

Type Theory

Lecture 3: Dependent Type Theory

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Outline

The idea of dependent types

Dependent Type Theory

Logical Framework

Type Checking Algorithm

Where we are in the course

propositional logic	\leftrightarrow	simple type theory $\lambda \rightarrow$
2nd order propositional logic	\leftrightarrow	polymorphic type theory $\lambda 2$
predicate logic	\leftrightarrow	type theory with dependent types λP
higher order predicate logic	\leftrightarrow	calculus of constructions λC
univalent foundations	\leftrightarrow	homotopy type theory

Extending formulas-as-types to predicate logic

- ▶ First order language: domain D , with variables $x, y, z : D$ and possibly functions over D , e.g. $f : D \rightarrow D$, $g : D \rightarrow D \rightarrow D$.
- ▶ There are two “kinds” of variables:
 - ▶ the first order variables (ranging over the domain D)
 - ▶ the “proof variables” (used as [local] assumptions of formulas).
- ▶ Formulas and domains are **both types**. What is the type of a predicate or relation?
- ▶ A predicate P is a map from D to the **collection of types**, $*$
- ▶ $P : D \rightarrow *$ for P a predicate and $R : D \rightarrow D \rightarrow *$ for R a binary relation on D .
- ▶ We will have to make this more precise ...

Idea of extending to \forall

We introduce term rules for the \forall -quantifier in predicate logic.

$$\frac{\Gamma \vdash M : \forall x:D.A}{\Gamma \vdash M t : A[x := t]} \text{ if } t : D \qquad \frac{\Gamma \vdash M : A}{\Gamma \vdash \lambda x:D.M : \forall x:D.A} \text{ } x \text{ not free in } \Gamma$$

With the usual β -reduction rule

$$(\lambda x:D.M)t \rightarrow M[x := t]$$

This conforms with **cut-elimination** (or “detour elimination”) on logical derivations.

Example

Deriving **irreflexivity** from **anti-symmetry**

$$\text{AntiSym } R := \forall x, y: D. (R x y) \rightarrow (R y x) \rightarrow \perp$$

$$\text{Irrefl } R := \forall x: D. (R x x) \rightarrow \perp$$

Derivation in predicate logic:

$$\frac{\frac{\frac{\forall x, y: D. R x y \rightarrow R y x \rightarrow \perp}{\forall y: D. R x y \rightarrow R y x \rightarrow \perp}}{R x x \rightarrow R x x \rightarrow \perp} \quad [R x x]^1}{R x x \rightarrow \perp} \quad [R x x]^1}{\frac{\perp}{R x x \rightarrow \perp} \quad 1} \quad 1}{\forall x: D. R x x \rightarrow \perp}$$

Example derivation in type theory, with terms

$$H : \forall x, y: D. R x y \rightarrow R y x \rightarrow \perp$$
$$\frac{H x : \forall y: D. R x y \rightarrow R y x \rightarrow \perp}{H x x : R x x \rightarrow R x x \rightarrow \perp} \quad [H' : R x x]^1$$
$$\frac{H x x H' : R x x \rightarrow \perp \quad [H' : R x x]^1}{H x x H' H' : \perp} \quad 1$$
$$\frac{H x x H' H' : \perp}{\lambda H': (R x x). H x x H' H' : R x x \rightarrow \perp} \quad 1$$
$$\frac{\lambda H': (R x x). H x x H' H' : R x x \rightarrow \perp}{\lambda x: A. \lambda H': (R x x). H x x H' H' : \forall x: D. R x x \rightarrow \perp}$$

Main difference between $\lambda \rightarrow$ and λP

$$A \rightarrow B$$

'type of functions from A to B '

$$\prod x : A. B$$

'type of functions from A to B '

dependent product
dependent function type

The type of the function value B now can **depend** on the function argument x

arrow type becomes a special case. Examples of Π -types:

- ▶ $\forall x : \mathbb{N}. x \geq 0$. A proof of $\forall x : \mathbb{N}. x \geq 0$ is a term $p : \prod x : \mathbb{N}. x \geq 0$, a function that for every $n : \mathbb{N}$ gives a term $p n : n \geq 0$.
- ▶ $\prod x : \mathbb{N}. A^x$. A term $t : \prod x : \mathbb{N}. A^x$ is a function that for every $n : \mathbb{N}$ produces a vectore over A of length n .

