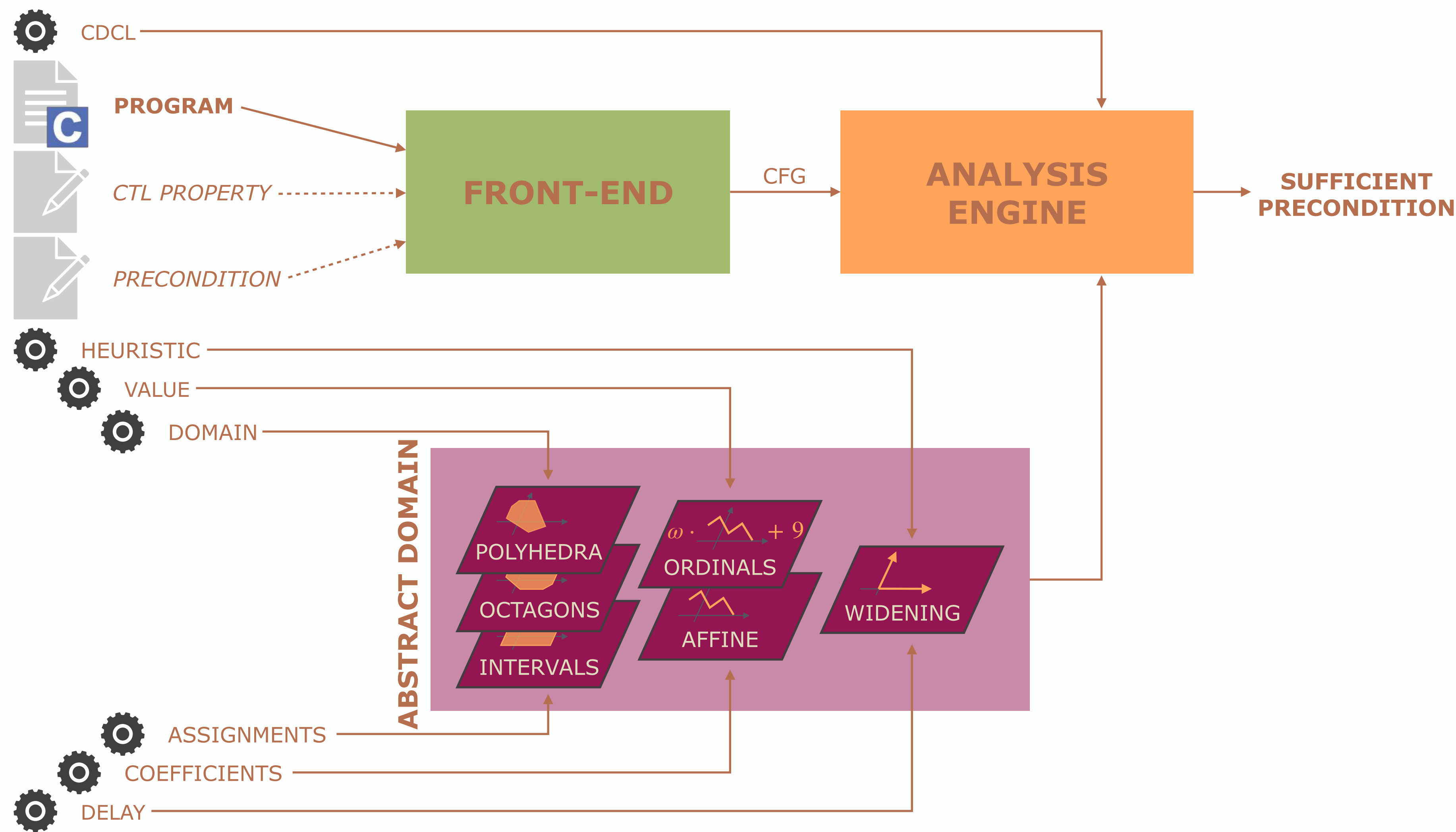
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algorithmic approaches to decide program properties

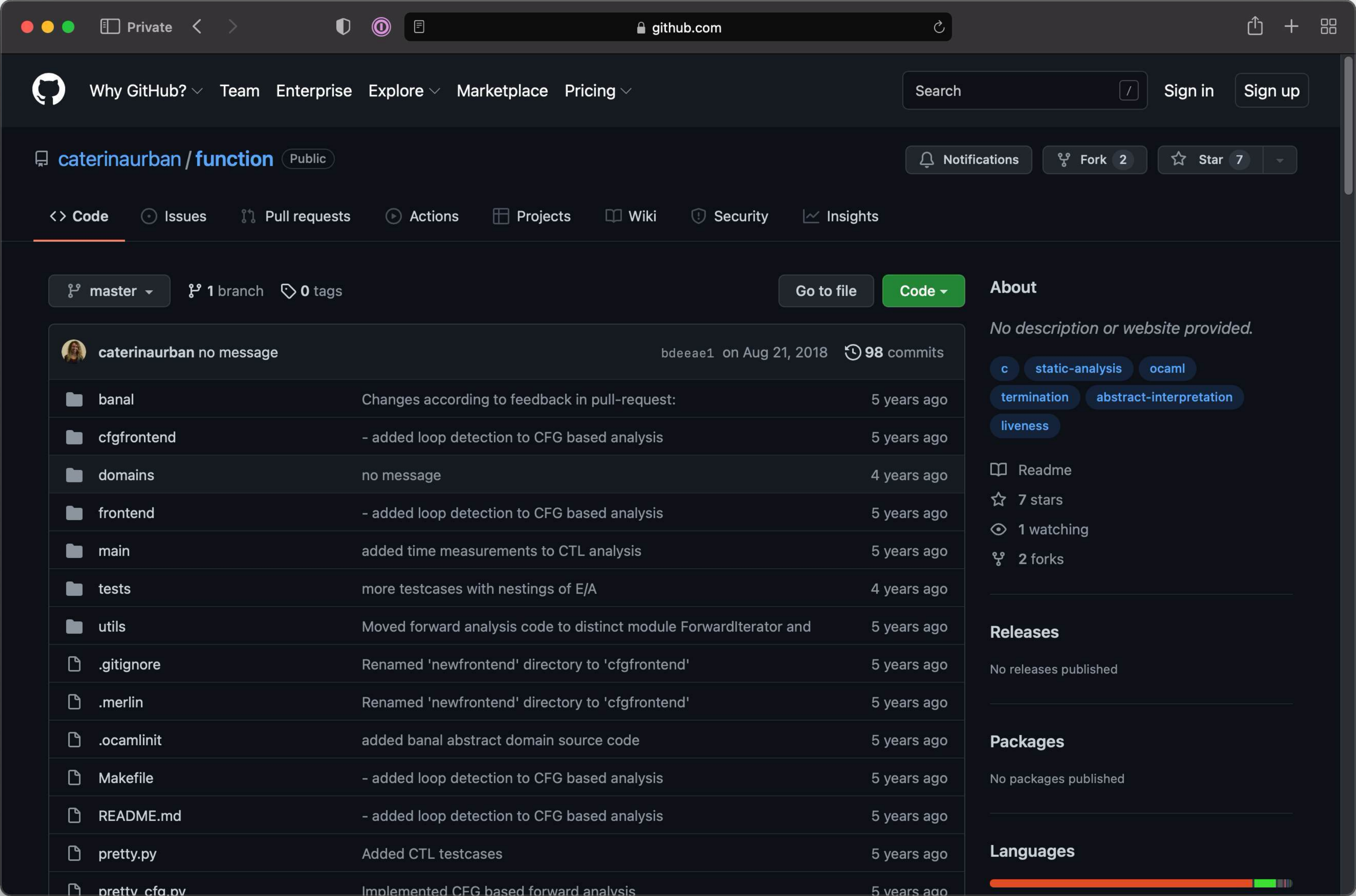


concrete semantics
mathematical models of the program behavior



FuncTion





Liveness Properties

Liveness Properties

- **Guarantee Properties**
“something good eventually happens at least once”
 - Example: Program Termination
- **Recurrence Properties**
“something good eventually happens infinitely often”
 - Example: Starvation Freedom



Zohar Manna



Amir Pnueli

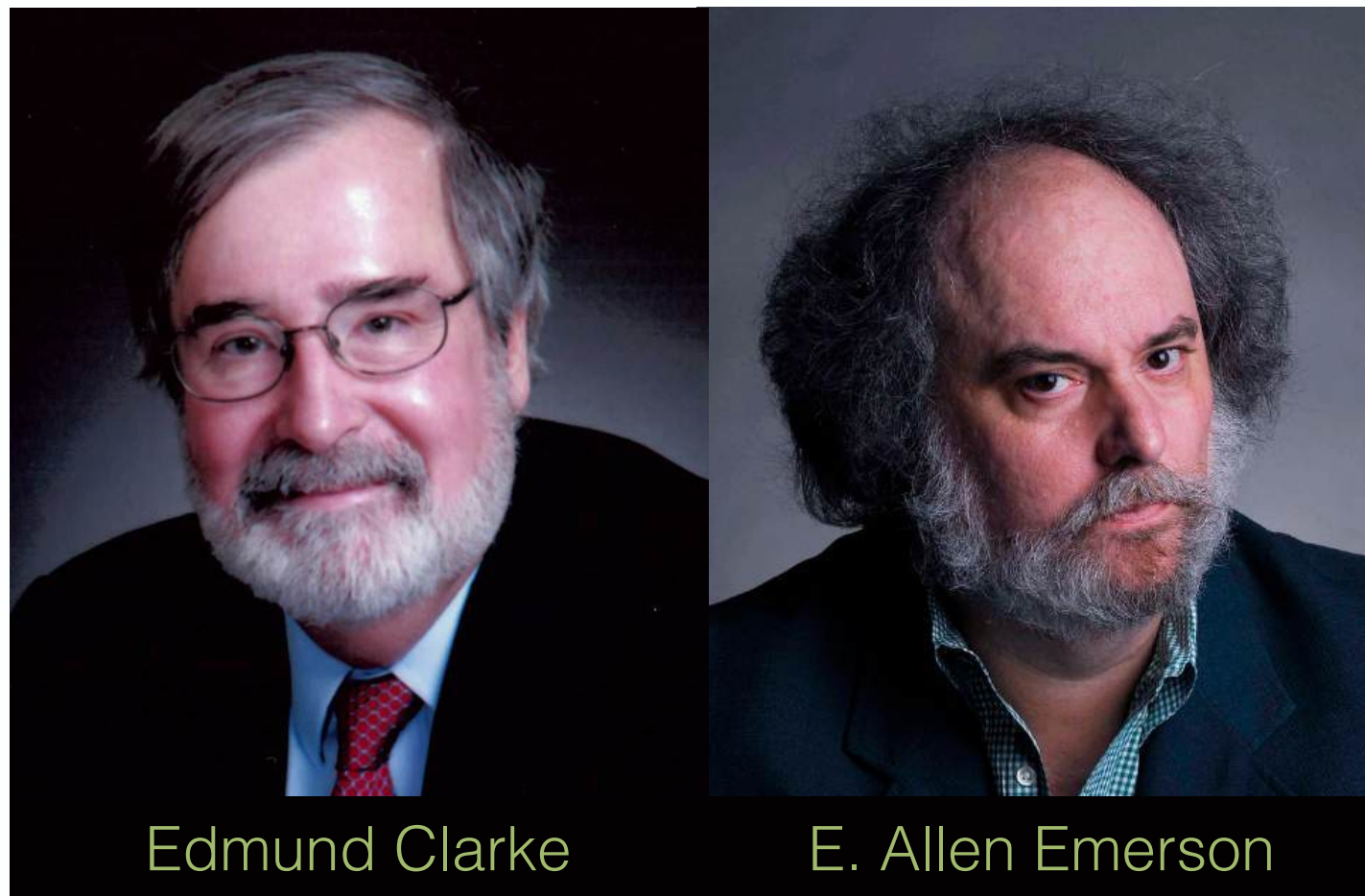
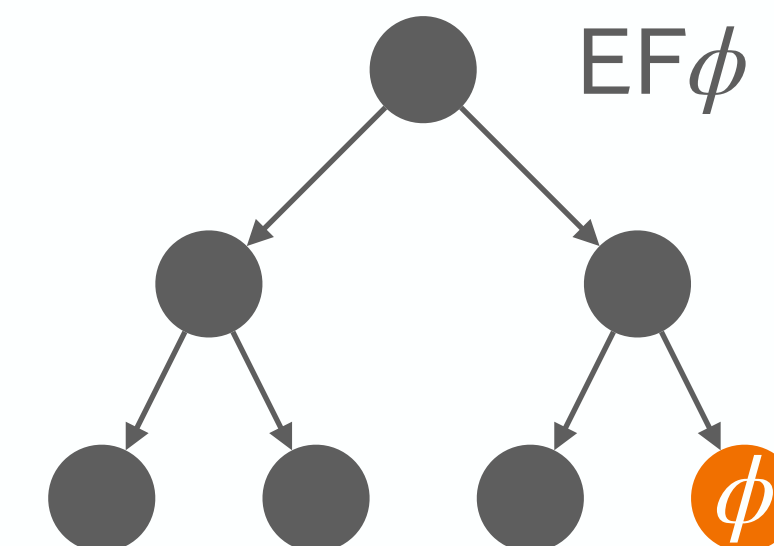
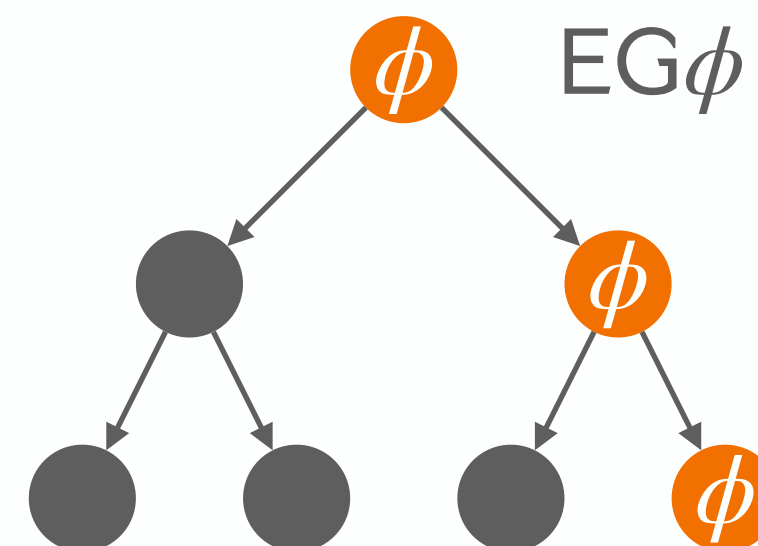
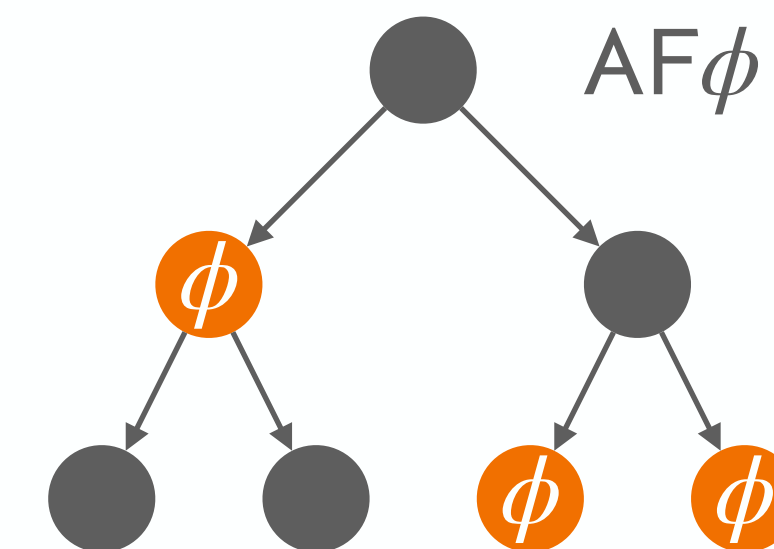
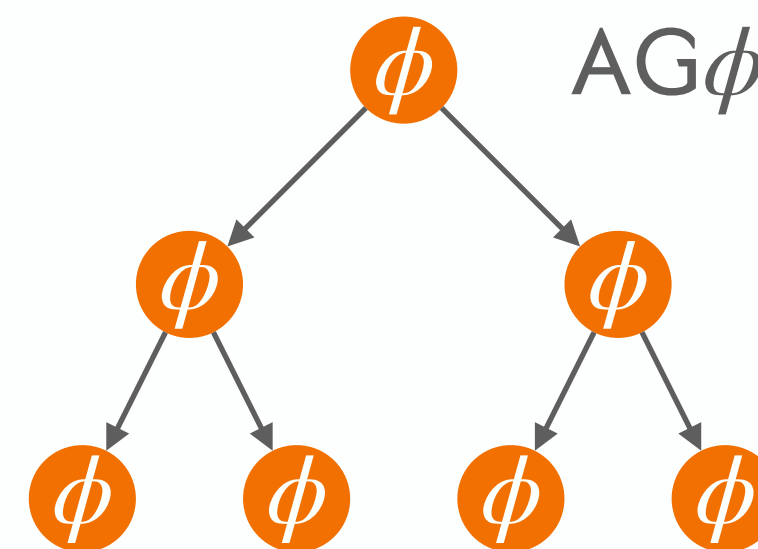
Computation Tree Logic (CTL)

Branching Temporal Logic

$\phi ::= a \mid \neg\phi \mid \phi \wedge \phi \mid \phi \vee \phi \mid AX\phi \mid AG\phi \mid A(\phi U \phi) \mid EX\phi \mid EG\phi \mid E(\phi U \phi)$

$AF\phi \equiv A(\text{true} U \phi)$

$EF\phi \equiv E(\text{true} U \phi)$



Guarantee Properties

Guarantee Properties

“something good eventually happens at least once”

$AF \phi$

$\phi ::= e \bowtie 0 \mid \ell : e \bowtie 0 \mid \phi \wedge \phi \mid \phi \vee \phi \qquad \ell \in \mathcal{L}$

Example:

```
1  $x \leftarrow [-\infty, +\infty]$ 
while 2  $(x \geq 0)$  do
  3  $x \leftarrow x + 1$ 
done4
while 5  $(0 \geq 0)$  do
  if 6  $(x \leq 10)$  do
    7  $x \leftarrow x + 1$ 
  else
    8  $x \leftarrow -x$ 
done9
```

$AF (x = 3)$ is satisfied for $I \stackrel{\text{def}}{=} \{(1, \rho) \in \Sigma \mid \rho(x) \leq 3\}$

Static Guarantee Analysis

3-Step Recipe

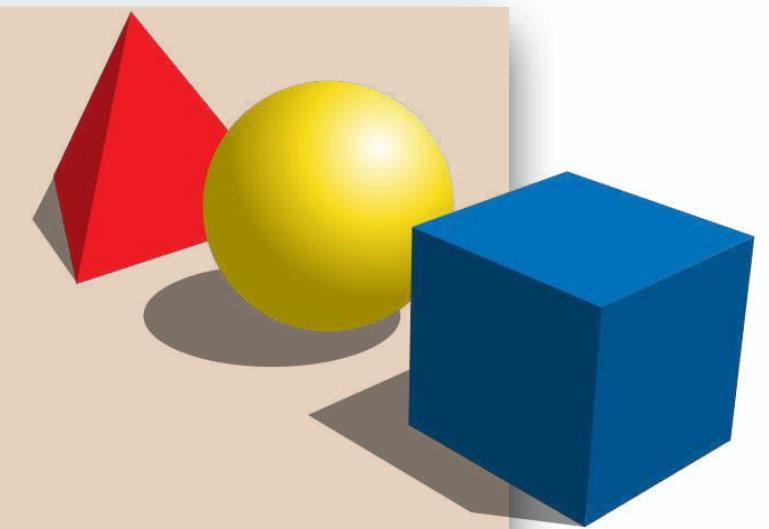
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algorithmic approaches to decide program properties



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mathematical models of the program behavior



Static Guarantee Analysis

Program Guarantee Semantics

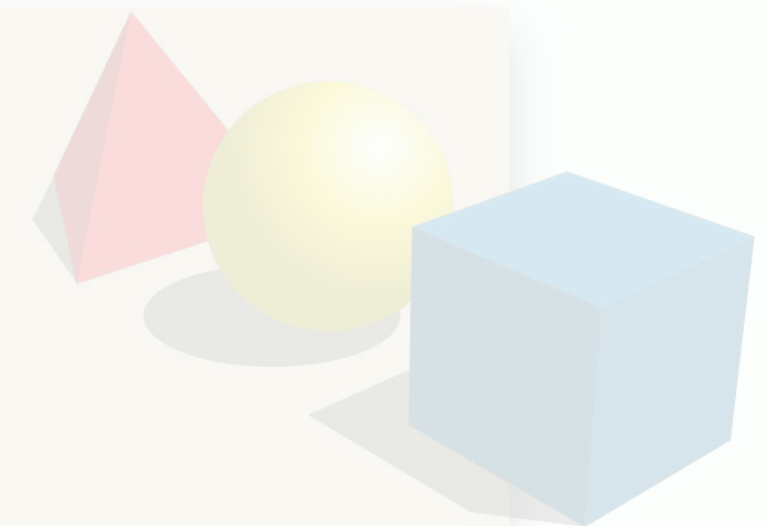
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Guarantee Program Semantics

Definite Termination Program Semantics

$$\mathcal{R}_M = \text{lfp}^{\preceq} \bar{F}_M$$

$$\bar{F}_M(f)\sigma \stackrel{\text{def}}{=} \begin{cases} 0 & \sigma \in \mathcal{B} \\ \sup\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \in \tilde{\text{pre}}_{\tau}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

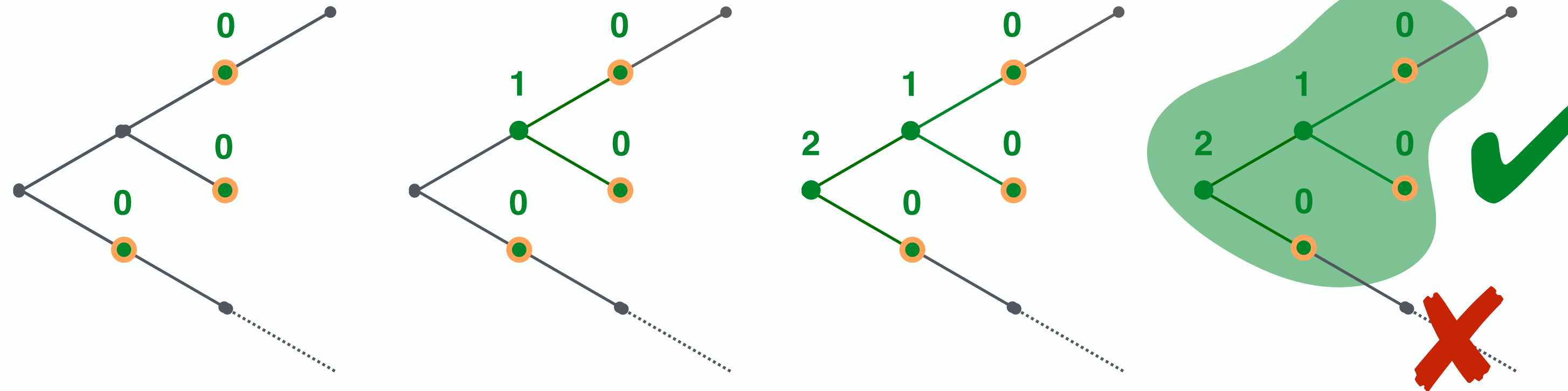
$$\mathcal{R}_G^{\varphi} \stackrel{\text{def}}{=} \text{lfp}^{\preceq} \bar{F}_G [\{\sigma \in \Sigma \mid \sigma \models \varphi\}]$$

$$\bar{F}_G[S]f \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \sigma \in S \\ \sup\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \notin S \wedge \sigma \in \tilde{\text{pre}}_{\tau}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