Dependent Type Theory

- ▶ We have seen informally “dependent types at work” in the predicate logic example.
- ▶ Now: the **rules**

With dependent types:

- ▶ **everything depends on everything**
- ▶ we can't first define the types, then the terms
- ▶ two **universes**: $*$ and \square
- ▶ $*$ is the **universe of types**
- ▶ We can't have $* : *$, so we have another universe: $* : \square$.

NB The Coq system uses “Set” and “Prop” for what I call $*$ and “Type” for what I call \square .

First order Dependent Type theory, λP

Derive judgements of the form

$$\Gamma \vdash M : B$$

- ▶ Γ is a **context**

$$x_1 : B_1, x_2 : B_2, \dots, x_n : B_n$$

- ▶ M and B are **terms**
taken from the set of pseudoterms

$$T ::= \text{Var} \mid * \mid \square \mid (T T) \mid (\lambda x:T.T) \mid \Pi x:T.T$$

Auxiliary judgement

$$\Gamma \vdash$$

denoting that Γ is a **correct context**.

Derivation rules of λP

s ranges over $\{*, \square\}$.

$$\text{(base)} \emptyset \vdash \quad \text{(ctxt)} \frac{\Gamma \vdash A : s}{\Gamma, x:A \vdash} \text{ if } x \text{ not in } \Gamma \quad \text{(ax)} \frac{\Gamma \vdash}{\Gamma \vdash * : \square}$$

$$\text{(proj)} \frac{\Gamma \vdash}{\Gamma \vdash x : A} \text{ if } x:A \in \Gamma \quad \text{(\Pi)} \frac{\Gamma \vdash A : * \quad \Gamma, x:A \vdash B : s}{\Gamma \vdash \Pi x:A. B : s}$$

$$\text{(\lambda)} \frac{\Gamma, x:A \vdash M : B \quad \Gamma \vdash \Pi x:A. B : s}{\Gamma \vdash \lambda x:A. M : \Pi x:A. B} \quad \text{(app)} \frac{\Gamma \vdash M : \Pi x:A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[x := N]}$$

$$\text{(conv)} \frac{\Gamma \vdash M : B \quad \Gamma \vdash A : s}{\Gamma \vdash M : A} A =_{\beta} B$$

Notation: write $A \rightarrow B$ for $\Pi x:A. B$ if $x \notin \text{FV}(B)$.

The use of the Π -type

- ▶ The Π rule allows to form two forms of function types.

$$(\Pi) \frac{\Gamma, x:A \vdash B : \mathbf{s} \quad \Gamma \vdash A : *}{\Gamma \vdash \Pi x:A. B : \mathbf{s}}$$

$$\Pi x:A. B \simeq \{f \mid \forall a : A (f a : B[x := a])\}$$

Write $A \rightarrow B$ if $x \notin \text{FV}(B)$

- ▶ With $\mathbf{s} = *$, we can form $D \rightarrow D$ and $\Pi x:D. x = x$, etc.
- ▶ With $\mathbf{s} = \square$, we can form $D \rightarrow D \rightarrow *$ and $D \rightarrow *$.

Representation of PRED into λP

PRED = minimal predicate logic, that is: intuitionistic predicate logic with just \rightarrow and \forall .

Represent **both** the **domains** of the logic and the **formulas** as **types**.

$$A : *$$

$$P : A \rightarrow *$$

$$R : A \rightarrow A \rightarrow *$$

Now **implication** is represented as \rightarrow and \forall is represented as Π :

$$\forall x:A. P x \mapsto \Pi x:A. P x$$

Intro and **elim** rules are just **λ -abstraction** and **application**

Example

$$A : *, R : A \rightarrow A \rightarrow * \vdash \lambda z:A. \lambda h:(\prod x, y:A. R x y). h z z \\ : \prod z:A. (\prod x, y:A. R x y) \rightarrow R z z$$

This term is a proof of $\forall z:A. (\forall x, y:A. R(x, y)) \rightarrow R(z, z)$

Exercise: Find terms of the following types (NB \rightarrow binds strongest)

$$(\prod x:A. P x \rightarrow Q x) \rightarrow (\prod x:A. P x) \rightarrow \prod x:A. Q x$$

and

$$(\prod x:A. P x \rightarrow \prod z:A. R z z) \rightarrow (\prod x:A. P x) \rightarrow \prod z:A. R z z).$$

Also write down the contexts in which these terms are typed.

Correctness of embedding PRED into λP

For Σ a first order signature, let Γ_Σ denote the associated λP -context.

For φ a PRED-formula, let $\bar{\varphi}$ denote the associated λP -type, and similarly $\bar{\Gamma}$ be a declaration context in λP for a set of formulas Γ .

THEOREM.

For Σ is a first order signature, and Γ a finite set of formulas and φ a formula, we have

$$\Gamma \vdash \varphi \iff \exists M(\Gamma_\Sigma, \bar{\Gamma} \vdash M : \bar{\varphi})$$

PROOF.

\Rightarrow by induction on the derivation we can construct M as the proof-term that represents the derivation of $\Gamma \vdash \varphi$

\Leftarrow is more complicated. There are terms M that do not “come from a proof” in PRED; this is far from an isomorphism. \square

Direct embedding of logic in type theory

For $\lambda \rightarrow$ and λP we have seen

Direct representations of logic in type theory.

- ▶ **Connectives** each have a counterpart in the type theory:

implication \sim \rightarrow -type

universal quantification \sim \forall -type

- ▶ **Logical rules** have their direct counterpart in type theory

\rightarrow -introduction \sim λ -abstraction

\rightarrow -elimination \sim application

\forall -introduction \sim λ -abstraction

\forall -elimination \sim application

- ▶ Context declares **signature**, **local variables** and **assumptions**.

LF embedding of logic in type theory

Second way of interpreting logic in type theory De Bruijn; Harper, Honsell, Plotkin:

Logical framework encoding or higher order abstract syntax embedding of logic in type theory.

- ▶ Type theory used as a meta system for encoding ones own logic.
- ▶ Choose an appropriate context Γ_L , in which the logic L (including its proof rules) is declared.
- ▶ Context used as a signature for the logic.
- ▶ Use the type system as the 'meta' calculus for dealing with substitution and binding.

Direct and LF embedding

	proof	formula
direct embedding	$\lambda x:A.x$	$A \rightarrow A$
LF embedding	$\text{imp_intr } A A \lambda x:T A.x$	$T(A \Rightarrow A)$

▶ **Direct representation:**

One type system : **One logic**,
Logical rules \sim **type theoretic rules**

▶ **LF encoding:** One type system : **Many logics**,
Logical rules \sim **context declarations**

Examples of the LF embedding

The encoding of logics in a logical framework is shown by three examples:

1. Minimal **proposition** logic
2. Minimal **predicate** logic (just $\{\Rightarrow, \forall\}$)
3. Untyped **λ -calculus**

Minimal propositional logic

Fix the **signature** (context) of minimal propositional logic.

prop : *
imp : **prop** → **prop** → **prop**

Notation:

$A \Rightarrow B$ for **imp** $A B$

The type **prop** is the type of 'names' of propositions.

NB : A term of type **prop** can not be inhabited (proved), as it is not a type.

We 'lift' a name $p : \mathbf{prop}$ to the **type of its proofs** by declaring the following map:

T : **prop** → * .

Intended meaning of Tp is 'the **type of proofs** of p '.

We interpret ' p is valid' by ' **Tp is inhabited**'.