Guarantee Program Semantics

$$\mathcal{R}_G^\varphi \stackrel{\text{def}}{=} \text{lfp}^{\preceq} \bar{F}_G [\{\sigma \in \Sigma \mid \sigma \models \varphi\}]$$

$$\bar{F}_G[S]f \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \sigma \in S \\ \sup\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \notin S \wedge \sigma \in \text{pre}_\tau(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$



Theorem (Soundness and Completeness)

A program satisfies a **guarantee property** $\text{AF } \varphi$ starting from a set of initial states I if and only if $I \subseteq \text{dom}(\mathcal{R}_G^\varphi)$

Static Guarantee Analysis

Abstract Program Guarantee Semantics

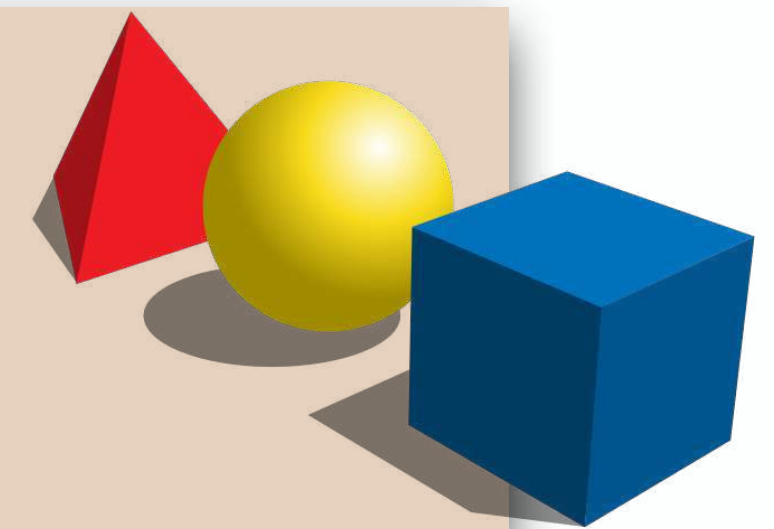
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Abstract Program Guarantee Semantics

Piecewise-Defined Ranking Functions Abstract Domain

For each program instruction stmt , we define a transformer $\mathcal{R}_G^{\varphi\#}[\![\text{stmt}]\!]: \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_G^{\varphi\#}[\![X \leftarrow e]\!]t \stackrel{\text{def}}{=} \text{RESET}_A^G[\![\varphi]\!](\overleftarrow{\text{ASSIGN}}_A[\![X \leftarrow e]\!]t)$
- $\mathcal{R}_G^{\varphi\#}[\![\text{if } e \bowtie 0 \text{ then } s \text{ end}]\!]t \stackrel{\text{def}}{=} \text{RESET}_A^G[\![\varphi]\!](\text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_G^{\varphi\#}[\![s]\!]t) \vee_T \text{FILTER}_A[\![e \nbowtie 0]\!]t)$
- $\mathcal{R}_G^{\varphi\#}[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]t \stackrel{\text{def}}{=} \text{lfp}^\# \bar{F}_G^{\varphi\#}$

where $\bar{F}_G^{\varphi\#}(x) \stackrel{\text{def}}{=} \text{RESET}_A^G[\![\varphi]\!](\text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_G^{\varphi\#}[\![s]\!]x) \vee_T \text{FILTER}_A[\![e \nbowtie 0]\!](t))$

- $\mathcal{R}_G^{\varphi\#}[\![s_1; s_2]\!]t \stackrel{\text{def}}{=} \mathcal{R}_G^{\varphi\#}[\![s_1]\!](\mathcal{R}_G^{\varphi\#}[\![s_2]\!]t)$

Abstract Program Guarantee Semantics

Piecewise-Defined Ranking Functions Abstract Domain

Definition

The **abstract guarantee semantics** $\mathcal{R}_G^{\varphi\#}[\![s^\ell]\!] \in \mathcal{A}$ of a program s^ℓ is:

$$\mathcal{R}_G^{\varphi\#}[\![s^\ell]\!] \stackrel{\text{def}}{=} \mathcal{R}_G^{\varphi\#}[\![s]\!](\text{RESET}_A^G[\![\varphi]\!](\text{LEAF: } \perp_F))$$

where $\mathcal{R}_G^{\varphi\#}[\![s]\!]: \mathcal{A} \rightarrow \mathcal{A}$ is the abstract guarantee semantics of each program instruction s

Theorem (Soundness)

$$\mathcal{R}_G[\![s^\ell]\!] \preceq \gamma_A(\mathcal{R}_G^{\varphi\#}[\![s^\ell]\!])$$

Corollary (Soundness)

A program s^ℓ satisfies a **guarantee property** $\text{AF } \varphi$ starting from a set of initial states I if $I \subseteq \text{dom}(\gamma_A(\mathcal{R}_G^{\varphi\#}[\![s^\ell]\!]))$

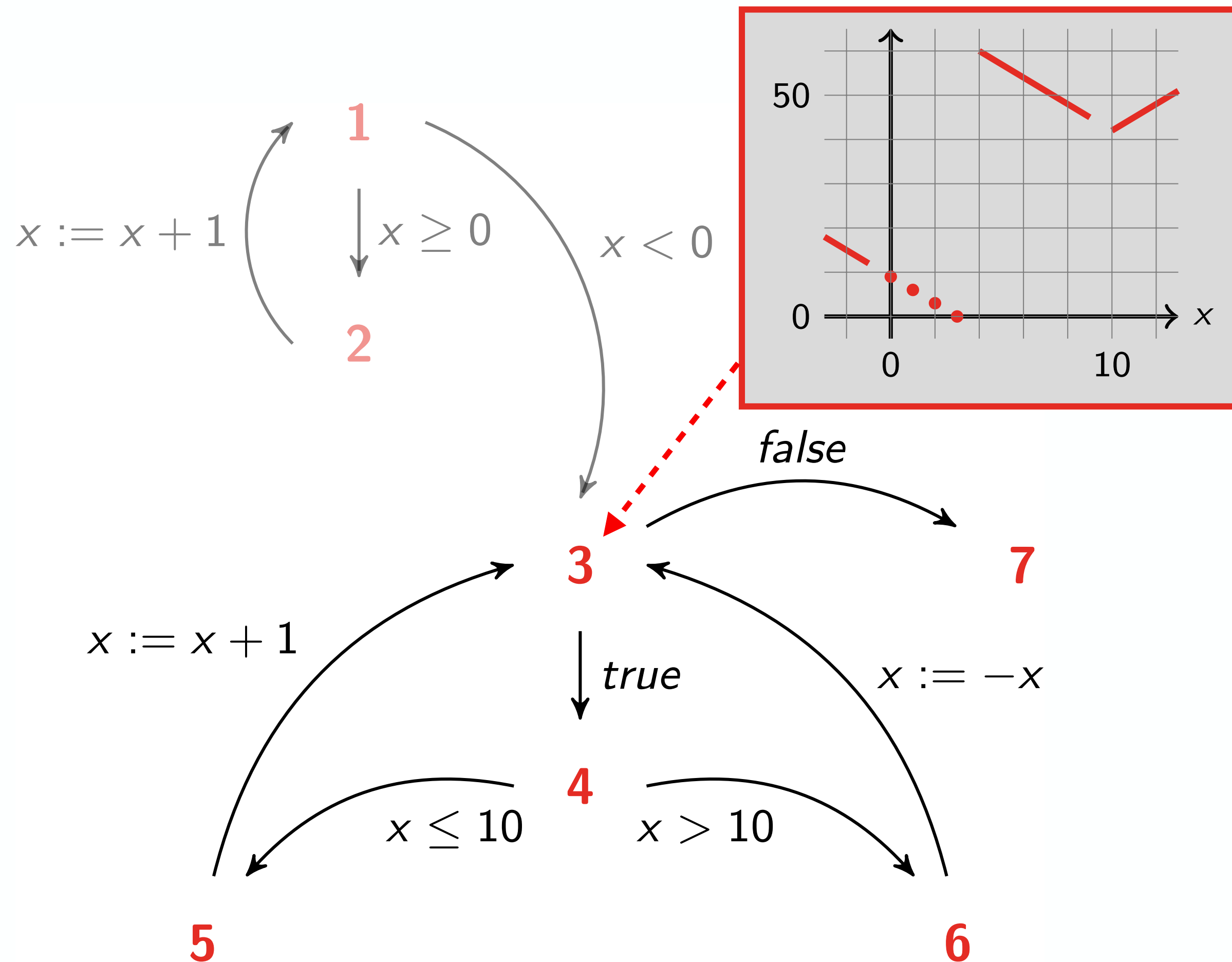
Abstract Program Guarantee Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
```

Property

$AF(x = 3)$



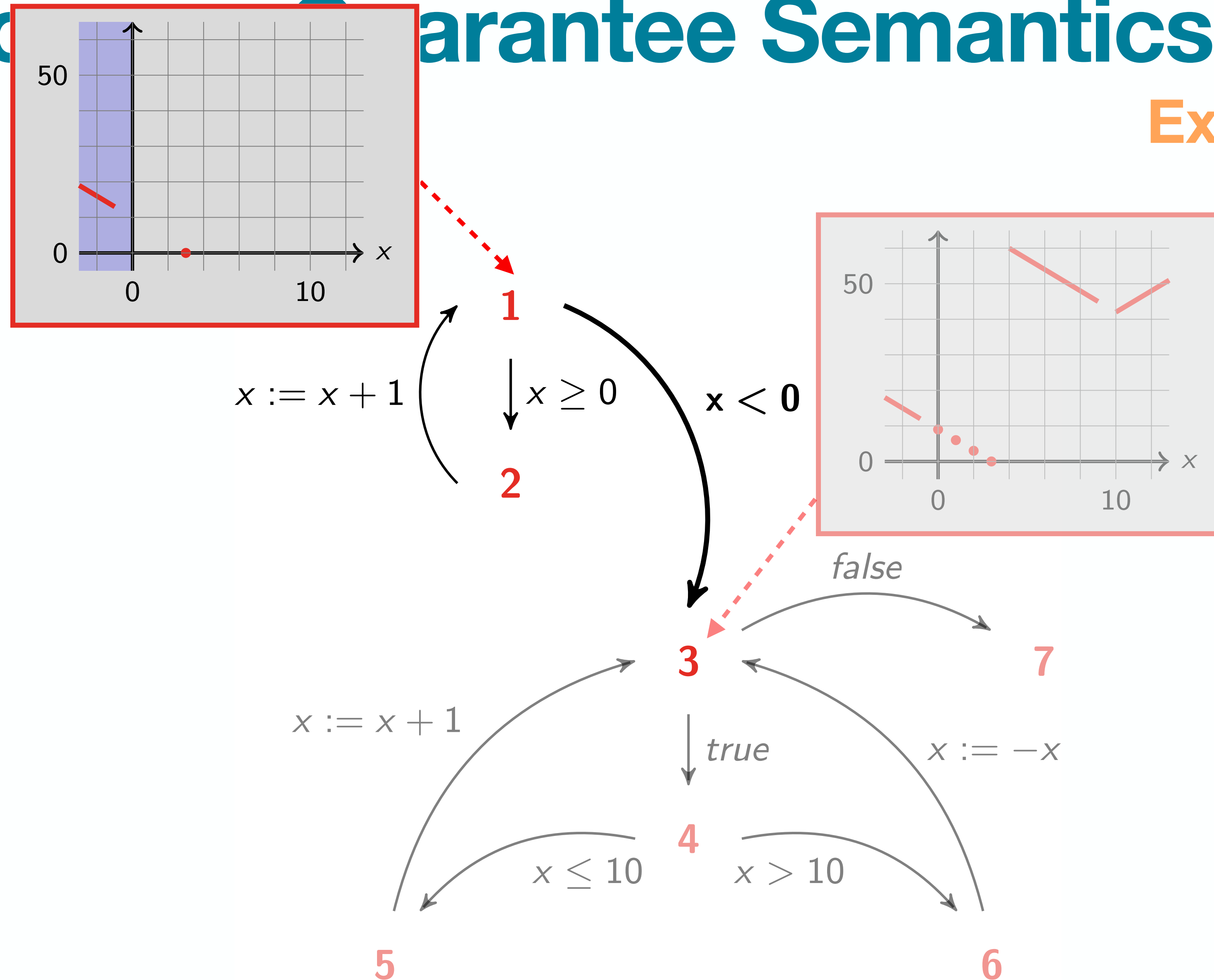
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  else
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done7
```

Property

$AF(x = 3)$



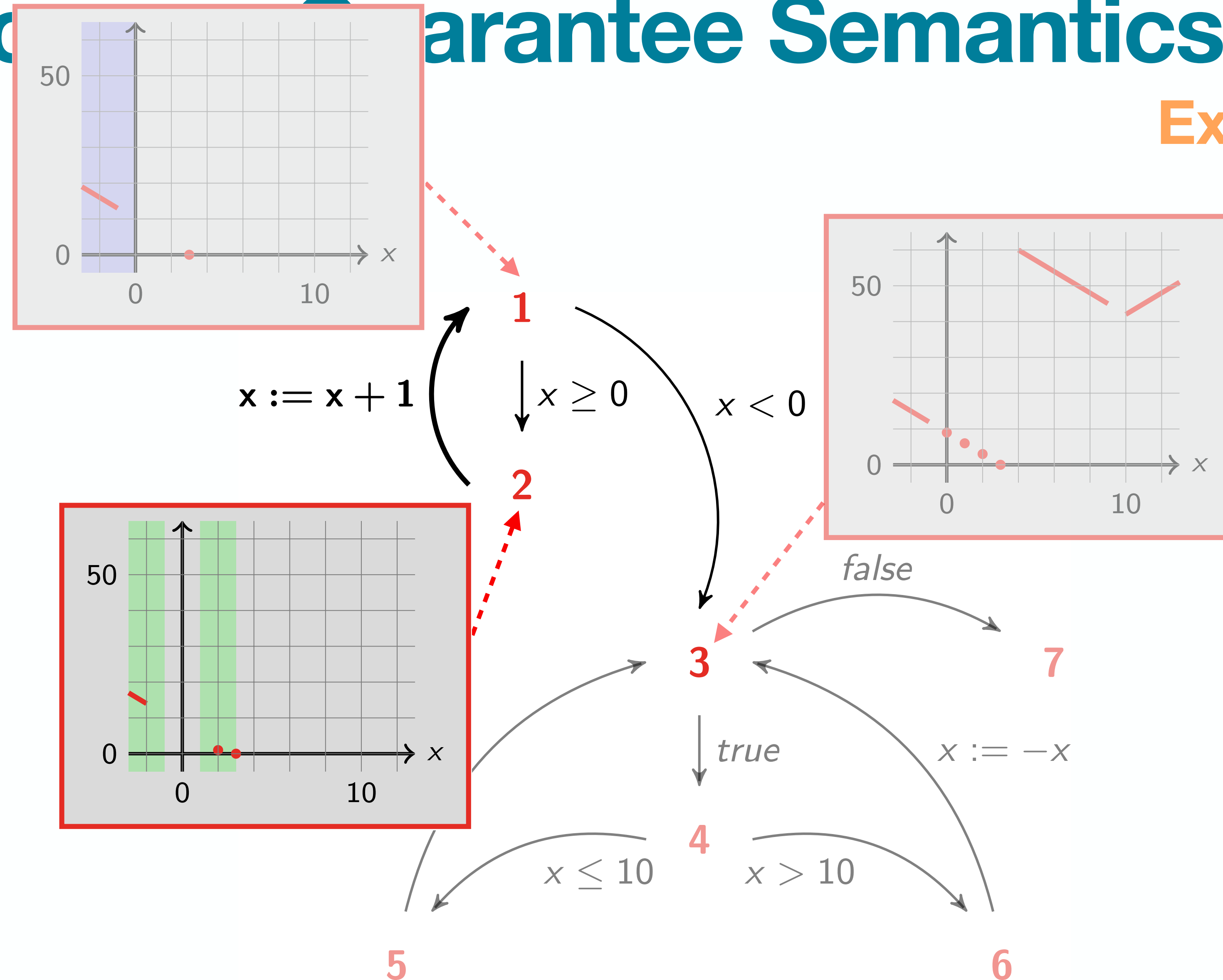
Abstract Pre- and Post-Condition Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
done
```

Property

$AF(x = 3)$



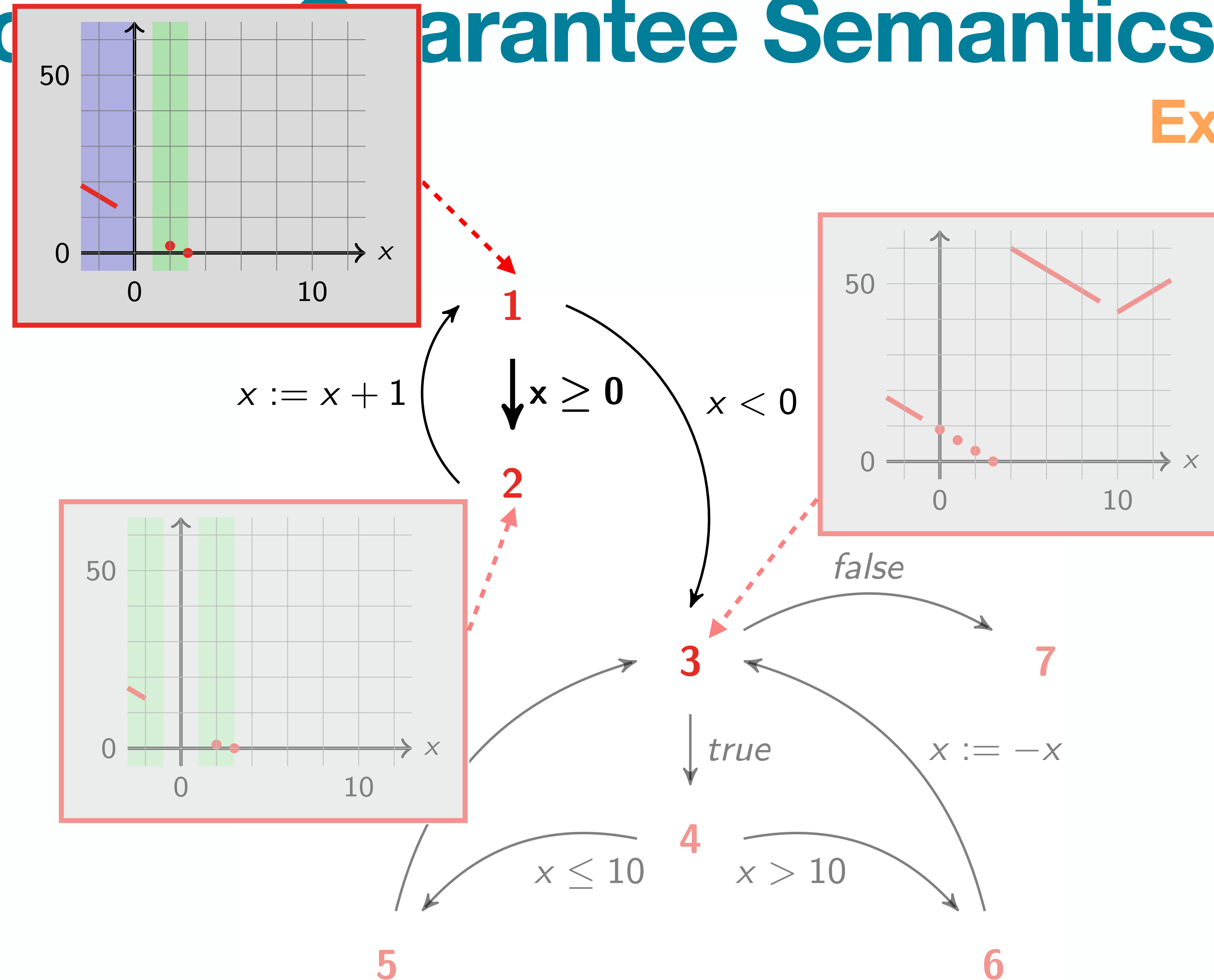
Abstract Program Guarantee Semantics

Example

```
while 1( $x \geq 0$ ) do
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done
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  else
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  done7
done
```

Property

$AF(x = 3)$



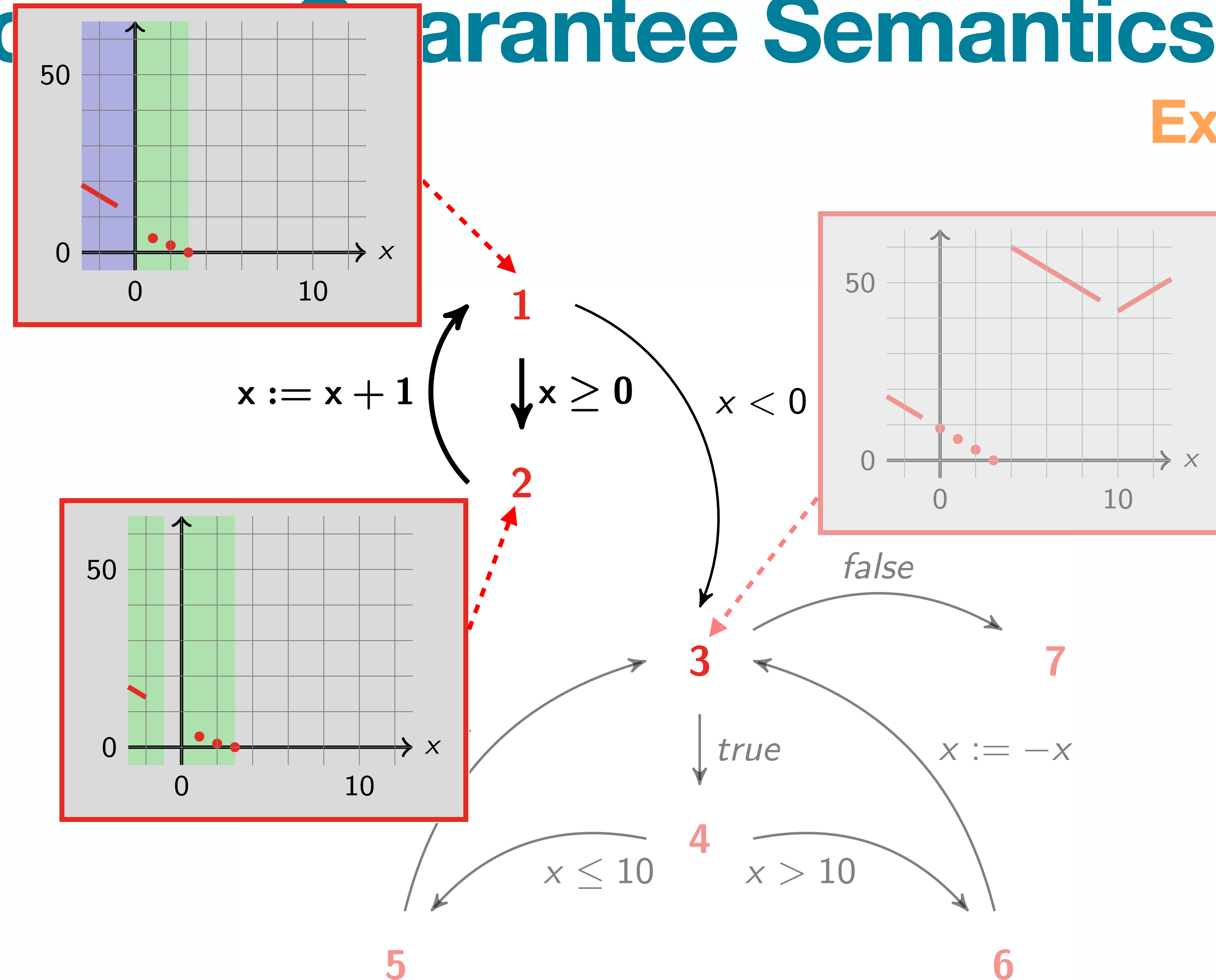
Abstract Program Guarantee Semantics

Example

```
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  else
    6 $x \leftarrow -x$ 
  done7
done
```

Property

$AF(x = 3)$



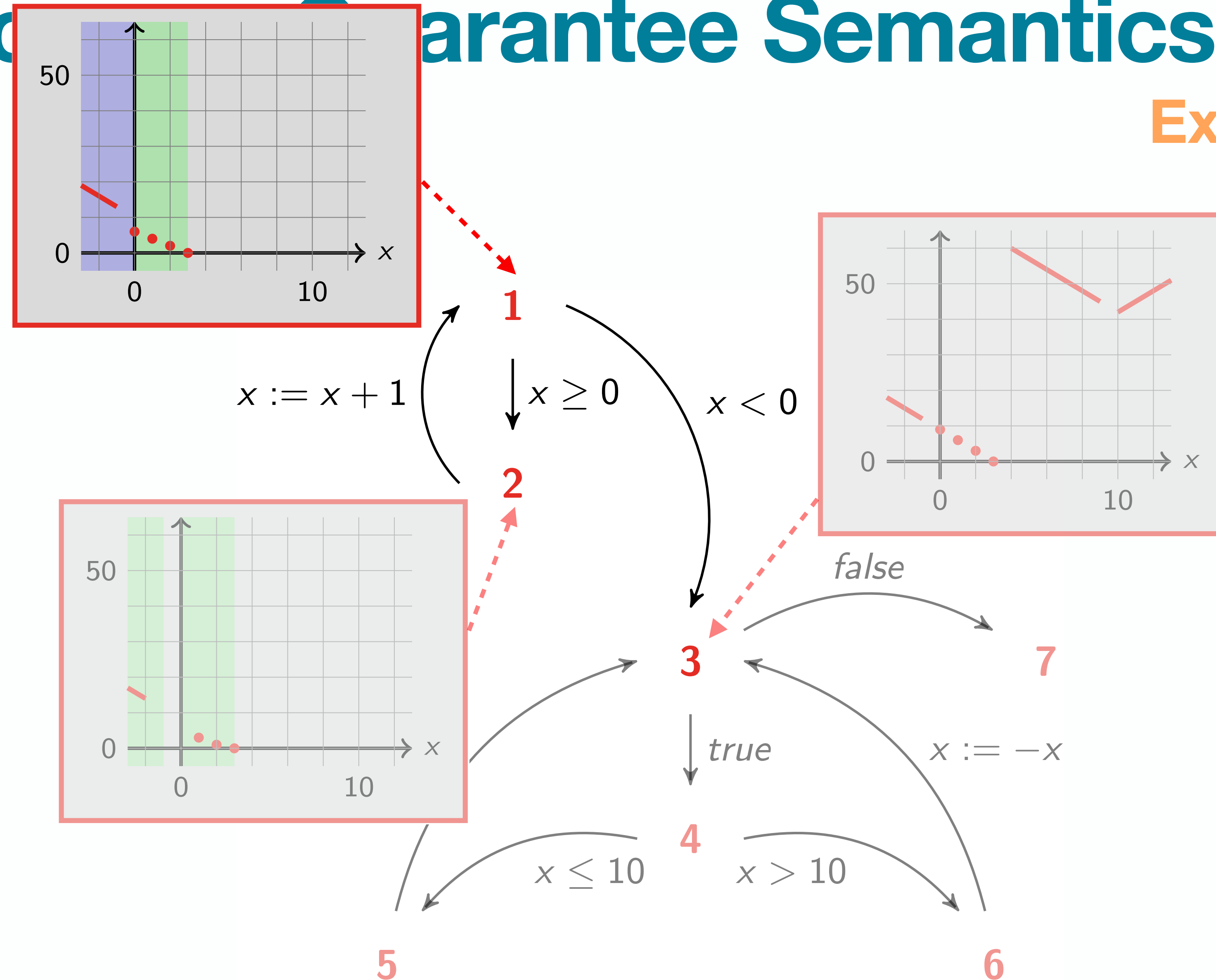
Abstract Program Guarantee Semantics

Example

```
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  done7
```

Property

$AF(x = 3)$



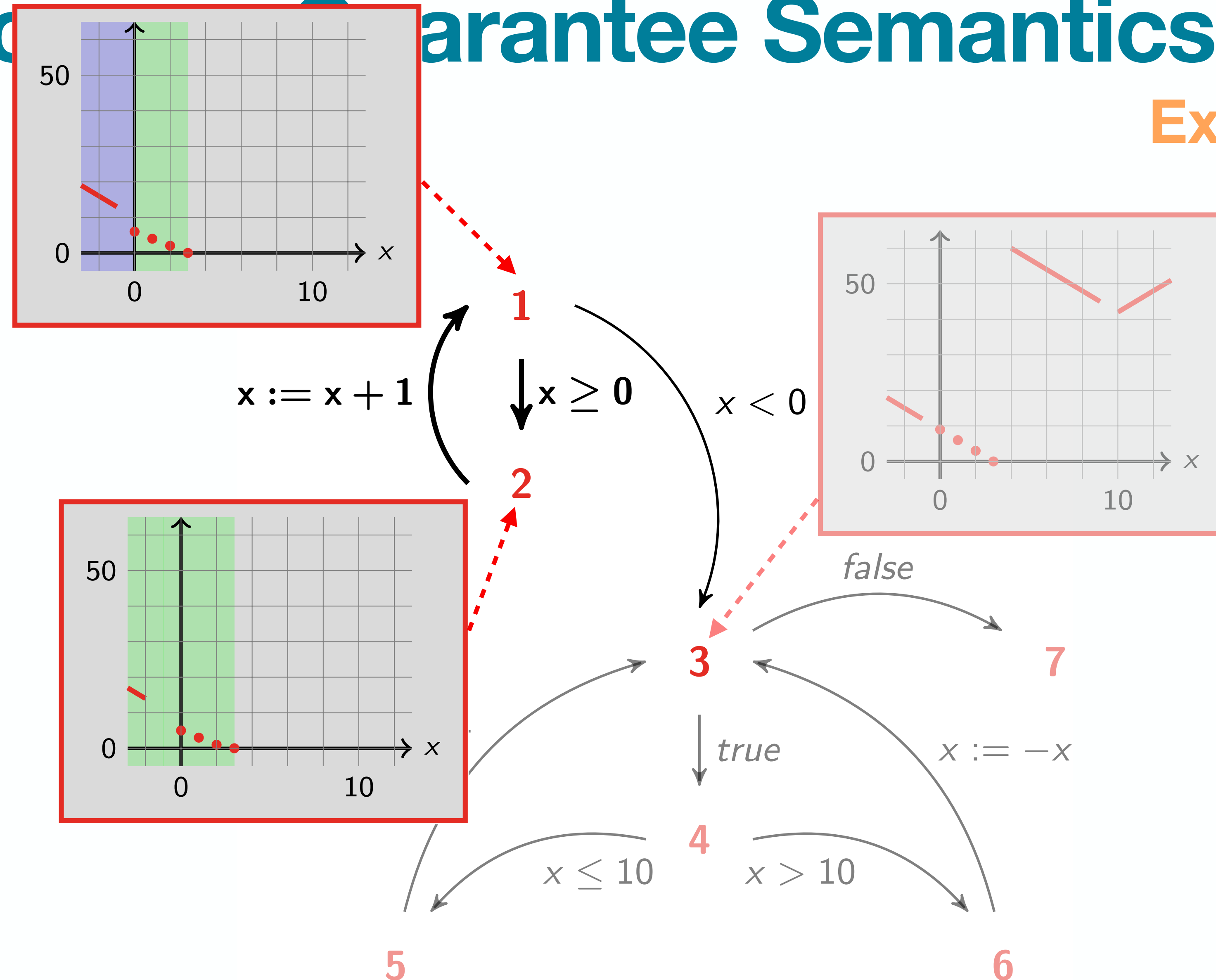
Abstract Program Guarantee Semantics

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while 3( $0 \geq 0$ ) do
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```

Property

$AF(x = 3)$

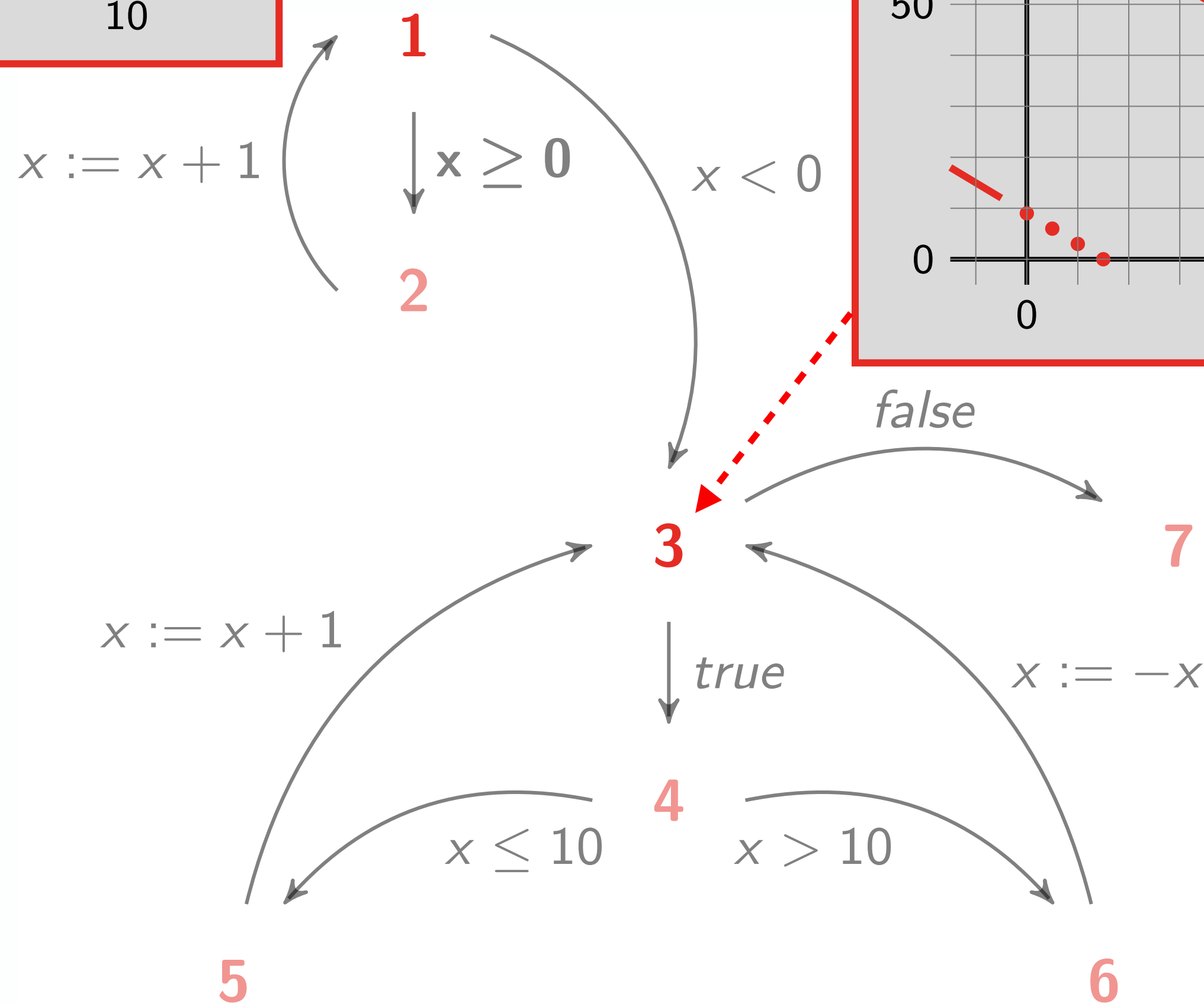
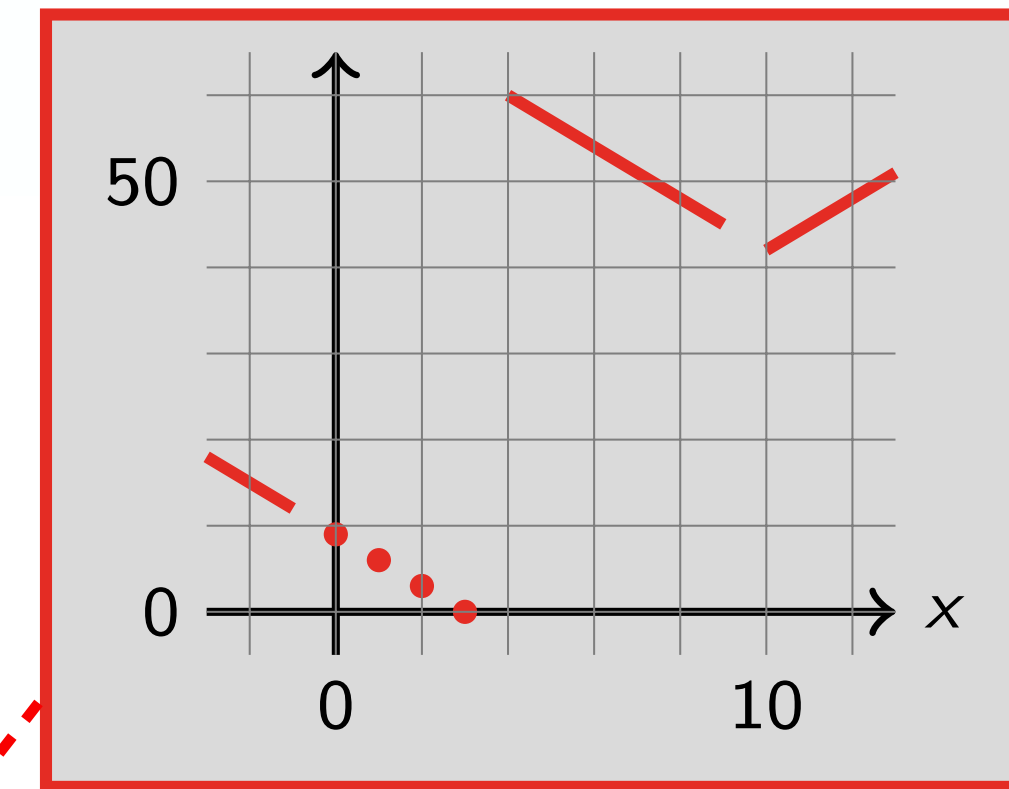
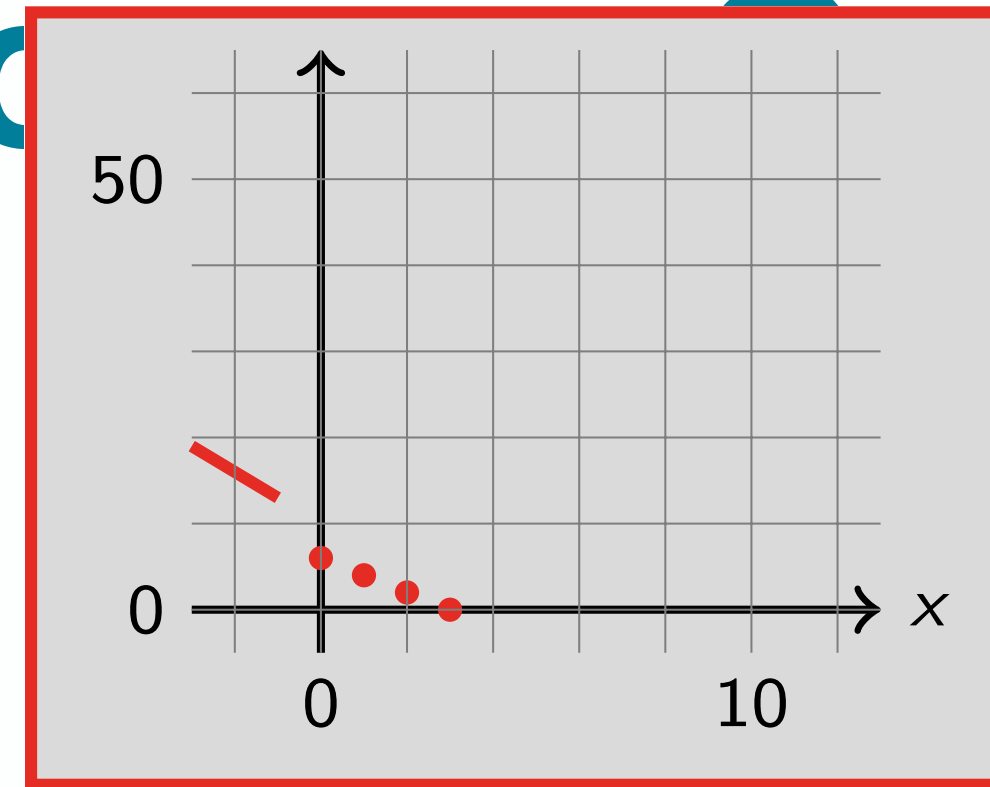


Abstract Precondition Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
done
```

the analysis gives $x \leq 3$ as
sufficient precondition



Property

$AF(x = 3)$

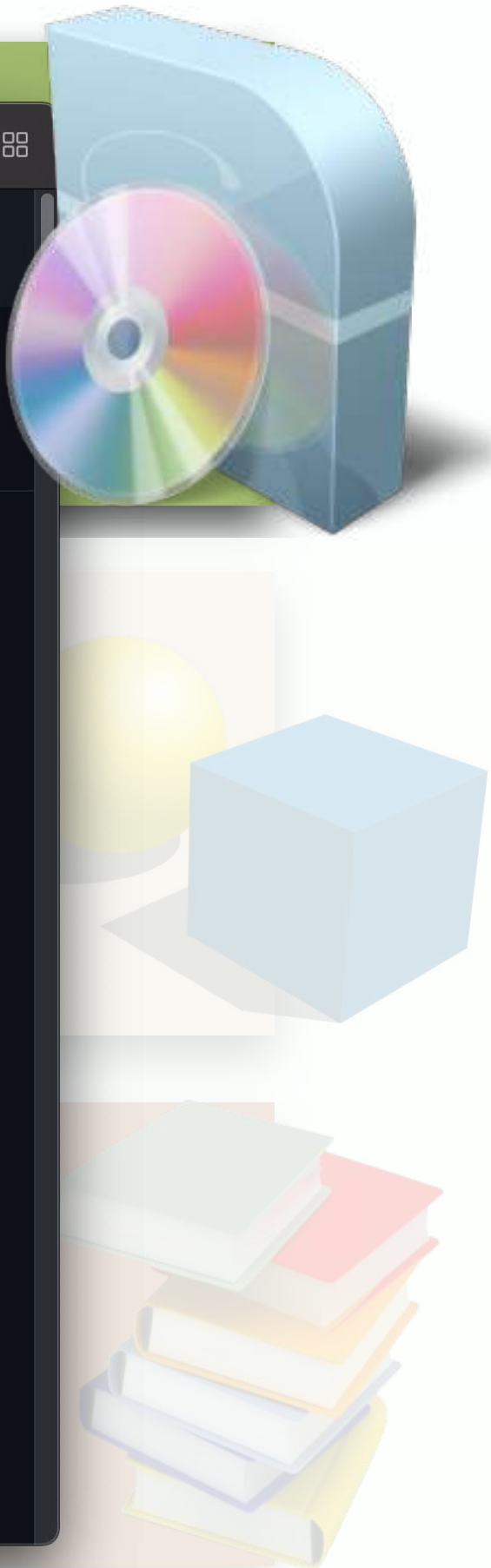
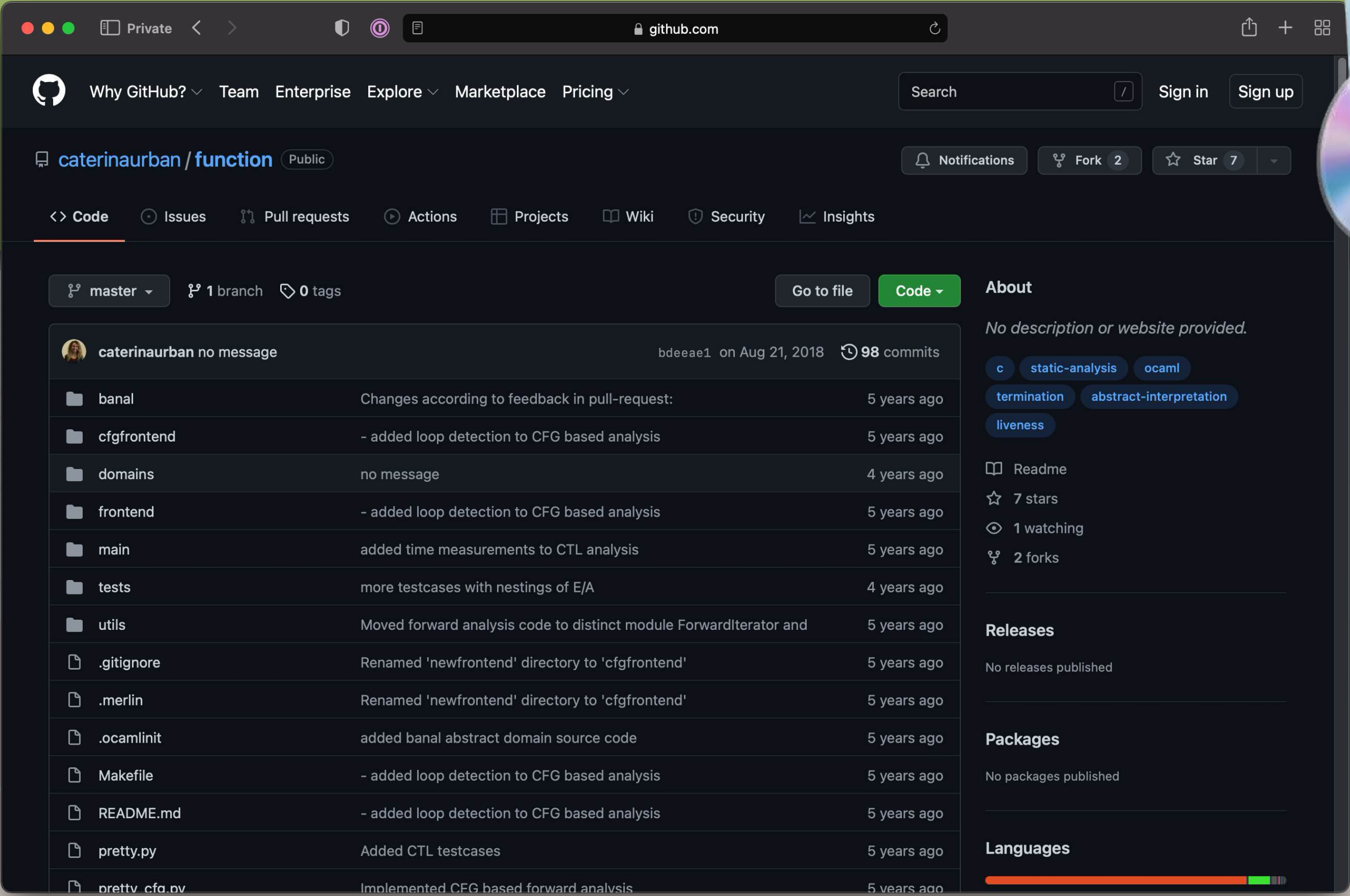
Static Guarantee Analysis