Encoding of derivations

To derive $\top p$ we also encode the **logical derivation rules**

imp_intr : $\prod p, q : \text{prop}. (\top p \rightarrow \top q) \rightarrow \top (p \Rightarrow q),$

imp_el : $\prod p, q : \text{prop}. \top (p \Rightarrow q) \rightarrow \top p \rightarrow \top q.$

imp_intr takes two (names of) **propositions** p and q and a term $f : \top p \rightarrow \top q$ and returns a term of type $\top (p \Rightarrow q)$

Indeed $A \Rightarrow A$, becomes valid:

$$\text{imp_intr } A \ A (\lambda x : \top A. x) : \top (A \Rightarrow A)$$

Exercise: Construct a term of type $\top (A \Rightarrow (B \Rightarrow A))$

Signature of PROP in LF

To encode proposition logic in LF we need a context (signature)

Σ_{PROP} :

prop : *

\Rightarrow : **prop** \rightarrow **prop** \rightarrow **prop**

T : **prop** \rightarrow *

imp_intr : (A, B : **prop**)(T A \rightarrow T B) \rightarrow T(A \Rightarrow B)

imp_el : (A, B : **prop**)T(A \Rightarrow B) \rightarrow T A \rightarrow T B.

Desired **property** of the encoding: **Adequacy**, in particular

- ▶ **Soundness** of the encoding:

$\vdash_{\text{PROP}} A \implies \Sigma_{\text{PROP}}, \vec{a}:\mathbf{prop} \vdash p : T A$ for some p .

\vec{a} is the sequence of proposition variables in A .

- ▶ **Faithfulness** (or **completeness**) is the converse. It also holds, but more involved to prove.

Minimal predicate logic over one domain A

prop : *,
A : *,
T : **prop** \rightarrow *,
f : $A \rightarrow A$,
R : $A \rightarrow A \rightarrow$ **prop**,
 \Rightarrow : **prop** \rightarrow **prop** \rightarrow **prop**,
imp_intr : $\prod p, q : \mathbf{prop}. (\top p \rightarrow \top q) \rightarrow \top (p \Rightarrow q)$,
imp_el : $\prod p, q : \mathbf{prop}. \top (p \Rightarrow q) \rightarrow \top p \rightarrow \top q$.

Now encode \forall :

\forall takes a $P : A \rightarrow$ **prop** and returns a **proposition**, so we add:

$\forall : (A \rightarrow \mathbf{prop}) \rightarrow \mathbf{prop}$

Universal quantification is translated as follows.

$\forall x:A. (Px) \mapsto \forall (\lambda x:A. (Px))$

Intro and Elim rules for \forall

$$\begin{aligned}\forall & : (A \rightarrow \mathbf{prop}) \rightarrow \mathbf{prop}, \\ \forall_intr & : \Pi P:A \rightarrow \mathbf{prop}. (\Pi x:A. T(Px)) \rightarrow T(\forall P), \\ \forall_elim & : \Pi P:A \rightarrow \mathbf{prop}. T(\forall P) \rightarrow \Pi x:A. T(Px).\end{aligned}$$

The proof of

$$\forall z:A (\forall x, y:A. Rxy) \Rightarrow Rzz$$

is now mirrored by the proof-term

$$\forall_intr[-](\lambda z:A. \mathbf{imp_intr}[-][-](\lambda h:T(\forall x, y:A. Rxy). \\ \forall_elim[-](\forall_elim[-]hz)z))$$

We have replaced the instantiations of the Π -type by $[-]$.

This term is of type

$$T(\forall(\lambda z:A. \mathbf{imp}(\forall(\lambda x:A. (\forall(\lambda y:A. Rxy)))))(Rzz)))$$

Intro and Elim rules for \forall

$$\begin{aligned}\forall & : (A \rightarrow \mathbf{prop}) \rightarrow \mathbf{prop}, \\ \forall_intr & : \Pi P:A \rightarrow \mathbf{prop}. (\Pi x:A. T(P\ x)) \rightarrow T(\forall P), \\ \forall_elim & : \Pi P:A \rightarrow \mathbf{prop}. T(\forall P) \rightarrow \Pi x:A. T(P\ x).\end{aligned}$$

The proof of

$$\forall z:A (\forall x, y:A. R\ x\ y) \Rightarrow R\ z\ z$$

is now mirrored by the proof-term

$$\forall_intr[-](\ \lambda z:A. \mathit{imp_intr}[-][-](\lambda h:T(\forall x, y:A. Rxy). \\ \forall_elim[-](\forall_elim[-]hz)z))$$

Exercise: Construct a proof-term that mirrors the (obvious) proof of

$$\forall x(P\ x \Rightarrow Q\ x) \Rightarrow \forall x.P\ x \Rightarrow \forall x.Q\ x$$

Untyped λ -calculus

Signature Σ_{lambda} :

D	:	*
app	:	$D \rightarrow (D \rightarrow D)$;
abs	:	$(D \rightarrow D) \rightarrow D$.