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Recurrence Properties

Recurrence Properties

“something good eventually happens infinitely often”

$AG\ AF\ \phi$

$\phi ::= e \bowtie 0 \mid \ell : e \bowtie 0 \mid \phi \wedge \phi \mid \phi \vee \phi \qquad \ell \in \mathcal{L}$

Example:

```
1  $x \leftarrow [-\infty, +\infty]$ 
while 2  $(x \geq 0)$  do
  3  $x \leftarrow x + 1$ 
done4
while 5  $(0 \geq 0)$  do
  if 6  $(x \leq 10)$  do
    7  $x \leftarrow x + 1$ 
  else
    8  $x \leftarrow -x$ 
done9
```

$AG\ AF\ (x = 3)$ is satisfied for $I \stackrel{\text{def}}{=} \{(1, \rho) \in \Sigma \mid \rho(x) < 0\}$

Static Recurrence Analysis

3-Step Recipe

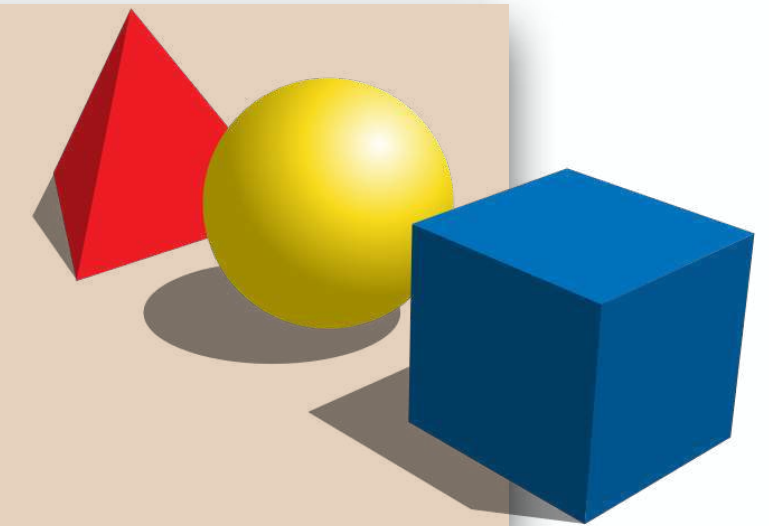
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Static Recurrence Analysis

Program Recurrence Semantics

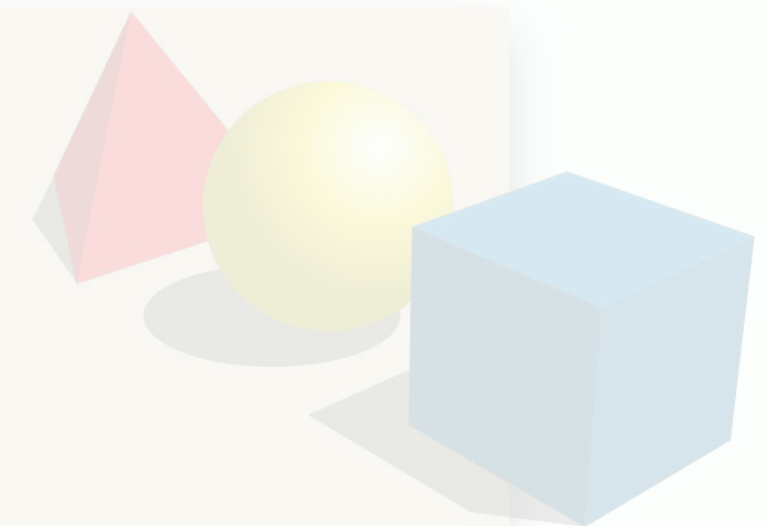
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Recurrence Program Semantics

Guarantee Semantics

$$\mathcal{R}_G^\varphi \stackrel{\text{def}}{=} \text{lfp}^{\preceq} \bar{F}_G [\{\sigma \in \Sigma \mid \sigma \models \varphi\}]$$

$$\bar{F}_G[S]f \stackrel{\text{def}}{=} \lambda\sigma. \begin{cases} 0 & \sigma \in S \\ \sup\{f(\sigma') + 1 \mid (\sigma, \sigma') \in \tau\} & \sigma \notin S \wedge \sigma \in \tilde{\text{pre}}_\tau(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

$$\mathcal{R}_R^\varphi \stackrel{\text{def}}{=} \text{gfp}_{\mathcal{R}_G^\varphi}^{\preceq} \bar{F}_R$$

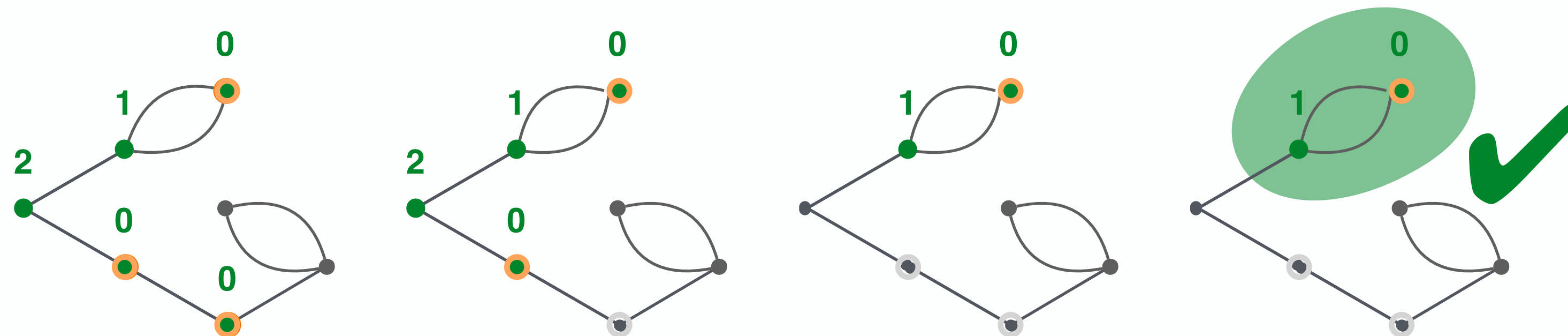

build upon the semantics of sub-formulas

$$\bar{F}_R(f)\sigma \stackrel{\text{def}}{=} \begin{cases} f(\sigma) & \sigma \in \text{dom}(f) \cap \tilde{\text{pre}}_\tau(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

Recurrence Program Semantics

$$\mathcal{R}_R^\varphi \stackrel{\text{def}}{=} \text{gfp}_{\mathcal{R}_G^\varphi} \preceq \bar{F}_R$$

$$\bar{F}_R(f)\sigma \stackrel{\text{def}}{=} \begin{cases} f(\sigma) & \sigma \in \text{dom}(f) \cap \text{pre}_\tau(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$



Theorem (Soundness and Completeness)

A program satisfies a **recurrence property** $\text{AG AF } \varphi$ starting from a set of initial states I if and only if $I \subseteq \text{dom}(\mathcal{R}_F^\varphi)$

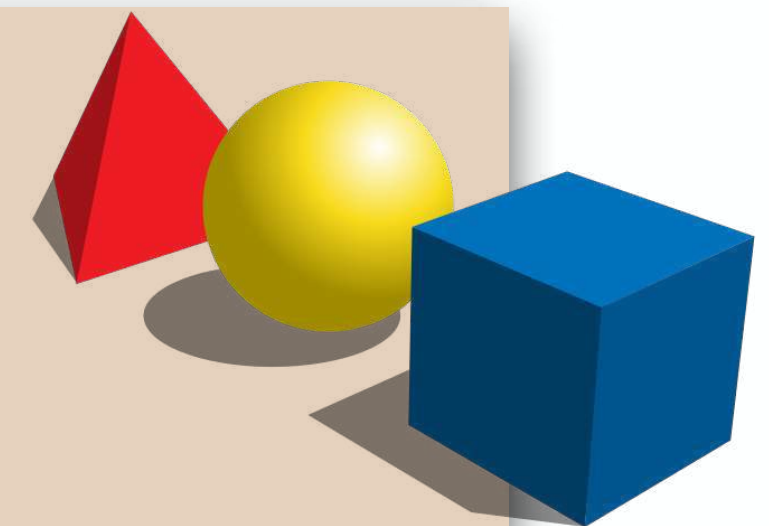
Static Recurrence Analysis

Abstract Program Recurrence Semantics

practical tools
targeting specific programs



abstract semantics, abstract domains
algorithmic approaches to decide program properties



concrete semantics
mathematical models of the program behavior



Abstract Program Recurrence Semantics

Piecewise-Defined Ranking Functions Abstract Domain

For each program instruction stmt , we define a transformer $\mathcal{R}_R^{\varphi\#}[\![\text{stmt}]\!]: \mathcal{A} \rightarrow \mathcal{A}$:

- $\mathcal{R}_R^{\varphi\#}[\![X \leftarrow e]\!]t \stackrel{\text{def}}{=} \text{RESET}_A^R[\![\varphi]\!](\overleftarrow{\text{ASSIGN}}_A[\![X \leftarrow e]\!]t)$
- $\mathcal{R}_R^{\varphi\#}[\![\text{if } e \bowtie 0 \text{ then } s \text{ end}]\!]t \stackrel{\text{def}}{=} \text{RESET}_A^R[\![\varphi]\!](\text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_G^{\varphi\#}[\![s]\!]t) \vee_T \text{FILTER}_A[\![e \nbowtie 0]\!]t)$
- $\mathcal{R}_G^{\varphi\#}[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]t \stackrel{\text{def}}{=} \text{gpf}_{G(t)}^{\#} \overline{F}_R^{\varphi\#}$
where $G \stackrel{\text{def}}{=} \mathcal{R}_G^{\varphi\#}[\![\text{while } e \bowtie 0 \text{ do } s \text{ done}]\!]$
 $\overline{F}_R^{\varphi\#}(x) \stackrel{\text{def}}{=} \text{RESET}_A^R[\![\varphi]\!](\text{FILTER}_A[\![e \bowtie 0]\!](\mathcal{R}_G^{\varphi\#}[\![s]\!]x) \vee_T \text{FILTER}_A[\![e \nbowtie 0]\!](t))$
- $\mathcal{R}_G^{\varphi\#}[\![s_1; s_2]\!]t \stackrel{\text{def}}{=} \mathcal{R}_G^{\varphi\#}[\![s_1]\!](\mathcal{R}_G^{\varphi\#}[\![s_2]\!]t)$

Dual Widening

Definition

Let $\langle \mathcal{D}, \sqsubseteq \rangle$ be a poset. A **dual widening** $\bar{\nabla}: \mathcal{D} \times \mathcal{D} \rightarrow \mathcal{D}$ is such that:

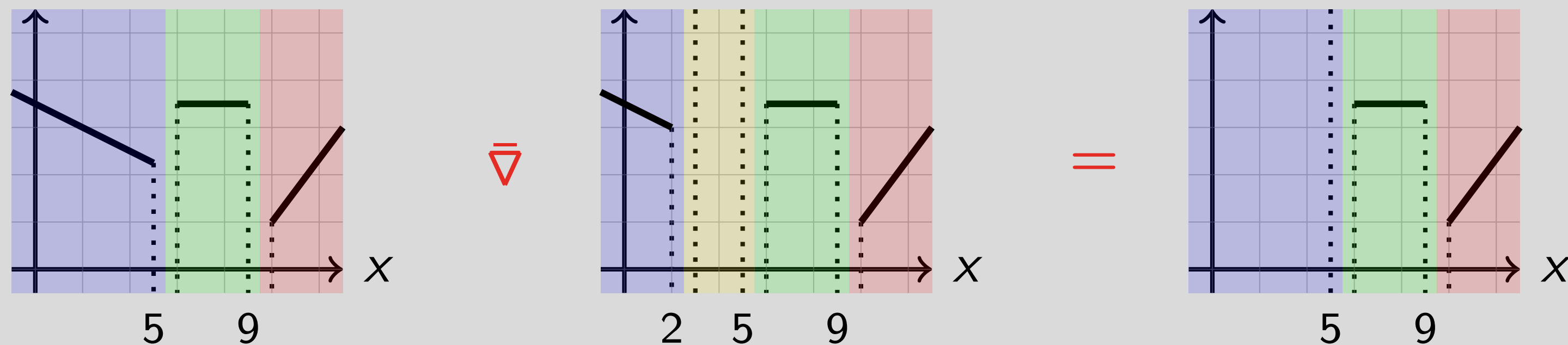
(i) for all elements $x, y \in \mathcal{D}$ we have $x \sqsubseteq x \bar{\nabla} y$ and $y \sqsubseteq x \bar{\nabla} y$

(ii) for all decreasing chains $x_0 \sqsupseteq x_1 \sqsupseteq \dots \sqsupseteq x_n \sqsupseteq \dots$ the chain

$$y_0 \stackrel{\text{def}}{=} x_0 \quad y_{n+1} \stackrel{\text{def}}{=} y_n \bar{\nabla} x_{n+1}$$

is ultimately stationary

Example



Abstract Program Recurrence Semantics

Piecewise-Defined Ranking Functions Abstract Domain

Definition

The **abstract recurrence semantics** $\mathcal{R}_R^{\varphi\#}[[s^\ell]] \in \mathcal{A}$ of a program s^ℓ is:

$$\mathcal{R}_R^{\varphi\#}[[s^\ell]] \stackrel{\text{def}}{=} \mathcal{R}_R^{\varphi\#}[[s]](\text{LEAF: } \perp_F)$$

where $\mathcal{R}_R^{\varphi\#}[[s]]: \mathcal{A} \rightarrow \mathcal{A}$ is the abstract recurrence semantics of each program instruction s

Theorem (Soundness)

$$\mathcal{R}_R[[s^\ell]] \preceq \gamma_A(\mathcal{R}_R^{\varphi\#}[[s^\ell]])$$

Corollary (Soundness)

A program s^ℓ satisfies a **recurrence property** $\text{AG AF } \varphi$ starting from a set of initial states I if $I \subseteq \text{dom}(\gamma_A(\mathcal{R}_R^{\varphi\#}[[s^\ell]]))$

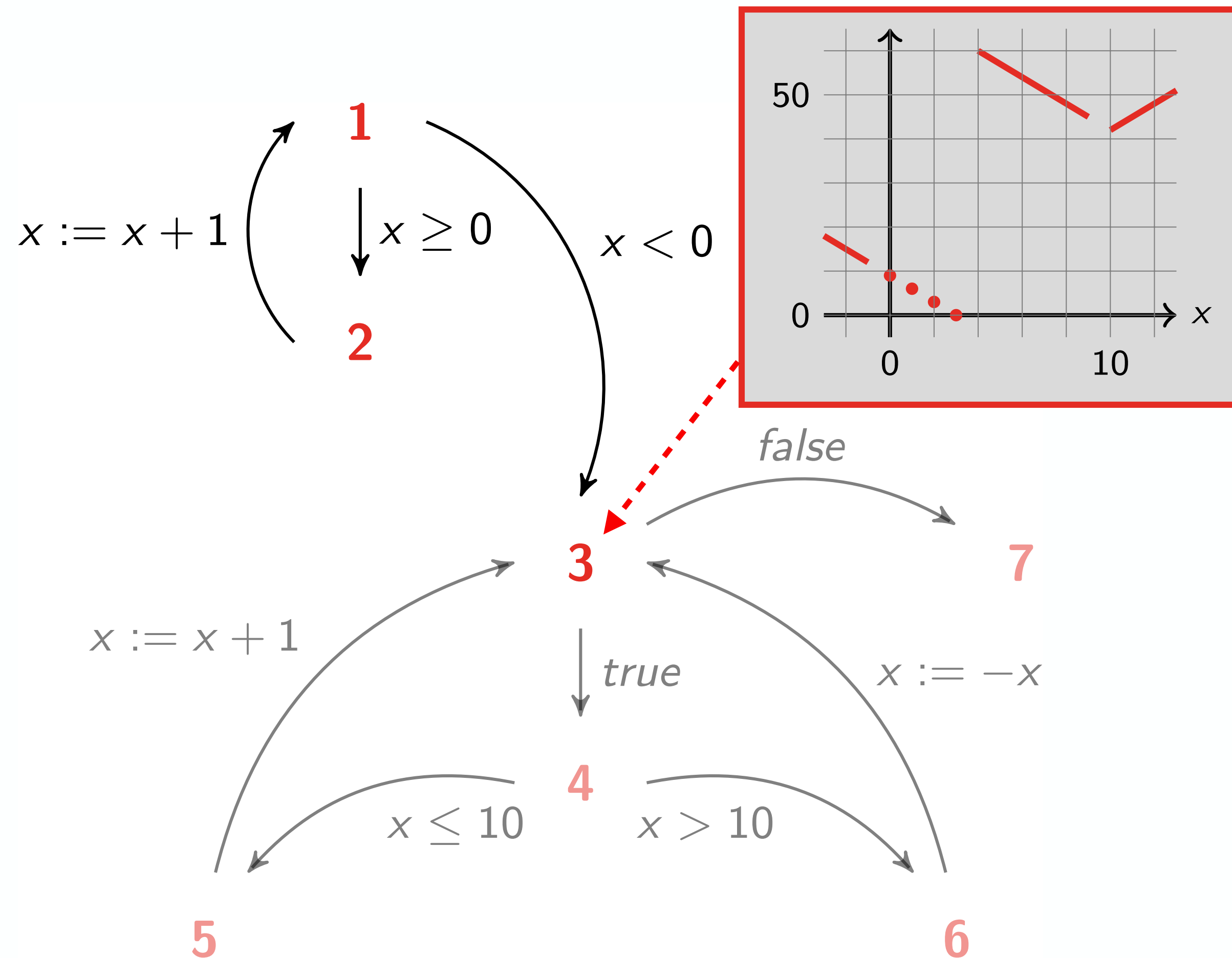
Abstract Program Recurrence Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
```

Property

$\text{AG AF } (x = 3)$



Abstract Probability Semantics

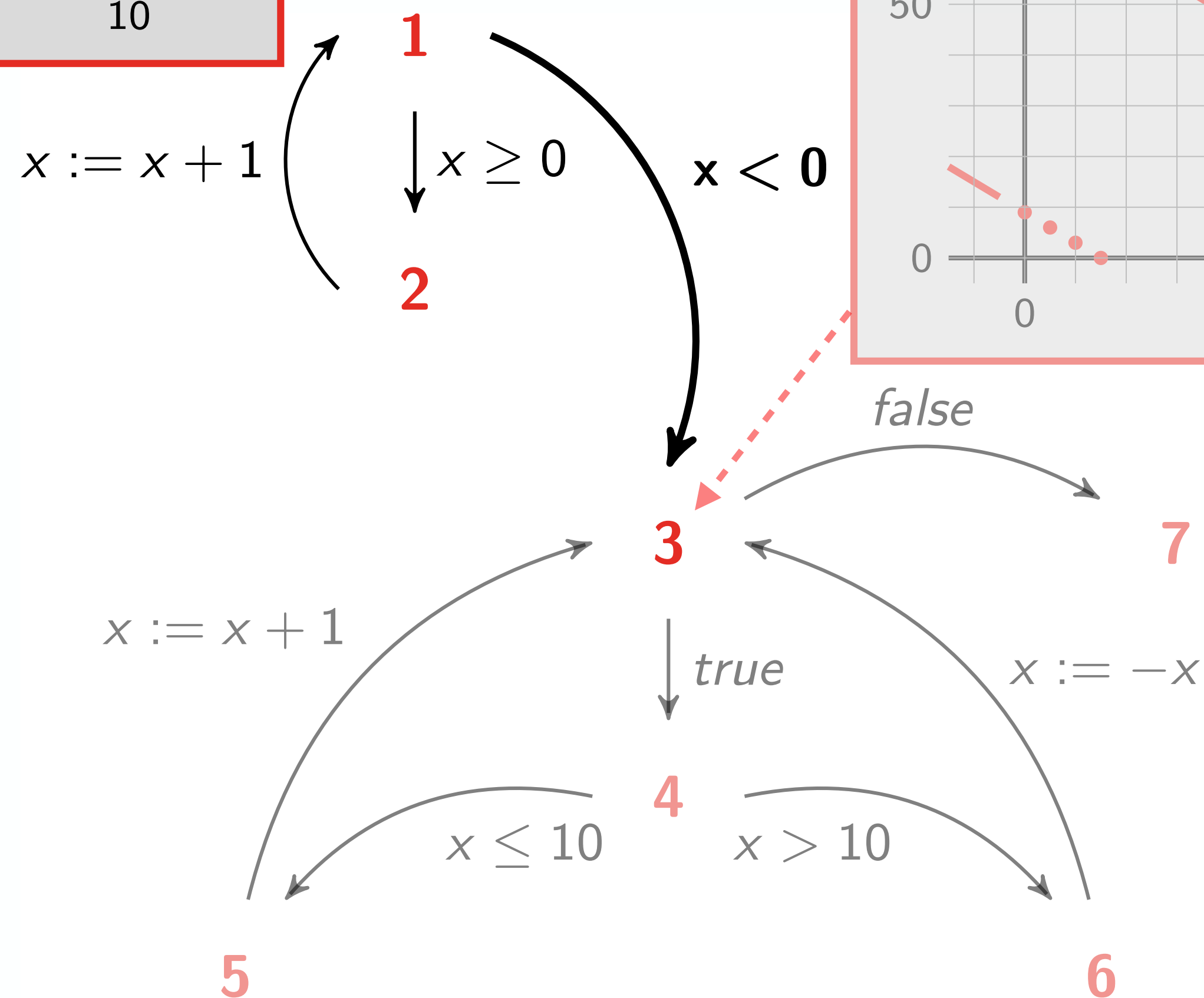
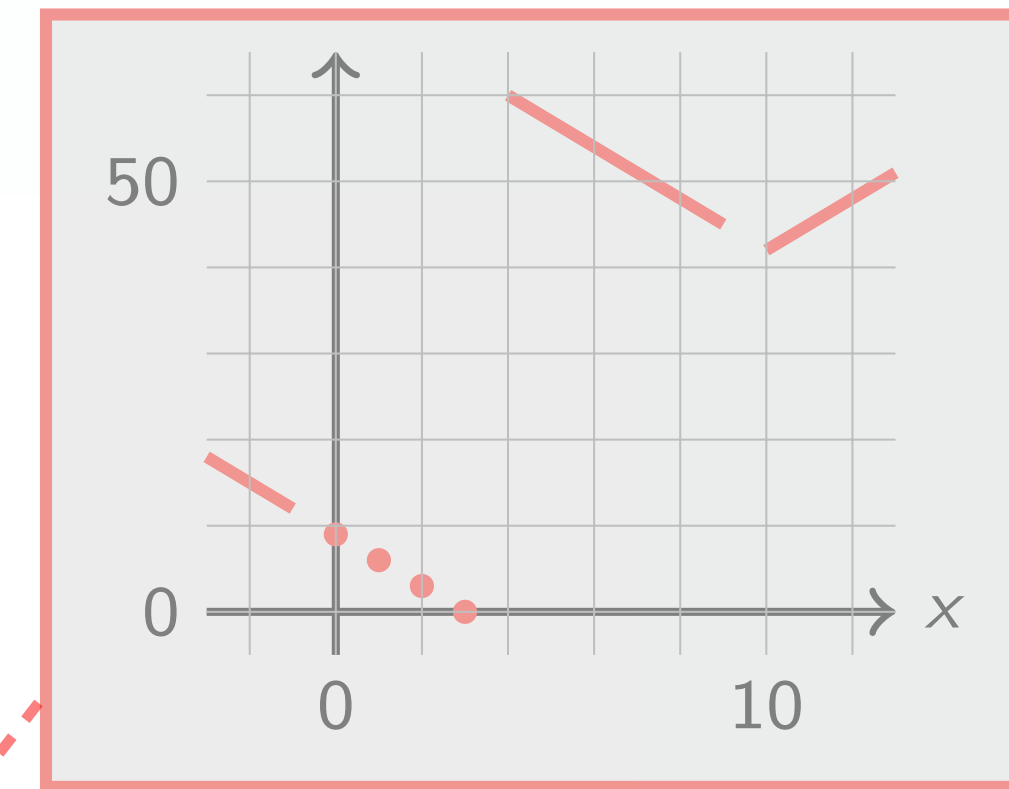
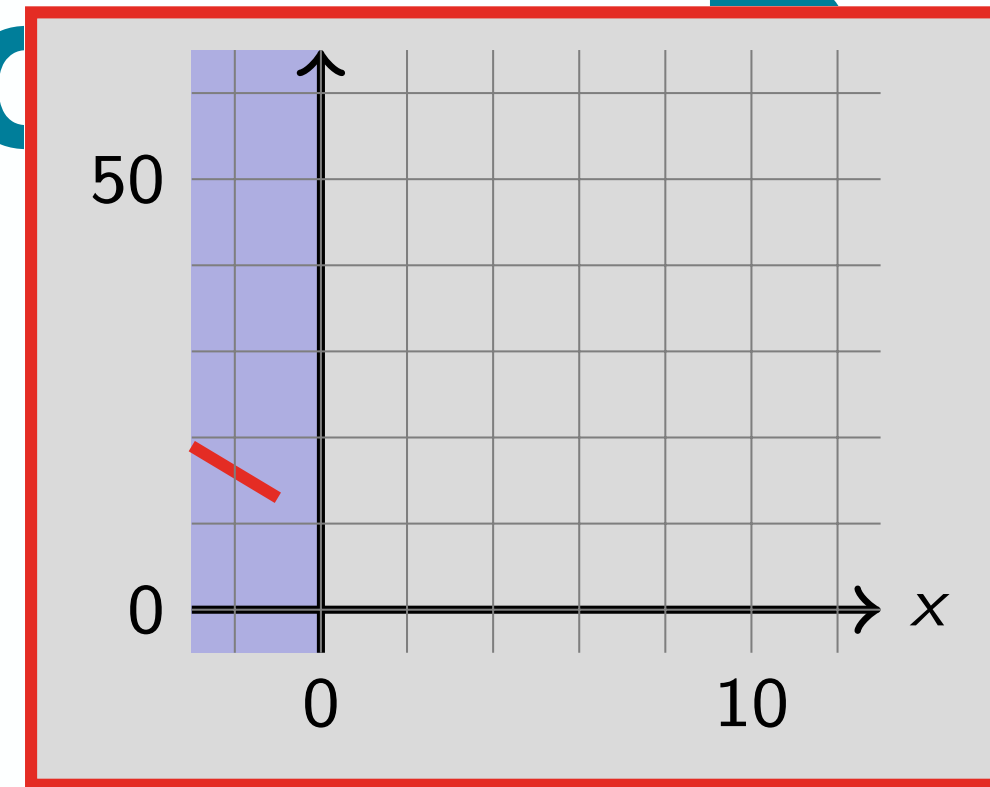
Example

```

while 1(x ≥ 0) do
    2x ← x + 1
done
while 3(0 ≥ 0) do
    if 4(x ≤ 10) do
        5x ← x + 1
    else
        6x ← -x
    done7
done

```

Property

$$\text{AG AF } (x = 3)$$


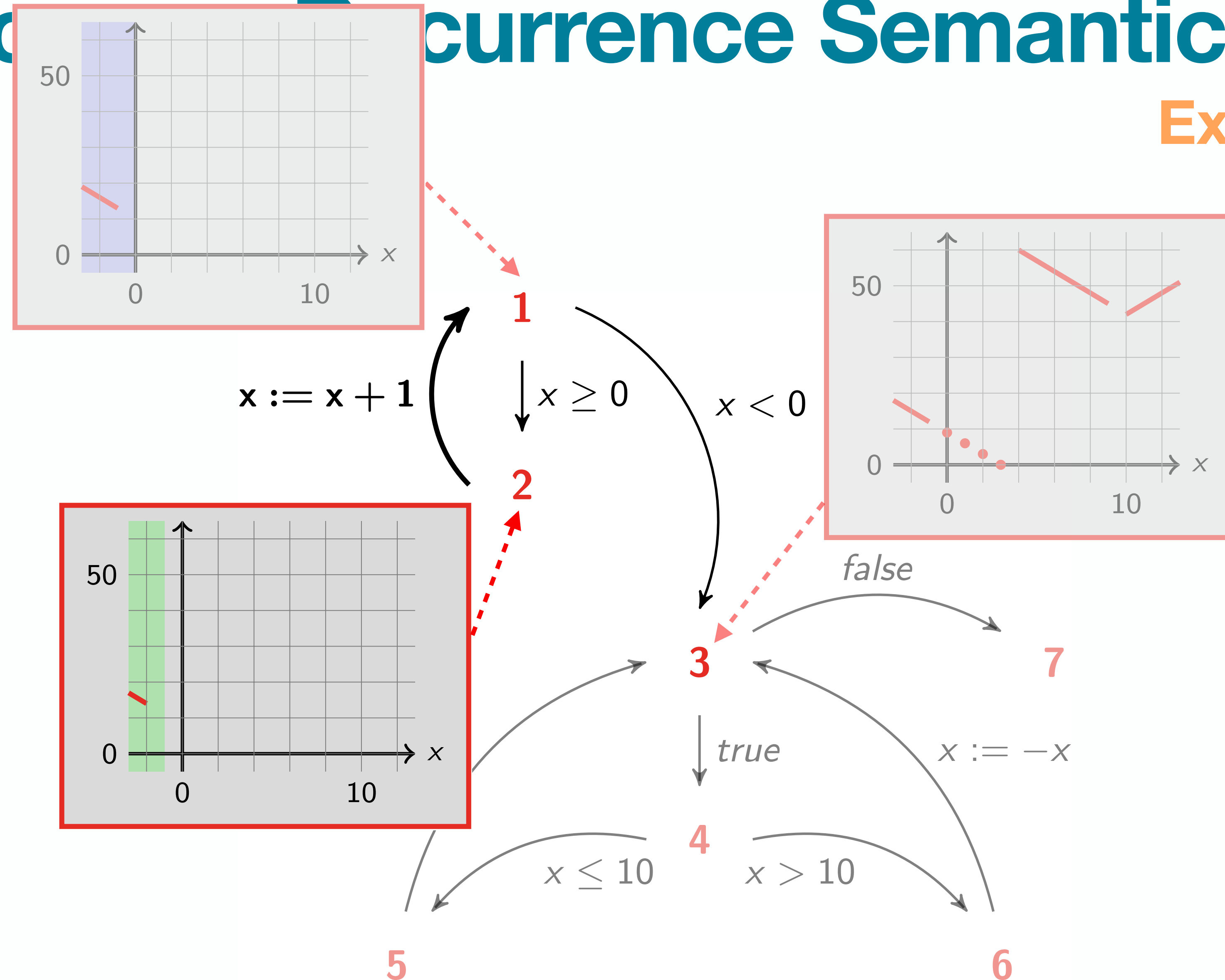
Abstract Predicate Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
done
```

Property

$\text{AG AF } (x = 3)$



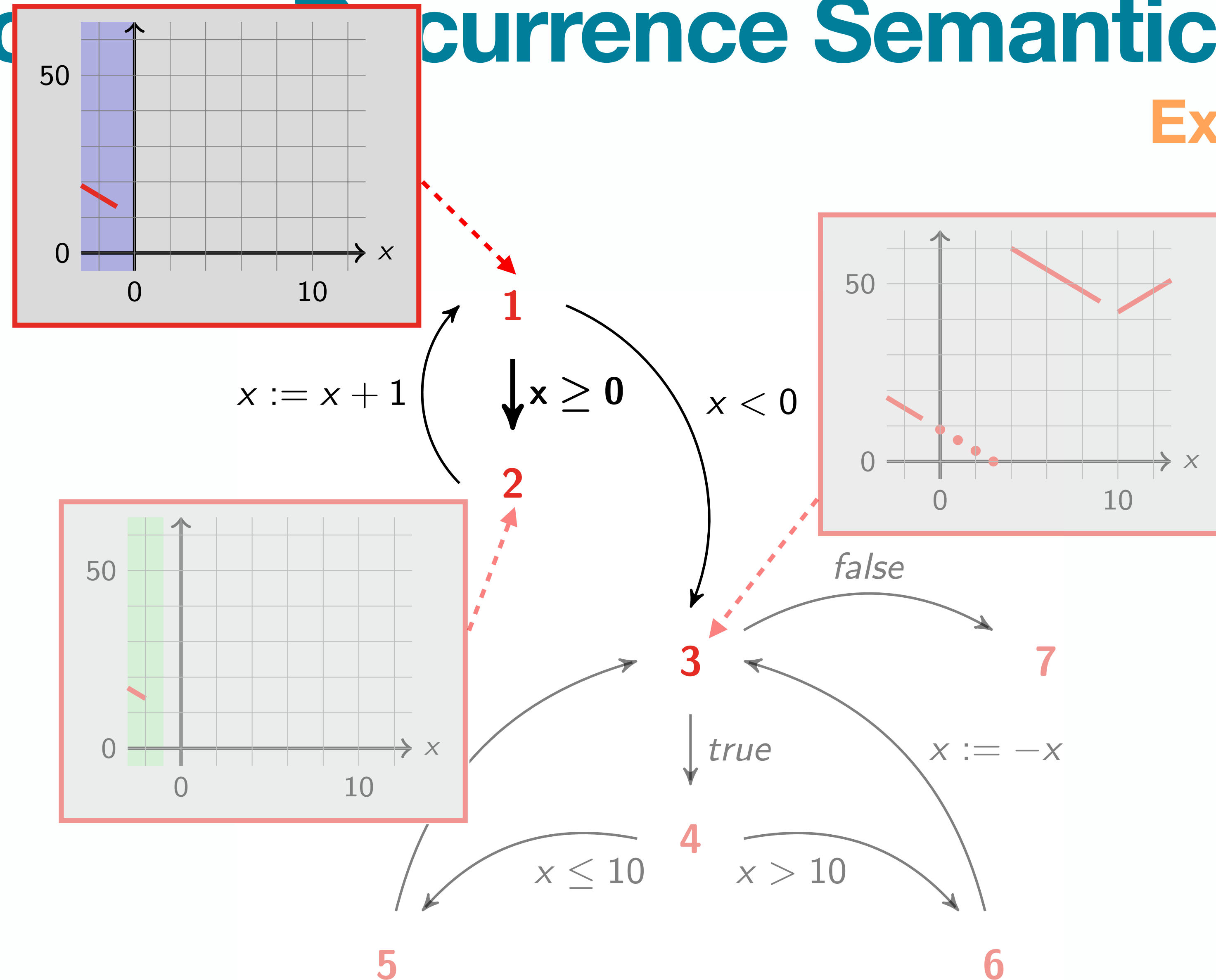
Abstract Pre- and Postcondition Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
done
```

Property

$\text{AG AF } (x = 3)$



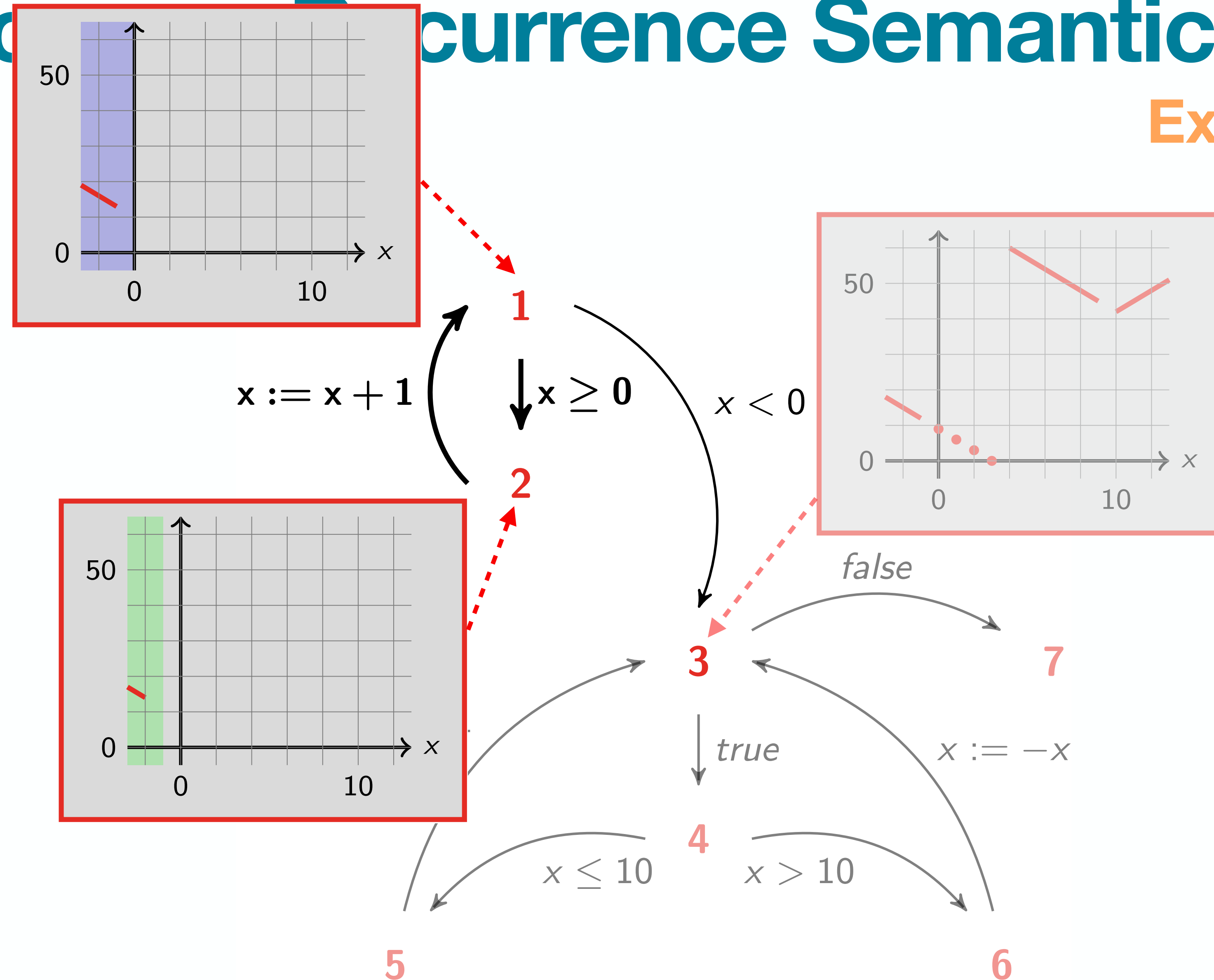
Abstract Precondition Semantics

Example