- ▶ A variable x in λ -calculus becomes $x : D$ in the type system.
- ▶ The translation $[-] : \Lambda \rightarrow \text{Term}(D)$ is defined as follows.

$$\begin{aligned}[x] &= x; \\ [PQ] &= \text{app } [P] [Q]; \\ [\lambda x. P] &= \text{abs } (\lambda x:D. [P]).\end{aligned}$$

Examples: $[\lambda x. x x] := \text{abs}(\lambda x:D. \text{app } x x)$
 $[(\lambda x. x x) (\lambda y. y)] := \text{app}(\text{abs}(\lambda x:D. \text{app } x x))(\text{abs}(\lambda y:D. y)).$

Introducing β -equality

$\text{eq}: D \rightarrow D \rightarrow *$.

Notation $P = Q$ for $\text{eq } P Q$.

Rules for proving equalities.

refl : $\Pi x:D. x = x$,

sym : $\Pi x, y:D. x = y \rightarrow y = x$,

trans : $\Pi x, y, z:D. x = y \rightarrow y = z \rightarrow x = z$,

mon : $\Pi x, x', z, z':D. x = x' \rightarrow z = z' \rightarrow \text{app } z x = \text{app } z' x'$,

xi : $\Pi f, g:D \rightarrow D. (\Pi x:D. f x = g x) \rightarrow \text{abs } f = \text{abs } g$,

beta : $\Pi f:D \rightarrow D. \Pi x:D. \text{app } (\text{abs } f) x = f x$.

Properties of λP

- ▶ **Uniqueness of types**

If $\Gamma \vdash M : A$ and $\Gamma \vdash M : B$, then $A =_{\beta} B$.

- ▶ **Subject Reduction**

If $\Gamma \vdash M : A$ and $M \rightarrow_{\beta} N$, then $\Gamma \vdash N : A$.

- ▶ **Strong Normalization**

If $\Gamma \vdash M : A$, then all β -reductions from M terminate.

Proof of SN is by defining a reduction preserving map from λP to $\lambda \rightarrow$.

Decidability Questions

$\Gamma \vdash M : A?$	TCP
$\Gamma \vdash M : ?$	TSP
$\Gamma \vdash ? : A$	TIP

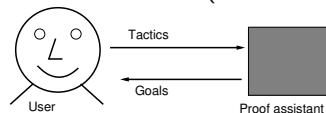
For λP :

- ▶ TIP is **undecidable**
- ▶ TCP/TSP: simultaneously with **Context checking**

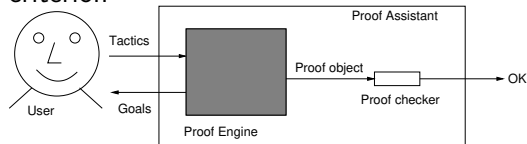
Why would we believe a proof assistant?

Separating the **simple proof checker** from the **powerful proof engine**

Proof Assistant (Interactive Theorem Prover)



Proof Assistant with a small kernel that satisfies the De Bruijn criterion



Type Checking (= proof checking)

Define algorithms $\text{Ok}(-)$ and $\text{TC}_(-)$ simultaneously:

- ▶ $\text{Ok}(-)$ takes a **context** and returns 'true' or 'false'
- ▶ $\text{TC}_(-)$ takes a **context** and a **term** and returns a **term** or 'false'.