```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
done
```

Property

$\text{AG AF } (x = 3)$



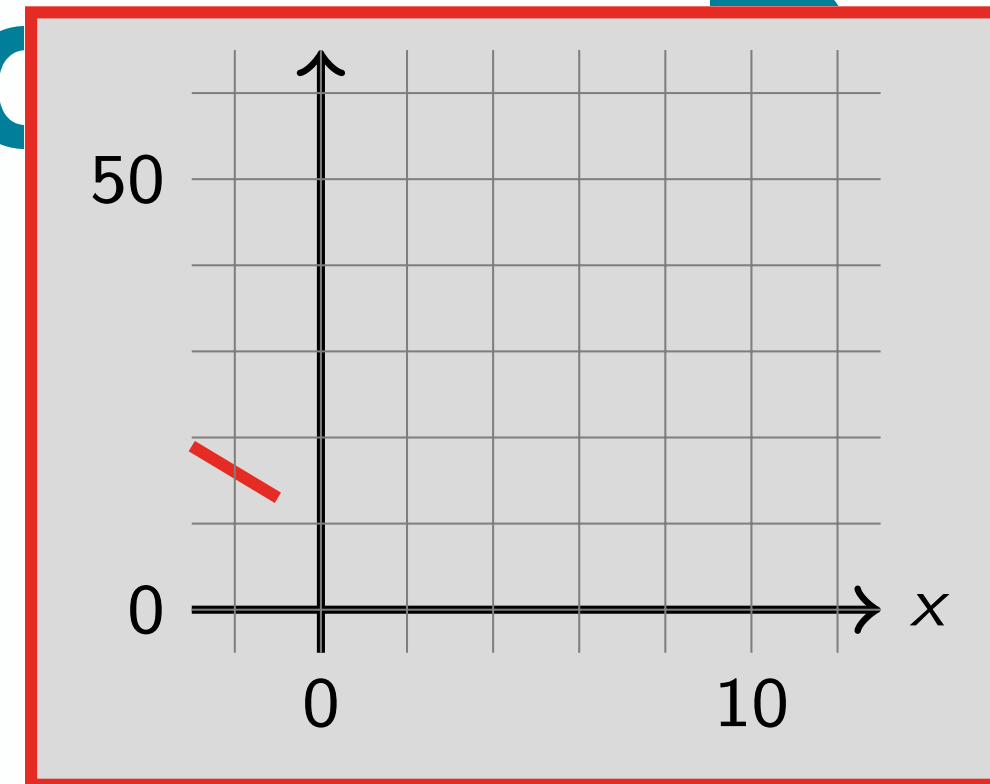
Abstract Precondition Semantics

Example

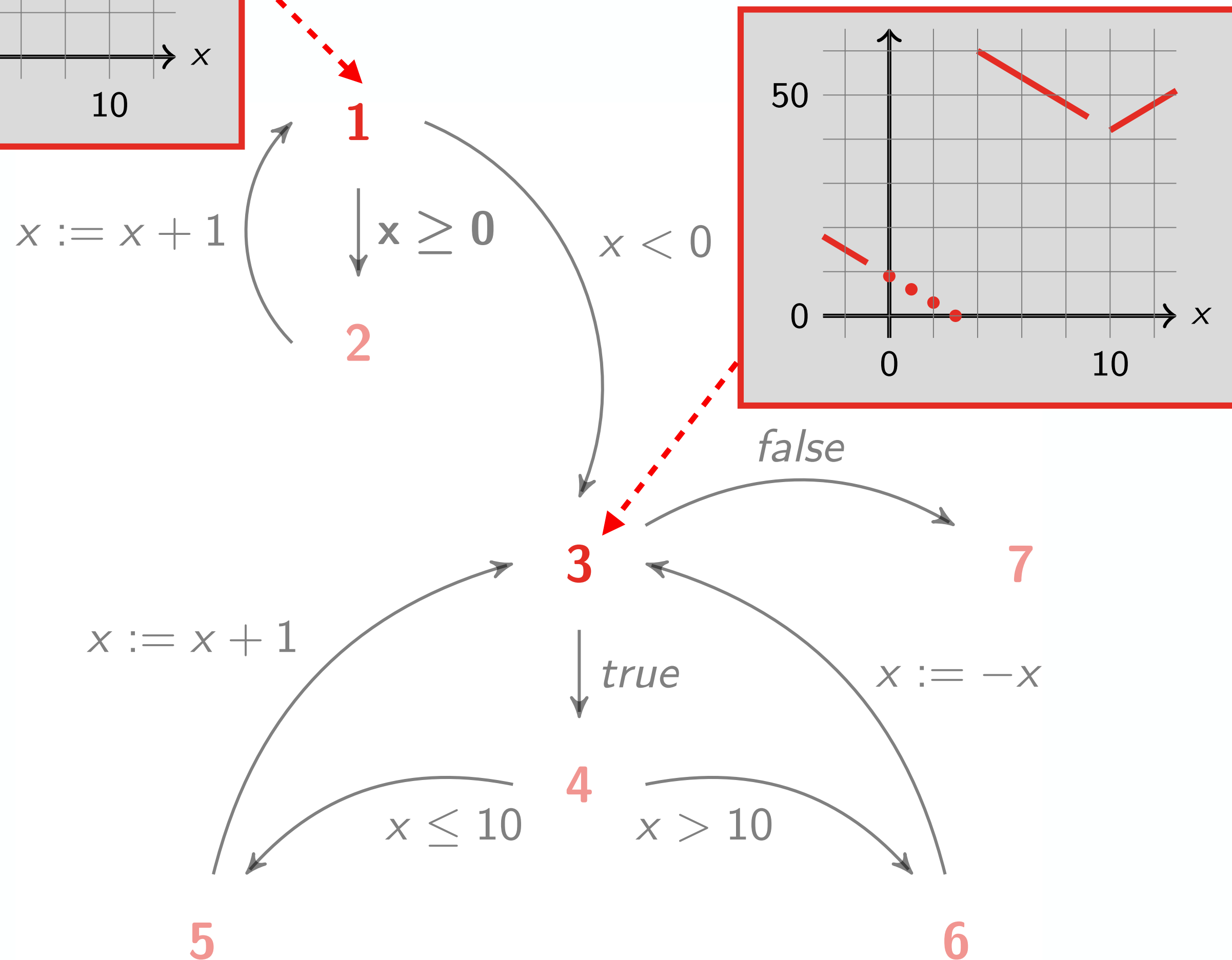
```
while 1( $x \geq 0$ ) do
  2 $x \leftarrow x + 1$ 
done
while 3( $0 \geq 0$ ) do
  if 4( $x \leq 10$ ) do
    5 $x \leftarrow x + 1$ 
  else
    6 $x \leftarrow -x$ 
  done7
done
```

Property

$\text{AG AF } (x = 3)$



the analysis gives $x < 0$ as
sufficient precondition



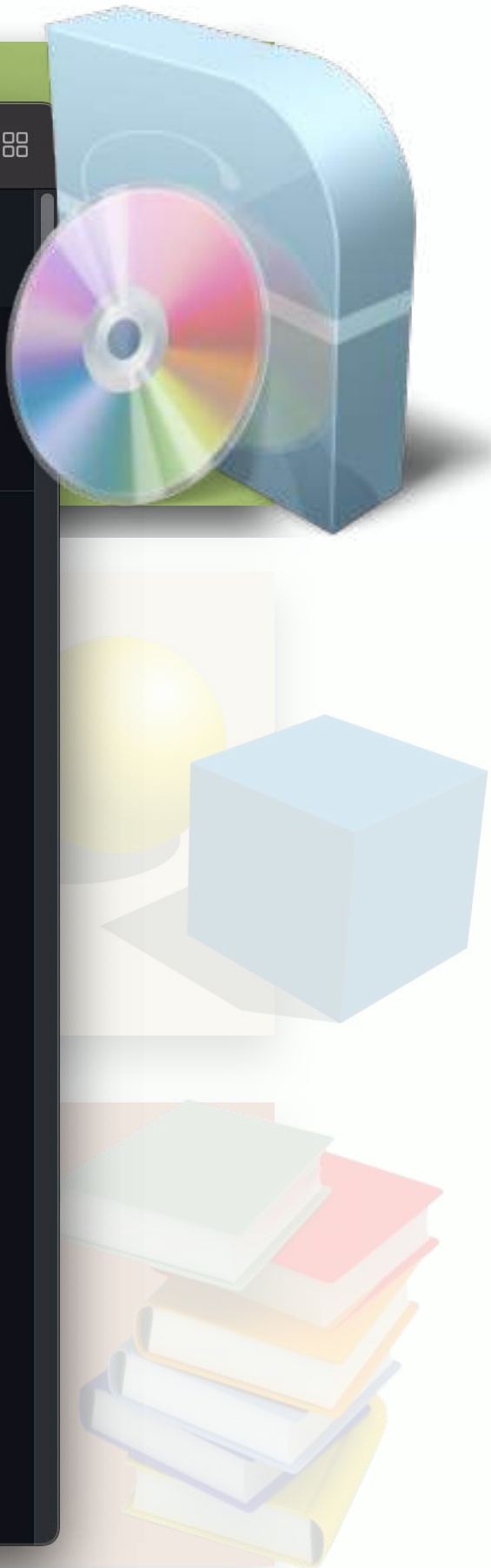
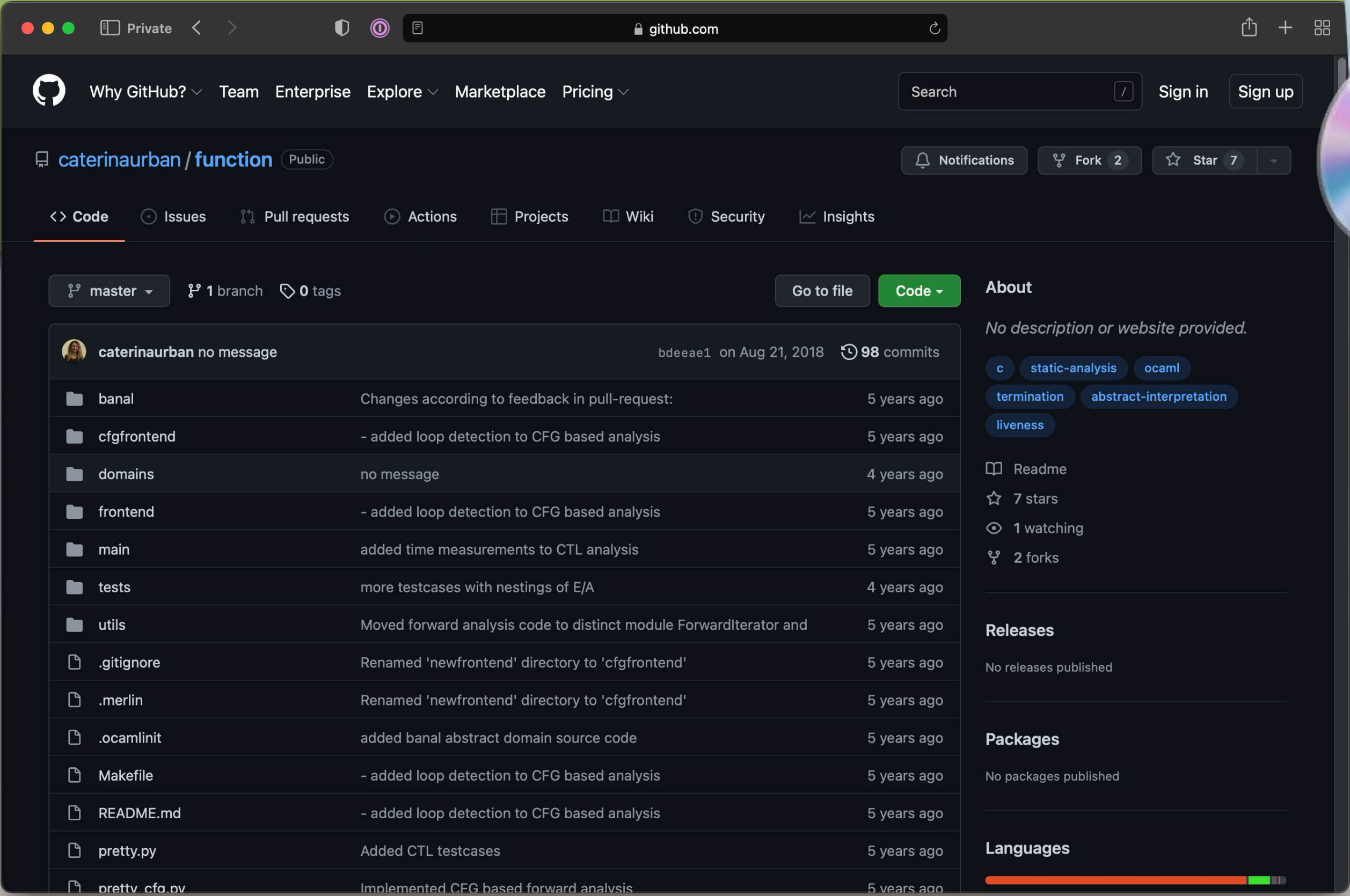
Static Recurrence Analysis

FuncTion

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abstract semantics, abstract c
algorithmic approaches to dec

concrete semantics
mathematical models of the pr



CTL Properties

Reading Suggestion

Abstract Interpretation of CTL Properties

Caterina Urban, Samuel Ueltschi, and Peter Müller

Department of Computer Science
ETH Zurich, Switzerland



Abstract. CTL is a temporal logic commonly used to express program properties. Most of the existing approaches for proving CTL properties only support certain classes of programs, limit their scope to a subset of CTL, or do not directly support certain existential CTL formulas. This paper presents an abstract interpretation framework for proving CTL properties that does not suffer from these limitations. Our approach automatically infers sufficient preconditions, and thus provides useful information even when a program satisfies a property only for some inputs. We systematically derive a program semantics that precisely captures CTL properties by abstraction of the operational trace semantics of a program. We then leverage existing abstract domains based on piecewise-defined functions to derive decidable abstractions that are suitable for static program analysis. To handle existential CTL properties, we augment these abstract domains with under-approximating operators. We implemented our approach in a prototype static analyzer. Our experimental evaluation demonstrates that the analysis is effective, even for CTL formulas with non-trivial nesting of universal and existential path quantifiers, and performs well on a wide variety of benchmarks.

Static Analysis of HyperLiveness Properties

The Art of Losing Precision

No Surprises, Please

What Could
Possibly Go Right?

It's Complicated

Program Properties

$$P \in \mathcal{P}(\mathcal{P}(\Sigma^\infty))$$

```
1
a ← [0, +∞]
2
b ← [0, +∞]
3
q ← 0
4
r ← a
5
while 6(r ≥ b) do
7
  r ← r - b
8
  q ← q + 1
9
done
10
```

Example

- Determinism: $P \stackrel{\text{def}}{=} \{ \{ \sigma \} \mid \sigma \in \Sigma^\infty \}$

Program Property Verification



$$\mathcal{M} \in P \Leftrightarrow \underbrace{\{ \mathcal{M} \}}_{\text{Collecting Semantics}} \subseteq P$$

Which Non-Termination Alarm is Worse?

function f(x) {

1 ...

2 $z \leftarrow 10$

3 if (...) then

 while ⁴($z \geq 0$) do

⁵ $z \leftarrow z - x$

 done⁶

else

 while ⁷($z \geq x$) do

⁸ $c \leftarrow [-2, 1]$

⁹ $z \leftarrow z + c$

 done¹⁰

end

}¹¹



← diverges when $x = 0$



← diverges when $c \geq 0$

Which Non-Termination Alarm is Worse?

Robust Non-Termination

function f(x) {

1 ...

2 $z \leftarrow 10$

3 if (...) then

while ⁴ $(z \geq 0)$ do

⁵ $z \leftarrow z - x$

done⁶

else

while ⁷ $(z \geq x)$ do

⁸ $c \leftarrow [-2, 1]$

⁹ $z \leftarrow z + c$

done¹⁰

end

}¹¹



← diverges when $x \leq 0$



← diverges when $c \geq 0$

Robust Non-Termination

$\exists$ **Input** $\forall$ **Non-Deterministic Choices** : **Program Diverges**

function $f(x)$ {demonic non-determinism

1 ...

2 $z \leftarrow 10$

3 if (...) then

 while ⁴ $(z \geq 0)$ do

⁵ $z \leftarrow z - x$

 done⁶

else

 while ⁷ $(z \geq x)$ do

⁸ $c \leftarrow [-2, 1]$

⁹ $z \leftarrow z + c$

 done¹⁰

end

}¹¹



← diverges when $x \leq 0$

Termination Resilience

$\forall$ Inputs $\exists$ Non-Deterministic Choice : Program Terminates

function f(x) {

1 ...

2 $z \leftarrow 10$

3 if (...) then

while $z \geq 0$ do

5 $z \leftarrow z - x$

done⁶

else

while $z \geq x$ do

8 $c \leftarrow [-2, 1]$  terminates when $c < 0$, independently of the value of x

9 $z \leftarrow z + c$

done¹⁰

end

}¹¹

angelic non-determinism

Static Termination Resilience Analysis

3-Step Recipe

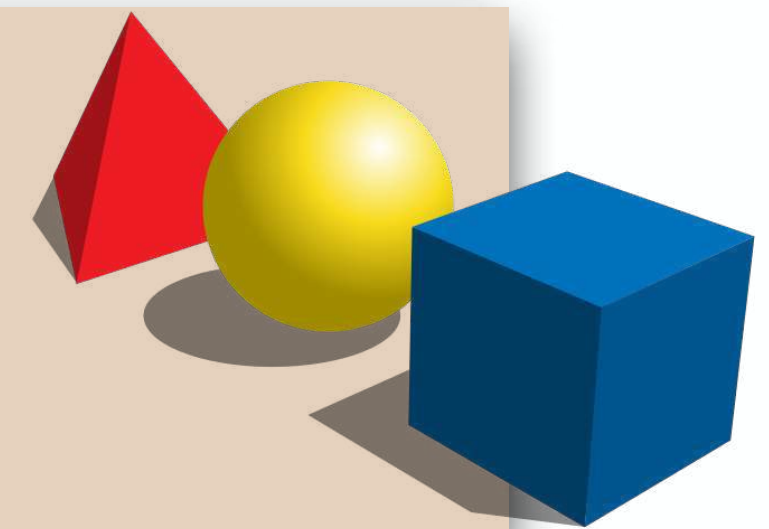
practical tools

targeting specific programs



abstract semantics, abstract domains

algorithmic approaches to decide program properties



concrete semantics

mathematical models of the program behavior



Static Termination Resilience Analysis

3-Step Recipe

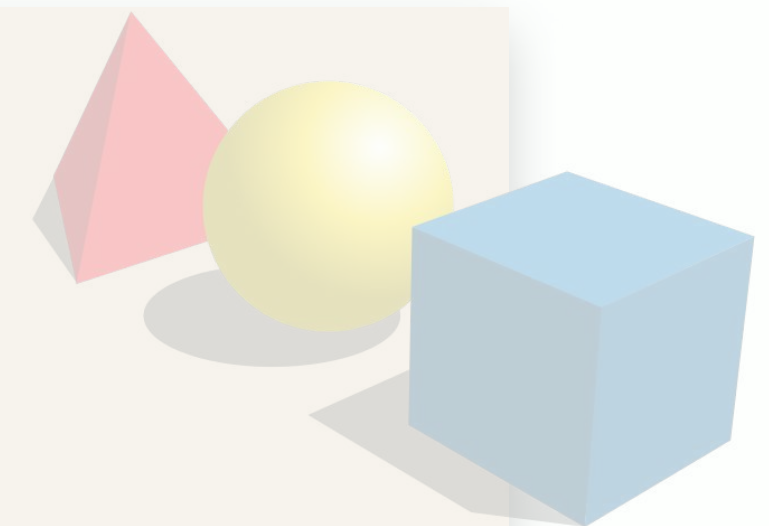
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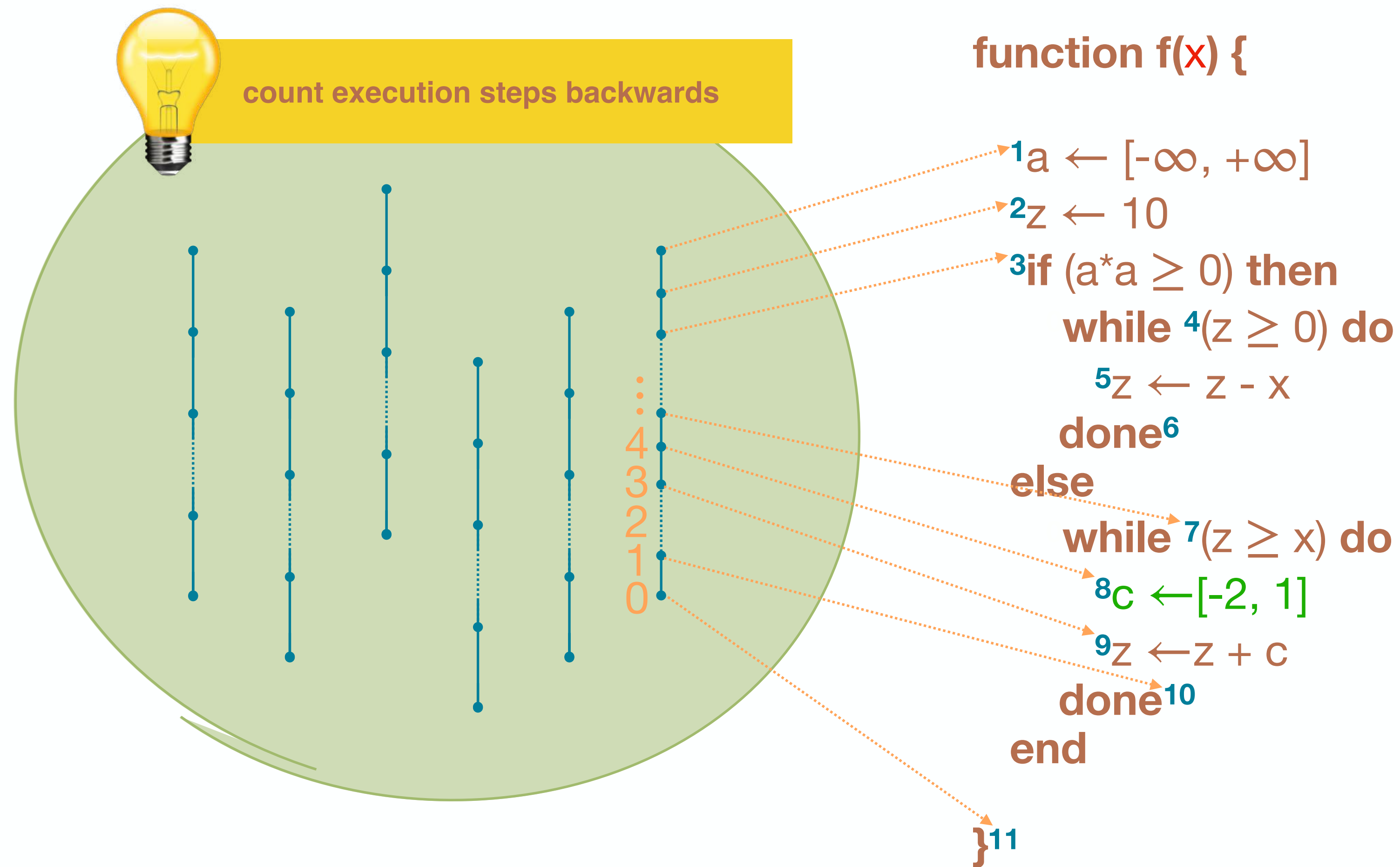


concrete semantics

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Termination Resilience Semantics

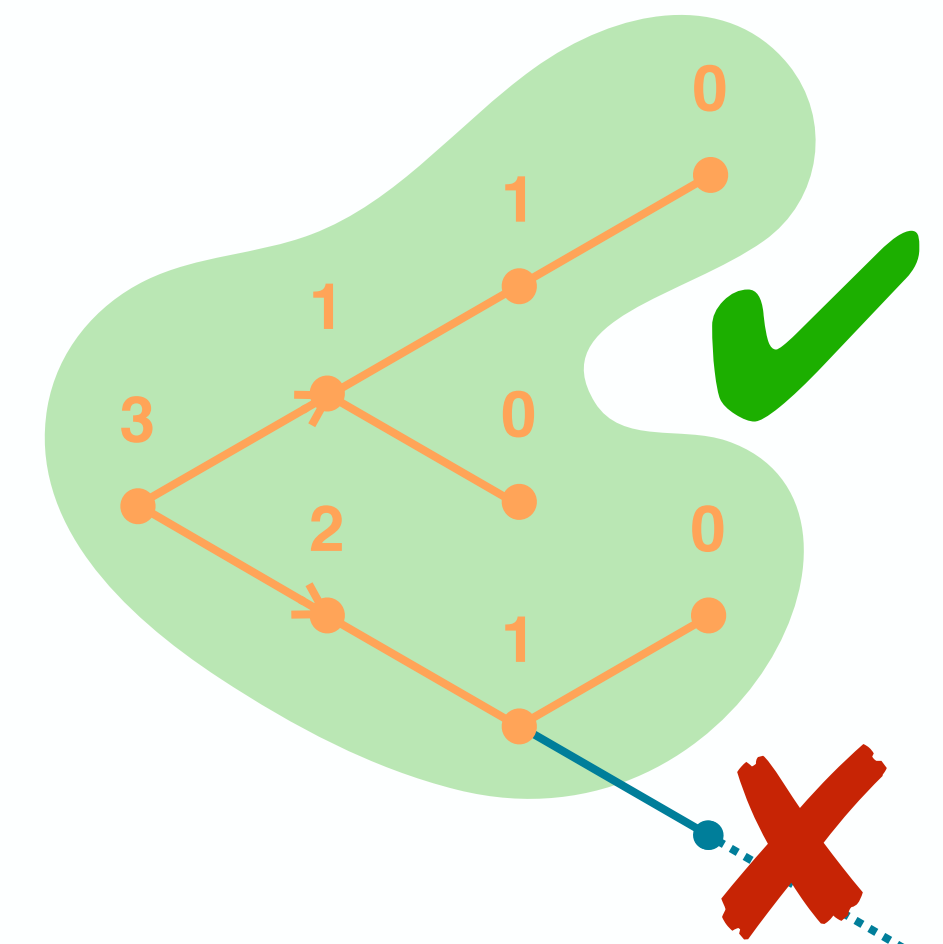
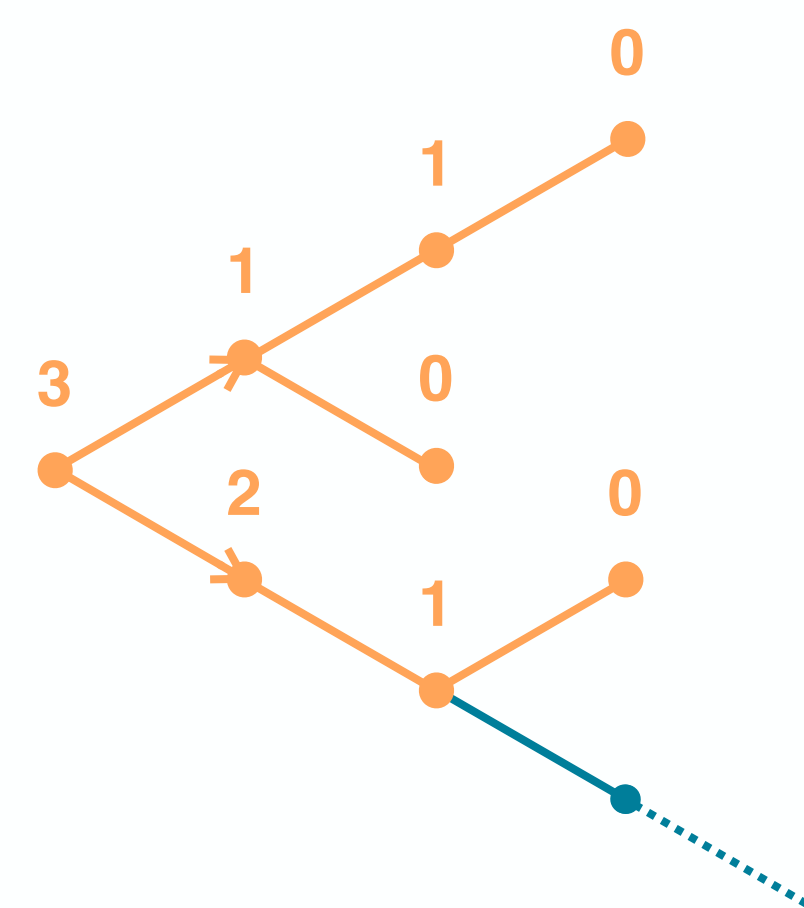
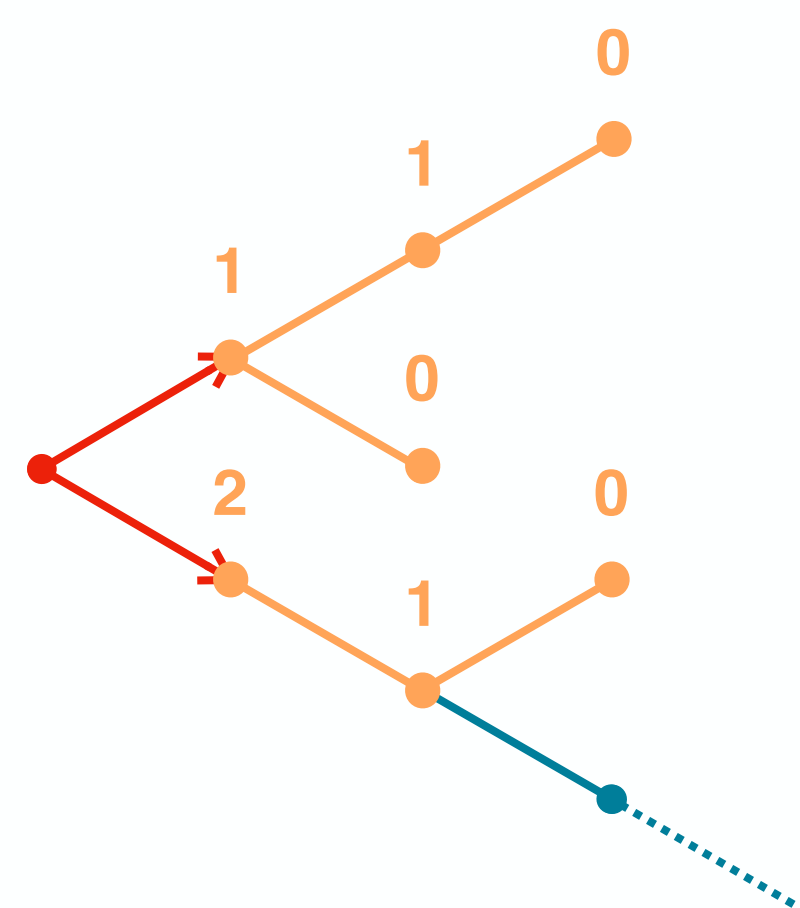
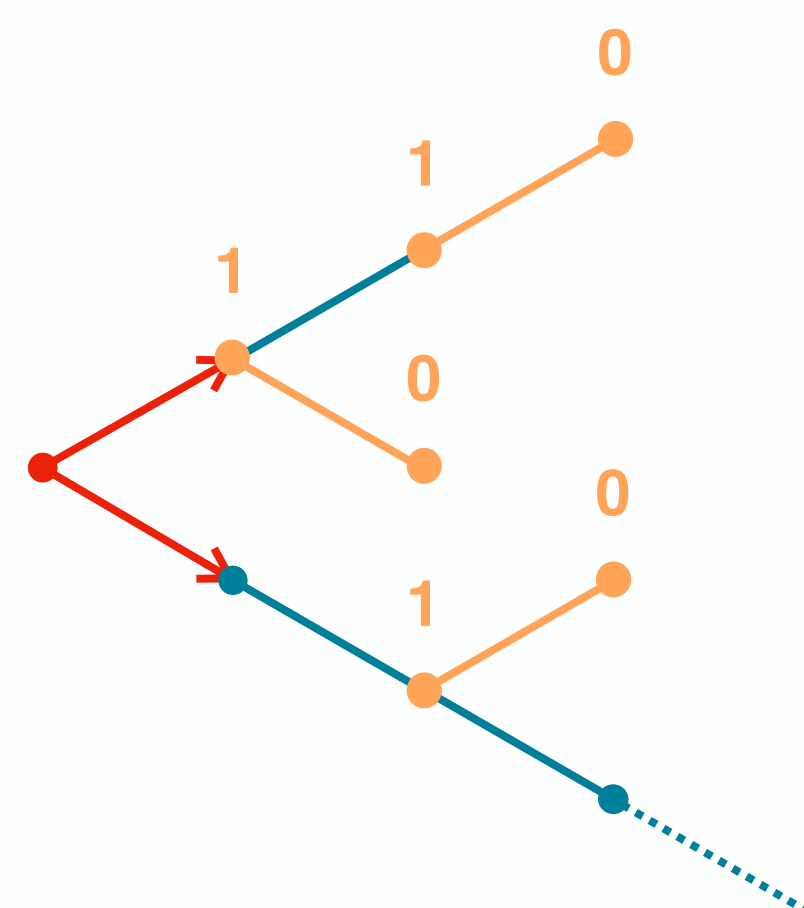
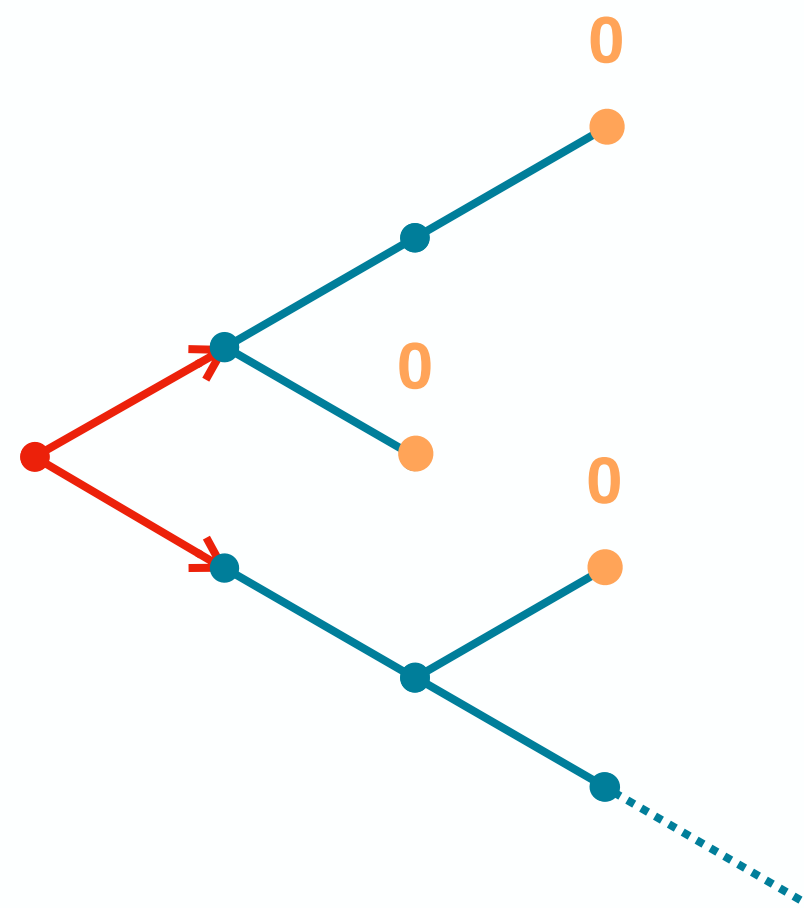


Termination Resilience Semantics

$$f_1 \leq f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \subseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1): f_1(x) \leq f_2(x)$$

$$\Theta \stackrel{\text{def}}{=} \text{lfp}_{\emptyset}^{\leq} \lambda f \lambda s . \begin{cases} 0 & \text{final states } s \in \Omega_{\tau} \\ \sup\{f(s') + 1 \mid \langle s, s' \rangle \in \tau\} & s \in \tilde{\text{pre}}_{\tau^i}(\text{dom}(f)) \\ \inf\{f(s') + 1 \mid \langle s, s' \rangle \in \tau\} & s \in \text{pre}_{\tau^r}(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

$\tilde{\text{pre}}_{\tau^i}(X) \stackrel{\text{def}}{=} \{s \mid \forall s': \langle s, s' \rangle \in \tau^i \Rightarrow s' \in X\}$ input transitions
 $\text{pre}_{\tau^r}(X) \stackrel{\text{def}}{=} \{s \mid \exists s' \in X: \langle s, s' \rangle \in \tau^r\}$ regular transitions
 totally undefined function



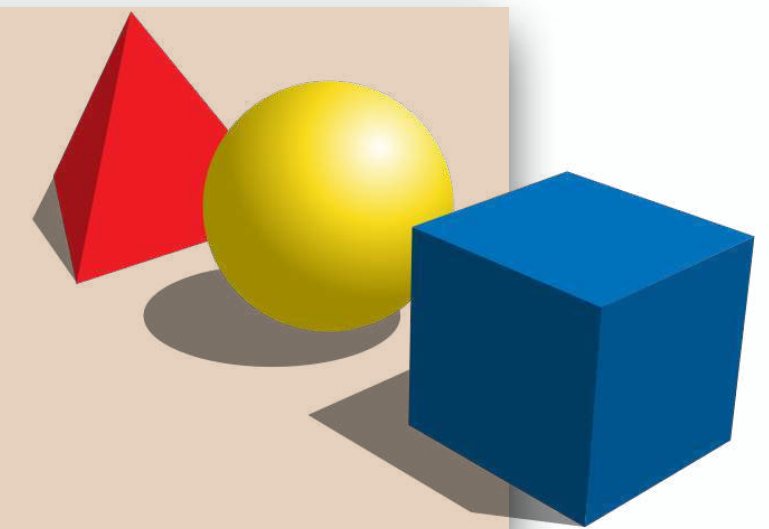
Static Termination Resilience Analysis

3-Step Recipe

practical tools
targeting specific programs



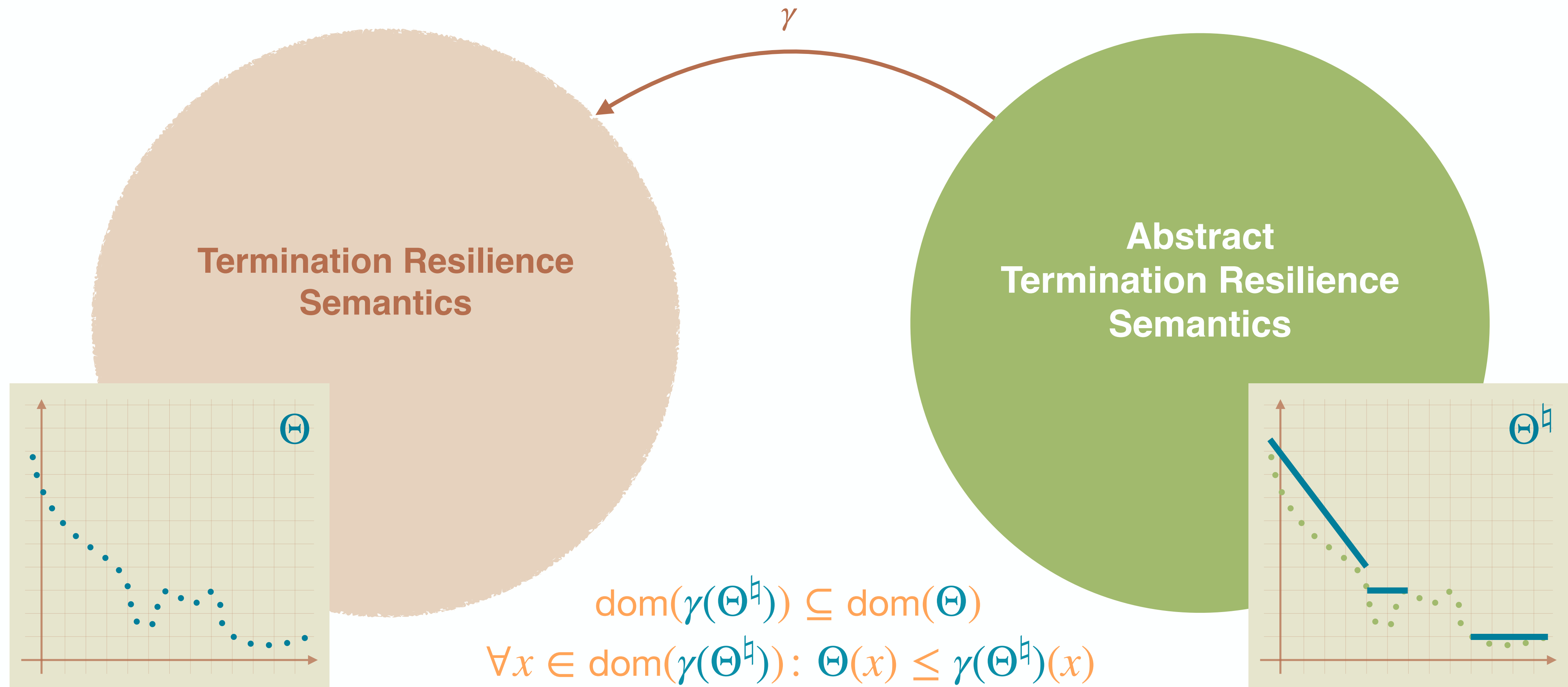
abstract semantics, abstract domains
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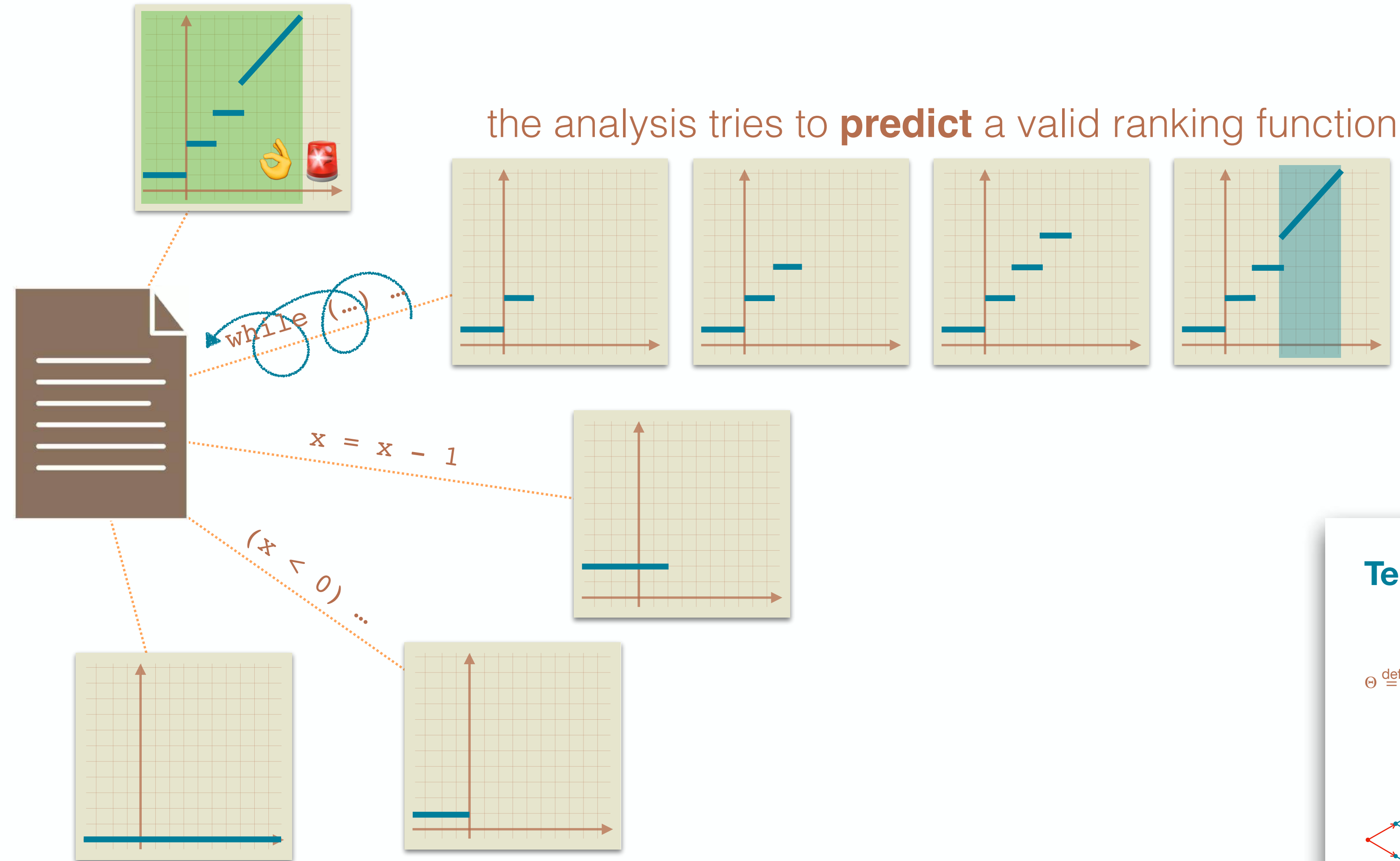
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Piecewise-Defined Ranking Functions



Static Termination Resilience Analysis



Termination Resilience Semantics

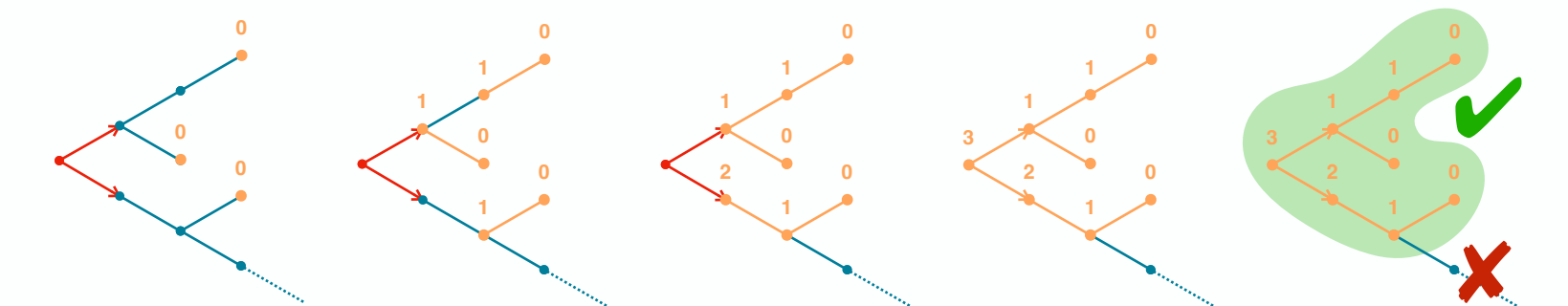
$$f_1 \sqsubseteq f_2 \stackrel{\text{def}}{=} \text{dom}(f_1) \subseteq \text{dom}(f_2) \wedge \forall x \in \text{dom}(f_1): f_1(x) \leq f_2(x)$$

$$\Theta \stackrel{\text{def}}{=} \text{lfp}_{\emptyset} \lambda f \lambda s. \begin{cases} 0 & \text{final states } s \in \Omega_r \\ \sup\{f(s') + 1 \mid \langle s, s' \rangle \in \tau\} & s \in \tilde{\text{pre}}_r(\text{dom}(f)) \\ \inf\{f(s') + 1 \mid \langle s, s' \rangle \in \tau\} & s \in \text{pre}_r(\text{dom}(f)) \\ \text{undefined} & \text{otherwise} \end{cases}$$

totally undefined function

$\tilde{\text{pre}}_r(X) \stackrel{\text{def}}{=} \{s \mid \forall s': \langle s, s' \rangle \in \tau^i \Rightarrow s' \in X\}$ (input transitions)

$\text{pre}_r(X) \stackrel{\text{def}}{=} \{s \mid \exists s' \in X: \langle s, s' \rangle \in \tau^r\}$ (regular transitions)



Static Termination Resilience Analysis

Non-Deterministic Variable Assignments

function $f(x)$ {

1 $a \leftarrow [-\infty, +\infty]$

2 $z \leftarrow 10$

3 if $(a \cdot a \geq 0)$ then

while 4 $(z \geq 0)$ do

5 $z \leftarrow z - x$

done 6

else

while 7 $(z \geq x)$ do

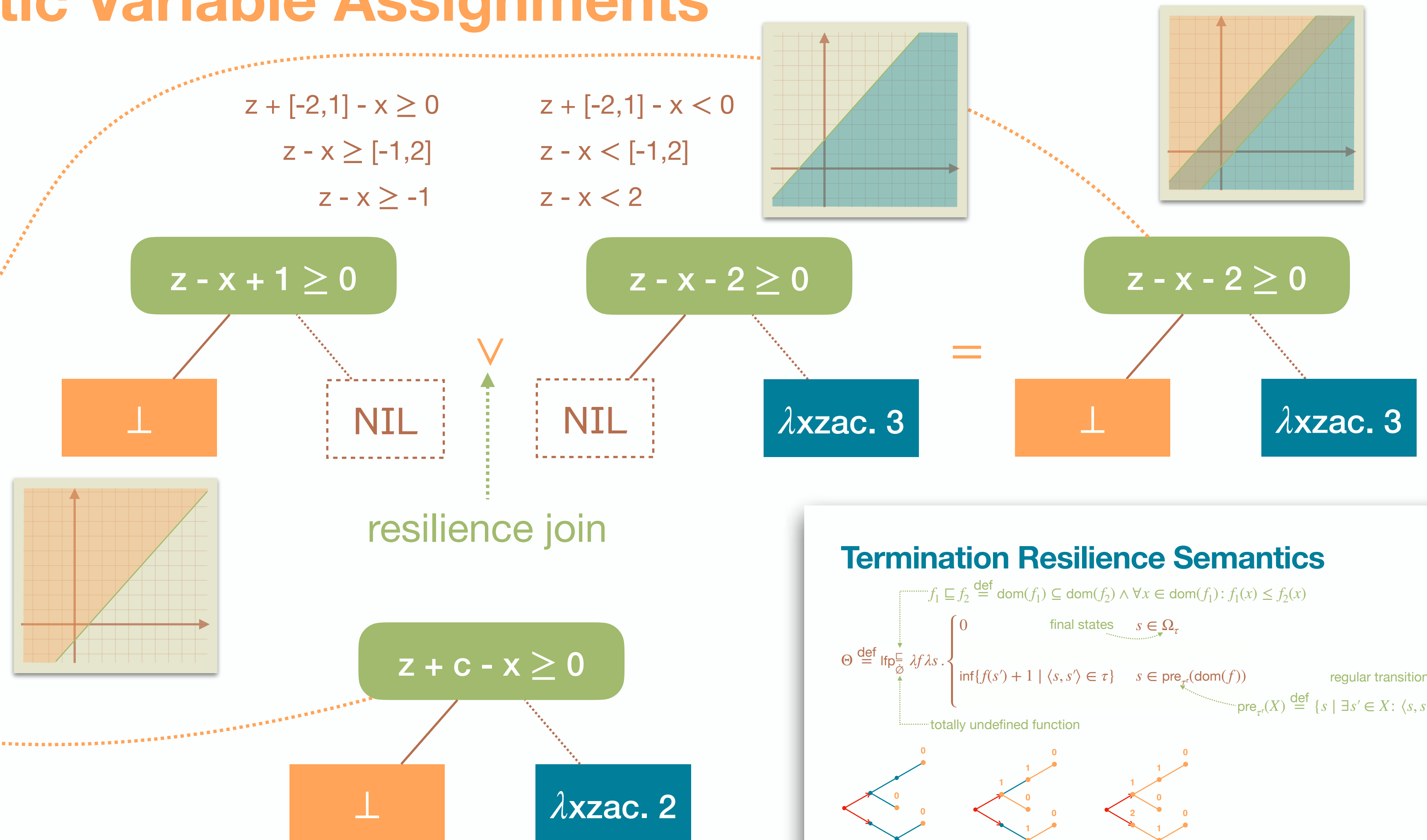
8 $c \leftarrow [-2, 1]$

9 $z \leftarrow z + c$

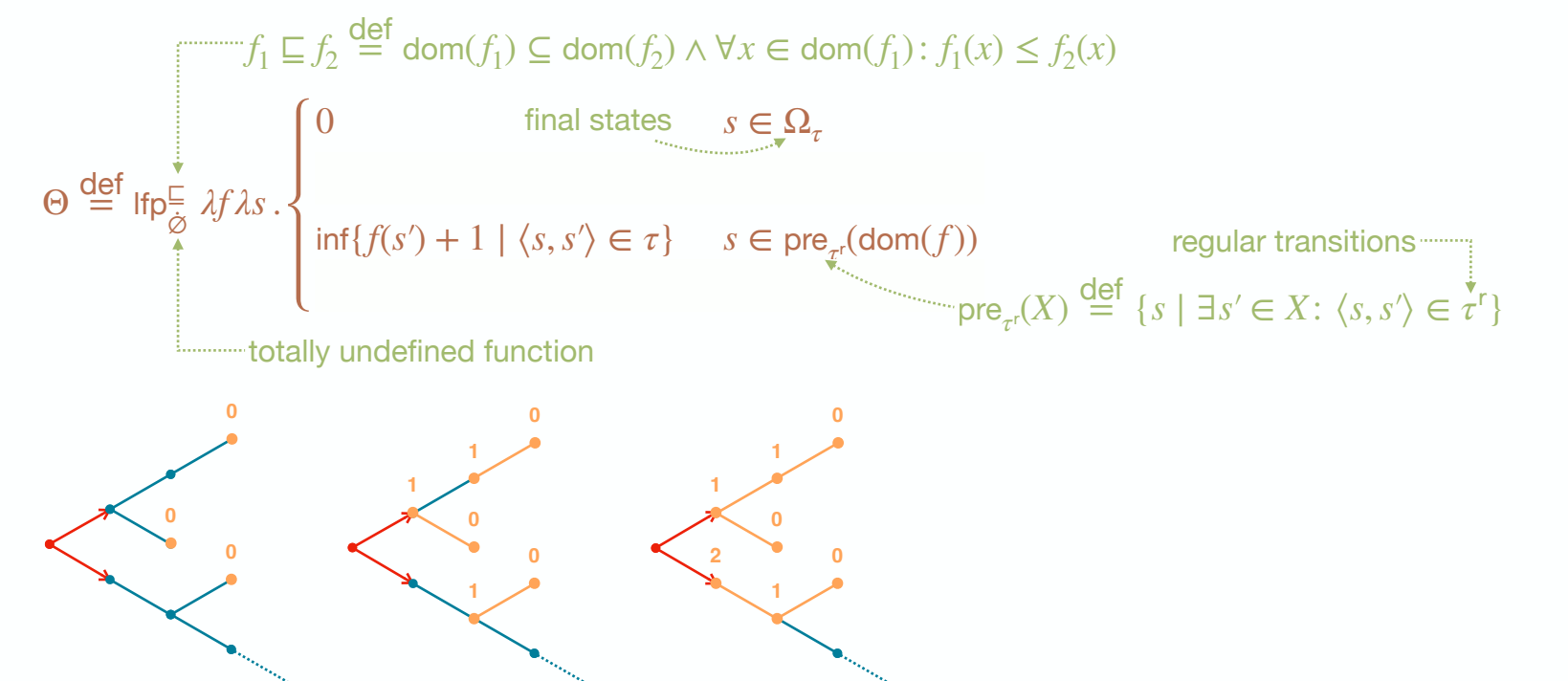
done 10

end

} 11



Termination Resilience Semantics



Static Termination Resilience Analysis

Approximation Join or Resilience Join?

function f(x) {

1 a $\leftarrow [-\infty, +\infty]$

2 z $\leftarrow 10$

3 if (a*a ≥ 0) then

while 4 (z ≥ 0) do

5 z $\leftarrow z - x$

done 6

else

while 7 (z $\geq x$) do

8 c $\leftarrow [-2, 1]$

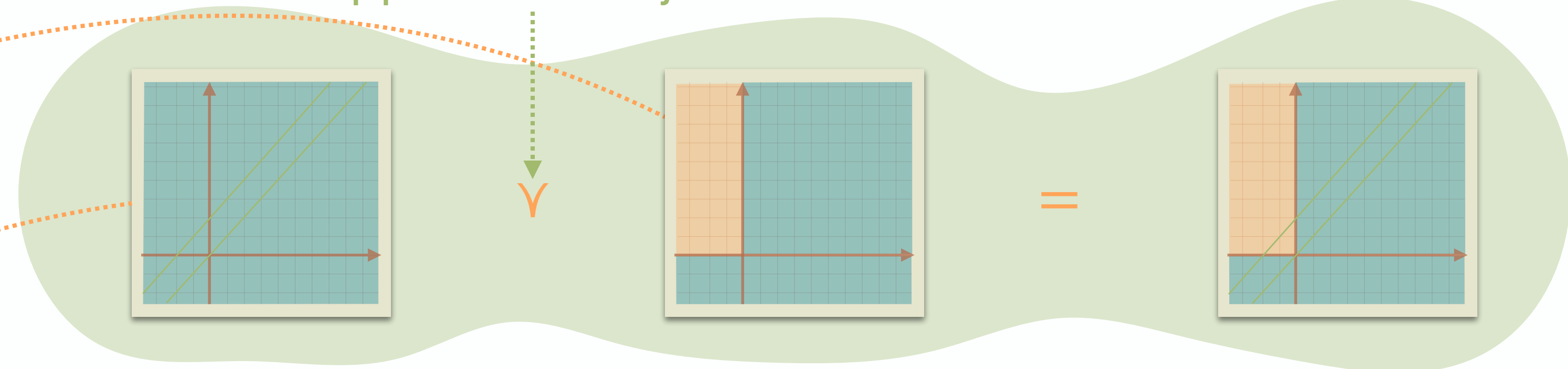
9 z $\leftarrow z + c$

done 10

fi

} 11

approximation join

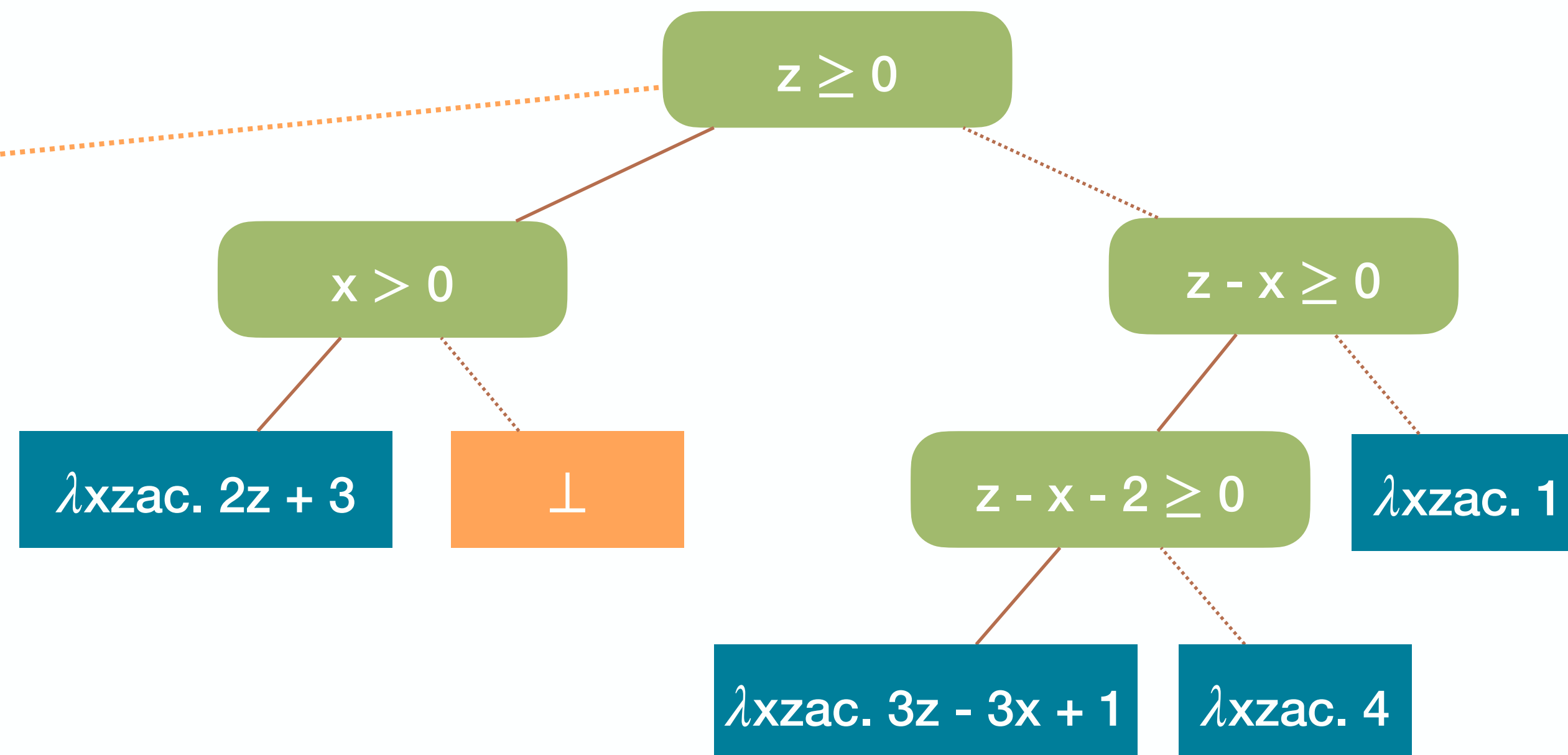


Static Termination Resilience Analysis

function f(x) {