DEFINITION The **type synthesis algorithm** $\text{TC}_(-)$ is **sound** if (for all Γ and M)

$$\text{TC}_\Gamma(M) = A \implies \Gamma \vdash M : A$$

The **type synthesis algorithm** $\text{TC}_(-)$ is **complete** if (for all Γ , M and A)

$$\Gamma \vdash M : A \implies \text{TC}_\Gamma(M) =_\beta A$$

- ▶ A proof assistant like Rocq is based on a type checking algorithm.
- ▶ The type checking algorithm is the **trusted kernel** of Rocq

$$\text{Ok}(\langle \rangle) = \text{'true'}$$

$$\text{Ok}(\Gamma, x:A) = \text{TC}_\Gamma(A) \in \{*, \square\},$$

$$\text{TC}_\Gamma(x) = \text{if Ok}(\Gamma) \text{ and } x:A \in \Gamma \text{ then } A \text{ else 'false'},$$

$$\text{TC}_\Gamma(*) = \text{if Ok}(\Gamma) \text{ then } \square \text{ else 'false'},$$

$$\begin{aligned} \text{TC}_\Gamma(MN) = & \text{if } \text{TC}_\Gamma(M) = C \text{ and } \text{TC}_\Gamma(N) = D \\ & \text{then if } C \rightarrow_\beta \Pi x:A. B \text{ and } A =_\beta D \\ & \text{then } B[x := N] \text{ else 'false'} \\ & \text{else 'false'}, \end{aligned}$$

$$\begin{aligned}
\text{TC}_\Gamma(\lambda x:A.M) &= \text{if } \text{TC}_{\Gamma,x:A}(M) = B \\
&\quad \text{then} \quad \text{if } \text{TC}_\Gamma(\Pi x:A.B) \in \{*, \square\} \\
&\quad \quad \quad \text{then } \Pi x:A.B \text{ else 'false'} \\
&\quad \text{else 'false'}, \\
\text{TC}_\Gamma(\Pi x:A.B) &= \text{if } \text{TC}_\Gamma(A) = * \text{ and } \text{TC}_{\Gamma,x:A}(B) = s \\
&\quad \text{then } s \text{ else 'false'}
\end{aligned}$$

Soundness and Completeness

Soundness

$$\text{TC}_\Gamma(M) = A \implies \Gamma \vdash M : A$$

Completeness

$$\Gamma \vdash M : A \implies \text{TC}_\Gamma(M) =_\beta A$$

As a consequence:

$$\text{TC}_\Gamma(M) = \text{'false'} \implies M \text{ is not typable in } \Gamma$$

NB 1. Completeness implies that TC terminates on **all well-typed terms**. We want that TC terminates on **all pseudo terms**.

NB 2. Completeness only makes sense if we have **uniqueness of types**

(Otherwise: let $\text{TC}_\Gamma(-)$ generate a **set of possible types**)

Termination

We want $TC_{\Gamma}(-)$ to **terminate** on all inputs.

Interesting cases: λ -abstraction and application:

$$\begin{aligned} TC_{\Gamma}(\lambda x:A.M) = & \text{ if } TC_{\Gamma, x:A}(M) = B \\ & \text{ then} \quad \text{ if } TC_{\Gamma}(\Pi x:A.B) \in \{*, \square\} \\ & \quad \text{ then } \Pi x:A.B \text{ else 'false'} \\ & \text{ else 'false',} \end{aligned}$$

! Recursive call is not on a **smaller** term!

Replace the side condition

$$\text{if } TC_{\Gamma}(\Pi x:A.B) \in \{*, \square\}$$

by

$$\text{if } TC_{\Gamma}(A) \in \{*\}$$

Termination

We want $\text{TC}_\Gamma(-)$ to **terminate** on all inputs.

Interesting cases: λ -abstraction and application:

$$\begin{aligned} \text{TC}_\Gamma(MN) &= \text{if } \text{TC}_\Gamma(M) = C \text{ and } \text{TC}_\Gamma(N) = D \\ &\quad \text{then } \text{if } C \rightarrow_\beta \Pi x:A. B \text{ and } A =_\beta D \\ &\quad \quad \text{then } B[x := N] \text{ else 'false'} \\ &\quad \text{else 'false'}, \end{aligned}$$

! Need to decide β -reduction and β -equality!

For this case, **termination** follows from:

- ▶ Soundness of TC and
- ▶ **Decidability of equality** on **well-typed** terms.

This decidability of equality follows from **SN** (strong normalization) and **CR** (Church-Rosser property).

Questions?