```
1 a ← [-∞, +∞]
2 z ← 10
3 if (a*a ≥ 0) then
  while 4(z ≥ 0) do
    5 z ← z - x
  done6
else
  while 7(z ≥ x) do
    8 c ← [-2, 1]
    9 z ← z + c
  done10
end
```

}¹¹



Static Termination Resilience Analysis

function f(x) {

1 a $\leftarrow [-\infty, +\infty]$

2 z $\leftarrow 10$

3 if (a*a ≥ 0) then

while 4 (z ≥ 0) do

5 z $\leftarrow z - x$

od⁶

else

while 7 (z $\geq x$) do

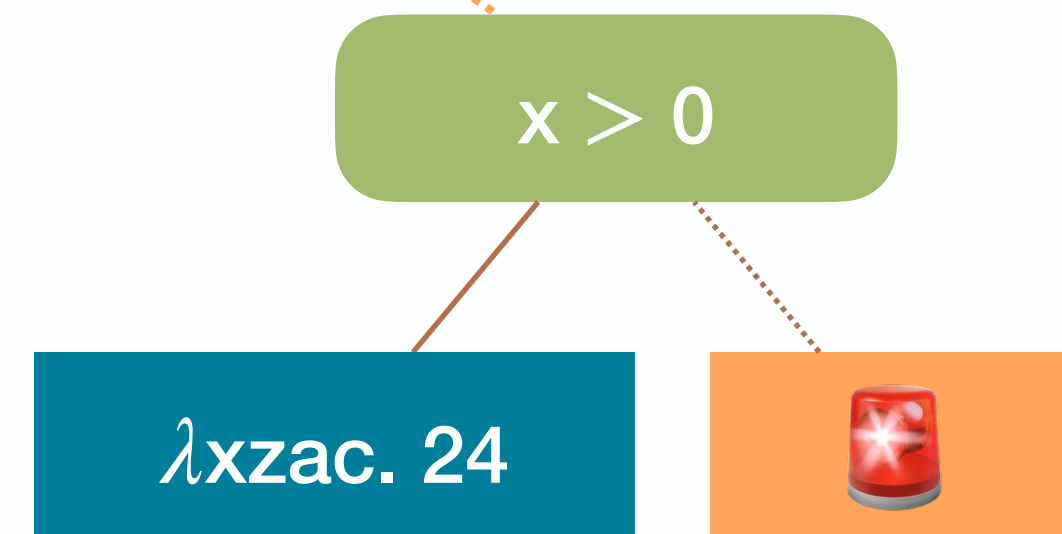
8 c $\leftarrow [-2, 1]$

9 z $\leftarrow z + c$

od¹⁰

fi

}¹¹



Static Termination Resilience Analysis

3-Step Recipe

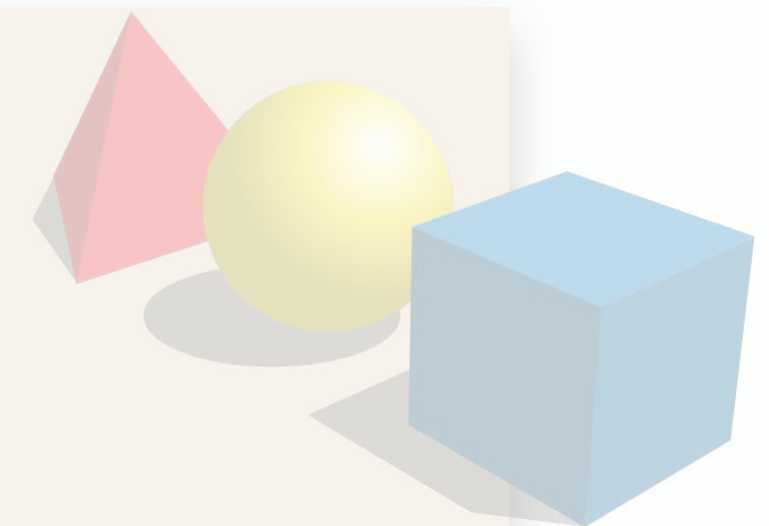
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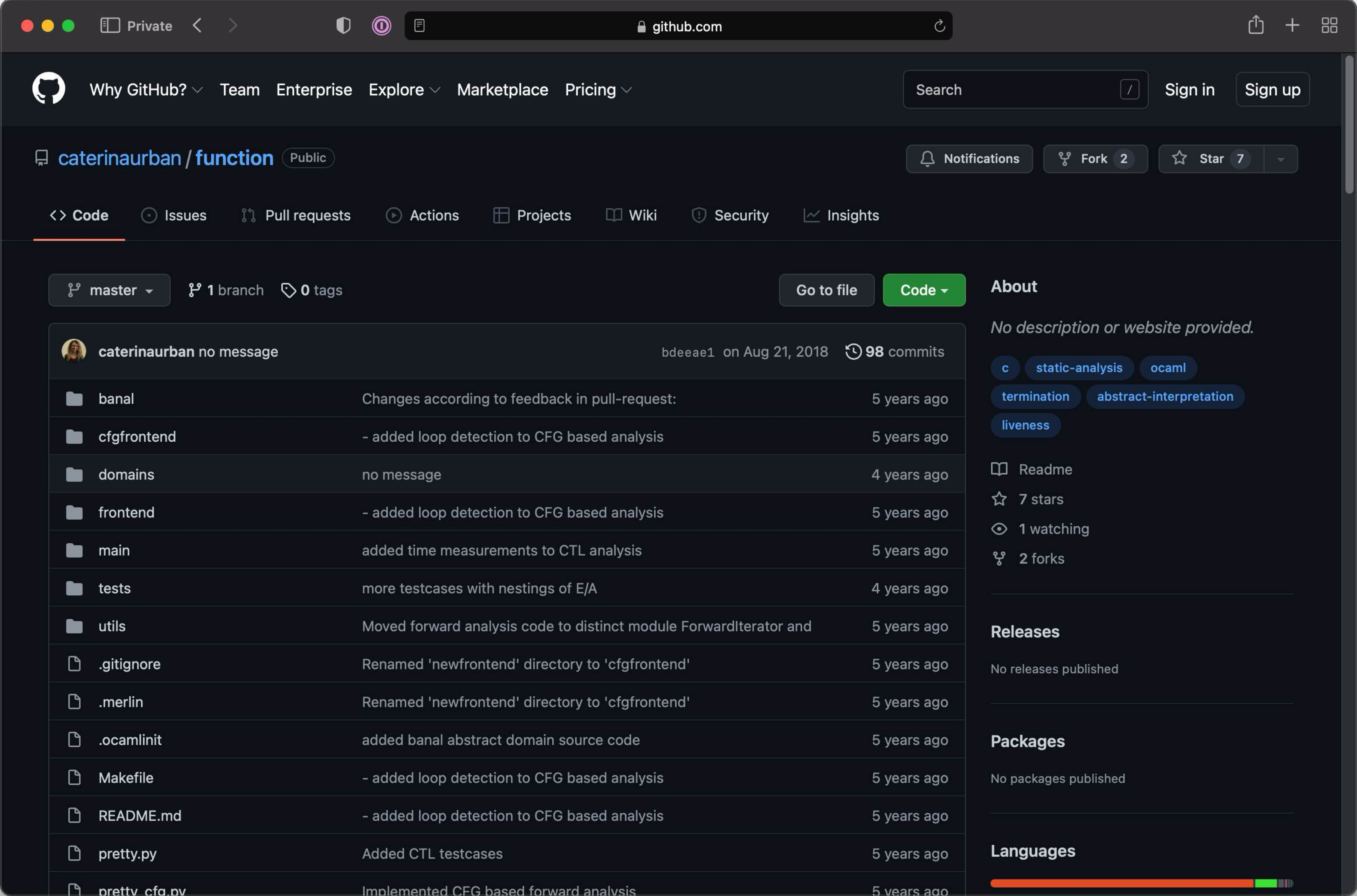
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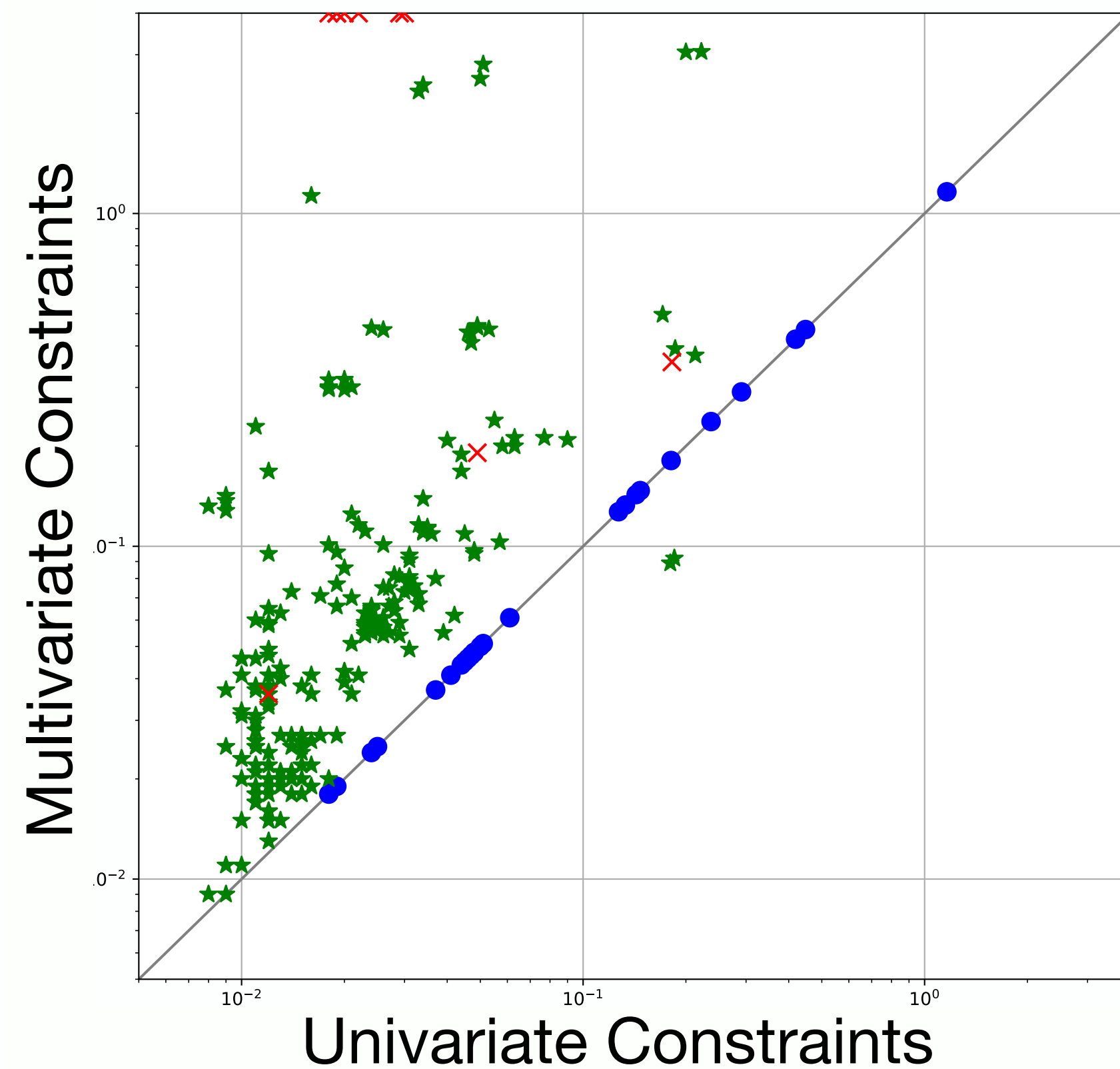


Experimental Evaluation

Univariate Constraints	Benchmark	Property	Verified	Alarms	TO	Time
	SV-COMP 2024	Termination	0	119	0	3.5s
		Termination Resilience	61	58	0	3.6s
	Raad et al @ OOPSLA 2024	Termination	0	36	0	0.5s
		Termination Resilience	16	20	0	0.5s
	Shi et al. @ FSE 2022	Termination	0	85	0	2.0s
		Termination Resilience	57	28	0	2.2s
Multivariate Constraints	Benchmark	Property	Verified	Alarms	TO	Time
	SV-COMP 2024	Termination	0	119	0	7.2s
		Termination Resilience	76	43	0	16.9s
	Raad et al @ OOPSLA 2024	Termination	0	36	0	7.2s
		Termination Resilience	16	20	0	16.9s
	Shi et al. @ FSE 2022	Termination	0	85	0	69s
		Termination Resilience	49	28	8	500s

Experimental Evaluation

Univariate vs Multivariate Constraints



- ★ equal precision
- ✗ multivariate constraints are more precise
- univariate constraints are more precise (!)

Static Analysis by Abstract Interpretation

